DB2 11 for z/OS

*Introduction to DB2 for z/OS*

IBM
DB2 11 for z/OS

Introduction to DB2 for z/OS
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About this information

This information provides a comprehensive introduction to IBM® DB2® for z/OS®. It explains the basic concepts that are associated with relational database management systems in general, and with DB2 for z/OS in particular.

After reading this information, you will understand basic concepts about DB2.

This information about DB2 assumes that your DB2 subsystem is running in Version 11 new-function mode, and that your application is running with the application compatibility value of ‘V11R1’. Generally, new SQL capability that is described, including changes to existing language elements, functions, data manipulation statements, and limits, is available only in new-function mode with applications set to an application compatibility value of ‘V11R1’.

The behavior of data definition statements such as CREATE, ALTER, and DROP, which embed a data manipulation SQL statement that contains new capability, is dependent on the application compatibility value that is in effect for the application. An application compatibility value of ‘V11R1’ must be in effect for applications to use new capability of embedded statements such as SELECT, INSERT, UPDATE, DELETE, MERGE, CALL, and SET assignment-statement. Otherwise, an application compatibility value of ‘V10R1’ can be used for data definition statements.

SQL statements that run in Version 10 new-function mode can continue to run in Version 11 in conversion mode or in new-function mode with an application compatibility value of ‘V10R1’, with the same expected behavior as in Version 10.

Optimization and virtual storage enhancements are available in conversion mode unless stated otherwise.

Who should read this information

If you are new to DB2 for z/OS, this information is for you.

Perhaps you have worked with DB2 on other operating systems (Windows, Linux, AIX®, iSeries®, VM, or VSE). Perhaps you have worked on non-IBM database management systems (DBMSs) or on the IBM hierarchic DBMS, which is called Information Management System (IMS®). Perhaps you have never worked with DBMSs, but you want to work with this product, which many companies use for mission-critical data and application programs. Regardless of your background, if you want to learn about DB2 for z/OS, this information can help you.

If you will be working with DB2 for z/OS and already know what specific job you will have, begin by reading the first three chapters. Then, you can consider what your role will be when you choose to read all or only a subset of the remaining chapters. For example, assume that you know you will be a database administrator (DBA) for an organization that has some distributed applications and is beginning to plan for on demand business. In this case you would probably want to read the chapters about designing objects and data, implementing your database design, DB2 and the Web, and accessing distributed data.
This information is written with the assumption that most readers are data processing professionals.

**DB2 Utilities Suite**

**Important:** In this version of DB2 for z/OS, the DB2 Utilities Suite is available as an optional product. You must separately order and purchase a license to such utilities, and discussion of those utility functions in this publication is not intended to otherwise imply that you have a license to them.

In Version 11, DB2 utilities can use the DFSORT program regardless of whether you purchased a license for DFSORT on your system. For more information, see the following informational APARs:

- II14047
- II14213
- II13495

DB2 utilities can use IBM DB2 Sort for z/OS (5655-W42) as an alternative to DFSORT for utility SORT and MERGE functions. Use of DB2 Sort for z/OS requires the purchase of a DB2 Sort license. For more information about DB2 Sort, see [DB2 Sort for z/OS](#).

**Related information**

- DB2 utilities packaging (Utility Guide)

**Terminology and citations**

When referring to a DB2 product other than DB2 for z/OS, this information uses the product's full name to avoid ambiguity.

The following terms are used as indicated:

**DB2** Represents either the DB2 licensed program or a particular DB2 subsystem.

**OMEGAMON®**

Refers to any of the following products:

- IBM Tivoli® OMEGAMON XE for DB2 Performance Expert on z/OS
- IBM Tivoli OMEGAMON XE for DB2 Performance Monitor on z/OS
- IBM DB2 Performance Expert for Multiplatforms and Workgroups
- IBM DB2 Buffer Pool Analyzer for z/OS

**C, C++, and C language**

Represent the C or C++ programming language.

**CICS®** Represents CICS Transaction Server for z/OS.

**IMS** Represents the IMS Database Manager or IMS Transaction Manager.

**MVS™** Represents the MVS element of the z/OS operating system, which is equivalent to the Base Control Program (BCP) component of the z/OS operating system.

**RACF®**

Represents the functions that are provided by the RACF component of the z/OS Security Server.
Accessibility features for DB2 11 for z/OS

Accessibility features help a user who has a physical disability, such as restricted mobility or limited vision, to use information technology products successfully.

Accessibility features

The following list includes the major accessibility features in z/OS products, including DB2 11 for z/OS. These features support:

- Keyboard-only operation.
- Interfaces that are commonly used by screen readers and screen magnifiers.
- Customization of display attributes such as color, contrast, and font size

Tip: The Information Management Software for z/OS Solutions Information Center (which includes information for DB2 11 for z/OS) and its related publications are accessibility-enabled for the IBM Home Page Reader. You can operate all features using the keyboard instead of the mouse.

Keyboard navigation

You can access DB2 11 for z/OS ISPF panel functions by using a keyboard or keyboard shortcut keys.

For information about navigating the DB2 11 for z/OS ISPF panels using TSO/E or ISPF, refer to the z/OS TSO/E Primer, the z/OS TSO/E User’s Guide, and the z/OS ISPF User’s Guide. These guides describe how to navigate each interface, including the use of keyboard shortcuts or function keys (PF keys). Each guide includes the default settings for the PF keys and explains how to modify their functions.

Related accessibility information

Online documentation for DB2 11 for z/OS is available in the Information Management Software for z/OS Solutions Information Center, which is available at the following website: [http://pic.dhe.ibm.com/infocenter/dzichelp/v2r2/index.jsp](http://pic.dhe.ibm.com/infocenter/dzichelp/v2r2/index.jsp)

IBM and accessibility

See the IBM Accessibility Center at [http://www.ibm.com/able](http://www.ibm.com/able) for more information about the commitment that IBM has to accessibility.

How to send your comments

Your feedback helps IBM to provide quality information. Please send any comments that you have about this book or other DB2 for z/OS documentation. You can use the following methods to provide comments:

- Send your comments by email to db2zinfo@us.ibm.com and include the name of the product, the version number of the product, and the number of the book. If you are commenting on specific text, please list the location of the text (for example, a chapter and section title or a help topic title).
- You can also send comments by using the Feedback link at the footer of each page in the Information Management Software for z/OS Solutions Information Center at [http://pic.dhe.ibm.com/infocenter/dzichelp/v2r2/index.jsp](http://pic.dhe.ibm.com/infocenter/dzichelp/v2r2/index.jsp)
Chapter 1. Basic skills resources for DB2 for z/OS

If you are new to DB2 for z/OS, one of your first challenges is to learn how to work with mainframe computers and the z/OS operating system. Resources are available to help you learn the skills that you need.

Where can I find basic information about working with z/OS?

You can use the following resources to learn how to work with DB2 for z/OS:

z/OS basic skills information center
Contains that basic information about how to work with z/OS and mainframe computers. It includes introductory information about the following subjects:
• Mainframe concepts, including the history and value of mainframe computers in business today.
• z/OS concepts, including information about the user interfaces that you use to interact with DB2 for z/OS, such as TSO, ISPF, and JCL.
• Application programming on z/OS, including the application development process, programming languages, and how to prepare programs to run on z/OS.
• Data and storage management on z/OS
• Security on z/OS
• Sample JCL statements that you can copy, edit, and reuse to accomplish basic tasks on the job.
• Introductory information about DB2 for z/OS. However, you can also find the same information, and more, within the information for each version of DB2 for z/OS.

Introduction to the New Mainframe: z/OS Basics
This IBM Redbooks publication contains much of the same content as the z/OS basic skills information center but in a different presentation format. It also contains different exercises and examples.

DB2 for z/OS training path
This web page contains a map of a course path that you can take to acquire DB2 for z/OS skills or achieve certification. It includes links to information about each course, including when the courses are scheduled and how to enroll. Many of the courses are available in both traditional classroom settings and in instructor-led and self-paced online formats.

IBM System z Course Materials for the Community
This web page contains course materials to assist the mainframe community with skills. Most of the materials are intended for use in a 15-week semester IT course. However, some are smaller and can be used to supplement other course materials.
Chapter 2. An overview of DB2 and Information Management

DB2 is a key component of Information Management.

One good way to start learning about a software product is to observe how real organizations use it. Thousands of companies around the world use DB2 to run their businesses. For you to observe even a small percentage of those businesses would be impractical. Scenarios can help you imagine some of the possibilities by describing a few ways in which organizations depend on DB2 to accomplish their business objectives.

In addition to understanding how organizations depend on DB2 to accomplish their business objectives, you also need to understand the overall IBM strategy for helping its customers effectively manage enterprise data.

You also need to understand how DB2 works with a wide variety of operating systems.

Scenarios for using DB2

Scenarios can illustrate how some organizations might successfully use DB2.

What do the following situations have in common?
- An international bank that provides uninterrupted services to its customers 24 hours a day.
- A multi-campus university system that educates thousands of students and offers hundreds of courses.
- An electric company that provides electricity to a large geographic region.

The common characteristic in each situation is that DB2 is a key ingredient in the data processing environment of each organization.

If you are new to DB2, you might wonder how these and other organizations use the product. You might wonder what types of organizations use DB2. Maybe you wonder if the organizations that use DB2 have all, or only a portion, of their data on the enterprise server. (Sometimes people refer to the enterprise server as the "mainframe.") You might wonder why organizations still continue to put their core business data on the mainframe.

Availability and scalability for large businesses

Large businesses choose DB2 for z/OS because they need a robust database server that ensures superior availability and scalability.

You might be thinking that the terms “enterprise server” and “mainframe” imply that very large businesses use a product like DB2 for z/OS.

You might ask the question: “Why do large businesses choose DB2 for z/OS?” The answer is, “Because these companies need a robust database server that ensures superior availability and scalability.”
Superior availability and scalability in a Parallel Sysplex® environment are the key features that distinguish DB2 for z/OS from other database servers. Because of these qualities, DB2 for z/OS is widely deployed in industries that include:

- Major credit card companies
- Banks
- Insurance companies
- Brokerage companies
- Credit information companies

These are companies that process very high volumes of transactions that require millions of concurrent updates every day.

Consider some examples.

- The volume of trading that goes on at the major stock exchanges can reach over 1,000,000,000 shares in a single day.
- A brokerage company might have a network of thousands of financial advisors and millions of customers who need online access to highly sensitive financial information daily.
- A transportation company might deliver more than 10 million packages in a single day. Each package requires several steps in the delivery process, such as pick up, transit points, and final delivery. The status of the package can be shown to customers on the web.
- A credit information company needs to provide millions of credit reports each day, while keeping the data current with more than 100 million updates in a single day.
- A sports website provides statistics, results, and live updates for their viewers. The site must continue to provide this information to their viewers quickly and efficiently during hours of peak demand.

You can easily understand why these businesses need the database system that processes these transactions to be continuously available, scalable, and secure. These enterprise systems must be available to customers who are searching for and relying on their services 24 hours a day.

- Systems must provide continuous availability.

  If you are waiting for a financial transaction to process and the application that runs that transaction suddenly fails, you might lose the opportunity to make a stock trade at a critical time. The key objective of high availability is to ensure that a system has no single point of failure.

- Systems must be scalable.

  As businesses grow, their data processing needs also grow. Business ventures, such as mergers, acquisitions, and new services, or new government regulations, can accelerate how quickly the data processing needs of the business grow. As rapid growth occurs, companies need a way to scale their business successfully. Companies need a large database system that is designed to easily absorb ongoing additions of new types of information and application processes without sacrificing performance or availability. That database system should never impose a constraint on growth. As businesses add more computing capacity, the database system must expand accordingly to ensure that businesses gain the full advantage of the added capacity and have continuous access to their data.

- Systems must be secure.

  The needs for protection and regulatory compliance are expanding greatly. Customers must be able to trust the database when they use it to manage...
valuable information such as finances or personal information. System z® has a long history of system integrity and security. DB2 security responds to the needs.

The following scenarios describe how a large international bank benefits from these DB2 for z/OS strengths to provide the highest quality of service to its customers.

**Scenario 1:** Bank mergers occur often. As two banks combine operations, how does the newly formed bank merge unrelated applications?

DB2 for z/OS data sharing in a Parallel Sysplex environment provides the solution that the new bank needs so that the two banking systems can be merged.

Parallel Sysplex clustering technology in DB2 is the answer to availability and scalability. A *Parallel Sysplex* is a *cluster*, or complex, of z/OS systems that work together to handle multiple transactions and applications. This technology implements a data sharing design.

The DB2 data sharing design gives businesses the ability to add new DB2 subsystems into a data sharing group, or cluster, as the need arises and without disruption. As applications run on more than one DB2 subsystem, they can read from and write to the same set of shared data concurrently.

The Parallel Sysplex can grow incrementally without sacrificing performance. Parallel Sysplex architecture is designed to integrate up to 32 systems in one cluster. In a shared-disk cluster, each system is a member of the cluster and has access to shared data.

An integral component of a Parallel Sysplex is the *coupling facility*, a mechanism that coordinates transactions between the different members within a cluster. Other solutions attempt to implement similar capabilities through software, but messaging by using software can cause high overhead and directly impact the ability to scale and perform.

When Parallel Sysplex technology is used, the applications from each bank can easily be integrated into a data sharing group and can access shared data.

**Scenario 2:** The bank runs batch jobs every night and the online workload is running close to 24 hours a day. How can the bank run varied workloads, keep them balanced, and avoid problems at peak times?

DB2 works closely with the z/OS Workload Manager (WLM) component. WLM provides the best way to run mixed workloads concurrently, and data sharing gives the bank a lot of flexibility in how to run the workloads.

Parallel Sysplex technology is designed to handle varied and unpredictable workloads efficiently. The Workload Manager ensures that the bank’s workloads are optimally balanced across the systems in the Sysplex.

For example, when the bank adds a new subsystem or the workload becomes unbalanced, data does not need to be redistributed. The new subsystem has the same direct access to the data as all existing subsystems in the data sharing group.

Data sharing works with WLM to give the bank the flexibility it needs to handle peak loads easily. WLM provides the ability to start up servers and subsystems on
demand, based on predefined service goals. For example, the bank can start data sharing members to handle peak loads at quarter-end processing, and stop them when the quarter-end peak finishes.

DB2 is the only data server on System z to take full advantage of WLM capabilities.

**Scenario 3:** The bank creates a website to provide online banking to its customers 24 hours a day. Now the DBMS can never be out of service for maintenance activities. How can the bank apply maintenance to its DBMS if it needs to be operational 24 hours a day?

Data sharing and Parallel Sysplex technology give the bank a way to apply software maintenance (a planned outage) while always keeping a subset of its DB2 subsystems up and running.

The Parallel Sysplex environment provides multiple paths to data and builds redundancy into the coupling facility to avoid single points of failure. With Parallel Sysplex technology, the bank can add maintenance to one member at a time while their systems continue running and remain up-to-date on service. The technology also allows the bank to migrate to a new software release by applying the new release to one member at a time. With this design, the bank avoids outages.

In the event of an application or a system failure on one system (an unplanned outage), the Workload Manager ensures that other systems within the Sysplex can take over the full workload. Again, the bank avoids outages.

**Related concepts:**
- Chapter 13, “Data sharing with your DB2 data,” on page 353
- “DB2 in a Parallel Sysplex environment” on page 77

**Critical business information for decision makers**

Most organizations use various hardware and software products to store a large amount of data. DB2 can assist in providing essential information to key decision makers that helps them to make critical business decisions.

Consider a multi-campus university system. A group of educational experts manages the system from day to day. These people make decisions that affect all the university campuses. The decision makers use a data warehouse so that they can "mine" data from system databases and make the best organizational decisions.

You can think of a data warehouse as a system that provides critical business information to an organization. Data mining is the act of collecting critical business information from that data warehouse, correlating it, and uncovering associations, patterns, and trends. The data warehouse system cleanses the data for accuracy and currency. The data warehouse system also presents the data to the decision makers so that they can interpret and use it effectively and efficiently.

Data warehousing and data mining are related terms that are encompassed by the more global term, business intelligence.

Most organizations use various hardware and software products to store a large amount of data. However, many key decision makers do not have timely access to the information that they need to make critical business decisions. If they had the information, they could make more intelligent decisions for their businesses—thus, the term business intelligence.
The university’s data warehouse system, which relies on DB2, transforms the vast amount of data from being operational to being informational. An example of operational data in a university is the identities of people who enroll in various classes. Clearly, the university needs this information to operate. This operational data becomes informational when, for example, decision makers discover that most students who enroll in Advanced Calculus also enroll in Music Appreciation. The university does not require this information to operate, but decision makers can run a more effective institution if they have informational data. As a result of having access to this informational data, university personnel can make better decisions. Individuals who plan class schedules can ensure that these classes do not meet at the same time, enabling students to enroll in both classes. Using DB2 as your enterprise data warehouse ensures that you are making key business decisions based on data that is correct.

The university also uses the power of the Internet. Each campus has a website, which supplies relevant information to university decision makers, students, parents, and members of the communities that surround each campus.

Using DB2 for z/OS as its enterprise server, the university can act as follows:
- Evaluate the effectiveness of curriculum, expenditures, professors, and professional development
- Identify emerging trends early enough for effective action
- Complete grant applications more quickly and effectively
- Compile a complete summary report on any individual student
- Enable authorized users to use the web to perform any of these actions, plus others

**Data distribution and Web access**

The ability to distribute data and provide Web access to that data is vital to service providers and their customers.

An electric company provides electricity to a large geographic region. Working out of a single office, the company’s customer service representatives answer customer calls and submit requests for service. The electric company has hundreds of field representatives who provide service at customer locations. The field representatives work out of many local offices, and they need access to customer service requests that the central office receives.

The customer service representatives document customer requests on their workstations, which have DB2 Connect™ Personal Edition. This information is uploaded to DB2 for z/OS. The field representatives can then use Java™ applications to access the customer request information in DB2 from their local offices.

In this scenario, the electric company’s distributed environment relies on the distributed data facility (DDF), which is part of DB2 for z/OS. DB2 applications can use DDF to access data at other DB2 sites and at remote relational database systems that support Distributed Relational Database Architecture™ (DRDA®). DRDA is a standard for distributed connectivity. An organization called The Open Group developed the standard, with active participation from many companies in the industry, one of which was IBM. All IBM DB2 data servers support this DRDA standard.
DDF also enables applications that run in a remote environment that supports DRDA. These applications can use DDF to access data in DB2 servers. Examples of application requesters include IBM DB2 Connect and other DRDA-compliant client products.

Related concepts:

- Chapter 11, “DB2 and the web,” on page 331
- “Distributed data facility” on page 76

The IBM Information Agenda

The IBM Information Agenda® can help you to transform information into trusted strategic assets. These assets can be used across applications, processes, and decisions to create a sustained competitive advantage.

The IBM Information Agenda integrates strategy, information governance, and enterprise information infrastructure with a comprehensive implementation roadmap. The approach is based on unique software capabilities, best practices, and deep industry knowledge. The Information Agenda approach has a proven track record of helping businesses to access and share data. The Information Agenda can help your business become more competitive and productive by helping you to develop a plan for transforming your data into a trusted, strategic asset.

The IBM Information Agenda provides the following benefits:

- Connecting data, people, and processes
- Aligning IT and business goals
- Using industry-specific assets and solutions
- Establishing competency centers

The IBM Information Agenda approach has a proven track record of helping companies to respond and adapt quickly to unpredictable changes in information. IBM software and consulting services are designed to help your business to develop a customized implementation roadmap in a matter of weeks and to reduce IT spending by using existing investments.

The Information Agenda is made up of the following components:

**Information infrastructure**

DB2 creates the foundation for your information infrastructure, and works with IMS and Informix®. DB2 runs on many operating systems, such as z/OS, IBM i, Linux, UNIX, Windows, and Solaris. Around the Information Management systems is a structure that includes tools for analysis, data replication, warehouse management, content management, and information integration. Complementing the tools are key database technologies, such as XML, service-oriented architecture (SOA), and web services, and groups of developer communities that IBM works with to complete business solutions.

**Enterprise management**

Products such as the IBM Information Management tools collection offer organizations a broad range of tools for everything from database management to performance analysis. The DB2 Control Center also provides tools for managing your environment. In addition, many IBM products support Tivoli tools, which help organizations manage enterprise information.
Business information services

Business information services satisfy the major business needs of the organization. These services include Master Data Management and Entity Analytics. In addition to these IBM products, your organization can acquire applications from various independent software vendors.

Business partners

IBM works with several vendors and places great importance on relationships with business partners that develop and support core applications for their customers. These applications provide vital business functions, such as Customer Relationship Management and Supply Chain Management.

Related concepts:
Chapter 11, “DB2 and the web,” on page 331
“Information Management tools” on page 13
“Use of DB2 Query Management Facility for Workstation” on page 139

DB2 data servers and environments

DB2 data server products run on a wide set of operating systems, including z/OS, IBM i, Linux, UNIX, and Windows.

In addition to learning about DB2 for z/OS, you will also want to know about some of the other products that work with DB2 for z/OS. Your company probably uses some of these other products.

DB2 data servers include support for the following products:
• DB2 for z/OS
• DB2 for i
• DB2 for Linux, UNIX, and Windows
• DB2 for Linux on IBM System z

Recommendation: Download free or trial demonstration versions of many DB2 products and tools. By using demonstration code, you can increase your understanding of the various products that you will read about in this information. To download demonstration copies, visit the IBM software downloads web page. From that page, you can select a specific DB2 product, and choose the download option on that product's home page.

IBM specifically developed the DB2 data servers so that the underlying code of each DBMS uses the individual capabilities of the various operating systems.

The DB2 data server products encompass the following characteristics:
• Data types among the DB2 data servers are compatible.
• Open standards mean that many different types of clients can access data in the DB2 data servers.
• You can develop applications with SQL that are common across DB2 data servers and port them from one DB2 operating system to another with minimal modification. (Porting means moving an application from one operating system to another.)
• DB2 data servers can support applications of any size. For example, imagine that your application starts with a small number of users and small volumes of data.
and transactions, but then it grows significantly. Because of compatibility across DB2 data servers, your application can continue to work efficiently as you transition to System z.

- Similar function is typically incorporated into each DB2 data server over time.
- Tools are available to help you manage all the DB2 data servers in a similar way.

**Tip:** Identify someone who is familiar with your company’s I/S environment. Ask that person to provide a list of the products that you will likely work with. Your company might have only a subset of the products that are mentioned in this information. Knowing basic information about your company’s environment will help you know which topics are most important for you to read.

**Enterprise servers**

Enterprise servers are the systems that manage the core business data across an enterprise and support key business applications.

z/OS is the main operating system for IBM's most robust hardware platform, IBM System z. DB2 for z/OS continues to be the enterprise data server for System z, delivering the highest availability and scalability in the industry. DB2 for z/OS supports thousands of customers and millions of users. The following DB2 products can act as enterprise servers:

- DB2 for z/OS
- DB2 for Linux, UNIX, and Windows
- DB2 for i, which supports applications in the midrange IBM i environment
- DB2 for VSE and VM, supporting large applications on the VSE and VM environments

**Related concepts:**

“z/Architecture and the z/OS operating system” on page 67

**DB2 Database distributed editions**

Several DB2 Database editions run in the DB2 workstation environment.

**DB2 Enterprise Server Edition**

DB2 Enterprise Server Edition runs on any size server in the Linux, UNIX, and Windows environments. This edition provides the foundation for the following capabilities:

- Transaction processing
- Building data warehouses and Web-based solutions
- Connectivity and integration for other DB2 enterprise data sources and for Informix data sources

The DB2 Connect feature provides functionality for accessing data that is stored on enterprise server and midrange database systems, such as DB2 for z/OS and DB2 for i. This edition supports both local and remote DB2 clients.

**IBM Database Enterprise Developer Edition**

IBM Database Enterprise Developer Edition lets you develop and test applications that run on one operating system and access databases on the same or on a different operating system.

**DB2 Express® Edition**

DB2 Express Edition is an entry level data server that is suitable for transaction processing and complex query workloads for small- and medium-size businesses.
IBM Informix
IBM Informix is an online transaction processing database for enterprise and workgroup computing.

DB2 Personal Edition
DB2 Personal Edition provides a single-user database that is designed for occasionally connected or remote-office implementations. You can use this edition to create and manage local databases, or as a client to DB2 Enterprise Server Edition or Workgroup Server Edition database servers. DB2 Personal Edition does not accept requests from clients.

DB2 Workgroup Server Edition
DB2 Workgroup Server Edition is suited for a small business environment with up to four CPUs. These editions support both local and remote DB2 clients.

DB2 on smaller-scale servers
In addition to the enterprise servers, most companies support smaller-scale servers on local area networks (LANs). Smaller-scale servers handle important applications that don't demand the resources that are available on the larger enterprise servers.

DB2 runs on the Linux operating system, including Linux on System z. The System z platform offers four operating systems on which you can run DB2 data server products. The four operating systems are z/OS, Linux, VM, and VSE. Many customers use DB2 for Linux on System z as their application server, connecting with DB2 for z/OS as the data server, so that they can take advantage of distributed connections and HiperSockets™ for fast and secure communication.

Personal, mobile, and pervasive environments
DB2 is available on small devices that are designed for individual use. You can write programs that access DB2 data on your own desktop, laptop, or handheld computer while you are traveling or working at home. Then, later you can synchronize these databases with corporate databases in the enterprise.

In the desktop and laptop workstation environments, DB2 Express provides a data server engine for a single user. DB2 Express serves your needs if you are working independently and occasionally connected or mobile. You can download and deploy DB2 Express-C for free.

For handheld computers, DB2 Everyplace® enables lightweight database applications on all the Palm Operating System, Windows CE, Embedded Linux, QNX Neutrino, Linux, and Symbian EPOC operating systems. DB2 Everyplace is available in two editions: Enterprise Edition and Database Edition. A trial version of DB2 Everyplace is available for download.

Multiple transaction and application environments
To optimize performance, throughput, and response time, organizations can distribute their application transactions and data, and they can run database queries in parallel.

A cluster is a complex of machines that work together to handle multiple transactions and applications. The following DB2 data server products use cluster technology:
- DB2 for z/OS
- DB2 for i, which runs in the parallel System i® environment
DB2 for Linux, UNIX, and Windows

DB2 data server products can operate in clusters in the following environments:
- AIX
- HP-UX
- IBM i
- Linux
- Solaris
- Windows
- z/OS

**DB2 and network communication**

The DB2 data server products can communicate by using both wide area networks (WANs) and local area networks (LANs).

**WAN** A wide area network generally supports the enterprise servers such as DB2 for z/OS; they require either Transmission Control Protocol/Internet Protocol (TCP/IP) or Systems Network Architecture (SNA).

**LAN** A local area network generally supports smaller servers, which requires TCP/IP.

**Clients supported by DB2 data servers**

DB2 data servers support a wide variety of clients, languages, and tools.

**Environments**
- AIX
- Eclipse
- HP-UX
- Linux
- Solaris
- Windows
- Web browsers

**Languages**
- APL®
- Assembler
- C
- C++
- C#
- COBOL
- Fortran
- Java
- .NET
- Perl
- PHP
- PL/I
- Python
- REXX
Sources of data

Access to heterogeneous data is a powerful asset for any organization that has data in various sources.

DB2 for Linux, UNIX, and Windows supports access to many different data sources with a single SQL statement. This support is called federated database support, which is provided by InfoSphere® Information Integration products. For example, with federated database support, you can join data from a wide variety of data sources. The application (and the application developer) does not need to understand where the data is or the SQL differences across different data stores. Federated data support includes support for the following relational and nonrelational data sources:

- All DB2 data server products
- IMS
- Informix
- Oracle
- Microsoft SQL Server, Microsoft Excel
- Sybase
- JDBC
- Databases that supports JDBC API
- OLE DB
- Teradata
- EMC Documentum

If you also use InfoSphere Federation Server, your applications that access the DB2 DBMS can have read-write access to additional data sources, web services, and WebSphere® Business Integration. Access to heterogeneous, or dissimilar, data means that applications can accomplish more, with less code. The alternative would be that programmers would write multiple programs, each of which accesses data in one of the sources. Then the programmers would write another program that would merge the results together.

Information Management tools

Many different products and tools are available in the marketplace to help you manage the DB2 environment, regardless of which operating system you use.

The following products are helpful to people who are managing a DB2 environment:

- DB2 tools
- DB2 Data Studio Administrator
DB2 tools

The IBM Information Management tools offer DB2 tools for z/OS, IBM i, Linux, UNIX, and Windows.

These tools are organized into six different categories with the following capabilities:

**Database administration**
Navigate through database objects and perform database administration tasks on one or many objects at a time. This category also includes tools that are used to alter, migrate, and compare objects in the same or in different DB2 systems.

**Utility management**
Manage DB2 systems with high-performance utilities and automation.

**Performance management**
Monitor and tune DB2 systems and applications to obtain optimal performance and lowest cost.

**Recovery management**
Examine recovery assets and recover DB2 objects to a point in time in the event of system outage or application failure. This category also includes tools to help you manage recovery assets.

**Replication management**
Propagate data changes by capturing and applying changes to remote systems across the DB2 data servers.

**Application management**
Manage DB2 application changes with minimal effort, and build and deploy applications across the enterprise.

Most of the database tools that support DB2 for z/OS provide a graphical user interface (GUI) and also contain an ISPF (Interactive System Productivity Facility) interface that allows you to perform most DB2 tasks interactively. With the ISPF interfaces integrated together, you can move seamlessly from one tool to another.

With DB2 tools, you can anticipate:
- Immediate support of new versions of DB2
- Cross-platform delivery
- Consistent interfaces
- Thorough testing that is performed on the same workloads as the database products

You can read more about specific Information Management tools throughout this information.

**DB2 Data Studio Administrator**

You can use DB2 Data Studio Administrator to administer DB2 environments, including DB2 for z/OS.

The DB2 Data Studio Administrator can also perform the following tasks:
- Display database objects (such as tables) and their relationships to each other.
- Manage local and remote servers from a single workstation.
- Perform operations on database objects across multiple DB2 data servers.
Application development tools

DB2 provides a strong set of tools for application development. Developers can use these tools to create DB2 applications, stored procedures, and applications that support business intelligence and On Demand business.

IBM Data Studio

IBM Data Studio is a suite of Eclipse-based tools that are for development database administrators and application developers. You can use IBM Data Studio for the following tasks:
• Developing pureQuery applications in a Java project
• Creating, testing, debugging, and deploying routines, such as stored procedures and user-defined functions
• Creating, editing, and running SQL queries
• Connecting to data sources and browsing data objects and their properties
• Creating and altering data objects

Rational Developer for System z

Rational Developer for System z can improve efficiency and helps with mainframe development, web development, and integrated mixed workload or composite development. By using Rational Developer for System z, you can accelerate the development of your web applications, traditional COBOL and PL/I applications, web services, and XML-based interfaces.

Rational Developer for System z provides a common workbench and an integrated set of tools that support end-to-end, model-based application development, run time testing, and rapid deployment of On Demand applications. With the interactive, workstation-based environment, you can quickly access your z/OS data.

Rational Application Developer for WebSphere Software

IBM Rational software provides a full range of tools to meet your analysis, design, and construction needs, whether you are an application developer, application architect, systems engineer, or database designer. IBM Rational Application Developer for WebSphere Software helps developers to quickly design, develop, analyze, test, profile, and deploy high-quality web, Service-oriented Architecture (SOA), Java, J2EE, and portal applications.

By using Rational Application Developer, you can increase productivity, minimize your learning curve, and shorten development and test cycles so that you can deploy applications quickly.
WebSphere Studio Application Developer

WebSphere Studio Application Developer is a fully integrated Java development environment. Using WebSphere Studio Application Developer, you can build, compile, and test J2EE (Java 2 Enterprise Edition) applications for enterprise On Demand business applications with:

- JSP (JavaServer Pages) files
- EJB (Enterprise JavaBeans) components
- 100% Pure Java applets and servlets

Related concepts:
“Use of development tools to create a stored procedure” on page 192
“Web-based applications and WebSphere Studio Application Developer” on page 336

Middleware components

Middleware and client application programming interfaces (APIs) complement the DB2 data server products. Middleware and client APIs help DB2 products to communicate and work together more effectively.

IBM middleware components include a broad portfolio of WebSphere products that help you achieve the promise of on demand business. The product families that comprise the WebSphere portfolio provide all the infrastructure software that you need to build, deploy, and integrate your on demand business. The WebSphere products fall into the following categories:

- **Foundation & Tools** for developing and deploying high-performance business applications
- **Business Portals** for developing scalable enterprise portals and enabling a single point of personalized interaction with diverse business resources
- **Business Integration** for end-to-end application integration

WebSphere products run on the most popular operating systems, including z/OS, AIX, Linux, OS/390®, IBM i, Windows, and Solaris.

IBM Data Studio

IBM Data Studio is a set of powerful Information Management tools that help you manage enterprise data, databases, and data-driven applications.

IBM Data Studio includes the following tools:

- IBM InfoSphere Data Architect
- Optim Database Relationship Analyzer
- IBM Data Studio
- IBM Optim pureQuery® Runtime
- IBM Data Studio Administrator
- IBM DB2 Performance Expert
- IBM Database Encryption Expert
- DB2 High Performance Unload

IBM Data Studio can help you perform a wide range of daily activities. You can use IBM Data Studio to manage DB2 databases on different operating systems.
Database administration

You can use IBM Data Studio to administer DB2 instances, DB2 for z/OS subsystems, databases, and database objects from workstation computers. You can also run utilities that reorganize or load your data in your existing DB2 for z/OS databases.

Application development and deployment

You can use IBM Data Studio for the following application development tasks:

- Developing pureQuery applications in a Java project
- Creating, testing, debugging, and deploying routines, such as stored procedures and user-defined functions
- Creating, editing, and running SQL queries
- Connecting to data sources and browsing data objects and their properties
- Creating and altering data objects

Query analysis and performance optimization

IBM Data Studio provides query serviceability and tuning capabilities from workstation computers for queries that run in DB2 for z/OS environments. You can use IBM Data Studio to for the following query optimization tasks:

- View query activity and identify problematic queries.
- Get tuning recommendations for statistics that could improve query performance.
- Graph the access path for a query.
- Graphically generate optimization hints.
- Generate reports with information about tables and predicates that are associated with a particular query.
- View the values of subsystem parameters.

Related reference:

IBM Data Studio product documentation (IBM Data Studio, IBM Optim Database Administrator, IBM infoSphere Data Architect)

Related information:

IBM Data Studio (product home page)

Data Studio and InfoSphere Optim pureQuery Runtime (IBM developerWorks)

IBM Rational Portfolio Manager

IBM Rational Portfolio Manager can help you align your IT and systems investments with your business goals.

IBM Rational Portfolio Manager is integrated with the following software:

- IBM Rational ProjectConsole™ software
- IBM Rational Method Composer software
- IBM Rational ClearQuest® software
- IBM Rational RequisitePro® software
DB2 Connect

DB2 Connect leverages your enterprise information regardless of where that information is. DB2 Connect gives applications fast and easy access to existing databases on IBM enterprise servers. The applications can be on demand business applications or other applications that run on UNIX or Microsoft Windows operating systems.

DB2 Connect offers several editions that provide connectivity to host and IBM i database servers. DB2 Connect Personal Edition provides direct connectivity, whereas DB2 Connect Enterprise Edition provides indirect connectivity through the DB2 Connect server.

With DB2 Connect, you can accomplish the following tasks:

- Extend the reach of enterprise data by providing users with fast and secure access to data through intranets or through the public Internet
- Integrate your existing core business applications with new, Web-based applications that you develop
- Create on demand business solutions by using the extensive application programming tools that come with DB2 Connect
- Build distributed transaction applications
- Develop applications by using popular application programming tools such as Visual Studio .NET, ActiveX Data Objects (ADO), OLE DB, and popular languages such as Java, PHP, and Ruby on Rails
- Manage and protect your data
- Preserve your current investment in skills

Users of mobile PCs and pervasive computing devices can use DB2 Connect to access reliable, up-to-date data from z/OS and IBM i database servers.

DB2 Connect provides the required performance, scalability, reliability, and availability for the most demanding applications that your business uses. DB2 Connect runs on AIX, HP-UX, Linux, Solaris, and Windows.

Related reference:

WebSphere Application Server

WebSphere Application Server is part of the Foundation & Tools WebSphere portfolio. This product enables organizations to move quickly from simple web publishing to secure on demand business.

WebSphere Application Server is a Java 2 Enterprise Edition (J2EE) and web services technology-based platform. With WebSphere Application Server, you can take advantage of the following services:

Web services

Web services can help you develop applications more quickly.

Dynamic application services

Dynamic application services let you manage your on demand business environment with web services and J2EE 1.3 support that uses standard, modular components to simplify enterprise applications.
**Integrated tools support**

WebSphere Studio Application Developer provides support with integrated tools.

**Related concepts:**

“SOA, XML, and web services” on page 339

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**WebSphere Studio**

WebSphere Studio is part of the Foundation & Tools WebSphere portfolio. WebSphere Studio is actually a suite of tools that spans development for the web, the enterprise, and wireless devices.

The WebSphere Studio suite of tools provides the following support:

- **For application development:** WebSphere Studio Application Developer works with Java and J2EE applications and other tools that include WebSphere Studio Enterprise Developer for developing advanced J2EE and web applications.
- **For application connectivity:** WebSphere MQ is a message handling system that enables applications to communicate in a distributed environment across different operating systems and networks.
- **For web development:** WebSphere Studio Homepage Builder is an authoring tool for new web developers, and WebSphere Studio Site Developer is for experienced web developers.

**Related concepts:**

“Web-based applications and WebSphere Studio Application Developer” on page 336

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**WebSphere Host Integration**

WebSphere Host Integration is part of the Foundation & Tools WebSphere portfolio. WebSphere Host Integration provides support for applications that rely on both the web and host environments.

WebSphere Host Integration is actually a portfolio of products that help organizations access, integrate, and publish host information to web-based clients and applications.

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**Federated database support through WebSphere Information Integrator**

The WebSphere Information Integration family of products is a key part of the information integration framework. The product components include a federated data server and a replication server for integrating these diverse types of data.

Information integration technology provides access to diverse, distributed data. This technology lets you integrate a wide range of data, including traditional application sources as well as XML, text documents, web content, email, and scanned images.

The following key technologies provide Information integration:

- Support for accessing XML data sources
- Web services support
- Federation technology
- Additional features such as advanced search and flexible data replication
The IBM federated database systems offer powerful facilities for combining information from multiple data sources. These facilities give you read and write access to diverse data from a wide variety of sources and operating systems as though the data is a single resource. With a federated system, you can:

- Keep data where it resides rather than moving it into a single data store
- Use a single API to search, integrate, and transform data as though it is in a single virtual database
- Send distributed requests to multiple data sources within a single SQL statement

For example, you can join data that is located in a DB2 table, an Oracle table, and an XML tagged file.

The IBM product that supports data federation is WebSphere Information Integrator.

Consider federation as an integration strategy when the technical requirements of your project involve search, insert, update, or delete operations across multiple heterogeneous, related sources or targets of different formats. During setup of the federated systems, information about the data sources (for example, the number and the data type of columns, the existence of an index, or the number of rows) is analyzed by DB2 to formulate fast answers to queries. The query optimization capability of federated systems can automatically generate an optimal plan based on many complex factors that are in this environment. This automatically generated plan makes application development in a federated system much easier, because developers no longer need to dictate the execution strategies in the program.

**Data replication through InfoSphere Replication Server**

InfoSphere Replication Server for z/OS provides high-volume, low-latency replication for business continuity, workload distribution, or business integration scenarios.

*Data replication* is the process of maintaining a defined set of data in more than one location. Replication involves copying designated changes from one location (a source) to another location (a target) and synchronizing the data in both locations. The source and the target can be in servers that are on the same machine or on different machines in the same network.

You can use InfoSphere Replication Server to help maintain your data warehouse and facilitate real-time business intelligence. InfoSphere Replication Server provides the flexibility to distribute, consolidate, and synchronize data from many locations by using differential replication or ETL.

InfoSphere Replication Server supports the following features:

- Queue-based and SQL-based replication models
- Data sharing configurations for DB2 for z/OS
- High-volume and low-latency data replication

**WebSphere DataStage**

IBM WebSphere DataStage provides the capability to perform extract, transform, and load (ETL) operations from multiple sources to multiple targets, including DB2 for z/OS.
This ETL solution supports the collection, integration, and transformation of large volumes of data, with data structures ranging from simple to highly complex. WebSphere DataStage manages data that arrives in real time and data received on a periodic or scheduled basis.

ETL operations with WebSphere DataStage are log-based and support a broad data integration framework. You can perform more complex transformations and data cleansing, and you can merge data from other enterprise application software brands, including SAP, Siebel, and Oracle.

**WebSphere QualityStage**

IBM WebSphere QualityStage® provides a data quality solution that you can use to standardize customer, location, and product facts.

You can use WebSphere QualityStage to validate global address information and international names and other customer data, including phone numbers, email addresses, birth dates, and descriptive comments, to discover relationships. WebSphere QualityStage delivers the high-quality data that is required for success in a range of enterprise initiatives, including business intelligence, legacy consolidation, and master data management.

### Client application programming interfaces

Application programming interfaces provide various ways for clients to access a DB2 database server.

#### Java interfaces

DB2 provides two standards-based Java programming application programming interfaces (APIs) for writing portable application programs that access DB2:

- **pureQuery**
  Developers can use pureQuery to build applications with less code than JDBC, but with greater control over database access than object-relational frameworks. Developers can use SQL for in-memory collections and databases without learning a new query language that is not optimal for data access.

- **JDBC**
  A generic interface for writing platform-independent applications that can access any SQL database.

- **SQLJ**
  Another SQL model that a consortium of major database vendors developed to complement JDBC. ISO (International Standards Organization) defines SQLJ. SQLJ is easier to code than JDBC and provides the superior performance, security, and maintainability of static SQL.

With DB2 for z/OS support for JDBC, you can write dynamic SQL applications in Java. With SQLJ support, you can write static SQL applications in Java. These Java applications can access local DB2 data or remote relational data on any server that supports DRDA.

With DB2 for z/OS, you can use a stored procedure that is written in Java. (The DB2 Database family supports stored procedures that are written in many additional languages.) A *stored procedure* is a user-written application program that the server stores and executes. A single SQL CALL statement invokes a stored procedure. The stored procedure contains SQL statements, which execute locally at the server. The result can be a significant decrease in network transmissions.
You can develop Java stored procedures that contain either static SQL (by using
SQLJ) or dynamic SQL (by using JDBC). You can define the Java stored procedures
yourself, or you can use IBM Data Studio and WebSphere Studio Application
Developer tools.

ODBC

DB2 Open Database Connectivity (ODBC) is the IBM callable SQL interface for
relational database access. Functions are provided to application programs to
process dynamic SQL statements. DB2 ODBC allows users to access SQL functions
directly through a call interface. Through the interface, applications use procedure
calls at execution time to connect to databases, to issue SQL statements, and to get
returned data and status information. The programming languages that support
ODBC are C and C++.

Web services

Web services are self-contained, modular applications that provide an interface
between the provider and consumer of On-Demand business application resources
over the Internet. Web services client applications can access a DB2 database.

DB2 Database Add-ins for Visual Studio

The IBM DB2 Database Add-ins for Microsoft Visual Studio is a set of tightly
integrated application development and administration tools designed for DB2
Database. The Add-ins integrate into the Visual Studio .NET development
environment so that application programmers can easily work within their
Integrated Development Environment (IDE) to access DB2 data.

The following features offer key benefits:

- Support for client applications (both desktop and web-based applications) to use
  .NET to access remote DB2 servers
- A tool for building stored procedures that makes it easy for any application
  programmer to develop and test stored procedures with DB2 for z/OS without
  prior System z skills or knowledge

Related concepts:

- “DB2 Development add-in for Visual Studio .NET” on page 168
- “Use of an application program as a stored procedure” on page 188
- Chapter 12, “Distributed data access,” on page 341
- “Use of Java to execute static and dynamic SQL” on page 186
- “Programming languages and methods for developing application programs” on
  page 169
- “Use of ODBC to execute dynamic SQL” on page 184
- “SOA, XML, and web services” on page 339

Open standards

Open standards provide a framework for on demand business that is widely
accepted across the computer industry. With common standards, customers and
vendors can write application programs that can run on different database systems
with little or no modification. Application portability simplifies application
development and ultimately reduces development costs.
IBM is a leader in developing open industry standards for database systems. DB2 for z/OS is developed based on the following standards:

- The SQL:2003 ANSI/ISO standard
- The Open Group Technical Standard DRDA Version 3
- The JDBC API 3.0 Specification, developed by the Java Community Process
Chapter 3. DB2 concepts

Many structures and processes are associated with a relational database. The structures are the key components of a DB2 database system, and the processes are the interactions that occur when applications access the database system.

In a relational database, data is perceived to exist in one or more tables. Each table contains a specific number of columns and a number of unordered rows. Each column in a table is related in some way to the other columns. Thinking of the data as a collection of tables gives you an easy way to visualize the data that is stored in a DB2 database.

Tables are at the core of a DB2 database. However, a DB2 database involves more than just a collection of tables; a DB2 database also involves other objects, such as views and indexes, and larger data containers, such as table spaces.

Structured query language

The language that you use to access the data in DB2 tables is the structured query language (SQL). SQL is a standardized language for defining and manipulating data in a relational database.

The language consists of SQL statements. SQL statements let you accomplish the following actions:

- Define, modify, or drop data objects, such as tables.
- Retrieve, insert, update, or delete data in tables.

Other SQL statements let you authorize users to access specific resources, such as tables or views.

When you write an SQL statement, you specify what you want done, not how to do it. To access data, for example, you need only to name the tables and columns that contain the data. You do not need to describe how to get to the data.

In accordance with the relational model of data:

- The database is perceived as a set of tables.
- Relationships are represented by values in tables.
- Data is retrieved by using SQL to specify a result table that can be derived from one or more tables.

DB2 transforms each SQL statement, that is, the specification of a result table, into a sequence of operations that optimize data retrieval. This transformation occurs when the SQL statement is prepared. This transformation is also known as binding.

All executable SQL statements must be prepared before they can run. The result of preparation is the executable or operational form of the statement.

As the following example illustrates, SQL is generally intuitive.

**Example:** Assume that you are shopping for shoes and you want to know what shoe styles are available in size 8. The SQL query that you need to write is similar
to the question that you would ask a salesperson, "What shoe styles are available in size 8?" Just as the salesperson checks the shoe inventory and returns with an answer, DB2 retrieves information from a table (SHOES) and returns a result table. The query looks like this:

```
SELECT STYLE
FROM SHOES
WHERE SIZE = 8;
```

Assume that the answer to your question is that two shoe styles are available in a size 8: loafers and sandals. The result table looks like this:

```
STYLE
=======
LOAFERS
SANDALS
```

You can send an SQL statement to DB2 in several ways. One way is interactively, by entering SQL statements at a keyboard. Another way is through an application program. The program can contain SQL statements that are statically embedded in the application. Alternatively the program can create its SQL statements dynamically, for example, in response to information that a user provides by filling in a form. In this information, you can read about each of these methods.

Chapter 7, “Application programming for DB2,” on page 163
Chapter 6, “SQL: The language of DB2,” on page 99
“Performance information for SQL application programming” on page 171

**Static SQL**

The source form of a *static* SQL statement is embedded within an application program written in a host language such as COBOL. The statement is prepared before the program is executed and the operational form of the statement persists beyond the execution of the program.

Static SQL statements in a source program must be processed before the program is compiled. This processing can be accomplished through the DB2 precompiler or the DB2 coprocessor. The DB2 precompiler or the coprocessor checks the syntax of the SQL statements, turns them into host language comments, and generates host language statements to invoke DB2.

The preparation of an SQL application program includes precompilation, the preparation of its static SQL statements, and compilation of the modified source program.

**Embedded dynamic SQL**

Programs that contain *embedded dynamic* SQL statements must be precompiled like those that contain static SQL, but unlike static SQL, the dynamic statements are constructed and prepared at run time.

The source form of a dynamic statement is a character string that is passed to DB2 by the program using the static SQL PREPARE or EXECUTE IMMEDIATE statement. A statement that is prepared using the PREPARE statement can be referenced in a DECLARE CURSOR, DESCRIBE, or EXECUTE statement. Whether the operational form of the statement is persistent depends on whether dynamic statement caching is enabled.
SQL statements embedded in a REXX application are dynamic SQL statements. SQL statements submitted to an interactive SQL facility and to the CALL Level Interface (CLI) are also dynamic SQL.

Related concepts:
- "Dynamic SQL" on page 137
- "Dynamic SQL applications" on page 183
- Differences between static and dynamic SQL (DB2 Application programming and SQL)

Deferred embedded SQL

A deferred embedded SQL statement is neither fully static nor fully dynamic.

Like a static statement, it is embedded within an application, but like a dynamic statement, it is prepared during the execution of the application. Although prepared at run time, a deferred embedded SQL statement is processed with bind-time rules such that the authorization ID and qualifier determined at bind time for the plan or package owner are used.

Interactive SQL

Interactive SQL refers to SQL statements submitted using SPUFI (SQL processor using file input) or the command line processor.

SPUFI and the command line processor prepares and executes these statements dynamically.

Related concepts:
- Command line processor (DB2 Commands)

Related tasks:
- Executing SQL by using SPUFI (DB2 Application programming and SQL)

SQL Call Level Interface and Open Database Connectivity

The DB2 Call Level Interface (CLI) is an application programming interface in which functions are provided to application programs to process dynamic SQL statements.

DB2 CLI allows users to access SQL functions directly through a call interface. CLI programs can also be compiled using an Open Database Connectivity (ODBC) Software Developer's Kit, available from Microsoft or other vendors, enabling access to ODBC data sources. Unlike using embedded SQL, no precompilation is required. Applications developed using this interface can be executed on a variety of databases without being compiled against each of databases. Through the interface, applications use procedure calls at execution time to connect to databases, to issue SQL statements, and to get returned data and status information.

Java database connectivity and embedded SQL for Java

DB2 provides two standards-based Java programming APIs: Java Database Connectivity (JDBC) and embedded SQL for Java (SQL/OLB or SQLJ). Both can be used to create Java applications and applets that access DB2.

Static SQL cannot be used by JDBC. SQLJ applications use JDBC as a foundation for such tasks as connecting to databases and handling SQL errors, but can contain
embedded static SQL statements in the SQLJ source files. An SQLJ file has to be translated with the SQLJ translator before the resulting Java source code can be compiled.

DB2 data structures

Data structures are elements that are required to use DB2. You can access and use these elements to organize your data. Examples of data structures include tables, table spaces, indexes, index spaces, keys, views, and databases.

The brief descriptions here show how the structures fit into an overall view of DB2. The following figure shows how some DB2 structures contain others. To some extent, the notion of “containment” provides a hierarchy of structures.

The DB2 structures from the most to the least inclusive are:

**Databases**
A set of DB2 structures that include a collection of tables, their associated indexes, and the table spaces in which they reside.

**Storage groups**
A set of volumes on disks that hold the data sets in which tables and indexes are stored.
Table spaces
A logical unit of storage in a database. A table space is a page set and can contain one or more tables.

Tables All data in a DB2 database is presented in tables, which are collections of rows all having the same columns. A table that holds persistent user data is a base table. A table that stores data temporarily is a temporary table.

Views A view is an alternative way of representing data that exists in one or more tables. A view can include all or some of the columns from one or more base tables.

Indexes An index is an ordered set of pointers to the data in a DB2 table. The index is stored separately from the table.

Related concepts:
- “DB2 system objects” on page 41
- “Implementing your database design (DB2 Administration Guide)”
- “Storage structures” on page 39

DB2 tables
Tables are logical structures that DB2 maintains. DB2 supports several different types of tables.

Tables are made up of columns and rows. The rows of a relational table have no fixed order. The order of the columns, however, is always the order in which you specified them when you defined the table.

At the intersection of every column and row is a specific data item, which is called a value. A column is a set of values of the same type. A row is a sequence of values such that the nth value is a value of the nth column of the table. Every table must have one or more columns, but the number of rows can be zero.

DB2 accesses data by referring to its content instead of to its location or organization in storage.

DB2 supports several different types of tables:
- Archive tables
- Archive-enabled tables
- Auxiliary tables
- Base tables
- Clone tables
- Empty tables
- History tables
- Materialized query tables
- Result tables
- Temporal tables
- Temporary tables
- XML tables

“Creation of tables” on page 197
“Types of tables” on page 198
DB2 indexes

An index is an ordered set of pointers to rows of a table. DB2 can use indexes to improve performance and ensure uniqueness. Understanding the structure of DB2 indexes can help you achieve the best performance for your system.

Conceptually, you can think of an index to the rows of a DB2 table like you think of an index to the pages of a book. Each index is based on the values of data in one or more columns of a table.

DB2 can use indexes to ensure uniqueness and to improve performance by clustering data, partitioning data, and providing efficient access paths to data for queries. In most cases, access to data is faster with an index than with a scan of the data. For example, you can create an index on the DEPTNO column of the sample DEPT table to easily locate a specific department and avoid reading through each row of, or scanning, the table.

An index is stored separately from the data in the table. Each index is physically stored in its own index space. When you define an index by using the CREATE INDEX statement, DB2 builds this structure and maintains it automatically. However, you can perform necessary maintenance such as reorganizing it or recovering the index.

The main purposes of indexes are:

- To improve performance. Access to data is often faster with an index than without.
- To ensure that a row is unique. For example, a unique index on the employee table ensures that no two employees have the same employee number.
- To cluster the data.
- To determine which partition the data goes into.
- To provide index-only access to data.

Except for changes in performance, users of the table are unaware that an index is in use. DB2 decides whether to use the index to access the table. Some techniques enable you to influence how indexes affect performance when you calculate the storage size of an index and determine what type of index to use.

An index can be either partitioning or nonpartitioning, and either type can be clustered. For example, you can apportion data by last names, possibly using one partition for each letter of the alphabet. Your choice of a partitioning scheme is based on how an application accesses data, how much data you have, and how large you expect the total amount of data to grow.

Be aware that indexes have both benefits and disadvantages. A greater number of indexes can simultaneously improve the access performance of a particular transaction and require additional processing for inserting, updating, and deleting index keys. After you create an index, DB2 maintains the index, but you can perform necessary maintenance, such as reorganizing it or recovering it, as necessary.

"Creation of indexes" on page 236

CREATE INDEX (DB2 SQL)
DB2 keys

A key is a column or an ordered collection of columns that is identified in the
description of a table, an index, or a referential constraint. Keys are crucial to the
table structure in a relational database.

Keys are important in a relational database because they ensure that each record in
a table is uniquely identified, they help establish and enforce referential integrity,
and they establish relationships between tables. The same column can be part of
more than one key.

A composite key is an ordered set of two or more columns of the same table. The
ordering of the columns is not constrained by their actual order within the table.
The term value, when used with respect to a composite key, denotes a composite
value. For example, consider this rule: “The value of the foreign key must be equal
to the value of the primary key.” This rule means that each component of the value
of the foreign key must be equal to the corresponding component of the value of
the primary key.

DB2 supports several types of keys.

Unique keys

A unique constraint is a rule that the values of a key are valid only if they are
unique. A key that is constrained to have unique values is a unique key. DB2 uses a
unique index to enforce the constraint during the execution of the LOAD utility and
whenever you use an INSERT, UPDATE, or MERGE statement to add or modify
data. Every unique key is a key of a unique index. You can define a unique key by
using the UNIQUE clause of either the CREATE TABLE or the ALTER TABLE
statement. A table can have any number of unique keys.

The columns of a unique key cannot contain null values.

Primary keys

A primary key is a special type of unique key and cannot contain null values. For
example, the DEPTNO column in the DEPT table is a primary key.

A table can have no more than one primary key. Primary keys are optional and can
be defined in CREATE TABLE or ALTER TABLE statements.

The unique index on a primary key is called a primary index. When a primary key
is defined in a CREATE TABLE statement or ALTER TABLE statement, DB2
automatically creates the primary index if one of the following conditions is true:
• DB2 is operating in new-function mode, and the table space is implicitly created.
• DB2 is operating in new-function mode, the table space is explicitly created, and
  the schema processor is running.
• DB2 is operating in conversion mode, and the schema processor is running.

If a unique index already exists on the columns of the primary key when it is
defined in the ALTER TABLE statement, this unique index is designated as the
primary index when DB2 is operating in new-function mode and implicitly created
the table space.
Parent keys

A parent key is either a primary key or a unique key in the parent table of a referential constraint. The values of a parent key determine the valid values of the foreign key in the constraint.

Foreign keys

A foreign key is a key that is specified in the definition of a referential constraint in a CREATE or ALTER TABLE statement. A foreign key refers to or is related to a specific parent key.

Unlike other types of keys, a foreign key does not require an index on its underlying column or columns. A table can have zero or more foreign keys. The value of a composite foreign key is null if any component of the value is null.

The following figure shows the relationship between some columns in the DEPT table and the EMP table.

![Figure 2. Relationship between DEPT and EMP tables](image)

**Figure notes:** Each table has a primary key:
- DEPTNO in the DEPT table
- EMPNO in the EMP table

Each table has a foreign key that establishes a relationship between the tables:
- The values of the foreign key on the DEPT column of the EMP table match values in the DEPTNO column of the DEPT table.
- The values of the foreign key on the MGRNO column of the DEPT table match values in the EMPNO column of the EMP table when an employee is a manager.

To see a specific relationship between rows, notice how the shaded rows for department C01 and employee number 000030 share common values.

**Related concepts:**
"Referential constraints" on page 47
DB2 views

A view is an alternative way of representing data that exists in one or more tables. A view can include all or some of the columns from one or more base tables.

Introductory concepts:

"Creation of views" on page 253
"Views on the sample tables" on page 153

A view is a named specification of a result table. Conceptually, creating a view is somewhat like using binoculars. You might look through binoculars to see an entire landscape or to look at a specific image within the landscape, such as a tree.

You can create a view that:

• Combines data from different base tables
• Is based on other views or on a combination of views and tables
• Omits certain data, thereby shielding some table data from users

In fact, these are common underlying reasons to use a view. Combining information from base tables and views simplifies retrieving data for a user, and limiting the data that a user can see is useful for security. You can use views for a number of different purposes. A view can:

• Control access to a table
• Make data easier to use
• Simplify authorization by granting access to a view without granting access to the table
• Show only portions of data in the table
• Show summary data for a given table
• Combine two or more tables in meaningful ways
• Show only the selected rows that are pertinent to the process that uses the view

To define a view, you use the CREATE VIEW statement and assign a name (up to 128 characters in length) to the view. Specifying the view in other SQL statements is effectively like running an SQL SELECT statement. At any time, the view consists of the rows that would result from the SELECT statement that it contains. You can think of a view as having columns and rows just like the base table on which the view is defined.

You also can specify a period specification for a view, subject to certain restrictions.

Example

Example 1: The following figure shows a view of the EMP table that omits sensitive employee information and renames some of the columns.
Figure note: The EMPINFO view represents a table that includes columns named EMPLOYEE, FIRSTNAME, LASTNAME, TEAM, and JOBTITLE. The data in the view comes from the columns EMPNO, FIRSTNME, LASTNAME, DEPT, and JOB of the EMP table.

Example 2: The following CREATE VIEW statement defines the EMPINFO view that is shown in the preceding figure:

```
CREATE VIEW EMPINFO (EMPLOYEE, FIRSTNAME, LASTNAME, TEAM, JOBTITLE)
    AS SELECT EMPNO, FIRSTNME, LASTNAME, DEPT, JOB
    FROM EMP;
```

When you define a view, DB2 stores the definition of the view in the DB2 catalog. However, DB2 does not store any data for the view itself, because the data exists in the base table or tables.

Example 3: You can narrow the scope of the EMPINFO view by limiting the content to a subset of rows and columns that includes departments A00 and C01 only:

```
CREATE VIEW EMPINFO (EMPLOYEE, FIRSTNAME, LASTNAME, TEAM, JOBTITLE)
    AS SELECT EMPNO, FIRSTNME, LASTNAME, DEPT, JOB
    WHERE DEPT = 'A00' OR DEPT = 'C01'
    FROM EMP;
```

In general, a view inherits the attributes of the object from which it is derived. Columns that are added to the tables after the view is defined on those tables do not appear in the view.

Restriction: You cannot create an index for a view. In addition, you cannot create any form of a key or a constraint (referential or otherwise) on a view. Such indexes, keys, or constraints must be built on the tables that the view references.

To retrieve or access information from a view, you use views like you use base tables. You can use a SELECT statement to show the information from the view. The SELECT statement can name other views and tables, and it can use the WHERE, GROUP BY, and HAVING clauses. It cannot use the ORDER BY clause or name a host variable.

Whether a view can be used in an insert, update, or delete operation depends on its definition. For example, if a view includes a foreign key of its base table, INSERT and UPDATE operations that use the view are subject to the same referential constraint as the base table. Likewise, if the base table of a view is a
parent table, DELETE operations that use the view are subject to the same rules as
DELETE operations on the base table. Read-only views cannot be used for insert,
update, and delete operations.

Related information:
- Implementing DB2 views (DB2 Administration Guide)
- "Employee table (DSN8B10.EMP)" on page 143
- CREATE VIEW (DB2 SQL)

**DB2 schemas and schema qualifiers**

The objects in a relational database are organized into sets called schemas. A
schema is a collection of named objects that provides a logical classification of
objects in the database. The first part of a schema name is the qualifier.

A schema provides a logical classification of objects in the database. The objects
that a schema can contain include tables, indexes, table spaces, distinct types,
functions, stored procedures, and triggers. An object is assigned to a schema when
it is created.

The schema name of the object determines the schema to which the object belongs.
A user object, such as a distinct type, function, procedure, sequence, or trigger
should not be created in a system schema, which is any one of a set of schemas that
are reserved for use by the DB2 subsystem.

When a table, index, table space, distinct type, function, stored procedure, or
trigger is created, it is given a qualified two-part name. The first part is the schema
name (or the qualifier), which is either implicitly or explicitly specified. The default
schema is the authorization ID of the owner of the plan or package. The second
part is the name of the object.

In previous versions, CREATE statements had certain restrictions when the value
of CURRENT SCHEMA was different from CURRENT SQLID value. Although
those restrictions no longer exist, you now must consider how to determine the
qualifier and owner when CURRENT SCHEMA and CURRENT SQLID contain
different values. The rules for how the owner is determined depend on the type of
object being created.

CURRENT SCHEMA and CURRENT SQLID affect only dynamic SQL statements.
Static CREATE statements are not affected by either CURRENT SCHEMA or
CURRENT SQLID.

The following table summarizes the effect of CURRENT SCHEMA in determining
the schema qualifier and owner for these objects:

- Alias
- Auxiliary table
- Created global temporary table
- Table
- View

<table>
<thead>
<tr>
<th>Specification of name for new object being created</th>
<th>Schema qualifier of new object</th>
<th>Owner of new object</th>
</tr>
</thead>
<tbody>
<tr>
<td>name (no qualifier)</td>
<td>value of CURRENT SCHEMA</td>
<td>value of CURRENT SQLID</td>
</tr>
</tbody>
</table>
Table 1. Schema qualifier and owner for objects (continued)

<table>
<thead>
<tr>
<th>Specification of name for new object being created</th>
<th>Schema qualifier of new object</th>
<th>Owner of new object</th>
</tr>
</thead>
<tbody>
<tr>
<td>abc.name (single qualifier)</td>
<td>abc</td>
<td>abc</td>
</tr>
<tr>
<td>......abc.name (multiple qualifiers)</td>
<td>abc</td>
<td>abc</td>
</tr>
</tbody>
</table>

The following table summarizes the effect of CURRENT SCHEMA in determining the schema qualifier and owner for these objects:

- User-defined distinct type
- User-defined function
- Procedure
- Sequence
- Trigger

Table 2. Schema qualifier and owner for additional objects

<table>
<thead>
<tr>
<th>Specification of name for new object being created</th>
<th>Schema qualifier of new object</th>
<th>Owner of new object</th>
</tr>
</thead>
<tbody>
<tr>
<td>name (no qualifier)</td>
<td>value of CURRENT SCHEMA</td>
<td>value of CURRENT SQLID</td>
</tr>
<tr>
<td>abc.name (single qualifier)</td>
<td>abc</td>
<td>value of CURRENT SQLID</td>
</tr>
<tr>
<td>......abc.name (multiple qualifiers)</td>
<td>abc</td>
<td>value of CURRENT SQLID</td>
</tr>
</tbody>
</table>

Reserved schema names (DB2 SQL)

DB2 storage groups

DB2 storage groups are a set of volumes on disks that hold the data sets in which tables and indexes are stored.

The description of a storage group names the group and identifies its volumes and the VSAM (Virtual Storage Access Method) catalog that records the data sets. The default storage group, SYSDEFLT, is created when you install DB2.

Within the storage group, DB2 does the following actions:

- Allocates storage for table spaces and indexes
- Defines the necessary VSAM data sets
- Extends and deletes VSAM data sets
- Alters VSAM data sets

All volumes of a given storage group must have the same device type. However, parts of a single database can be stored in different storage groups.

DB2 can manage the auxiliary storage requirements of a database by using DB2 storage groups. Data sets in these DB2 storage groups are called DB2-managed data sets.

These DB2 storage groups are not the same as storage groups that are defined by the DFSMS storage management subsystem (DFSMSsms).
You have several options for managing DB2 data sets:

- Let DB2 manage the data sets. This option means less work for DB2 database administrators.
  
  After you define a DB2 storage group, DB2 stores information about it in the DB2 catalog. (This catalog is not the same as the integrated catalog facility catalog that describes DB2 VSAM data sets). The catalog table SYSIBM.SYSTOOGROUP has a row for each storage group, and SYSIBM.SYSVOLUMES has a row for each volume. With the proper authorization, you can retrieve the catalog information about DB2 storage groups by using SQL statements.

  When you create table spaces and indexes, you name the storage group from which space is to be allocated. You can also assign an entire database to a storage group. Try to assign frequently accessed objects (indexes, for example) to fast devices, and assign seldom-used tables to slower devices. This approach to choosing storage groups improves performance.

  If you are authorized and do not take specific steps to manage your own storage, you can still define tables, indexes, table spaces, and databases. A default storage group, SYSDEFLT, is defined when DB2 is installed. DB2 uses SYSDEFLT to allocate the necessary auxiliary storage. Information about SYSDEFLT, as with any other storage group, is kept in the catalog tables SYSIBM.SYSTOOGROUP and SYSIBM.SYSVOLUMES.

  For both user-managed and DB2-managed data sets, you need at least one integrated catalog facility (ICF) catalog; this catalog can be either a user catalog or a master catalog. These catalogs are created with the ICF. You must identify the catalog of the ICF when you create a storage group or when you create a table space that does not use storage groups.

- Let SMS manage some or all the data sets, either when you use DB2 storage groups or when you use data sets that you have defined yourself. This option offers a reduced workload for DB2 database administrators and storage administrators. You can specify SMS classes when you create or alter a storage group.

- Define and manage your own data sets using VSAM Access Method Services. This option gives you the most control over the physical storage of tables and indexes.

**Recommendation:** Use DB2 storage groups and whenever you can, either specifically or by default. Also use SMS managed DB2 storage groups whenever you can.

**Related tasks:**

- [Choosing data page sizes for LOB data (DB2 Performance)](Choosing data page sizes for LOB data (DB2 Performance))

**DB2 databases**

DB2 databases are a set of DB2 structures that include a collection of tables, their associated indexes, and the table spaces in which they reside. You define a database by using the CREATE DATABASE statement.

Whenever a table space is created, it is explicitly or implicitly assigned to an existing database. If you create a table space and do not specify a database name, the table space is created in the default database, DSNDB04. In this case, DB2 implicitly creates a database or uses an existing implicitly created database for the table. All users who have the authority to create table spaces or tables in database DSNDB04 have authority to create tables and table spaces in an implicitly created database.
database. If the table space is implicitly created, and you do not specify the IN clause in the CREATE TABLE statement, DB2 implicitly creates the database to which the table space is assigned.

A single database, for example, can contain all the data that is associated with one application or with a group of related applications. Collecting that data into one database allows you to start or stop access to all the data in one operation. You can also grant authorization for access to all the data as a single unit. Assuming that you are authorized to access data, you can access data that is stored in different databases.

**Recommendation:** Keep only a minimal number of table spaces in each database, and a minimal number of tables in each table space. Excessive numbers of table spaces and tables in a database can cause decreases in performance and manageability issues. If you reduce the number of table spaces and tables in a database, you improve performance, minimize maintenance, increase concurrency, and decrease log volume.

The following figure shows how the main DB2 data structures fit together. Two databases, A and B, are represented as squares. Database A contains a table space and two index spaces. The table space is segmented and contains tables A1 and A2. Each index space contains one index, an index on table A1 and an index on table A2. Database B contains one table space and one index space. The table space is partitioned and contains table B1, partitions 1 through 4. The index space contains one partitioning index, parts 1 - 4.

*Figure 4. Data structures in a DB2 database*

When you migrate to the current version, DB2 adopts the default database and default storage group that you used in the previous version. You have the same authority for the current version as you did in the previous version.
Reasons to define a database

In DB2 for z/OS, a database is a logical collection of table spaces and index spaces. Consider the following factors when deciding whether to define a new database for a new set of objects:

- You can start and stop an entire database as a unit; you can display the statuses of all its objects by using a single command that names only the database. Therefore, place a set of tables that are used together into the same database. (The same database holds all indexes on those tables.)
- Some operations lock an entire database. For example, some phases of the LOAD utility prevent some SQL statements (CREATE, ALTER, and DROP) from using the same database concurrently. Therefore, placing many unrelated tables in a single database is often inconvenient.

When one user is executing a CREATE, ALTER, or DROP statement for a table, no other user can access the database that contains that table. QMF™ users, especially, might do a great deal of data definition; the QMF operations SAVE DATA and ERASE data-object are accomplished by creating and dropping DB2 tables. For maximum concurrency, create a separate database for each QMF user.

- The internal database descriptors (DBDs) might become inconveniently large. DBDs grow as new objects are defined, but they do not immediately shrink when objects are dropped; the DBD space for a dropped object is not reclaimed until the MODIFY RECOVERY utility is used to delete records of obsolete copies from SYSIBM.SYSCOPY. DBDs occupy storage and are the objects of occasional input and output operations. Therefore, limiting the size of DBDs is another reason to define new databases.

Creation of databases on page 257

Storage structures

In DB2, a storage structure is a set of one or more VSAM data sets that hold DB2 tables or indexes. A storage structure is also called a page set.

The two primary types of storage structures in DB2 for z/OS are table spaces and index spaces.

Related concepts:
DB2 data structures on page 28

Related information:
- Implementing DB2 table spaces (DB2 Administration Guide)
- Implementing DB2 indexes (DB2 Administration Guide)

DB2 table spaces

A DB2 table space is a set of volumes on disks that hold the data sets in which tables are actually stored. All tables are kept in table spaces. A table space can have one or more tables.

A table space can consist of a number of VSAM data sets. Data sets are VSAM linear data sets (LDSs). Table spaces are divided into equal-sized units, called pages, which are written to or read from disk in one operation. You can specify page sizes (4 KB, 8 KB, 16 KB, or 32 KB in size) for the data; the default page size is 4 KB. As a general rule, you should have only one table in each table space. It is also best to keep only one table space in each database. If you must have more than one table space in a database, keep no more than 20 table spaces in that database.
Data in most table spaces can be compressed, which can allow you to store more data on each data page.

You can explicitly define a table space by using the CREATE TABLESPACE statement, which can specify the database to which the table space belongs and the storage group that it uses.

Alternatively, you can let DB2 implicitly create a table space for you by issuing a CREATE TABLE statement that does not specify an existing table space. In this case, DB2 assigns the table space to the default database and the default storage group. If DB2 is operating in conversion mode, a segmented table space is created. In new-function mode, DB2 creates a partition-by-growth table space.

The maximum number of partitions for a table space depends on the page size and on the DSSIZE. The size of the table space depends on how many partitions are in the table space and on the DSSIZE. The maximum number of partitions for a partition-by-growth table space depends on the value that is specified for the MAXPARTITIONS option of the CREATE TABLESPACE or ALTER TABLESPACE statement.

When you create a table space, you can specify what type of table space is created. DB2 supports different types of table spaces:

**Universal table spaces**
Provide better space management (for varying-length rows) and improved mass delete performance by combining characteristics of partitioned and segmented table space schemes. A universal table space can hold one table.

**Partitioned table spaces**
Divide the available space into separate units of storage called partitions. Each partition contains one data set of one table.

**Segmented table spaces**
Divide the available space into groups of pages called segments. Each segment is the same size. A segment contains rows from only one table.

**Large object table spaces**
Hold large object data such as graphics, video, or very large text strings. A LOB table space is always associated with the table space that contains the logical LOB column values.

**Simple table spaces**
Can contain more than one table. The rows of different tables are not kept separate (unlike segmented table spaces).

**Restriction:** Starting in DB2 Version 9.1, you cannot create a simple table space. Simple table spaces that were created with an earlier version of DB2 are still supported.

**XML table spaces**
Hold XML data. An XML table space is always associated with the table space that contains the logical XML column value.

**Related tasks:**
- Choosing data page sizes (DB2 Performance)

**Related reference:**
- Examples of table space definitions (DB2 Administration Guide)
- ALTER TABLESPACE (DB2 SQL)
Related information:

- [Implementing DB2 table spaces (DB2 Administration Guide)]

**DB2 index spaces**

An index space is a DB2 storage structure that contains a single index.

When you create an index by using the CREATE INDEX statement, an index space is automatically defined in the same database as the table. You can define a unique name for the index space, or DB2 can derive a unique name for you. Under certain circumstances, DB2 implicitly creates index spaces.

**DB2 hash spaces**

A hash space is a defined disk space that organizes table data for hash access.

When you enable hash access on a table, DB2 requires a defined amount of disk space to contain table data. You can specify the amount of disk space to allocate to the hash space when you create a table or alter an existing table. The hash space on a table must be large enough to contain new rows that are added to the table. If a hash space is full, new rows are relocated to the overflow index, which reduces the performance of hash access on that table. Hash spaces can contain only a single table in a universal table space, and can be partitioned by range or partitioned by growth.

**Related tasks:**

- Managing space and page size for hash-organized tables (DB2 Performance)
- Fine-tuning hash space and page size (DB2 Performance)
- Creating tables that use hash organization (DB2 Administration Guide)
- Altering tables to enable hash access (DB2 Administration Guide)
- Organizing tables by hash for fast access to individual rows (DB2 Performance)

- Monitoring hash access (DB2 Performance)
  - “Database design with hash access” on page 96
  - “Hash access paths” on page 291

**DB2 system objects**

Unlike the DB2 data structures that users create and access, DB2 controls and accesses system objects.

DB2 has a comprehensive infrastructure that enables it to provide data integrity, performance, and the ability to recover user data. In addition, Parallel Sysplex data sharing uses shared system objects.

**Related concepts:**

- “DB2 data structures” on page 28

**DB2 catalog**

DB2 maintains a set of tables that contain information about the data that DB2 controls. These tables are collectively known as the catalog.
The catalog tables contain information about DB2 objects such as tables, views, and indexes. When you create, alter, or drop an object, DB2 inserts, updates, or deletes rows of the catalog that describe the object.

The DB2 catalog consists of tables of data about everything defined to the DB2 system, including table spaces, indexes, tables, copies of table spaces and indexes, and storage groups. The system database DSNDB06 contains the DB2 catalog.

When you create, alter, or drop any structure, DB2 inserts, updates, or deletes rows of the catalog that describe the structure and tell how the structure relates to other structures. For example, SYSIBM.SYSTABLES is one catalog table that records information when a table is created. DB2 inserts a row into SYSIBM.SYSTABLES that includes the table name, its owner, its creator, and the name of its table space and its database.

To understand the role of the catalog, consider what happens when the EMP table is created. DB2 records the following data:

**Table information**
- To record the table name and the name of its owner, its creator, its type, the name of its table space, and the name of its database, DB2 inserts a row into the catalog.

**Column information**
- To record information about each column of the table, DB2 inserts the name of the table to which the column belongs, its length, its data type, and its sequence number by inserting a row into the catalog for each column of the table.

**Authorization information**
- To record that the owner of the table has authorization to create the table, DB2 inserts a row into the catalog.

Tables in the catalog are like any other database tables with respect to retrieval. If you have authorization, you can use SQL statements to look at data in the catalog tables in the same way that you retrieve data from any other table in the DB2 database. DB2 ensures that the catalog contains accurate object descriptions. If you are authorized to access the specific tables or views on the catalog, you can use SELECT on the catalog. You can use INSERT, UPDATE, and DELETE statements on updatable catalog tables, but you cannot use TRUNCATE or MERGE on the catalog.

The *communications database* (CDB) is part of the DB2 catalog. The CDB consists of a set of tables that establish conversations with remote database management systems (DBMSs). The distributed data facility (DDF) uses the CDB to send and receive distributed data requests.

**DB2 directory**

The DB2 directory contains information that DB2 uses during normal operation.

You can use SQL to retrieve data only from the directory tables that are listed in DB2 directory tables (DB2 SQL). You can submit queries against the DB2 catalog for DB2 directory objects. However, in most cases, that information is not updated as a result of DB2 operations.
The directory consists of a set of DB2 tables that are stored in table spaces in system database DSNDB01. Each of the table spaces that are listed in the following table is contained in a VSAM linear data set.

Table 3. Directory table spaces

<table>
<thead>
<tr>
<th>Table space name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SCT02</td>
<td>Contains the internal form of SQL statements that are contained in an application. If you bound a plan with SQL statements in a prior release, DB2 created a structure in SCT02.</td>
</tr>
<tr>
<td>SPT01</td>
<td>Contains the internal form of SQL statements that are contained in a package.</td>
</tr>
<tr>
<td>SYSSPUXA</td>
<td>Contains the contents of a package selection.</td>
</tr>
<tr>
<td>SYSSPUXB</td>
<td>Contains the contents of a package explain block.</td>
</tr>
<tr>
<td>SYSLGRNX</td>
<td>Tracks the opening and closing of table spaces, indexes, or partitions. By tracking this information and associating it with relative byte addresses (RBAs) as contained in the DB2 log, DB2 can reduce recovery time by reducing the amount of log that must be scanned for a particular table space, index, or partition.</td>
</tr>
<tr>
<td>SYSUTILX</td>
<td>Contains a row for every utility job that is running. The row persists until the utility is finished. If the utility terminates without completing, DB2 uses the information in the row when you restart the utility.</td>
</tr>
<tr>
<td>DBD01</td>
<td>Contains internal information, called database descriptors (DBDs), about the databases that exist within the DB2 subsystem. Each database has exactly one corresponding DBD that describes the database, table spaces, tables, table check constraints, indexes, and referential relationships. A DBD also contains other information about accessing tables in the database. DB2 creates and updates DBDs whenever their corresponding databases are created or updated.</td>
</tr>
<tr>
<td>SYSDBDXA</td>
<td>Contains the contents of a DBD section.</td>
</tr>
</tbody>
</table>

**Active and archive logs**

DB2 records all data changes and other significant events in a log.

If you keep these logs, DB2 can re-create those changes for you in the event of a failure or roll the changes back to a previous point in time.

DB2 writes each log record to a disk data set called the active log. When the active log is full, DB2 copies the contents of the active log to a disk or magnetic tape data set called the archive log.

You can choose either single logging or dual logging.

- A single active log contains up to 93 active log data sets.
- With dual logging, the active log has twice the capacity for active log data sets, because two identical copies of the log records are kept.
Each DB2 subsystem manages multiple active logs and archive logs. The following facts are true about each DB2 active log:

- Each log can be duplexed to ensure high availability.
- Each active log data set is a VSAM linear data set (LDS).
- DB2 supports striped active log data sets.

**Related tasks:**
- Managing the log and the bootstrap data set (DB2 Administration Guide)

**Related information:**
- Reading log records (DB2 Administration Guide)

### Bootstrap data set

The *bootstrap data set* (BSDS) is a VSAM key-sequenced data set (KSDS). This KSDS contains information that is critical to DB2, such as the names of the logs. DB2 uses information in the BSDS for system restarts and for any activity that requires reading the log.

Specifically, the BSDS contains:

- An inventory of all active and archive log data sets that are known to DB2. DB2 uses this information to track the active and archive log data sets. DB2 also uses this information to locate log records to satisfy log read requests during normal DB2 system activity and during restart and recovery processing.
- A wrap-around inventory of all recent DB2 checkpoint activity. DB2 uses this information during restart processing.
- The distributed data facility (DDF) communication record, which contains information that is necessary to use DB2 as a distributed server or requester.
- Information about buffer pools.

Because the BSDS is essential to recovery in the event of subsystem failure, during installation DB2 automatically creates two copies of the BSDS and, if space permits, places them on separate volumes.

The BSDS can be duplexed to ensure availability.

**Related tasks:**
- Managing the log and the bootstrap data set (DB2 Administration Guide)

### Buffer pools

*Buffer pools* are areas of virtual storage that temporarily store pages of table spaces or indexes.

When an application program accesses a row of a table, DB2 places the page that contains that row in a buffer. Access to data in this temporary storage is faster than accessing data on a disk. If the required data is already in a buffer, the application program does not need to wait for it to be retrieved from disk, so the time and cost of retrieving the page is reduced.

Buffer pools require monitoring and tuning. Buffer pool sizes are critical to the performance characteristics of an application or group of applications that access data in those buffer pools.

You can specify default buffer pools for user data and for indexes. A special type of buffer pool that is used only in Parallel Sysplex data sharing is the *group buffer*
pool, which resides in the coupling facility. Group buffer pools reside in a special PR/SM™ LPAR logical partition called a coupling facility, which enables several DB2 subsystems to share information and control the coherency of data.

Buffer pools reside in the DBM1 address space. The maximum size of a buffer pool is 1 TB.

**Related concepts:**

- “The role of buffer pools in caching data” on page 270

**Related tasks:**

- Tuning database buffer pools (DB2 Performance)
- Calculating buffer pool size (DB2 Installation and Migration)
- Enabling automatic buffer pool size management (DB2 Performance)

**Data definition control support database**

The data definition control support (DDCS) database refers to a user-maintained collection of tables that are used by data definition control support to restrict the submission of specific DB2 DDL (data definition language) statements to selected application identifiers (plans or collections of packages).

This database is automatically created during installation. After this database is created, you must populate the tables to use this facility. The system name for this database is DSNRGFDB.

**The resource limit facility**

The resource limit facility (sometimes abbreviated RLF) enables you to control the amount of processor resources that are used by SQL statements.

For example, you might choose to disable bind operations during critical times of day to avoid contention with the DB2 catalog.

Resource limits apply to the following types of SQL statements:

- SELECT
- INSERT
- UPDATE
- MERGE
- TRUNCATE
- DELETE

Resource limits apply only to dynamic SQL statements. The resource limit facility does not control static SQL statements regardless of whether they are issued locally or remotely, and no limits apply to primary or secondary authorization IDs that have installation SYSADM or installation SYSOPR authority.

You can establish a single limit for all users, different limits for individual users, or both. You can choose to have these limits applied before the statement is executed through predictive governing, or while a statement is running, through reactive governing. You can also use reactive and predictive governing in combination. You define these limits in one or more resource limit tables, named DSNRLSTxx or DSNRLMTxx, depending on the monitoring purpose.

**Related concepts:**
**Work file database**

Use the *work file database* as storage for processing SQL statements that require working space, such as that required for a sort.

The work file database is used as storage for DB2 work files for processing SQL statements that require working space (such as the space that is required for a sort), and as storage for created global temporary tables and declared global temporary tables.

DB2 creates a work file database and some table spaces in it for you at installation time. You can create additional work file table spaces at any time. You can drop, re-create, and alter the work file database or the table spaces in it, or both, at any time.

In a non-data-sharing environment, the work file database is named DSNDB07. In a data sharing environment, each DB2 member in the data sharing group has its own work file database.

You can also use the work file database for all temporary tables.

**DB2 and data integrity**

Referential integrity ensures data integrity by enforcing rules with referential constraints, check constraints, and triggers. You can rely on constraints and triggers to ensure the integrity and validity of your data, rather than relying on individual applications to do that work.

**Constraints**

*Constraints* are rules that control values in columns to prevent duplicate values or set restrictions on data added to a table.

Constraints fall into the following three types:
- Unique constraints
- Referential constraints
- Check constraints

**Unique constraints**

A *unique constraint* is a rule that the values of a key are valid only if they are unique in a table.

Unique constraints are optional and can be defined in the CREATE TABLE or ALTER TABLE statements with the PRIMARY KEY clause or the UNIQUE clause.
The columns specified in a unique constraint must be defined as NOT NULL. A
unique index enforces the uniqueness of the key during changes to the columns of
the unique constraint.

A table can have an arbitrary number of unique constraints, with at most one
unique constraint defined as a primary key. A table cannot have more than one
unique constraint on the same set of columns.

A unique constraint that is referenced by the foreign key of a referential constraint
is called the parent key.

**Referential constraints**

DB2 ensures referential integrity between your tables when you define referential
constraints.

*Referential integrity* is the state in which all values of all foreign keys are valid.
Referential integrity is based on *entity integrity*. Entity integrity requires that each
entity have a unique key. For example, if every row in a table represents
relationships for a unique entity, the table should have one column or a set of
columns that provides a unique identifier for the rows of the table. This column (or
set of columns) is called the parent key of the table. To ensure that the parent key
does not contain duplicate values, a unique index must be defined on the column
or columns that constitute the parent key. Defining the parent key is called entity
integrity.

A *referential constraint* is the rule that the nonnull values of a foreign key are valid
only if they also appear as values of a parent key. The table that contains the
parent key is called the parent table of the referential constraint, and the table that
contains the foreign key is a dependent of that table.

The relationship between some rows of the DEPT and EMP tables, shown in the
following figure, illustrates referential integrity concepts and terminology. For
example, referential integrity ensures that every foreign key value in the DEPT
column of the EMP table matches a primary key value in the DEPTNO column of
the DEPT table.
Two parent and dependent relationships exist between the DEPT and EMP tables. 

- The foreign key on the DEPT column establishes a parent and dependent relationship. The DEPT column in the EMP table depends on the DEPTNO in the DEPT table. Through this foreign key relationship, the DEPT table is the parent of the EMP table. You can assign an employee to no department (by specifying a null value), but you cannot assign an employee to a department that does not exist.

- The foreign key on the MGRNO column also establishes a parent and dependent relationship. Because MGRNO depends on EMPNO, EMP is the parent table of the relationship, and DEPT is the dependent table.

You can define a primary key on one or more columns. A primary key that includes two or more columns is called a composite key. A foreign key can also include one or more columns. When a foreign key contains multiple columns, the corresponding primary key must be a composite key. The number of foreign key columns must be the same as the number of columns in the parent key, and the data types of the corresponding columns must be compatible. (The sample project activity table, DSN8B10.PROJACT, is an example of a table with a primary key on multiple columns, PROJNO, ACTNO, and ACSTDATE.)

A table can be a dependent of itself; this is called a self-referencing table. For example, the DEPT table is self-referencing because the value of the administrative department (ADMREDEPT) must be a department ID (DEPTNO). To enforce the self-referencing constraint, DB2 requires that a foreign key be defined.

Similar terminology applies to the rows of a parent-and-child relationship. A row in a dependent table, called a dependent row, refers to a row in a parent table, called a parent row. But a row of a parent table is not always a parent row—perhaps nothing refers to it. Likewise, a row of a dependent table is not always a dependent row—the foreign key can allow null values, which refer to no other rows.

Referential constraints are optional. You define referential constraints by using CREATE TABLE and ALTER TABLE statements.
DB2 enforces referential constraints when the following actions occur:

- An INSERT statement is applied to a dependent table.
- An UPDATE statement is applied to a foreign key of a dependent table or to the parent key of a parent table.
- A MERGE statement that includes an insert operation is applied to a dependent table.
- A MERGE statement that includes an update operation is applied to a foreign key of a dependent table or to the parent key of a parent table.
- A DELETE statement is applied to a parent table. All affected referential constraints and all delete rules of all affected relationships must be satisfied in order for the delete operation to succeed.
- The LOAD utility with the ENFORCE CONSTRAINTS option is run on a dependent table.
- The CHECK DATA utility is run.

Another type of referential constraint is an informational referential constraint. This type of constraint is not enforced by DB2 during normal operations. An application process should verify the data in the referential integrity relationship. An informational referential constraint allows queries to take advantage of materialized query tables.

The order in which referential constraints are enforced is undefined. To ensure that the order does not affect the result of the operation, there are restrictions on the definition of delete rules and on the use of certain statements. The restrictions are specified in the descriptions of the SQL statements CREATE TABLE, ALTER TABLE, INSERT, UPDATE, MERGE, and DELETE.

The rules of referential integrity involve the following concepts and terminology:

- **parent key**
  A primary key or a unique key of a referential constraint.

- **parent table**
  A table that is a parent in at least one referential constraint. A table can be defined as a parent in an arbitrary number of referential constraints.

- **dependent table**
  A table that is a dependent in at least one referential constraint. A table can be defined as a dependent in an arbitrary number of referential constraints. A dependent table can also be a parent table.

- **descendent table**
  A table that is a dependent of another table or a table that is a dependent of a descendent table.

- **referential cycle**
  A set of referential constraints in which each associated table is a descendent of itself.

- **parent row**
  A row that has at least one dependent row.

- **dependent row**
  A row that has at least one parent row.

- **descendent row**
  A row that is dependent on another row or a row that is a dependent of a descendent row.
**self-referencing row**
A row that is a parent of itself.

**self-referencing table**
A table that is both parent and dependent in the same referential constraint. The constraint is called a *self-referencing constraint*.

The following rules provide referential integrity:

**insert rule**
Anonnull insert value of the foreign key must match some value of the parent key of the parent table. The value of a composite foreign key is null if any component of the value is null.

**update rule**
Anonnull update value of the foreign key must match some value of the parent key of the parent table. The value of a composite foreign key is treated as null if any component of the value is null.

**delete rule**
Controls what happens when a row of the parent table is deleted. The choices of action, made when the referential constraint is defined, are RESTRICT, NO ACTION, CASCADE, or SET NULL. SET NULL can be specified only if some column of the foreign key allows null values.

More precisely, the delete rule applies when a row of the parent table is the object of a delete or propagated delete operation and that row has dependents in the dependent table of the referential constraint. A *propagated delete* refers to the situation where dependent rows are deleted when parent rows are deleted. Let $P$ denote the parent table, let $D$ denote the dependent table, and let $p$ denote a parent row that is the object of a delete or propagated delete operation. If the delete rule is:

- RESTRICT or NO ACTION, an error occurs and no rows are deleted.
- CASCADE, the delete operation is propagated to the dependent rows of $p$ in $D$.
- SET NULL, each nullable column of the foreign key of each dependent row of $p$ in $D$ is set to null.

Each referential constraint in which a table is a parent has its own delete rule, and all applicable delete rules are used to determine the result of a delete operation. Thus, a row cannot be deleted if it has dependents in a referential constraint with a delete rule of RESTRICT or NO ACTION or the deletion cascades to any of its descendents that are dependents in a referential constraint with the delete rule of RESTRICT or NO ACTION.

The deletion of a row from parent table $P$ involves other tables and can affect rows of these tables:

- If $D$ is a dependent of $P$ and the delete rule is RESTRICT or NO ACTION, $D$ is involved in the operation but is not affected by the operation and the deletion from the parent table $P$ does not take place.
- If $D$ is a dependent of $P$ and the delete rule is SET NULL, $D$ is involved in the operation and rows of $D$ might be updated during the operation.
- If $D$ is a dependent of $P$ and the delete rule is CASCADE, $D$ is involved in the operation and rows of $D$ might be deleted during the operation. If rows of $D$ are deleted, the delete operation on $P$ is said to be propagated to $D$. If $D$ is also a parent table, the actions described in this list apply, in turn, to the dependents of $D$. 

Any table that can be involved in a delete operation on \( P \) is said to be \textit{delete-connected} to \( P \). Thus, a table is delete-connected to table \( P \) if it is a dependent of \( P \) or a dependent of a table to which delete operations from \( P \) cascade.

**Check constraints**

A \textit{check constraint} is a rule that specifies the values that are allowed in one or more columns of every row of a base table.

Like referential constraints, check constraints are optional and you define them by using the CREATE TABLE and ALTER TABLE statements. The definition of a check constraint restricts the values that a specific column of a base table can contain.

A table can have any number of check constraints. DB2 enforces a check constraint by applying the restriction to each row that is inserted, loaded, or updated. One restriction is that a column name in a check constraint on a table must identify a column of that table.

**Example:** You can create a check constraint to ensure that all employees earn a salary of \$30,000 or more:

\[
\text{CHECK (SALARY} \geq 30000)
\]

**Triggers**

A \textit{trigger} defines a set of actions that are executed when a delete, insert, or update operation occurs on a specified table or view. When an SQL operation is executed, the trigger is \textit{activated}. You can use triggers with referential constraints and check constraints to enforce data integrity rules.

When an insert, load, update, or delete is executed, the trigger is activated.

You can use triggers along with referential constraints and check constraints to enforce data integrity rules. Triggers are more powerful than constraints because you can use them to do the following things:

- Update other tables
- Automatically generate or transform values for inserted or updated rows
- Invoke functions that perform operations both inside and outside of DB2

For example, assume that you need to prevent an update to a column when a new value exceeds a certain amount. Instead of preventing the update, you can use a trigger. The trigger can substitute a valid value and invoke a procedure that sends a notice to an administrator about the attempted invalid update.

You can define triggers with the CREATE TRIGGER statement.

INSTEAD OF triggers are triggers that execute instead of the INSERT, UPDATE, or DELETE statement that activates the trigger. Unlike other triggers, which are defined on tables only, INSTEAD OF triggers are defined on views only. INSTEAD
OF triggers are particularly useful when the triggered actions for INSERT, UPDATE, or DELETE statements on views need to be different from the actions for SELECT statements. For example, an INSTEAD OF trigger can be used to facilitate an update through a join query or to encode or decode data in a view.

Triggers move the business rule application logic into the database, which results in faster application development and easier maintenance. The business rule is no longer repeated in several applications, and the rule is centralized to the trigger. DB2 checks the validity of the changes that any application makes to the salary column, and you are not required to change application programs when the logic changes.

Application processes, concurrency, and recovery

All SQL programs execute as part of an application process. An application process involves the execution of one or more programs, and it is the unit to which DB2 allocates resources and locks.

Different application processes might involve the execution of different programs, or different executions of the same program. The means of initiating and terminating an application process are dependent on the environment.

Locking, commit, and rollback

More than one application process might request access to the same data at the same time. Furthermore, under certain circumstances, an SQL statement can execute concurrently with a utility on the same table space. Locking is used to maintain data integrity under such conditions, preventing, for example, two application processes from updating the same row of data simultaneously.

DB2 implicitly acquires locks to prevent uncommitted changes made by one application process from being perceived by any other. DB2 will implicitly release all locks it has acquired on behalf of an application process when that process ends, but an application process can also explicitly request that locks be released sooner. A commit operation releases locks acquired by the application process and commits database changes made by the same process.

DB2 provides a way to back out uncommitted changes made by an application process. This might be necessary in the event of a failure on the part of an application process, or in a deadlock situation. An application process, however, can explicitly request that its database changes be backed out. This operation is called rollback.

The interface used by an SQL program to explicitly specify these commit and rollback operations depends on the environment. If the environment can include recoverable resources other than DB2 databases, the SQL COMMIT and ROLLBACK statements cannot be used. Thus, these statements cannot be used in an IMS, CICS, or WebSphere environment.

Unit of work

A unit of work is a recoverable sequence of operations within an application process. A unit of work is sometimes called a logical unit of work.
At any time, an application process has a single unit of work, but the life of an application process can involve many units of work as a result of commit or full rollback operations.

A unit of work is initiated when an application process is initiated. A unit of work is also initiated when the previous unit of work is ended by something other than the end of the application process. A unit of work is ended by a commit operation, a full rollback operation, or the end of an application process. A commit or rollback operation affects only the database changes made within the unit of work it ends. While these changes remain uncommitted, other application processes are unable to perceive them unless they are running with an isolation level of uncommitted read. The changes can still be backed out. Once committed, these database changes are accessible by other application processes and can no longer be backed out by a rollback. Locks acquired by DB2 on behalf of an application process that protects uncommitted data are held at least until the end of a unit of work.

The initiation and termination of a unit of work define points of consistency within an application process. A point of consistency is a claim by the application that the data is consistent. For example, a banking transaction might involve the transfer of funds from one account to another. Such a transaction would require that these funds be subtracted from the first account, and added to the second. Following the subtraction step, the data is inconsistent. Only after the funds have been added to the second account is consistency reestablished. When both steps are complete, the commit operation can be used to end the unit of work, thereby making the changes available to other application processes. The following figure illustrates this concept.

![Diagram of unit of work with a commit operation](Image)

**Figure 6. Unit of work with a commit operation**

**Unit of recovery**

A DB2 unit of recovery is a recoverable sequence of operations executed by DB2 for an application process.

If a unit of work involves changes to other recoverable resources, the unit of work will be supported by other units of recovery. If relational databases are the only recoverable resources used by the application process, then the scope of the unit of work and the unit of recovery are the same and either term can be used.

**Rolling back work**

DB2 can back out all changes made in a unit of recovery or only selected changes. Only backing out all changes results in a point of consistency.
Rolling back all changes

The SQL ROLLBACK statement without the TO SAVEPOINT clause specified causes a full rollback operation. If such a rollback operation is successfully executed, DB2 backs out uncommitted changes to restore the data consistency that existed when the unit of work was initiated.

That is, DB2 undoes the work, as shown in the following figure:

![Figure 7. Rolling back all changes from a unit of work](image)

**Rolling back selected changes using savepoints**

A *savepoint* represents the state of data at some particular time during a unit of work. An application process can set savepoints within a unit of work, and then as logic dictates, roll back only the changes that were made after a savepoint was set.

For example, part of a reservation transaction might involve booking an airline flight and then a hotel room. If a flight gets reserved but a hotel room cannot be reserved, the application process might want to undo the flight reservation without undoing any database changes made in the transaction prior to making the flight reservation. SQL programs can use the SQL SAVEPOINT statement to set savepoints, the SQL ROLLBACK statement with the TO SAVEPOINT clause to undo changes to a specific savepoint or the last savepoint that was set, and the SQL RELEASE SAVEPOINT statement to delete a savepoint. The following figure illustrates this concept.

![Figure 8. Rolling back changes to a savepoint within a unit of work](image)
Packages and application plans

A **package** contains control structures that DB2 uses when it runs SQL statements. An **application plan** relates an application process to a local instance of DB2 and specifies processing options.

Packages are produced during program preparation. You can think of the control structures as the bound or operational form of SQL statements. All control structures in a package are derived from the SQL statements that are embedded in a single source program.

An application plan contains one or both of the following elements:

- A list of package names

DB2 applications require an application plan. Packages make application programs more flexible and easier to maintain.

**Example:** The following figure shows an application plan that contains two packages. Suppose that you decide to change the SELECT statement in package AA to select data from a different table. In this case, you need to bind only package AA again and not package AB.

![Figure 9. Application plan and packages](image)

In general, you create plans and packages by using the DB2 commands **BIND PLAN** and **BIND PACKAGE**.

A **trigger package** is a special type of package that is created when you execute a CREATE TRIGGER statement. A trigger package executes only when the trigger with which it is associated is activated.

Packages for JDBC, SQLJ, and ODBC applications serve different purposes that you can read more about later in this information.

- Chapter 7, “Application programming for DB2,” on page 163
- “Preparation process for an application program” on page 172
- CREATE PROCEDURE (SQL - native) (DB2 SQL)
- CREATE TRIGGER (DB2 SQL)
Routines

A routine is an executable SQL object. The two types of routines are functions and stored procedures.

Functions

A function is a routine that can be invoked from within other SQL statements and that returns a value or a table.

Functions are classified as either SQL functions or external functions. SQL functions are written using SQL statements, which are also known collectively as SQL procedural language. External functions reference a host language program. The host language program can contain SQL, but does not require SQL.

You define functions by using the CREATE FUNCTION statement. You can classify functions as built-in functions, user-defined functions, or cast functions that are generated for distinct types. Functions can also be classified as aggregate, scalar, or table functions, depending on the input data values and result values.

A table function can be used only in the FROM clause of a statement. Table functions return columns of a table and resemble a table that is created through a CREATE TABLE statement. Table functions can be qualified with a schema name.

Related tasks:
- Defining a user-defined function (DB2 Application programming and SQL)
- “Creation of user-defined functions” on page 263

Stored procedures

A procedure, also known as a stored procedure, is a routine that you can call to perform operations that can include SQL statements.

Procedures are classified as either SQL procedures or external procedures. SQL procedures contain only SQL statements. External procedures reference a host language program that might or might not contain SQL statements.

DB2 for z/OS supports the following types of procedures:

External stored procedures

External stored procedures are procedures that are written in a host language and can contain SQL statements. The source code for an external stored procedure is separate from the definition. You can write an external stored procedure in Assembler, C, C++, COBOL, Java, REXX, or PL/I. All programs must be designed to run using Language Environment®. Your COBOL and C++ stored procedures can contain object-oriented extensions.

External SQL procedures

External SQL procedures are procedures whose body is written in SQL.
DB2 supports them by generating an associated C program for each procedure. All SQL procedures that were created prior to Version 9.1 are external SQL procedures. Starting in Version 9.1, you can create an external SQL procedure by specifying FENCED or EXTERNAL in the CREATE PROCEDURE statement.

Native SQL procedures
Native SQL procedures are procedures whose body is written in SQL. For native SQL procedures, DB2 does not generate an associated C program. Starting in Version 9.1, all SQL procedures that are created without the FENCED or EXTERNAL options in the CREATE PROCEDURE statement are native SQL procedures. You can create native SQL procedures in one step. Native SQL statements support more functions and usually provide better performance than external SQL statements.

SQL control statements are supported in SQL procedures. Control statements are SQL statements that allow SQL to be used in a manner similar to writing a program in a structured programming language. SQL control statements provide the capability to control the logic flow, declare and set variables, and handle warnings and exceptions. Some SQL control statements include other nested SQL statements.

SQL procedures provide the same benefits as procedures in a host language. That is, a common piece of code needs to be written and maintained only once and can be called from several programs.

SQL procedures provide additional benefits when they contain SQL statements. In this case, SQL procedures can reduce or eliminate network delays that are associated with communication between the client and server and between each SQL statement. SQL procedures can improve security by providing a user the ability to invoke only a procedure instead of providing them with the ability to execute the SQL that the procedure contains.

You define procedures by using the CREATE PROCEDURE statement.

Sequences
A sequence is a stored object that simply generates a sequence of numbers in a monotonically ascending (or descending) order. A sequence provides a way to have DB2 automatically generate unique integer primary keys and to coordinate keys across multiple rows and tables.

A sequence can be used to exploit parallelization, instead of programmatically generating unique numbers by locking the most recently used value and then incrementing it.

Sequences are ideally suited to the task of generating unique key values. One sequence can be used for many tables, or a separate sequence can be created for each table requiring generated keys. A sequence has the following properties:
- Guaranteed, unique values, assuming that the sequence is not reset and does not allow the values to cycle
- Monotonically increasing or decreasing values within a defined range
- Can increment with a value other than 1 between consecutive values (the default is 1).
- Recoverable. If DB2 should fail, the sequence is reconstructed from the logs so that DB2 guarantees that unique sequence values continue to be generated across a DB2 failure.

Values for a given sequence are automatically generated by DB2. Use of DB2 sequences avoids the performance bottleneck that results when an application implements sequences outside the database. The counter for the sequence is incremented (or decremented) independently of the transaction. In some cases, gaps can be introduced in a sequence. A gap can occur when a given transaction increments a sequence two times. The transaction might see a gap in the two numbers that are generated because there can be other transactions concurrently incrementing the same sequence. A user might not realize that other users are drawing from the same sequence. Furthermore, it is possible that a given sequence can appear to have generated gaps in the numbers, because a transaction that might have generated a sequence number might have rolled back or the DB2 subsystem might have failed. Updating a sequence is not part of a transaction's unit of recovery.

A sequence is created with a CREATE SEQUENCE statement. A sequence can be referenced using a sequence-reference. A sequence reference can appear most places that an expression can appear. A sequence reference can specify whether the value to be returned is a newly generated value, or the previously generated value.

Although there are similarities, a sequence is different than an identity column. A sequence is an object, whereas an identity column is a part of a table. A sequence can be used with multiple tables, but an identity column is tied to a single table.

CREATE SEQUENCE (DB2 SQL)

Support for high availability

Because DB2 provides support for high availability, frequently starting or stopping DB2 is not necessary.

You can run DB2 for several weeks without stopping and starting the subsystem. Some customers have managed to keep DB2 running continuously for several years. One key to achieving high availability is to use data sharing. Data sharing allows access to data even when one DB2 subsystem in a group is stopped. Another key to high availability is the ability to get the DB2 subsystem back up and running quickly after an unplanned outage.
- Some restart processing can occur concurrently with new work. Also, you can choose to postpone some processing.
- During a restart, DB2 applies data changes from the log. This technique ensures that data changes are not lost, even if some data was not written at the time of the failure. Some of the process of applying log changes can run in parallel.
- You can register DB2 to the Automatic Restart Manager of z/OS. This facility automatically restarts DB2 if it goes down as a result of a failure.

Related concepts:
“Backup, recovery, and restart” on page 316
Application processes and transactions

An application process involves running one or more programs. Different application processes might involve running different programs or running the same program at different times. When an application interacts with a DB2 database, a transaction begins.

Many different types of programs access DB2 data: user-written applications, SQL statements that users enter dynamically, and even utilities. The single term that describes any type of access to DB2 data is called an application process. All SQL programs run as part of an application process.

A transaction is a sequence of actions between the application and the database; the sequence begins when data in the database is read or written. A transaction is also known as a unit of work.

Example: Consider what happens when you access funds in a bank account. A banking transaction might involve the transfer of funds from one account to another. During the transaction, an application program first subtracts the funds from the first account, and then it adds the funds to the second account. Following the subtraction step, the data is inconsistent. Consistency is reestablished after the funds are added to the second account.

To ensure data consistency, DB2 uses a variety of techniques that include a commit operation, a rollback operation, and locking.

When the subtraction and addition steps of the banking transaction are complete, the application can use the commit operation to end the transaction, thereby making the changes available to other application processes. The commit operation makes the database changes permanent.

Consider what happens if more than one application process requests access to the same data at the same time. Or, under certain circumstances, an SQL statement might run concurrently with a utility on the same table space. DB2 uses locks to maintain data integrity under these conditions to prevent, for example, two application processes from updating the same row of data simultaneously.

DB2 acquires locks to prevent uncommitted changes that are made by one application process from being perceived by any other. DB2 automatically releases all locks that it has acquired on behalf of an application process when that process ends, but an application process can also explicitly request that locks be released sooner. A commit operation releases locks that an application process has acquired and commits database changes that were made by the same process.

DB2 also provides a way to back out uncommitted changes that an application process makes. A back out might be necessary in the event of a failure on the part of an application process or in a deadlock situation. Deadlock occurs when contention for the use of a resource, such as a table, cannot be resolved. An application process, however, can explicitly request that its database changes be backed out. This operation is called rollback. The interface that an SQL program uses to explicitly specify these commit and rollback operations depends on the environment. For example, in the JDBC environment, applications use commit and rollback methods to commit or roll back transactions.

Related concepts:
Chapter 7, “Application programming for DB2,” on page 163
Distributed data

_Distributed data_ is data that resides on a DBMS other than your local system.

Your local DBMS is the one on which you bind your package. All other DBMSs are remote.

Many businesses need to manage data from a wide variety of sources and locations. A distributed environment provides the flexibility that is required to allocate resources for data that is located at different sites or database management systems (DBMSs) in a computer network.

**Related concepts:**
Chapter 12, “Distributed data access,” on page 341

Remote servers

A remote server can be physically remote, or it can be part of the same operating system under which your local DBMS runs.

When you request services from a remote DBMS, the remote DBMS is a server, and your local system is a requester or client. Conceptually, a server is like a food server who takes food orders, delivers food, and provides other services to customers. The customer is like the requester, or client. The purpose of the server is to provide services to its clients.

A remote server can be truly remote in the physical sense (thousands of miles away), or a remote server can be part of the same operating system under which your local DBMS runs. This information generally assumes that your local DBMS is an instance of DB2 for z/OS. A remote server can be another instance of DB2 for z/OS or an instance of one of many other products.

The following figure shows the client/server environment.

![Figure 10. Client/server processing environment](image)

**Connectivity in distributed environments**

Connectivity in the client/server environment enables communication between applications and database systems on disparate operating systems.
Connectivity in the client/server environment requires an architecture that can handle the stringent performance requirements of a transaction-based system and the flexibility of a decision-support system by using ODBC or JDBC.

Using standard communication protocols, DB2 can bind and rebind packages at other servers and run the statements in those packages. Communication protocols are rules for managing the flow of data across a computer network just as traffic lights and traffic rules manage the flow of car traffic. These protocols are invisible to DB2 applications.

In a distributed environment, applications can connect to multiple databases on different servers and can complete transactions, including commit and rollback operations, at the same time. This type of connectivity is known as a distributed unit of work.

**Data encoding basics**

Most DB2 for z/OS subsystems process data from multiple encoding schemes or operate in a distributed environment where different applications must communicate with each other. In this environment, a basic understanding of code pages and CCSIDs can help you avoid certain types of performance problems or, worse yet, data corruption.

### Objectives:

When you finish reading this topic and the information at the suggested links, you should know the following information:

- The difference between a code page and a CCSID
- How to tell which CCSIDs your DB2 subsystems are using
- Where to find the CCSIDs of your data sources
- Where to specify CCSID values for applications and objects
- What Unicode is
- Where to go to learn more about advanced internationalization and Unicode topics

Before you read this topic, you should know how to create DB2 objects such as tables and how to write a program for DB2 for z/OS.

### What is a code page and a CCSID?

A *code page* is a map that tells a computer which hexadecimal numbers represent which characters. Another similar term is CCSID. A *CCSID* is a number that identifies an implementation of a code page at a particular point in time. Although these terms are sometimes used interchangeably, they are different. If you are not familiar with these terms and the difference between them, read [Code pages and CCSIDs (DB2 Internationalization Guide)](#).

DB2 for z/OS uses CCSID values, not code page numbers. To indicate the encoding value of some data, specify the CCSID. DB2 uses this CCSID value to interpret the data. If you do not specify the correct CCSID, the data can be misinterpreted or corrupted by the receiving program.

Each CCSID belongs to an encoding scheme. The major encoding schemes are EBCDIC, ASCII, and Unicode. To learn more about encoding schemes and the relationship between CCSIDs and encoding schemes, read [Encoding schemes (DB2 Internationalization Guide)](#).
When data is passed between different systems or applications, it might need to be converted from one CCSID to another CCSID. That process is called character conversion. In some cases, character conversion can happen within the same system. Ideally, you want to avoid character conversions whenever possible because they can degrade performance.

**Which CCSIDs are my DB2 subsystems using?**

Each DB2 for z/OS subsystem has three default CCSID sets, one for each encoding scheme: ASCII, EBCDIC, and Unicode. Your system administrator can set the value for the ASCII CCSID set and the EBCDIC CCSID set. The Unicode CCSID set is predefined and cannot be changed.

Each subsystem also has two default encoding schemes, one for the subsystem and one for applications. The subsystem value determines the default encoding scheme of DB2 objects. The application value determines the default encoding scheme of the data that is passed to DB2 by applications.

For more information about the default CCSID and encoding scheme values, including where they are specified, see [Subsystem CCSIDs and encoding schemes](DB2 Internationalization Guide).

To determine the values that are defined for your subsystem, either ask your system programmer or follow the procedure in [Determining current subsystem CCSID and encoding scheme values](DB2 Internationalization Guide).

The default CCSID and encoding scheme values affect you in a couple of ways:

- They potentially affect how you create database objects. Consider the following examples:
  - You create a table and specify CCSID EBCDIC in the CREATE TABLE statement. DB2 defines that table with the default EBCDIC CCSID value. Suppose that value on your subsystem is 500. DB2 stores all data in that table as encoded in CCSID 500.
  - You create a table and do not define a CCSID. In this case, DB2 uses the default subsystem encoding scheme. If that value is EBCDIC and the default EBCDIC CCSID is 500, the table is again created with CCSID 500.

  If your data is encoded in CCSID 500, both of these examples work fine. However, if your data is encoded in another CCSID, such as CCSID 857, both of these examples result in data corruption; DB2 is using the wrong code page to interpret the data.

- They affect how you write applications that pass variables to DB2. Suppose that your application passes data to DB2 but does not tell DB2 the CCSID of the data that it is passing. DB2 then assumes that the data is in the subsystem default application encoding scheme. Again, suppose that value is EBCDIC and suppose that this time, your default EBCDIC CCSID is 37. DB2 interprets the data that is being passed as being encoded in CCSID 37. If the data is encoded in CCSID 37, this scenario works fine. However, if the data is encoded in another CCSID, such as CCSID 860, DB2 misinterprets this data from the application, and it is now corrupted.

**Which CCSIDs are my other data sources using?**

Knowing which CCSIDs all of your data sources are using is critical to making sure that the data is interpreted correctly and with minimal conversions.
Consider the example in which you created a table with CCSID 500. Now suppose that you are loading data into that table, but the data that you are loading is encoded in CCSID 37. Assume that you use the LOAD utility and specify CCSID 37 for the input data. The data must be converted from CCSID 37 to CCSID 500. Although these CCSIDs are similar, some characters will need to be converted. The greater the number of characters that must be converted, the bigger the impact is on performance.

Now consider the example in which your application does not specify the CCSID of the data that it is passing to DB2. Again, suppose that the default application encoding scheme is EBCDIC, and the default EBCDIC CCSID is 37. DB2 assumes that the data it receives is in CCSID 37. However, suppose that the data that you are passing to DB2 is actually in another CCSID. For example, suppose that you are passing Japanese characters, encoded in CCSID 281. DB2 is reading the data as if it is encoded in CCSID 37; therefore, the data is corrupted.

Consider a more subtle example. Suppose that the data that you are passing is in CCSID 1140. Only one code point is different between CCSID 37 and CCSID 1140: the character at code point X'9F'. If the data that is being passed to DB2 does not contain this character, you might not even notice a problem. Suppose, however, that one day this character is passed to DB2. The application passes € but DB2 interprets it using CCSID 37, which maps to Ö. Your data now has one corrupted character, and it might take some time to discover the error.

These examples illustrate the importance of knowing the CCSID of all of the data that you are working with. The following resources can help you find the CCSID values of some of your data sources:

- To find the CCSID for some of the common data sources, use the table in Finding the CCSID values of your data sources (DB2 Internationalization Guide).
- To find the CCSID of DB2 objects, use the instructions in Determining the CCSID of DB2 data (DB2 Internationalization Guide).

**Where do I specify CCSIDs?**

You can specify CCSIDs for objects and applications:

- For objects, you specify CCSIDs when you create them. See Specifying object CCSIDs (DB2 Internationalization Guide) for more information.
- For DB2 for z/OS applications, you can specify a CCSID for the program source code and a CCSID for the variables. The way that you specify the CCSID for the source code depends on whether you use the DB2 precompiler or the DB2 coprocessor and the language that you are using. For the application data (the host variables and parameter markers), generally you use the ENCODING bind option. For more information about the process and all of the options available, see Specifying a CCSID for your application (DB2 Internationalization Guide).

**What is Unicode?**

You can think of Unicode as one giant code page that includes all characters. For a detailed explanation, see Unicode (DB2 Internationalization Guide) and Why Unicode? (z/OS: Unicode Services User’s Guide and Reference).

Unicode has a couple of formats that DB2 for z/OS supports: UTF-8 and UTF-16. These formats are explained in UTFs (DB2 Internationalization Guide) and Deciding whether to store data as UTF-8 or UTF-16 (DB2 Internationalization Guide).
Other learning resources

See the DB2 internationalization topics for a comprehensive explanation of CCSIDs, Unicode, and international data, including how to specify the sort sequence of a language and how to perform culturally correct case conversions.

For more detailed training about Unicode, use the following resources:
- Course: "How to Deal with Unicode and Multiple CCSIDs." You can find links to this course information from the DB2 for z/OS Database Administration Core training path.
- Unicode Tutorials and Overviews (on Unicode Consortium website)

pureXML

You can use pureXML® with your client applications to manage XML data in DB2 tables. You can store well-formed XML documents in their hierarchical form and retrieve all or portions of those documents.

Because the stored XML data is fully integrated into the DB2 database system, you can access and manage the XML data by using DB2 functions and capabilities.

To efficiently manage traditional SQL data types and XML data, DB2 uses two distinct storage mechanisms. However, the underlying storage mechanism that is used for a given data type is transparent to the application. The application does not need to explicitly specify which storage mechanism to use, or to manage the physical storage for XML and non-XML objects.

XML document storage
The XML column data type is provided for storage of XML data in DB2 tables. Most SQL statements support the XML data type. This enables you to perform many common database operations with XML data, such as creating tables with XML columns, adding XML columns to existing tables, creating indexes over XML columns, creating triggers on tables with XML columns, and inserting, updating, or deleting XML documents.

Alternatively, a decomposition stored procedure is provided so that you can extract data items from an XML document and store those data items in columns of relational tables, using an XML schema that is annotated with instructions on how to store the data items.

XML document retrieval
You can use SQL to retrieve entire documents from XML columns, just as you retrieve data from any other type of column. When you need to retrieve portions of documents, you can specify XPath expressions, through SQL with XML extensions (SQL/XML).

Application development
Application development support of XML enables applications to combine XML and relational data access and storage. The following programming languages support the XML data type:
- Assembler
- C or C++ (embedded SQL or DB2 ODBC)
- COBOL
- Java (pureQuery, JDBC, or SQLJ)
- PL/I
- pureXML
Database administration

DB2 for z/OS database administration support for pureXML includes the following items:

XML schema repository (XSR)
The XML schema repository (XSR) is a repository for all XML schemas that are required to validate and process XML documents that are stored in XML columns or that are decomposed into relational tables.

Utility support
DB2 for z/OS utilities support the XML data type. The storage structure for XML data and indexes is like the storage structure for LOB data and indexes. As with LOB data, XML data is not stored in the base table space, but it is stored in separate table spaces that contain only XML data. The XML table spaces also have their own index spaces. Therefore, the implications of using utilities for manipulating, backing up, and restoring XML data and LOB data are similar.

Performance
Indexing support is available for data stored in XML columns. The use of indexes over XML data can improve the efficiency of queries that you issue against XML documents. An XML index differs from a relational index in that a relational index applies to an entire column, whereas an XML index applies to part of the data in a column. You indicate which parts of an XML column are indexed by specifying an XML pattern, which is a limited XPath expression.
Chapter 4. DB2 for z/OS architecture

z/OS and the IBM System z10®, System z9® 109, and zSeries 890, and zSeries 990 systems offer architecture that provides qualities of service that are critical for e-business.

Related concepts:
“DB2 data servers and environments” on page 9

z/Architecture and the z/OS operating system

z/OS, which is highly secure, scalable, and open, offers high-performance that supports a diverse application execution environment. The tight integration that DB2 has with the System z architecture and the z/OS environment creates a synergy that allows DB2 to exploit advanced z/OS functions.

The z/OS operating system is based on 64-bit z/Architecture®. The robustness of z/OS powers the most advanced features of the IBM System z10 and IBM System z9 technology and the IBM eServer™ zSeries 990 (z990), 890 (z890), and servers, enabling you to manage unpredictable business workloads.

DB2 gains a tremendous benefit from z/Architecture. The architecture of DB2 for z/OS takes advantage of the key z/Architecture benefit: 64-bit virtual addressing support. With 64-bit z/Architecture, DB2 gains an immediate scalability benefit.

The following z/Architecture features benefit DB2:

64-bit storage
Increased capacity of central memory from 2 GB to 16 exabytes eliminates most storage constraints. 64-bit storage also allows for 16 exabytes of virtual address space, a huge step in the continuing evolution of increased virtual storage. In addition to improving DB2 performance, 64-bit storage improves availability and scalability, and it simplifies storage management.

High-speed communication
HiperSockets enable high-speed TCP/IP communication across partitions of the same System z server, for example, between Linux on System z and DB2 for z/OS.

Dynamic workload management
The z/OS Workload Manager (WLM) provides solutions for managing workload distribution, workload balancing, and distributing resources to competing workloads. z/OS workload management is the combined cooperation of various subsystems (CICS, IMS/ESA®, JES, APPC, TSO/E, z/OS UNIX System Services, DDF, DB2, LSPM, and Internet Connection Server) with z/OS workload management. The Intelligent Resource Director (IRD) allows you to group logical partitions that are resident on the same physical server, and in the same sysplex, into an LPAR cluster. This gives Workload Manager the ability to manage resources across the entire cluster of logical partitions.

Specialty engines
With special processors, such as the System z Integrated Information Processor (zIIP), DB2 achieves higher degrees of query parallelism and higher levels of transaction throughput. The zIIP is designed to improve...
resource optimization and lower the cost of eligible workloads, enhancing the role of the mainframe as the data hub of the enterprise.

In addition to the benefits of z/Architecture, DB2 takes advantage of many other features of the z/OS operating system:

**High security**
z/OS and its predecessors have provided robust security for decades. Security features deliver privacy for users, applications, and data, and these features protect the integrity and isolation of running processes. Current security functions have evolved to include comprehensive network and transaction security that operates with many other operating systems. Enhancements to the z/OS Security Server provide improved security options, such as multilevel security. The System z environment offers highly secure cryptographic functions and provides improved Secure Sockets Layer (SSL) performance.

**Open software technologies**
z/OS supports the latest open software technologies that include Enterprise JavaBeans, XML, and Unicode.

**Cluster technology**
The z/OS Parallel Sysplex provides cluster technology that achieves availability 24 hours a day, 7 days a week. Cluster technology also provides the capability for horizontal growth. Horizontal growth solves the problems of performance overheads and system management issues that you typically encounter when combining multiple machines to access the same database. With horizontal growth, you achieve more scalability; your system can grow beyond the confines of a single machine while your database remains intact.

**Solid-state drives**
Solid-state drives (SSDs) are more reliable, consume less power, and generate less heat than traditional hard disk drives (HDDs). SSDs can also improve the performance of online transaction processing. SSDs are especially efficient at performing random access requests, and they provide greater throughput than HDDs. Some IBM System Storage® series allow a combination of HDDs and SSDs.

**Parallel Access Volume (PAV)**
IBM Enterprise Storage Server® (ESS) exploits the Parallel Access Volume and Multiple Allegiance features of z/OS and supports up to 256 I/Os per logical disk volume. A single z/OS host can issue I/Os in parallel to the same logical volume, and different hosts can issue I/Os to a shared volume in parallel.

**HyperPAV**
HyperPAV is available on some IBM System Storage series. HyperPAV helps applications to achieve equal or greater I/O performance than the original PAV feature, but uses fewer z/OS resources.

**Adaptive multi-stream prefetching**
Adaptive multi-stream prefetching (AMP) is a sequential prefetching algorithm that resolves cache pollution and prefetch waste for a cache that is shared by multiple sequential request streams. AMP works well to manage caches efficiently across a wide variety of workloads and cache sizes.

**Cache optimization**
DB2 code and control structures are adapted to reduce cache misses.
MIDAW
The System z environment also supports the Modified Indirect Data Address Word (MIDAW) facility, which is designed to improve channel utilization and throughput, and which can potentially reduce I/O response times.

FICON® channels
These channels offer significant performance benefits for transaction workloads. FICON features, such as a rapid data transfer rate (4 GB per second), also result in faster table scans and improved utility performance.

High performance FICON
High Performance FICON (zHPF) is a new FICON protocol and system I/O architecture which results in improvements for small block transfers to disk using the device independent random access method.

System z instructions
DB2 can take advantage of the latest System z instructions. These instructions can streamline specific processes and reduce the CPU workload.

Increased System z10 page size
DB2 benefits greatly from the 1 MB page size of System z10. The increased page size allows for DB2 buffer pool enhancements which can reduce the CPU workload.

Improved hardware compression
Improved hardware compression has a positive impact on performance. For example, utilities that run against compressed data run faster.

DB2 in the z/OS environment
DB2 operates as a formal subsystem of z/OS and works efficiently with other z/OS subsystems and components.

DB2 operates as a formal subsystem of z/OS. A subsystem is a secondary or subordinate system that is usually capable of operating independently of, or asynchronously with, a controlling system. A DB2 subsystem is a distinct instance of a relational DBMS. Its software controls the creation, organization, and modification of a database and the access to the data that the database stores.

z/OS processes are separated into regions that are called address spaces. DB2 for z/OS processes execute in several different address spaces, as indicated below.

Database services
 ssnumDBM1 provides most database-related services. Most large storage areas reside above the 2 GB bar in the ssnumDBM1 address space. With 64-bit virtual addressing to access these storage areas, DB2 can scale to extremely large sizes.

System services
 ssnumMSTR performs a variety of system-related functions.

Distributed data facility
 ssnumDIST provides support for remote requests.

IRLM (internal resource lock manager)
IRLMPROC controls DB2 locking.

WLM-established
Zero to many address spaces for stored procedures and user-defined
functions. WLM-established address spaces are handled in order of priority and are isolated from other stored procedures or user-defined functions that run in other address spaces.

**User address spaces**
At least one, possibly several, of the following types of user address spaces:
- TSO
- Batch
- CICS
- IMS dependent region
- IMS control region
- WebSphere

DB2 works efficiently with other z/OS subsystems and components, such as the z/OS Security Server and the zSeries Parallel Sysplex environment.

DB2 utilities run in the z/OS batch or stored procedure environment. Applications that access DB2 resources can run within the same z/OS system in the CICS, IMS, TSO, WebSphere, stored procedure, or batch environments, or on other operating systems. These applications can access DB2 resources by using the client/server services of the DB2 distributed data facility (DDF). IBM provides attachment facilities to connect DB2 to each of these environments.

**Related concepts:**
- “DB2 attachment facilities” on page 72
- “Distributed data facility” on page 76
- “DB2 in a Parallel Sysplex environment” on page 77
- “DB2 and the z/OS Security Server” on page 71

**DB2 internal resource lock manager**
The DB2 internal resource lock manager (IRLM) is both a separate subsystem and an integral component of DB2. IRLM works with DB2 to control access to your data.

IRLM is shipped with DB2, and each DB2 subsystem must have its own instance of IRLM. You cannot share IRLM between DB2 subsystems or between DB2 and IMS subsystems. IRLM is also shipped with IMS. If you run a DB2 data sharing group, an IRLM group corresponds to that data sharing group.

IRLM works with DB2 to serialize access to your data. DB2 requests locks from IRLM to ensure data integrity when applications, utilities, and commands attempt to access the same data.

**Recommendation:** Always run with the latest level of IRLM.

IRLM requires some control and monitoring. The external interfaces to the IRLM include:

**Installation**
Install IRLM when you install DB2. Consider that locks take up storage, and adequate storage for IRLM is crucial to the performance of your system.
Another important performance item is to set WLM goals to maximize response time and execution velocity for the IRLM address space above all the other DB2 address spaces.

Commands
Some IRLM-specific z/OS commands enable you to modify parameters, display information about the status of the IRLM and its storage use, and start and stop IRLM.

Tracing
The DB2 trace facility enables you to trace lock interactions.
You can use z/OS trace commands or IRLMPROC options to control diagnostic traces for IRLM. You normally use these traces under the direction of IBM Software Support.

Related concepts:
“Improved performance through the use of locks” on page 276

DB2 and the z/OS Security Server

The z/OS Security Server prevents unauthorized system access and can protect DB2 resources, such as tables. The z/OS Security Server is sometimes referred to as RACF, which is one of its key components.

To control access to your z/OS system, you can use the Resource Access Control Facility (RACF) component of the z/OS Security Server or an equivalent product. When users begin sessions, the z/OS Security Server checks their identities to prevent unauthorized system access. The z/OS Security Server provides effective protection for DB2 data by permitting only DB2-managed access to DB2 data sets.

By using the z/OS Security Server, you can directly control most authorization to DB2 objects, define authorization, or use multilevel security.

Recommendation: Use the z/OS Security Server to check the identity of DB2 users and to protect DB2 resources.

Related concepts:
“Authorization and security mechanisms for data access” on page 304

DB2 and DFSMS

You can use the DFSMSdftp Storage Management Subsystem (SMS) to manage DB2 disk data sets.

The purpose of DFSMS is to automate as much as possible the management of physical storage by centralizing control, automating tasks, and providing interactive controls for system administrators. DFSMS can reduce user concerns about physical details of performance, space, and device management.

Consult with your storage administrator about using DFSMS for DB2 private data, image copies, and archive logs. Data that is especially performance-sensitive might necessitate more manual control over data set placement.

Table spaces or indexes with data sets larger than 4 GB require DFSMS-managed data sets.
Extended partitioned data sets (PDSE), a feature of DFSMSdfp, are useful for managing stored procedures that run in a stored procedures address space. PDSE enables extent information for the load libraries to be dynamically updated, reducing the need to start and stop the stored procedures address space.

**DB2 attachment facilities**

An attachment facility provides the interface between DB2 and another environment. You can also begin DB2 sessions from other environments on clients such as Microsoft Windows or UNIX by using interfaces like ODBC, JDBC, and SQLJ.

The following figure shows the z/OS attachment facilities with interfaces to DB2.

![Attachment facilities with interfaces to DB2](image)

*Figure 11. Attachment facilities with interfaces to DB2*

The z/OS environments include:
- WebSphere
- CICS (Customer Information Control System)
- IMS (Information Management System)
- TSO (Time Sharing Option)
- Batch

The z/OS attachment facilities include:
- CICS
- IMS
- TSO
- CAF (call attachment facility)
- RRS (Resource Recovery Services)

The attachment facilities work in the various environments as follows:
- WebSphere products that are integrated with DB2 include WebSphere Application Server, WebSphere Studio, and Transaction Servers & Tools. In the WebSphere environment, you can use the RRS attachment facility.
- CICS is an application server that provides online transaction management for applications. In the CICS environment, you can use the CICS attachment facility to access DB2.
- IMS is a database computing system. IMS includes the IMS hierarchical database manager, the IMS transaction manager, and database middleware products that provide access to IMS databases and transactions. In the IMS environment, you can use the IMS attachment facility to access DB2.
• TSO provides interactive time-sharing capability from remote terminals. In the TSO and batch environments, you can use the TSO, call attachment facility (CAF), and Resource Recovery Services (RRS) attachment facilities to access DB2.
• Stored procedure environments are managed by the Workload Manager component of z/OS. In a stored procedure environment, you can use the RRS attachment facility.

CICS attachment facility

The Customer Information Control System (CICS) Transaction Server provides the CICS attachment facility, which lets you access DB2 from CICS.

CICS operations, application programming, and system administration and operations organizations can use the CICS attachment facility.

CICS operations

After you start DB2, you can operate DB2 from a CICS terminal. You can start and stop CICS and DB2 independently, and you can establish or terminate the connection between them at any time. You can also allow CICS to connect to DB2 automatically.

The CICS Transaction Server also provides CICS applications with access to DB2 data while operating in the CICS environment. Any CICS application, therefore, can access both DB2 data and CICS data. In the case of system failure, CICS coordinates recovery of both DB2 data and CICS data.

The CICS attachment facility uses standard CICS command-level services where needed.

Examples:

EXEC CICS WAIT EXEC CICS ABEND

A portion of the CICS attachment facility executes under the control of the transaction issuing the SQL requests. Therefore these calls for CICS services appear to be issued by the application transaction.

With proper planning, you can include DB2 in a CICS XRF recovery scenario.

Application programming

Application programmers who write CICS command-level programs can use the same data communication coding techniques to write the data communication portions of application programs that access DB2 data. Only the database portion of the programming changes. For the database portions, programmers use SQL statements to retrieve or modify data in DB2 tables.

To a CICS terminal user, application programs that access both CICS and DB2 data appear identical to application programs that access only CICS data.

DB2 supports this cross-product programming by coordinating recovery resources with those of CICS. CICS applications can therefore access CICS-controlled resources as well as DB2 databases.

Function shipping of SQL requests is not supported. In a CICS multi-region operation (MRO) environment, each CICS address space can have its own attachment to the DB2 subsystem. A single CICS region can be connected to only one DB2 subsystem at a time.
System administration and operations

An authorized CICS terminal operator can issue DB2 commands to control and monitor both the attachment facility and DB2 itself. Authorized terminal operators can also start and stop DB2 databases.

Even though you perform DB2 functions through CICS, you need to have the TSO attachment facility and ISPF to take advantage of the online functions supplied with DB2 to install and customize your system. You also need the TSO attachment to bind application plans and packages.

IMS attachment facility

The IMS attachment facility enables you to access DB2 from IMS.

The IMS attachment facility receives and interprets requests for access to DB2 databases by using exit routines that are part of IMS subsystems. An exit routine is a program that runs as an extension of DB2 when it receives control from DB2 to perform specific functions. Usually, IMS connects to DB2 automatically with no operator intervention.

In addition to Data Language I (DL/I) and Fast Path calls, IMS applications can make calls to DB2 by using embedded SQL statements. In the case of system failure, IMS coordinates recovery of both DB2 data and IMS data.

With proper planning, you can include DB2 in an IMS Extended Recovery Facility (XRF) recovery scenario.

With the IMS attachment facility, DB2 provides database services for IMS dependent regions. DL/I batch support allows any authorized user to access both IMS data and DB2 data in the IMS batch environment.

Application programming, system administration, and operations organizations can use the CICS attachment facility.

Application programming

With the IMS attachment facility, DB2 provides database services for IMS dependent regions. DL/I batch support allows users to access both IMS data (DL/I) and DB2 data in the IMS batch environment, which includes:

- Access to DB2 and DL/I data from application programs.
- Coordinated recovery through a two-phase commit process.
- Use of the IMS extended restart (XRST) and symbolic checkpoint (CHKP) calls by application programs to coordinate recovery with IMS, DB2, and generalized sequential access method (GSAM) files.

IMS programmers who write the data communication portion of application programs do not need to alter their coding technique to write the data communication portion when accessing DB2; only the database portions of the application programs change. For the database portions, programmers code SQL statements to retrieve or modify data in DB2 tables.

To an IMS terminal user, IMS application programs that access DB2 appear identical to IMS.

DB2 supports this cross-product programming by coordinating database recovery services with those of IMS. Any IMS program uses the same
synchronization and rollback calls in application programs that access DB2 data as they use in IMS application programs that access DL/I data.

Another aid for cross-product programming is the IMS DataPropagator licensed program, which enables automatic updates to DB2 tables when corresponding information in an IMS database is updated. This product also enables automatic updates to an IMS database when a DB2 table is updated.

**System administration and operations**

An authorized IMS terminal operator can issue DB2 commands to control and monitor DB2. The terminal operator can also start and stop DB2 databases.

Even though you perform DB2 functions through IMS, you need the TSO attachment facility and ISPF to take advantage of the online functions supplied with DB2 to install and customize your system. You also need the TSO attachment facility to bind application plans and packages.

**TSO attachment facility**

You can bind application plans and packages and run several online functions of DB2 through the TSO attachment facility. TSO also enables authorized DB2 users or jobs to create, modify, and maintain databases and application programs.

Using the TSO attachment facility, you can access DB2 by running in either foreground or batch. You gain foreground access through a TSO terminal; you gain batch access by invoking the TSO terminal monitor program (TMP) from a batch job.

Most TSO applications must use the TSO attachment facility, which invokes the DSN command processor. Two command processors are available:

**DSN command processor**

Provides an alternative method for running programs that access DB2 in a TSO environment. This processor runs as a TSO command processor and uses the TSO attachment facility.

**DB2 Interactive (DB2I)**

Consists of Interactive System Productivity Facility (ISPF) panels. ISPF has an interactive connection to DB2, which invokes the DSN command processor. Using DB2I panels, you can run SQL statements, commands, and utilities.

Whether you access DB2 in foreground or batch, attaching through the TSO attachment facility and the DSN command processor makes access easier. Together, DSN and TSO provide services such as automatic connection to DB2, attention-key support, and translation of return codes into error messages.

When using DSN services, your application must run under the control of DSN. You invoke the DSN command processor from the foreground by issuing a command at a TSO terminal. From batch, you first invoke TMP from within a batch job, and you then pass commands to TMP in the SYSTSIN data set.

After DSN is running, you can issue DB2 commands or DSN subcommands. However, you cannot issue a START DB2 command from within DSN. If DB2 is not running, DSN cannot establish a connection. A connection is required so that DSN can transfer commands to DB2 for processing.
**Call attachment facility**

The call attachment facility (CAF) provides an alternative connection for TSO and batch applications that need tight control over the session environment.

Applications that use CAF can explicitly control the state of their connections to DB2 by using connection functions that CAF supplies.

**Resource Recovery Services attachment facility**

The RRS feature of z/OS coordinates commit processing of recoverable resources in a z/OS system. DB2 supports use of these services for DB2 applications that use the RRS attachment facility (RRSAF), which DB2 provides.

The implementation of z/OS Resource Recovery Services (RRS) is based on the same technology as that of CAF but offers additional capabilities. Use the RRS attachment facility to access resources such as SQL tables, DL/I databases, MQSeries® messages, and recoverable Virtual Storage Access Method (VSAM) files within a single transaction scope. Programs that run in batch and TSO can use RRSAF. You can use RRS with stored procedures and in a WebSphere environment.

The RRS attachment is required for stored procedures that run in a WLM-established address space.

**Distributed data facility**

The distributed data facility (DDF) allows client applications that run in an environment that supports DRDA to access data at DB2 servers. In addition, a DB2 application can access data at other DB2 servers and at remote relational database systems that support DRDA.

DDF supports TCP/IP and Systems Network Architecture (SNA) network protocols. DDF allows the DB2 server to act as a gateway for remote clients and servers. A DB2 server can forward requests on behalf of remote clients to other remote servers regardless of whether the requested data is on the DB2 server.

With DDF, you can have up to 150,000 connections to a single DB2 server at the same time. You can only have up to 2000 threads running concurrently. A thread is a DB2 structure that describes an application’s connection and traces its progress.

DDF uses methods for transmitting query result tables that minimize network traffic when you access distributed data. You can also use stored procedures to reduce processor and elapsed-time costs of distributed access. A stored procedure is user-written SQL program that a requester can invoke at the server. When you encapsulate SQL statements to the DB2 server into a single message, many fewer messages flow across the wire.

Local DB2 applications can also use stored procedures to take advantage of the ability to encapsulate SQL statements that are shared among different applications.

In addition to optimizing message traffic, DDF enables you to transmit large amounts of data efficiently by using the full bandwidth of the network.

DDF also enables applications that run in a remote environment that supports DRDA. These applications can use DDF to access data in DB2 servers. Examples of application requesters include IBM DB2 Connect and other DRDA-compliant client products.
The decision to access distributed data has implications for many DB2 activities: application programming, data recovery, and authorization, to name a few.

**Related concepts:**

Chapter 12, “Distributed data access,” on page 341

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**DB2 in a Parallel Sysplex environment**

The Parallel Sysplex is a key example of the synergy of DB2 and the IBM System z environment.

DB2 takes advantage of the Parallel Sysplex environment with its superior processing capabilities. When you have two or more processors sharing the same data, you can:

- Maximize performance while minimizing cost
- Improve system availability and concurrency
- Configure your system environment more flexibly
- Grow your system incrementally

With data sharing, applications that run on more than one DB2 subsystem can read from and write to the same set of data concurrently. This capability enables you to continuously access DB2 data, even while a node is being upgraded with new software.

DB2 subsystems that share data must belong to a DB2 data sharing group. A data sharing group is a collection of one or more DB2 subsystems that access shared DB2 data. Each DB2 subsystem that belongs to a particular data sharing group is a member of that group. All members of a group use the same shared DB2 catalog. The following figure shows an example of a data sharing group with three members.

![Figure 12. A DB2 data sharing group](image)

With a data sharing group, the number of threads that can connect to a DB2 server multiplies by the number of subsystems in the group. For example, an eight-member data sharing group can have over a million simultaneous threads connect to a DB2 server.

With data sharing, you can grow your system incrementally by adding additional central processor complexes and DB2 subsystems to the data sharing group. You
do not need to move part of the workload onto another system, alleviating the need to manage copies of the data or to use distributed processing to access the data.

You can configure your environment flexibly. For example, you can tailor each z/OS image to meet the requirements for the user set on that image. For processing that occurs during peak workload periods, you can bring up a dormant DB2 to help process the work.

Related concepts:
Chapter 13, “Data sharing with your DB2 data,” on page 353
Chapter 5. DB2 objects and their relationships

Logical data modeling and physical data modeling are two tasks that you need to perform to design a DB2 database.

When you design any database, you need to answer many different questions. The same is true when you design a DB2 database. How will you organize your data? How will you create relationships between tables? How should you define the columns in your tables? What type of table space should you use?

To design a database, you perform two general tasks. The first task is logical data modeling, and the second task is physical data modeling. In logical data modeling, you design a model of the data without paying attention to specific functions and capabilities of the DBMS that stores the data. In fact, you could even build a logical data model without knowing which DBMS you will use. Next comes the task of physical data modeling. This is when you move closer to a physical implementation. The primary purpose of the physical design stage is to optimize performance while ensuring the integrity of the data.

This information begins with an introduction to the task of logical data modeling. The logical data modeling topic focuses on the entity-relationship model and provides an overview of the Unified Modeling Language (UML) and IBM Rational Data Architect. The information ends with the task of physical database design.

After completing the logical and physical design of your database, you implement the design.

Related concepts:
Chapter 8, “Implementation of your database design,” on page 197

Logical database design using entity-relationship modeling

Before you implement a database, you should plan or design it so that it satisfies all requirements. This first task of designing a database is called logical design.

Related concepts:
“Logical database design with Unified Modeling Language” on page 91
“Physical database design” on page 92

Data modeling

Logical data modeling is the process of documenting the comprehensive business information requirements in an accurate and consistent format.

Designing and implementing a successful database, one that satisfies the needs of an organization, requires a logical data model. Analysts who do data modeling define the data items and the business rules that affect those data items. The process of data modeling acknowledges that business data is a vital asset that the organization needs to understand and carefully manage.

Consider the following business facts that a manufacturing company needs to represent in its data model:

- Customers purchase products.
- Products consist of parts.
Suppliers manufacture parts.
Warehouses store parts.
Transportation vehicles move the parts from suppliers to warehouses and then to manufacturers.

These are all business facts that a manufacturing company’s logical data model needs to include. Many people inside and outside the company rely on information that is based on these facts. Many reports include data about these facts.

Any business, not just manufacturing companies, can benefit from the task of data modeling. Database systems that supply information to decision makers, customers, suppliers, and others are more successful if their foundation is a sound data model.

An overview of the data modeling process

You might wonder how people build data models. Data analysts can perform the task of data modeling in a variety of ways. (This process assumes that a data analyst is performing the steps, but some companies assign this task to other people in the organization.) Many data analysts follow these steps:

1. **Build critical user views.**
   Analysts begin building a logical data model by carefully examining a single business activity or function. They develop a user view, which is the model or representation of critical information that the business activity requires. (In a later stage, the analyst combines each individual user view with all the other user views into a consolidated logical data model.) This initial stage of the data modeling process is highly interactive. Because data analysts cannot fully understand all areas of the business that they are modeling, they work closely with the actual users. Working together, analysts and users define the major entities (significant objects of interest) and determine the general relationships between these entities.

2. **Add key business rules to user views.**
   Next, analysts add key detailed information items and the most important business rules. Key business rules affect insert, update, and delete operations on the data.

   **Example 1:** A business rule might require that each customer entity have at least one unique identifier. Any attempt to insert or update a customer identifier that matches another customer identifier is not valid. In a data model, a unique identifier is called a primary key.

3. **Add detail to user views and validate them.**
   After the analysts work with users to define the key entities and relationships, they add other descriptive details that are less vital. They also associate these descriptive details, called attributes, to the entities.

   **Example 2:** A customer entity probably has an associated phone number. The phone number is a non-key attribute of the customer entity.
   Analysts also validate all the user views that they have developed. To validate the views, analysts use the normalization process and process models. Process models document the details of how the business will use the data. You can read more about process models and data models in other books on those subjects.

4. **Determine additional business rules that affect attributes.**
Next, analysts clarify the data-driven business rules. *Data-driven business rules* are constraints on particular data values. These constraints need to be true, regardless of any particular processing requirements. Analysts define these constraints during the data design stage, rather than during application design. The advantage to defining data-driven business rules is that programmers of many applications don’t need to write code to enforce these business rules.

**Example 3:** Assume that a business rule requires that a customer entity have either a phone number or an address, or both. If this rule doesn’t apply to the data itself, programmers must develop, test, and maintain applications that verify the existence of one of these attributes. Data-driven business requirements have a direct relationship with the data, thereby relieving programmers from extra work.

5. **Integrate user views.**

In this last phase of data modeling, analysts combine the different user views that they have built into a consolidated logical data model. If other data models already exist in the organization, the analysts integrate the new data model with the existing one. At this stage, analysts also strive to make their data model flexible so that it can support the current business environment and possible future changes.

**Example 4:** Assume that a retail company operates in a single country and that business plans include expansion to other countries. Armed with knowledge of these plans, analysts can build the model so that it is flexible enough to support expansion into other countries.

**Recommendations for logical data modeling**

To build sound data models, analysts follow a well-planned methodology, which includes:

- Working interactively with the users as much as possible.
- Using diagrams to represent as much of the logical data model as possible.
- Building a *data dictionary* to supplement the logical data model diagrams. (A data dictionary is a repository of information about an organization’s application programs, databases, logical data models, users, and authorizations. A data dictionary can be manual or automated.)

**Data modeling: Some practical examples**

To perform the data modeling task, you begin by defining your entities, the significant objects of interest. Entities are the things about which you want to store information. For example, you might want to define an entity for employees called EMPLOYEE because you need to store information about everyone who works for your organization. You might also define an entity, called DEPARTMENT, for departments.

Next, you define primary keys for your entities. A primary key is a unique identifier for an entity. In the case of the EMPLOYEE entity, you probably need to store lots of information. However, most of this information (such as gender, birth date, address, and hire date) would not be a good choice for the primary key. In this case, you could choose a unique employee ID or number (EMPLOYEE_NUMBER) as the primary key. In the case of the DEPARTMENT entity, you could use a unique department number (DEPARTMENT_NUMBER) as the primary key.
After you decide on the entities and their primary keys, you can define the relationships that exist between the entities. The relationships are based on the primary keys. If you have an entity for EMPLOYEE and another entity for DEPARTMENT, the relationship that exists is that employees are assigned to departments.

After you define the entities, their primary keys, and their relationships, you can define additional attributes for the entities. In the case of the EMPLOYEE entity, you might define the following additional attributes:

- Birth date
- Hire date
- Home address
- Office phone number
- Gender
- Resume

You can read more about defining attributes later in this information.

Finally, you normalize the data.

Related concepts:
- “Referential constraints” on page 47
- “DB2 keys” on page 31
- “Normalization to avoid redundancy” on page 87
- “Entities for different types of relationships”

### Entities for different types of relationships

In a relational database, separate entities must be defined for different types of relationships.

In a relational database, you can express several types of relationships. Consider the possible relationships between employees and departments. A given employee can work in only one department; this relationship is one-to-one for employees. One department usually has many employees; this relationship is one-to-many for departments. Relationships can be one-to-many, many-to-one, one-to-one, or many-to-many.

The type of a given relationship can vary, depending on the specific environment. If employees of a company belong to several departments, the relationship between employees and departments is many-to-many.

You need to define separate entities for different types of relationships. When modeling relationships, you can use diagram conventions to depict relationships by using different styles of lines to connect the entities.

**One-to-one relationships**

In database design, one-to-one relationships are bidirectional relationships, which means that they are single-valued in both directions.

For example, an employee has a single resume; each resume belongs to only one person. The following figure illustrates that a one-to-one relationship exists between the two entities. In this case, the relationship reflects the rules that an employee can have only one resume and that a resume can belong to only one employee.
One-to-many relationships
In database design, a one-to-many relationship occurs when one entity has a multivalued relationship with another entity.

In the following figure, you see that a one-to-many relationship exists between two entities—employee and department. This figure reinforces the business rules that a department can have many employees, but that each individual employee can work for only one department.

Many-to-many relationships
In database design, a many-to-many relationship is a relationship that is multivalued in both directions.

The following figure illustrates this kind of relationship. An employee can work on more than one project, and a project can have more than one employee assigned.

If you look at this information's example tables, you can find answers for the following questions:
• What does Wing Lee work on?
• Who works on project number OP2012?

Both questions yield multiple answers. Wing Lee works on project numbers OP2011 and OP2012. The employees who work on project number OP2012 are Ramlal Mehta and Wing Lee.

Related reference:
“DB2 sample tables” on page 140
Application of business rules to relationships

Whether a given relationship is one-to-one, one-to-many, many-to-one, or many-to-many, your relationships need to make good business sense.

Database designers and data analysts can be more effective when they have a good understanding of the business. If they understand the data, the applications, and the business rules, they can succeed in building a sound database design.

When you define relationships, you have a large influence on how smoothly your business runs. If you perform this task poorly, your database and associated applications are likely to have many problems, some of which might not manifest themselves for years.

Attributes for entities

When you define attributes for entities, you generally work with the data administrator to decide on names, data types, and appropriate values for the attributes.

Related concepts:
“Entities for different types of relationships” on page 82

Naming conventions for attributes

Naming conventions for attributes help database designers ensure consistency within an organization.

Most organizations have naming conventions. In addition to following these conventions, data administrators also base attribute definitions on class words. A class word is a single word that indicates the nature of the data that the attribute represents.

Example: The class word NUMBER indicates an attribute that identifies the number of an entity. Attribute names that identify the numbers of entities should therefore include the class word of NUMBER. Some examples are EMPLOYEE_NUMBER, PROJECT_NUMBER, and DEPARTMENT_NUMBER.

When an organization does not have well-defined guidelines for attribute names, the data administrators try to determine how the database designers have historically named attributes. Problems occur when multiple individuals are inventing their own naming schemes without consulting each other.

Data types for attributes

A data type must be specified for each attribute.

Most organizations have well-defined guidelines for using the different data types. Here is an overview of the main data types that you can use for the attributes of your entities.

String Data that contains a combination of letters, numbers, and special characters. String data types are listed below:

- CHARACTER: Fixed-length character strings. The common short name for this data type is CHAR.
- VARCHAR: Varying-length character strings.
- CLOB: Varying-length character large object strings, typically used when a character string might exceed the limits of the VARCHAR data type.
• GRAPHIC: Fixed-length graphic strings that contain double-byte characters.
• VARGRAPHIC: Varying-length graphic strings that contain double-byte characters.
• DBCLOB: Varying-length strings of double-byte characters in a large object.
• BINARY: A sequence of bytes that is not associated with a code page.
• VARBINARY: Varying-length binary strings.
• BLOB: Varying-length binary strings in a large object.
• XML: Varying-length string that is an internal representation of XML.

Numeric
Data that contains digits. Numeric data types are listed below:
• SMALLINT: for small integers.
• INTEGER: for large integers.
• BIGINT: for bigger values.
• DECIMAL\((p,s)\) or NUMERIC\((p,s)\), where \(p\) is precision and \(s\) is scale: for packed decimal numbers with precision \(p\) and scale \(s\). Precision is the total number of digits, and scale is the number of digits to the right of the decimal point.
• DECFLOAT: for decimal floating-point numbers.
• REAL: for single-precision floating-point numbers.
• DOUBLE: for double-precision floating-point numbers.

Datetime
Data values that represent dates, times, or timestamps. Datetime data types are listed below:
• DATE: Dates with a three-part value that represents a year, month, and day.
• TIME: Times with a three-part value that represents a time of day in hours, minutes, and seconds.
• TIMESTAMP: Timestamps with a seven-part value that represents a date and time by year, month, day, hour, minute, second, and microsecond.

Examples: You might use the following data types for attributes of the EMPLOYEE entity:
• EMPLOYEE_NUMBER: CHAR(6)
• EMPLOYEE_LAST_NAME: VARCHAR(15)
• EMPLOYEE_HIRE_DATE: DATE
• EMPLOYEE_SALARY_AMOUNT: DECIMAL(9,2)

The data types that you choose are business definitions of the data type. During physical database design you might need to change data type definitions or use a subset of these data types. The database or the host language might not support all of these definitions, or you might make a different choice for performance reasons.

For example, you might need to represent monetary amounts, but DB2 and many host languages do not have a data type MONEY. In the United States, a natural choice for the SQL data type in this situation is DECIMAL\((10,2)\) to represent dollars. But you might also consider the INTEGER data type for fast, efficient performance.

Related concepts:
Values for key attributes
When you design a database, you need to decide what values are acceptable for the various attributes of an entity.

For example, you would not want to allow numeric data in an attribute for a person’s name. The data types that you choose limit the values that apply to a given attribute, but you can also use other mechanisms. These other mechanisms are domains, null values, and default values.

Domain
A domain describes the conditions that an attribute value must meet to be a valid value. Sometimes the domain identifies a range of valid values. By defining the domain for a particular attribute, you apply business rules to ensure that the data makes sense.

Examples:
• A domain might state that a phone number attribute must be a 10-digit value that contains only numbers. You would not want the phone number to be incomplete, nor would you want it to contain alphabetic or special characters and thereby be invalid. You could choose to use either a numeric data type or a character data type. However, the domain states the business rule that the value must be a 10-digit value that consists of numbers. Before finalizing this rule, consider if you have a need for international phone numbers, which have different formats.
• A domain might state that a month attribute must be a 2-digit value from 01 to 12. Again, you could choose to use datetime, character, or numeric data types for this value, but the domain demands that the value must be in the range of 01 through 12. In this case, incorporating the month into a datetime data type is probably the best choice. This decision should be reviewed again during physical database design.

Null values
When you are designing attributes for your entities, you will sometimes find that an attribute does not have a value for every instance of the entity. For example, you might want an attribute for a person’s middle name, but you can’t require a value because some people have no middle name. For these occasions, you can define the attribute so that it can contain null values.

A null value is a special indicator that represents the absence of a value. The value can be absent because it is unknown, not yet supplied, or nonexistent. The DBMS treats the null value as an actual value, not as a zero value, a blank, or an empty string.

Just as some attributes should be allowed to contain null values, other attributes should not contain null values.

Example: For the EMPLOYEE entity, you might not want to allow the attribute EMPLOYEE_LAST_NAME to contain a null value.
Default values

In some cases, you might not want a specific attribute to contain a null value, but you don't want to require that the user or program always provide a value. In this case, a default value might be appropriate.

A default value is a value that applies to an attribute if no other valid value is available.

Example: Assume that you don't want the EMPLOYEE_HIRE_DATE attribute to contain null values and that you don't want to require users to provide this data. If data about new employees is generally added to the database on the employee's first day of employment, you could define a default value of the current date.

Related concepts:
Chapter 8, “Implementation of your database design,” on page 197

Normalization to avoid redundancy

Normalization helps you avoid redundancies and inconsistencies in your data. There are several forms of normalization.

After you define entities and decide on attributes for the entities, you normalize entities to avoid redundancy. An entity is normalized if it meets a set of constraints for a particular normal form, which this information describes. Entities can be in first, second, third, and fourth normal forms, each of which has certain rules that are associated with it. In some cases, you follow these rules, and in other cases, you do not follow them.

The rules for normal form are cumulative. In other words, for an entity to satisfy the rules of second normal form, it also must satisfy the rules of first normal form. An entity that satisfies the rules of fourth normal form also satisfies the rules of first, second, and third normal form.

In the context of logical data modeling, an instance is one particular occurrence. An instance of an entity is a set of data values for all the attributes that correspond to that entity.

Example: The following figure shows one instance of the EMPLOYEE entity.

![Figure 16. One instance of an entity](image)

Related concepts:
“Database design with denormalization” on page 93

First normal form

A relational entity satisfies the requirement of first normal form if every instance of the entity contains only one value, but never multiple repeating attributes.
Repeating attributes, often called a repeating group, are different attributes that are inherently the same. In an entity that satisfies the requirement of first normal form, each attribute is independent and unique in its meaning and its name.

**Example:** Assume that an entity contains the following attributes:

```
EMPLOYEE_NUMBER
JANUARY_SALARY_AMOUNT
FEBRUARY_SALARY_AMOUNT
MARCH_SALARY_AMOUNT
```

This situation violates the requirement of first normal form, because JANUARY_SALARY_AMOUNT, FEBRUARY_SALARY_AMOUNT, and MARCH_SALARY_AMOUNT are essentially the same attribute, EMPLOYEE_MONTHLY_SALARY_AMOUNT.

**Second normal form**

An entity is in second normal form if each attribute that is not in the primary key provides a fact that depends on the entire key.

A violation of the second normal form occurs when a nonprimary key attribute is a fact about a subset of a composite key.

**Example:** An inventory entity records quantities of specific parts that are stored at particular warehouses. The following figure shows the attributes of the inventory entity.

![Figure 17. A primary key that violates second normal form](image)

Here, the primary key consists of the PART and the WAREHOUSE attributes together. Because the attribute WAREHOUSE_ADDRESS depends only on the value of WAREHOUSE, the entity violates the rule for second normal form. This design causes several problems:

- Each instance for a part that this warehouse stores repeats the address of the warehouse.
- If the address of the warehouse changes, every instance referring to a part that is stored in that warehouse must be updated.
- Because of the redundancy, the data might become inconsistent. Different instances could show different addresses for the same warehouse.
- If at any time the warehouse has no stored parts, the address of the warehouse might not exist in any instances in the entity.

To satisfy second normal form, the information in the figure above would be in two entities, as the following figure shows.

![Figure 18. Two entities that satisfy second normal form](image)

**Related concepts:**
Third normal form

An entity is in third normal form if each nonprimary key attribute provides a fact that is independent of other non-key attributes and depends only on the key.

A violation of the third normal form occurs when a nonprimary attribute is a fact about another non-key attribute.

Example: The first entity in the following figure contains the attributes EMPLOYEE_NUMBER and DEPARTMENT_NUMBER. Suppose that a program or user adds an attribute, DEPARTMENT_NAME, to the entity. The new attribute depends on DEPARTMENT_NUMBER, whereas the primary key is on the EMPLOYEE_NUMBER attribute. The entity now violates third normal form.

Changing the DEPARTMENT_NAME value based on the update of a single employee, David Brown, does not change the DEPARTMENT_NAME value for other employees in that department. The updated version of the entity in the following figure illustrates the resulting inconsistency. Additionally, updating the DEPARTMENT_NAME in this table does not update it in any other table that might contain a DEPARTMENT_NAME column.

You can normalize the entity by modifying the EMPLOYEE_DEPARTMENT entity and creating two new entities: EMPLOYEE and DEPARTMENT. The following figure shows the new entities. The DEPARTMENT entity contains attributes for DEPARTMENT_NUMBER and DEPARTMENT_NAME. Now, an update such as changing a department name is much easier. You need to make the update only to the DEPARTMENT entity.
Fourth normal form
An entity is in fourth normal form if no instance contains two or more independent, multivalued facts about an entity.

Example: Consider the EMPLOYEE entity. Each instance of EMPLOYEE could have both SKILL_CODE and LANGUAGE_CODE. An employee can have several skills and know several languages. Two relationships exist, one between employees and skills, and one between employees and languages. An entity is not in fourth normal form if it represents both relationships, as the following figure shows.

Instead, you can avoid this violation by creating two entities that represent both relationships, as the following figure shows.

If, however, the facts are interdependent (that is, the employee applies certain languages only to certain skills) you should not split the entity.

You can put any data into fourth normal form. A good rule to follow when doing logical database design is to arrange all the data in entities that are in fourth normal form.
normal form. Then decide whether the result gives you an acceptable level of performance. If the performance is not acceptable, denormalizing your design is a good approach to improving performance.

Logical database design with Unified Modeling Language

UML modeling is based on object-oriented programming principals. UML defines a standard set of modeling diagrams for all stages of developing a software system.

This information describes the entity-relationship model of database design. Another model that you can use is Unified Modeling Language (UML). The Object Management Group is a consortium that created the UML standard. This topic provides a brief overview of UML.

The basic difference between the entity-relationship model and the UML model is that, instead of designing entities as this information illustrates, you model objects. Conceptually, UML diagrams are like the blueprints for the design of a software development project.

Some examples of UML diagrams are listed below:

Class
Identify high-level entities, known as classes. A class describes a set of objects that have the same attributes. A class diagram shows the relationships between classes.

Use case
Presents a high-level view of a system from the user’s perspective. A use case diagram defines the interactions between users and applications or between applications. These diagrams graphically depict system behavior. You can work with use-case diagrams to capture system requirements, learn how the system works, and specify system behavior.

Activity
Models the workflow of a business process, typically by defining rules for the sequence of activities in the process. For example, an accounting company can use activity diagrams to model financial transactions.

Interaction
Shows the required sequence of interactions between objects. Interaction diagrams can include sequence diagrams and collaboration diagrams.

Component
Shows the dependency relationships between components, such as main programs, and subprograms.

Many available tools from the WebSphere and Rational product families ease the task of creating a UML model. Developers can graphically represent the architecture of a database and how it interacts with applications using the following UML modeling tools:

• WebSphere Business Integration Workbench, which provides a UML modeler for creating standard UML diagrams.
• A WebSphere Studio Application Developer plug-in for modeling Java and web services applications and for mapping the UML model to the entity-relationship model.

• Rational Rose Data Modeler, which provides a modeling environment that connects database designers who use entity-relationship modeling with developers of OO applications.

• Rational Rapid Developer, an end-to-end modeler and code generator that provides an environment for rapid design, integration, construction, and deployment of web, wireless, and portal-based business applications.

• IBM Rational Data Architect (RDA) has rich functionality that gives data professionals the ability to design a relational or federated database, and perform impact analysis across models.

Similarities exist between components of the entity-relationship model and UML diagrams. For example, the class structure corresponds closely to the entity structure.

Using the modeling tool Rational Rose Data Modeler, developers use a specific type of diagram for each type of development model:
• Business models—Use case diagram, activity diagram, sequence diagram
• Logical data models or application models—Class diagram
• Physical data models—Data model diagram

The logical data model provides an overall view of the captured business requirements as they pertain to data entities. The data model diagram graphically represents the physical data model. The physical data model uses the logical data model’s captured requirements, and applies them to specific DBMS languages. Physical data models also capture the lower-level detail of a DBMS database.

Database designers can customize the data model diagram from other UML diagrams, which enables them to work with concepts and terminology, such as columns, tables, and relationships, with which they are already familiar. Developers can also transform a logical data model into a physical data model.

Because the data model diagram includes diagrams for modeling an entire system, it enables database designers, application developers, and other development team members to share and track business requirements throughout the development process. For example, database designers can capture information, such as constraints, triggers, and indexes directly on the UML diagram. Developers can also transfer between object and data models and use basic transformation types such as many-to-many relationships.

Related concepts:
“Logical database design using entity-relationship modeling” on page 79
“Physical database design”

Physical database design

The physical design of your database optimizes performance while ensuring data integrity by avoiding unnecessary data redundancies. During physical design, you transform the entities into tables, the instances into rows, and the attributes into columns.
After completing the logical design of your database, you now move to the physical design. You and your colleagues need to make many decisions that affect the physical design, some of which are listed below.

- How to translate entities into physical tables
- What attributes to use for columns of the physical tables
- Which columns of the tables to define as keys
- What indexes to define on the tables
- What views to define on the tables
- How to denormalize the tables
- How to resolve many-to-many relationships
- What designs can take advantage of hash access

Physical design is the time when you abbreviate the names that you chose during logical design. For example, you can abbreviate the column name that identifies employees, EMPLOYEE_NUMBER, to EMPNO. In DB2 for z/OS, you need to abbreviate column names and table names to fit the physical constraint of a 30-byte maximum for column names and a 128-byte maximum for table names.

The task of building the physical design is a job that truly never ends. You need to continually monitor the performance and data integrity characteristics of the database as time passes. Many factors necessitate periodic refinements to the physical design.

DB2 lets you change many of the key attributes of your design with ALTER SQL statements. For example, assume that you design a partitioned table so that it stores 36 months’ worth of data. Later you discover that you need to extend that design to 84 months’ worth of data. You can add or rotate partitions for the current 36 months to accommodate the new design.

The remainder of this information includes some valuable information that can help you as you build and refine the physical design of your database. However, this task generally requires more experience with DB2 than most readers of this introductory level information are likely to have.

**Related concepts:**
- “Logical database design with Unified Modeling Language” on page 91
- “Logical database design using entity-relationship modeling” on page 79

**Database design with denormalization**

The rules of normalization do not consider performance. In some cases, you need to consider denormalization to improve performance.

During physical design, analysts transform the entities into tables and the attributes into columns. Consider the example in “Second normal form” on page 88 again. The warehouse address column first appears as part of a table that contains information about parts and warehouses. To further normalize the design of the table, analysts remove the warehouse address column from that table. Analysts also define the column as part of a table that contains information only about warehouses.

Normalizing tables is generally the recommended approach. What if applications require information about both parts and warehouses, including the addresses of warehouses? The premise of the normalization rules is that SQL statements can retrieve the information by joining the two tables. The problem is that, in some cases, performance problems can occur as a result of normalization. For example, some user queries might view data that is in two or more related tables; the result...
is too many joins. As the number of tables increases, the access costs can increase, depending on the size of the tables, the available indexes, and so on. For example, if indexes are not available, the join of many large tables might take too much time. You might need to denormalize your tables. Denormalization is the intentional duplication of columns in multiple tables, and it increases data redundancy.

Example 1: Consider the design in which both tables have a column that contains the addresses of warehouses. If this design makes join operations unnecessary, it could be a worthwhile redundancy. Addresses of warehouses do not change often, and if one does change, you can use SQL to update all instances fairly easily.

Tip: Do not automatically assume that all joins take too much time. If you join normalized tables, you do not need to keep the same data values synchronized in multiple tables. In many cases, joins are the most efficient access method, despite the overhead they require. For example, some applications achieve 44-way joins in subsecond response time.

When you build your physical design, you and your colleagues need to decide whether to denormalize the data. Specifically, you need to decide whether to combine tables or parts of tables that are frequently accessed by joins that have high-performance requirements. This is a complex decision about which this information cannot give specific advice. To make the decision, you need to assess the performance requirements, different methods of accessing the data, and the costs of denormalizing the data. You need to consider the trade-off; is duplication, in several tables, of often-requested columns less expensive than the time for performing joins?

Recommendations:
• Do not denormalize tables unless you have a good understanding of the data and the business transactions that access the data. Consult with application developers before denormalizing tables to improve the performance of users’ queries.
• When you decide whether to denormalize a table, consider all programs that regularly access the table, both for reading and for updating. If programs frequently update a table, denormalizing the table affects performance of update programs because updates apply to multiple tables rather than to one table.

In the following figure, information about parts, warehouses, and warehouse addresses appear in two tables, both in normal form.

<table>
<thead>
<tr>
<th>Key</th>
<th>PARTNO</th>
<th>WRHS_NO</th>
<th>PART_QTY</th>
</tr>
</thead>
<tbody>
<tr>
<td>KEY</td>
<td>WAREHOUSE</td>
<td>WRHS_ADDRESS</td>
<td></td>
</tr>
</tbody>
</table>

Figure 23. Two tables that satisfy second normal form

The following figure illustrates the denormalized table.

| Key | PARTNO | WRHS_NO | PART_QTY | WRHS_ADDRESS |

Figure 24. Denormalized table

Resolving many-to-many relationships is a particularly important activity because doing so helps maintain clarity and integrity in your physical database design. To
resolve many-to-many relationships, you introduce *associative tables*, which are intermediate tables that you use to tie, or associate, two tables to each other.

**Example 2:** Employees work on many projects. Projects have many employees. In the logical database design, you show this relationship as a many-to-many relationship between project and employee. To resolve this relationship, you create a new associative table, EMPLOYEE_PROJECT. For each combination of employee and project, the EMPLOYEE_PROJECT table contains a corresponding row. The primary key for the table would consist of the employee number (EMPNO) and the project number (PROJNO).

Another decision that you must make relates to the use of repeating groups.

**Example 3:** Assume that a heavily used transaction requires the number of wires that are sold by month in a specific year. Performance factors might justify changing a table so that it violates the rule of first normal form by storing repeating groups. In this case, the repeating group would be: MONTH, WIRE. The table would contain a row for the number of sold wires for each month (January wires, February wires, March wires, and so on).

**Recommendation:** If you decide to denormalize your data, document your denormalization thoroughly. Describe, in detail, the logic behind the denormalization and the steps that you took. Then, if your organization ever needs to normalize the data in the future, an accurate record is available for those who must do the work.

**Related concepts:**
- “Creation of indexes” on page 236
- Chapter 9, “DB2 performance management,” on page 267
- “First normal form” on page 87
- “Normalization to avoid redundancy” on page 87

**Customized data views**

A view offers an alternative way of describing data that exists in one or more tables.

Some users might find that no single table contains all the data that they need; rather, the data might be scattered among several tables. Furthermore, one table might contain more data than users want to see or more than you want to authorize them to see. For those situations, you can create views.

You might want to use views for a variety of reasons:

- To limit access to certain kinds of data
  
  You can create a view that contains only selected columns and rows from one or more tables. Users with the appropriate authorization on the view see only the information that you specify in the view definition.

  **Example:** You can define a view on the EMP table to show all columns except for SALARY and COMM (commission). You can grant access to this view to people who are not managers because you probably don’t want them to have access to this kind of information.

- To combine data from multiple tables
  
  You can create a view that uses one of the set operators, UNION, INTERSECT, or EXCEPT, to logically combine data from intermediate result tables.
Additionally, you can specify either DISTINCT (the default) or ALL with a set operator. You can query a view that is defined with a set operator as if it were one large result table.

**Example:** Assume that three tables contain data for a time period of one month. You can create a view that is the UNION ALL of three fullselects, one for each month of the first quarter of 2004. At the end of the third month, you can view comprehensive quarterly data.

You can create a view any time after the underlying tables exist. The owner of a set of tables implicitly has the authority to create a view on them. A user with administrative authority at the system or database level can create a view for any owner on any set of tables. If they have the necessary authority, other users can also create views on a table that they didn’t create.

**Related concepts:**
- “Authorization and security mechanisms for data access” on page 304
- “A view that combines information from several tables” on page 254

**Database design with indexes**

You can use indexes to optimize data access, to ensure uniqueness, and to enable clustering.

If you are involved in the physical design of a database, you work with other designers to determine what columns and expressions you should index. You use process models that describe how different applications are going to access the data. This information is very important when you decide on indexing strategies to ensure adequate performance.

The main purposes of an index are:

**To optimize data access**

In many cases, access to data is faster with an index than without an index. If the DBMS uses an index to find a row in a table, the scan can be faster than when the DBMS scans an entire table.

**To ensure uniqueness**

A table with a unique index cannot have two rows with the same values in the column or columns that form the index key.

**Example:** If payroll applications use employee numbers, no two employees can have the same employee number.

**To enable clustering**

A clustering index keeps table rows in a specified sequence to minimize page access for a set of rows. When a table space is partitioned, rows are clustered within each partition. Clustering can be in the same order as the partitioning.

**Example:** If the partition is on the month and the clustering index is on the name, the rows are clustered on the name within the month.

In general, users of the table are unaware that an index is in use. DB2 decides whether to use the index to access the table.

**Database design with hash access**

You can use hash access to optimize data access for certain kinds of tables.
If you are involved in the physical design of a database, you work with other designers to determine when to enable hash access on tables.

The main purposes of hash organization is to optimize data access. If your programs regularly access a single row in a table and the table has a unique identifier for each row, you can use hash access to directly retrieve the data from individual rows without scanning the index or the table space for the matching equal predicate. Hash access is faster and more efficient than table scans and index scans, but tables that have hash access enabled require more disk space. Hash access requires that tables have at least one column with values that are unique to each row.

**Related concepts:**
- “DB2 hash spaces” on page 41
- “Hash access paths” on page 291
- Hash access (ACCESSTYPE='H', 'HN', or 'MH') (DB2 Performance)

**Related tasks:**
- Organizing tables by hash for fast access to individual rows (DB2 Performance)
- Creating tables that use hash organization (DB2 Administration Guide)
- Altering tables to enable hash access (DB2 Administration Guide)
- Monitoring hash access (DB2 Performance)
- Managing space and page size for hash-organized tables (DB2 Performance)
Chapter 6. SQL: The language of DB2

There are many different types of SQL statements. These statements have different purposes, coding, and occasions for their use.

Ways to access data

You can retrieve data by using the SQL statement SELECT to specify a result table that can be derived from one or more tables.

In this information, examples of SQL statements illustrate how to code and use each clause of the SELECT statement to query a table. Examples of more advanced queries explain how to fine-tune your queries by using functions and expressions and how to query multiple tables with more complex statements that include unions, joins, and subqueries. The best way to learn SQL is to develop SQL statements like these examples and then execute them dynamically using a tool such as DB2 QMF for Workstation.

The data that is retrieved through SQL is always in the form of a table. Like the tables from which you retrieve the data, a result table has rows and columns. A program fetches this data one or more rows at a time.

Example: Consider this SELECT statement:

```
SELECT LASTNAME, FIRSTNME
FROM EMP
WHERE DEPT = 'D11'
ORDER BY LASTNAME;
```

This SELECT statement returns the following result table:

```
LASTNAME    FIRSTNME
--------    -------
BROWN       DAVID
LUTZ        JENNIFER
STERN       IRVING
```

Many of the examples in this information are based on the sample tables, which represent sample information about a computer company.

Related concepts:
Chapter 3, “DB2 concepts,” on page 25

Related tasks:
Accessing data (DB2 Application programming and SQL)

Related reference:
Statements (DB2 SQL)

Ways to select data from columns

Several techniques are available for selecting columns from a database for your result tables.
There are several ways to select data from the columns in your table, but you must follow good practices for SELECT statements to guarantee good performance. When you write a SELECT statement, you must select only the rows and columns that your program needs, which reduces your CPU load and memory usage.

**Selection of some columns**

Select the columns that you want by specifying the name of each column. All columns appear in the order that you specify, not in their order in the table.

**Example:** Notice that the DEPT table contains the DEPTNO column before the MGRNO column. Consider the following query:

```sql
SELECT MGRNO, DEPTNO
FROM DSN8B10.DEPT
WHERE ADMRDEPT = 'A00';
```

The result table looks like the following example:

```
MGRNO  DEPTNO
------- ----
000010  A00
000020  B01
000030  C01
------- D01
000050  E01
```

This SELECT statement retrieves data that is contained in the two specified columns of each row in the DEPT table. You can select data from up to 750 columns with a single SELECT statement.

**Selection of all columns**

You do not need to know the column names to select DB2 data. Use an asterisk (*) in the SELECT clause to retrieve all columns from each selected row of the specified table. DB2 selects the columns in the order that the columns are declared in that table. Hidden columns, such as ROWID columns and XML document ID columns, are not included in the result of the SELECT * statement.

**Example:** Consider this query:

```sql
SELECT *
FROM DSN8A10.DEPT
WHERE ADMRDEPT = 'A00';
```

The result table looks like the following example:

```
DEPTNO  DEPTNAME                      MGRNO  ADMRDEPT  LOCATION
-------  --------------------          ------- ------
A00      SPIFFY COMPUTER SERVICE DIV. 000010 A00
B01      PLANNING                    000020 A00
C01      INFORMATION CENTER          000030 A00
D01      DEVELOPMENT CENTER          ------- A00
E01      SUPPORT SERVICES            000050 A00
```
This SELECT statement retrieves data from each column of each retrieved row of the DEPT table. Because the example does not specify a WHERE clause, the statement retrieves data from all rows.

In this example, the fifth row contains a null value because no manager is identified for this department. Null values are displayed as dashes.

The SELECT * statement is most appropriate when used with dynamic SQL and view definitions. Avoid using SELECT * in static SQL. You write static SQL applications when you know the number of columns that your application returns. That number can change outside your application. If a change occurs to the table, you need to update the application to reflect the changed number of columns in the table.

Use the SELECT * statement only when it is necessary to retrieve all the columns in each retrieved row of your table. Selecting specific columns give your query a higher filter that can retrieve your results more efficiently.

**Elimination of duplicate rows**

The DISTINCT keyword removes redundant duplicate rows from your result table so that each row contains unique data. The following query uses the DISTINCT keyword to list the department numbers of the different administrative departments:

```sql
SELECT DISTINCT ADMRDEPT
FROM DSN8B10.DEPT;
```

The result table looks like the following example:

```
ADMRDEPT
========
A00
B11
E01
```

You can use more than one DISTINCT keyword in a single query.

**Selection of derived columns and naming the resulting columns**

You can select columns that are derived from a constant, an expression, or a function. With the AS clause, you can name resulting columns. This keyword is useful for a column that is derived from an expression or a function.

**Example:** In the following query, the expression SALARY+COMM is named TOTAL_SAL:

```sql
SELECT EMPNO, (SALARY + COMM) AS TOTAL_SAL
FROM DSN8B10.EMP;
```
The result table looks like the following example:

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>TOTAL_SAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>000290</td>
<td>16567.00</td>
</tr>
<tr>
<td>000310</td>
<td>17172.00</td>
</tr>
<tr>
<td>200310</td>
<td>17172.00</td>
</tr>
<tr>
<td>000260</td>
<td>18630.00</td>
</tr>
<tr>
<td>000300</td>
<td>19170.00</td>
</tr>
<tr>
<td>000210</td>
<td>19732.00</td>
</tr>
</tbody>
</table>

This query selects data from all rows in the EMP table, calculates the result of the expression, and returns the columns in the order that the SELECT statement indicates. In the result table, any derived columns, such as (SALARY + COMM) in this example, do not have names. You can use the AS clause to give names to unnamed columns.

To order the rows in the result table by the values in a derived column, specify a name for the column by using the AS clause and use that name in the ORDER BY clause.

**Related concepts:**
- "Ways to filter the number of returned rows" on page 110
- "Retrieving and excluding rows with null values" on page 111
- "Ways to order rows" on page 118

**Related tasks:**

**Related reference:**

### How a SELECT statement works

SQL statements, including SELECT, are made up a series of clauses that are defined by SQL as being executed in a logical order. SELECT statements allow users to define and organize information that is retrieved from a specified table.

The following clause list shows the logical order of clauses in a statement:

1. **FROM**
2. **WHERE**
3. **GROUP BY**
4. **HAVING**
5. **SELECT**
6. **ORDER BY**

In addition:

- *Subselects* are processed from the innermost to the outermost subselect. A subselect in a WHERE clause or a HAVING clause of another SQL statement is called a *subquery.*
• The ORDER BY clause can be included in a subselect, a fullselect, or in a SELECT statement.

• If you use an AS clause to define a name in the outermost SELECT clause, only the ORDER BY clause can refer to that name. If you use an AS clause in a subselect, you can refer to the name that it defines outside the subselect.

Example 1: Consider this SELECT statement, which is not valid:

```sql
SELECT EMPNO, (SALARY + COMM) AS TOTAL_SAL
FROM EMP
WHERE TOTAL_SAL > 50000;
```

The WHERE clause is not valid because DB2 does not process the AS TOTAL_SAL portion of the statement until after the WHERE clause is processed. Therefore, DB2 does not recognize the name TOTAL_SAL that the AS clause defines.

Example 2: The following SELECT statement, however, is valid because the ORDER BY clause refers to the column name TOTAL_SAL that the AS clause defines:

```sql
SELECT EMPNO, (SALARY + COMM) AS TOTAL_SAL
FROM EMP
ORDER BY TOTAL_SAL;
```

Related tasks:

- Coding SQL statements to avoid unnecessary processing (DB2 Performance)

Related reference:

- `select-statement (DB2 SQL)`

SQL functions and expressions

You can use functions and expressions to control the appearance and values of rows and columns in your result tables. DB2 offers many built-in functions, including aggregate functions and scalar functions.

A built-in function is a function that is supplied with DB2 for z/OS.

Concatenation of strings

You can concatenate strings by using the CONCAT operator or the CONCAT built-in function.

When the operands of two strings are concatenated, the result of the expression is a string. The operands of concatenation must be compatible strings.

Example: Consider this query:

```sql
SELECT LASTNAME CONCAT ',' CONCAT FIRSTNAME
FROM EMP;
```

This SELECT statement concatenates the last name, a comma, and the first name of each result row. The result table looks like this:

```
HAAS,CHRISTINE
THOMPSON, MICHAEL
```
Alternative syntax for the SELECT statement shown above is as follows:

```
SELECT LASTNAME CONCAT(CONCAT(LASTNAME,','),FIRSTNAME)
FROM EMP;
```

In this case, the SELECT statement concatenates the last name and then concatenates that result to the first name.

**Related concepts:**

- Expressions with the concatenation operator (DB2 SQL)

**Related reference:**

- CONCAT (DB2 SQL)
- select-statement (DB2 SQL)

**Calculation of values in one or more columns**

You can perform calculations on numeric or datetime data.

The numeric data types are binary integer, floating-point, and decimal. The datetime data types are date, time, and timestamp.

You can retrieve calculated values, just as you display column values, for selected rows.

**Example:** Consider this query:

```
SELECT EMPNO, 
    SALARY / 12 AS MONTHLY_SAL, 
    SALARY / 52 AS WEEKLY_SAL 
FROM DSN8B10.EMP 
WHERE WORKDEPT = 'A00';
```

The result table looks like the following example:

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>MONTHLY_SAL</th>
<th>WEEKLY_SAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>000010</td>
<td>4395.83333333</td>
<td>1014.42307723</td>
</tr>
<tr>
<td>000110</td>
<td>3875.00000000</td>
<td>894.23076923</td>
</tr>
<tr>
<td>000120</td>
<td>2437.50000000</td>
<td>562.50000000</td>
</tr>
<tr>
<td>200010</td>
<td>3875.00000000</td>
<td>894.23076923</td>
</tr>
<tr>
<td>200120</td>
<td>2437.50000000</td>
<td>562.50000000</td>
</tr>
</tbody>
</table>

The result table displays the monthly and weekly salaries of employees in department A00. If you prefer results with only two digits to the right of the decimal point, you can use the DECIMAL function.

**Example:** To retrieve the department number, employee number, salary, and commission for those employees whose combined salary and commission is greater than $45,000, write the query as follows:

```
SELECT WORKDEPT, EMPNO, SALARY, COMM 
FROM DSN8B10.EMP 
WHERE SALARY + COMM > 45000;
```

The result table looks like following example:
### Calculation of aggregate values

You can use the SQL aggregate functions to calculate values that are based on entire columns of data. The calculated values are from only the rows that satisfy the WHERE clause and are therefore selected.

An aggregate function is an operation that derives its result by using values from one or more rows. An aggregate function is also known as a column function. The argument of an aggregate function is a set of values that are derived from an expression.

You can use the following aggregate functions:

- **SUM**  Returns the total value.
- **MIN**  Returns the minimum value.
- **AVG**  Returns the average value.
- **MAX**  Returns the maximum value.
- **COUNT**  Returns the number of selected rows.
- **COUNT_BIG**  Returns the number of rows or values in a set of rows or values. The result can be greater than the maximum value of an integer.
- **XMLAGG**  Returns a concatenation of XML elements from a collection of XML elements.

**Example 1:** This query calculates, for department A00, the sum of employee salaries, the minimum, average, and maximum salary, and the count of employees in the department:

```sql
SELECT SUM(SALARY) AS SUMSAL,
       MIN(SALARY) AS MINSAL,
       AVG(SALARY) AS AVGSAL,
       MAX(SALARY) AS MAXSAL,
       COUNT(*) AS CNTSAL
FROM EMP
WHERE DEPT = 'A00';
```

The result table looks like this:

<table>
<thead>
<tr>
<th>SUMSAL</th>
<th>MINSAL</th>
<th>AVGSAL</th>
<th>MAXSAL</th>
<th>CNTSAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>128500.00</td>
<td>29250.00</td>
<td>42833.33</td>
<td>52750.00</td>
<td>3</td>
</tr>
</tbody>
</table>

You can use (*) in the COUNT and COUNT_BIG functions. In this example, COUNT(*) returns the rows that DB2 processes based on the WHERE clause.
Example 2: This query counts the number of employees that are described in the EMP table:

```
SELECT COUNT(*)
FROM EMP;
```

You can use DISTINCT with the SUM, AVG, COUNT, and COUNT_BIG functions. DISTINCT means that the selected function operates on only the unique values in a column.

Example 3: This query counts the different jobs in the EMP table:

```
SELECT COUNT(DISTINCT JOB)
FROM EMP;
```

Aggregate functions like COUNT ignore nulls in the values on which they operate. The preceding example counts distinct job values that are not null.

Note: Do not use DISTINCT with the MAX and MIN functions because using it does not affect the result of those functions.

You can use SUM and AVG only with numbers. You can use MIN, MAX, COUNT, and COUNT_BIG with any built-in data type.

Related reference:

[DECIMAL or DEC (DB2 SQL)]

Scalar functions
DB2 offers many different scalar functions, including the CHAR, DECIMAL, and NULLIF scalar functions.

Like an aggregate function, a scalar function produces a single value. Unlike the argument of an aggregate function, an argument of a scalar function is a single value.

Example: YEAR: This query, which uses the YEAR scalar function, returns the year in which each employee in a particular department was hired:

```
SELECT YEAR(HIREDATE) AS HIREYEAR
FROM EMP
WHERE DEPT = 'A00';
```

The result table looks like this:

```
HIREYEAR
=========
1975
1990
1985
```

The YEAR scalar function produces a single scalar value for each row of EMP that satisfies the search condition. In this example, three rows satisfy the search condition, so YEAR results in three scalar values.

DB2 offers many different scalar functions, including CHAR, DECIMAL, and NULLIF.
CHAR

The CHAR function returns a string representation of the input value.

Example: CHAR: The following SQL statement sets the host variable AVERAGE to the character string representation of the average employee salary:

```
SELECT CHAR(AVG(SALARY))
INTO :AVERAGE
FROM EMP;
```

DECIMAL

The DECIMAL function returns a decimal representation of the input value.

Example: DECIMAL: Assume that you want to change the decimal data type to return a value with a precision and scale that you prefer. The following example represents the average salary of employees as an eight-digit decimal number (the precision) with two of these digits to the right of the decimal point (the scale):

```
SELECT DECIMAL(AVG(SALARY),8,2)
FROM EMP;
```

The result table looks like this:

```
==========
32602.30
```

NULLIF

NULLIF returns a null value if the two arguments of the function are equal. If the arguments are not equal, NULLIF returns the value of the first argument.

Example: NULLIF: Suppose that you want to calculate the average earnings of all employees who are eligible to receive a commission. All eligible employees have a commission of greater than 0, and ineligible employees have a value of 0 for commission:

```
SELECT AVG(SALARY+NULLIF(COMM,0))
AS "AVERAGE EARNINGS"
FROM EMP;
```

The result table looks like this:

```
AVERAGE EARNINGS
================
35248.8461538
```

Specifying a simple expression for the sum of the salary and commission in the select list would include all employees in the calculation of the average. To avoid including those employees who do not earn a commission in the average, you can use the NULLIF function to return a null value instead. The result of adding a null value for the commission to SALARY is itself a null value, and aggregate functions, like AVG, ignore null values. Therefore, this use of NULLIF inside AVG causes the query to exclude each row in which the employee is not eligible for a commission.

Related reference:

[Scalar functions (DB2 SQL)](Scalar functions (DB2 SQL))
**Nested functions**

Scalar and aggregate functions can be nested in several ways.

You can nest functions in the following ways:

- **Scalar functions within scalar functions**
  
  **Example:** Suppose that you want to know the month and day of hire for a particular employee in department D11. Suppose that you also want the result in USA format (mm/dd/yyyy). Use this query:
  ```sql
  SELECT SUBSTR((CHAR(HIREDATE, USA)),1,5)
  FROM EMP
  WHERE LASTNAME = 'BROWN' AND DEPT = 'D11';
  ```
  
  The result table looks like this:
  
  =====
  03/03
  
- **Scalar functions within aggregate functions**
  
  In some cases, you might need to invoke a scalar function from within an aggregate function.
  
  **Example:** Suppose that you want to know the average number of years of employment for employees in department A00. Use this query:
  ```sql
  SELECT AVG(DECIMAL(YEAR(CURRENT DATE - HIREDATE)))
  FROM EMP
  WHERE DEPT = 'A00';
  ```
  
  The result table looks like this:
  
  =======
  20.6666
  
  The actual form of the result, 20.6666, depends on how you define the host variable to which you assign the result.

- **Aggregate functions within scalar functions**
  
  **Example:** Suppose that you want to know the year in which the last employee was hired in department E21. Use this query:
  ```sql
  SELECT YEAR(MAX(HIREDATE))
  FROM EMP
  WHERE DEPT = 'E21';
  ```
  
  The result table looks like this:
  
  ====
  2002

**Related concepts:**

- “Date, time, and timestamp data types” on page 210
- [Aggregate functions (DB2 SQL)]
User-defined functions

*User-defined functions* are small programs that you can write to perform an operation. You can use a user-defined function wherever you can use a built-in function.

The CREATE FUNCTION statement is used to explicitly create a user-defined function.

**Example:** Assume that you define a distinct type called US_DOLLAR. You might want to allow instances of US_DOLLAR to be added. You can create a user-defined function that uses a built-in addition operation and takes instances of US_DOLLAR as input. This function, called a *sourced* function, requires no application coding. Alternatively, you might create a more complex user-defined function that can take a US_DOLLAR instance as input and then convert from U.S. dollars to another currency.

You name the function and specify its semantics so that the function satisfies your specific programming needs. You can use a user-defined function wherever you can use a built-in function.

**Related concepts:**

- "Creation of user-defined functions” on page 263
- User-defined functions (DB2 SQL)
- Types of functions (DB2 SQL)
- Sample user-defined functions (DB2 SQL)

**CASE expressions**

You can use a CASE expression to execute SQL expressions in several different ways depending on the value of a search condition.

One use of a CASE expression is to replace the values in a result table with more meaningful values.

**Example:** Suppose that you want to display the employee number, name, and education level of all field representatives in the EMP table. Education levels are stored in the EDL column as small integers, but you want to replace the values in this column with more descriptive phrases. Use the following query:

```sql
SELECT EMPNO, FIRSTNAME, LASTNAME,
CASE
  WHEN EDL<=12 THEN 'HIGH SCHOOL OR LESS'
  WHEN EDL>12 AND EDL<=14 THEN 'JUNIOR COLLEGE'
  WHEN EDL>14 AND EDL<=17 THEN 'FOUR-YEAR COLLEGE'
  WHEN EDL>17 THEN 'GRADUATE SCHOOL'
  ELSE 'UNKNOWN'
END
AS EDUCATION
FROM EMP
WHERE JOB='FLD';
```
The result table looks like following example:

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>FIRSTNAME</th>
<th>LASTNAME</th>
<th>EDUCATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>000320</td>
<td>RAMLAL</td>
<td>MEHTA</td>
<td>FOUR-YEAR COLLEGE</td>
</tr>
<tr>
<td>000330</td>
<td>WING</td>
<td>LEE</td>
<td>JUNIOR COLLEGE</td>
</tr>
<tr>
<td>200340</td>
<td>ROY</td>
<td>ALONZO</td>
<td>FOUR-YEAR COLLEGE</td>
</tr>
</tbody>
</table>

The CASE expression replaces each small integer value of EDL with a description of the amount of each field representative's education. If the value of EDL is null, the CASE expression substitutes the word UNKNOWN.

Another use of a CASE expression is to prevent undesirable operations, such as division by zero, from being performed on column values.

**Example:** If you want to determine the ratio of employee commissions to their salaries, you can execute this query:

```sql
SELECT EMPNO, DEPT,
    COMM/SALARY AS "COMMISSION/SALARY",
FROM EMP;
```

This SELECT statement has a problem, however. If an employee has not earned any salary, a division-by-zero error occurs. By modifying the following SELECT statement with a CASE expression, you can avoid division by zero:

```sql
SELECT EMPNO, DEPT,
    (CASE WHEN SALARY=0 THEN NULL
         ELSE COMM/SALARY
         END) AS "COMMISSION/SALARY"
FROM EMP;
```

The CASE expression determines the ratio of commission to salary only if the salary is not zero. Otherwise, DB2 sets the ratio to a null value.

**Related reference:**

- [CASE expressions (DB2 SQL)](example_url)

**Ways to filter the number of returned rows**

A variety of different comparison operators in the predicate of a WHERE clause let you filter the number of returned rows.

You can use a WHERE clause to select the rows that are of interest to you. For example, suppose you want to select only the rows that represent the employees who earn a salary greater than $40 000. A WHERE clause specifies a search condition. A search condition is the criteria that DB2 uses to select rows. For any given row, the result of a search condition is true, false, or unknown. If the search condition evaluates to true, the row qualifies for additional processing. In other words, that row can become a row of the result table that the query returns. If the condition evaluates to false or unknown, the row does not qualify for additional processing.

A search condition consists of one or more predicates that are combined through the use of the logical operators AND, OR, and NOT. An individual predicate specifies a test that you want DB2 to apply to each row, for example, `SALARY > 40000`. When DB2 evaluates a predicate for a row, it evaluates to true, false, or unknown.
Results are unknown only if a value (called an operand) of the predicate is null. If a particular employee's salary is not known (and is set to null), the result of the predicate `SALARY > 40000` is unknown.

You can use a variety of different comparison operators in the predicate of a WHERE clause, as shown in the following table.

<table>
<thead>
<tr>
<th>Type of comparison</th>
<th>Specified with...</th>
<th>Example of predicate with comparison</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equal to null</td>
<td>IS NULL</td>
<td>COMM IS NULL</td>
</tr>
<tr>
<td>Equal to</td>
<td>=</td>
<td>DEPTNO = 'X01'</td>
</tr>
<tr>
<td>Not equal to</td>
<td>&lt;&gt;</td>
<td>DEPTNO &lt;&gt; 'X01'</td>
</tr>
<tr>
<td>Less than</td>
<td>&lt;</td>
<td>AVG(SALARY) &lt; 30000</td>
</tr>
<tr>
<td>Less than or equal to</td>
<td>&lt;=</td>
<td>SALARY &lt;= 50000</td>
</tr>
<tr>
<td>Greater than</td>
<td>&gt;</td>
<td>SALARY &gt; 25000</td>
</tr>
<tr>
<td>Greater than or equal to</td>
<td>&gt;=</td>
<td>SALARY &gt;= 50000</td>
</tr>
<tr>
<td>Similar to another value</td>
<td>LIKE</td>
<td>NAME LIKE 'or STATUS LIKE 'N'_</td>
</tr>
<tr>
<td>At least one of two predicates</td>
<td>OR</td>
<td>HIREDATE &lt; '2000-01-01' OR SALARY &lt; 40000</td>
</tr>
<tr>
<td>Both of two predicates</td>
<td>AND</td>
<td>HIREDATE &lt; '2000-01-01' AND SALARY &lt; 40000</td>
</tr>
<tr>
<td>Between two values</td>
<td>BETWEEN</td>
<td>SALARY BETWEEN 20000 AND 40000</td>
</tr>
<tr>
<td>Equals a value in a set</td>
<td>IN (X, Y, Z)</td>
<td>DEPTNO IN ('B01', 'C01', 'D11')</td>
</tr>
<tr>
<td>Compares a value to another value</td>
<td>DISTINCT</td>
<td>value 1 IS DISTINCT from value 2</td>
</tr>
</tbody>
</table>

Note: Another predicate, EXISTS, tests for the existence of certain rows. The result of the predicate is true if the result table that is returned by the subselect contains at least one row. Otherwise, the result is false.

The XMLEXISTS predicate can be used to restrict the set of rows that a query returns, based on the values in XML columns. The XMLEXISTS predicate specifies an XPath expression. If the XPath expression returns an empty sequence, the value of the XMLEXISTS predicate is false. Otherwise, XMLEXISTS returns true. Rows that correspond to an XMLEXISTS value of true are returned.

You can also search for rows that do not satisfy one of the predicates by using the NOT keyword before the specified predicate.

Related concepts:
- Predicates (DB2 SQL)

Related tasks:
- Using predicates efficiently (DB2 Performance)

Related reference:
- Summary of predicate processing (DB2 Performance)
- where-clause (DB2 SQL)

Retrieving and excluding rows with null values
A null value indicates the absence of a column value in a row. A null value is not the same as zero or all blanks. You can retrieve or exclude rows that contain a null value in a specific row.
Example 1: You can use a WHERE clause to retrieve rows that contain a null value in a specific column. Specify:
WHERE column-name IS NULL

Example 2: You can also use a predicate to exclude null values. Specify:
WHERE column-name IS NOT NULL

You cannot use the equal sign to retrieve rows that contain a null value. (WHERE column-name = NULL is not allowed.)

**Equalities and inequalities**
You can use an equal sign (=), various inequality symbols, and the NOT keyword to specify search conditions in the WHERE clause.

**Related tasks:**
- Using predicates efficiently (DB2 Performance)

**Related reference:**
- Basic predicate (DB2 SQL)
- where-clause (DB2 SQL)

**How to test for equality:**
You can use an equal sign (=) to select rows for which a specified column contains a specified value.

To select only the rows where the department number is A00, use WHERE DEPT = 'A00' in your SELECT statement:
```
SELECT FIRSTNAME, LASTNAME
FROM EMP
WHERE DEPT = 'A00';
```

This query retrieves the first and last name of each employee in department A00.

**How to test for inequalities:**
You can use inequality operators to specify search conditions in your SELECT statements.

You can use the following inequalities to specify search conditions:

Example: To select all employees that were hired before January 1, 2001, you can use this query:
SELECT HIREDATE, FIRSTNME, LASTNAME
FROM EMP
WHERE HIREDATE < '2001-01-01';

This SELECT statement retrieves the hire date and name for each employee that was hired before 2001.

How to test for equality or inequality in a set of columns:

You can use the equal operator or the not equal operator to test whether a set of columns is equal or not equal to a set of values.

Example 1: To select the rows in which the department number is A00 and the education level is 14, you can use this query:

```
SELECT FIRSTNME, LASTNAME
FROM EMP
WHERE (DEPT, EDL) = ('A00', 14);
```

Example 2: To select the rows in which the department number is not A00, or the education level is not 14, you can use this query:

```
SELECT FIRSTNME, LASTNAME
FROM EMP
WHERE (DEPT, EDL) <> ('A00', 14);
```

How to test for a false condition:

You can use the NOT keyword to test for a false condition.

You can use the NOT keyword to select all rows for which the predicate is false (but not rows for which the predicate is unknown). The NOT keyword must precede the predicate.

Example: To select all managers whose compensation is not greater than $40,000, use:

```
SELECT DEPT, EMPNO
FROM EMP
WHERE NOT (SALARY + COMM) > 40000 AND JOB = 'MGR'
ORDER BY DEPT;
```

The following table contrasts WHERE clauses that use a NOT keyword with comparison operators and WHERE clauses that use only comparison operators. The WHERE clauses are equivalent.

<table>
<thead>
<tr>
<th>Using NOT</th>
<th>Equivalent clause without NOT</th>
</tr>
</thead>
<tbody>
<tr>
<td>WHERE NOT DEPTNO = 'A00'</td>
<td>WHERE DEPTNO &lt;&gt; 'A00'</td>
</tr>
<tr>
<td>WHERE NOT DEPTNO &lt; 'A00'</td>
<td>WHERE DEPTNO &gt;= 'A00'</td>
</tr>
<tr>
<td>WHERE NOT DEPTNO &gt; 'A00'</td>
<td>WHERE DEPTNO &lt;= 'A00'</td>
</tr>
<tr>
<td>WHERE NOT DEPTNO &lt;&gt; 'A00'</td>
<td>WHERE DEPTNO = 'A00'</td>
</tr>
<tr>
<td>WHERE NOT DEPTNO &lt;= 'A00'</td>
<td>WHERE DEPTNO &gt; 'A00'</td>
</tr>
<tr>
<td>WHERE NOT DEPTNO &gt;= 'A00'</td>
<td>WHERE DEPTNO &lt; 'A00'</td>
</tr>
</tbody>
</table>
You cannot use the NOT keyword directly preceding equality and inequality comparison operators.

**Example:** The following WHERE clause results in an error:

Wrong:
WHERE DEPT NOT = 'A00'

**Example:** The following two clauses are equivalent:

Correct:
WHERE MGRNO NOT IN ('000010', '000020')
WHERE NOT MGRNO IN ('000010', '000020')

---

**Similarities of character data**

You can use the LIKE predicate to specify a character string that is similar to the column value of rows that you want to select.

A LIKE pattern must match the character string in its entirety.
- Use a percent sign (%) to indicate any string of zero or more characters.
- Use an underscore (_) to indicate any single character.

You can also use NOT LIKE to specify a character string that is not similar to the column value of rows that you want to select.

**How to select values similar to a string of unknown characters**

The percent sign (%) means “any string or no string.”

**Example:** The following query selects data from each row for employees with the initials D B:

```
SELECT FIRSTNAME, LASTNAME, DEPT
FROM EMP
WHERE FIRSTNAME LIKE 'D%' AND LASTNAME LIKE 'B'
```

**Example:** The following query selects data from each row of the department table, where the department name contains “CENTER” anywhere in its name:

```
SELECT DEPTNO, DEPTNAME
FROM DEPT
WHERE DEPTNAME LIKE '
```

**Example:** Assume that the DEPTNO column is a three-character column of fixed length. You can use the following search condition to return rows with department numbers that begin with E and end with 1:

```
...WHERE DEPTNO LIKE 'E%1';
```

In this example, if E1 is a department number, its third character is a blank and does not match the search condition. If you define the DEPTNO column as a three-character column of varying length instead of fixed length, department E1 would match the search condition. Varying-length columns can have any number of characters, up to and including the maximum number that was specified when the column was created.
**Example:** The following query selects data from each row of the department table, where the department number starts with an E and contains a 1:

```sql
SELECT DEPTNO, DEPTNAME
FROM DEPT
WHERE DEPTNO LIKE 'E
```

### How to select a value similar to a single unknown character

The underscore (_), means “any single character.”

**Example:** Consider the following query:

```sql
SELECT DEPTNO, DEPTNAME
FROM DEPT
WHERE DEPTNO LIKE 'E_1';
```

In this example, 'E_1' means E, followed by any character, followed by 1. (Be careful: '_' is an underscore character, not a hyphen.) 'E_1' selects only three-character department numbers that begin with E and end with 1; it does not select the department number 'EI'.

### Related concepts:

“String data types” on page 207

### Multiple conditions

You can use the AND and OR operators to combine predicates and search for data based on multiple conditions.

Use the AND operator to specify that a search must satisfy both of the conditions. Use the OR operator to specify that the search must satisfy at least one of the conditions.

**Example:** This query retrieves the employee number, hire date, and salary for each employee who was hired before 1998 and earns a salary of less than $35 000 per year:

```sql
SELECT EMPNO, HIREDATE, SALARY
FROM EMP
WHERE HIREDATE < '1998-01-01' AND SALARY < 35000;
```

**Example:** This query retrieves the employee number, hire date, and salary for each employee who either was hired before 1998, or earns a salary less than $35 000 per year or both

**Note:**

```sql
SELECT EMPNO, HIREDATE, SALARY
FROM EMP
WHERE HIREDATE < '1998-01-01' OR SALARY < 35000;
```
How to use parentheses with AND and OR

If you use more than two conditions with the AND or OR operators, you can use parentheses to specify the order in which you want DB2 to evaluate the search conditions. If you move the parentheses, the meaning of the WHERE clause can change significantly.

**Example:** This query retrieves the row of each employee that satisfies at least one of the following conditions:
- The employee's hire date is before 1998 and salary is less than $40,000.
- The employee's education level is less than 18.

```sql
SELECT EMPNO
FROM EMP
WHERE (HIREDATE < '1998-01-01' AND SALARY < 40000) OR (EDL < 18);
```

**Example:** This query retrieves the row of each employee that satisfies both of the following conditions:
- The employee's hire date is before 1998.
- The employee's salary is less than $40,000 or the employee's education level is less than 18.

```sql
SELECT EMPNO
FROM EMP
WHERE HIREDATE < '1998-01-01' AND (SALARY < 40000 OR EDL < 18);
```

**Example:** This query retrieves the employee number of each employee that satisfies one of the following conditions:
- Hired before 1998 and salary is less than $40,000.
- Hired after January 1, 1998, and salary is greater than $40,000.

```sql
SELECT EMPNO
FROM EMP
WHERE (HIREDATE < '1998-01-01' AND SALARY < 40000)
OR (HIREDATE > '1998-01-01' AND SALARY > 40000);
```

How to use NOT with AND and OR

When you use NOT with AND and OR, the placement of the parentheses is important.

**Example:** The following query retrieves the employee number, education level, and job title of each employee who satisfies both of the following conditions:
- The employee's salary is less than $50,000.
- The employee's education level is less than 18.

```sql
SELECT EMPNO, EDL, JOB
FROM EMP
WHERE NOT (SALARY >= 50000) AND (EDL < 18);
```

In this query, the NOT operator affects only the first search condition (SALARY >= 50000).
Example: The following query retrieves the employee number, education level, and job title of each employee who satisfies at least one of the following conditions:

- The employee’s salary is less than or equal to $50,000.
- The employee’s education level is less than or equal to 18.

```sql
SELECT EMPNO, EDL, JOB
FROM EMP
WHERE NOT (SALARY > 50000 AND EDL > 18);
```

To negate a set of predicates, enclose the entire set in parentheses and precede the set with the NOT keyword.

**Ranges of values**

You can use BETWEEN to select rows in which a column has a value within two limits.

Specify the lower boundary of the BETWEEN predicate first, and then specify the upper boundary. The limits are inclusive.

Example: Suppose that you specify the following WHERE clause in which the value of the `column-name` column is an integer:

```sql
WHERE column-name BETWEEN 6 AND 8
```

DB2 selects all rows whose `column-name` value is 6, 7, or 8. If you specify a range from a larger number to a smaller number (for example, BETWEEN 8 AND 6), the predicate never evaluates to true.

Example: This query retrieves the department number and manager number of each department whose number is between C00 and D31:

```sql
SELECT DEPTNO, MGRNO
FROM DEPT
WHERE DEPTNO BETWEEN 'C00' AND 'D31';
```

You can also use NOT BETWEEN to select rows in which a column has a value that is outside the two limits.

**Values in a list**

You can use the IN predicate to select each row that has a column value that is equal to one of several listed values.

In the values list after the IN predicate, the order of the items is not important and does not affect the ordering of the result. Enclose the entire list of values in parentheses, and separate items by commas; the blanks are optional.

Example: The following query retrieves the department number and manager number for departments B01, C01, and D11:

```sql
SELECT DEPTNO, MGRNO
FROM DEPT
WHERE DEPTNO IN ('B01', 'C01', 'D11');
```
Using the IN predicate gives the same results as a much longer set of conditions that are separated by the OR keyword.

**Example:** You can alternatively code the WHERE clause in the SELECT statement in the previous example as:
```
WHERE DEPTNO = 'B01' OR DEPTNO = 'C01' OR DEPTNO = 'D11';
```

However, the IN predicate saves coding time and is easier to understand.

**Example:** The following query finds the projects that do not include employees in department C01 or E21:
```
SELECT PROJNO, PROJNAME, RESPEMP
FROM PROJ
WHERE DEPTNO NOT IN ('C01', 'E21');
```

**Ways to order rows**

You can use the ORDER BY clause to retrieve rows in a specific order.

Using ORDER BY is the only way to guarantee that your rows are in the sequence in which you want them. This information demonstrates how to use the ORDER BY clause.

**Related tasks:**
- Formatting the result table (DB2 Application programming and SQL)
- Coding SQL statements to avoid unnecessary processing (DB2 Performance)

**Sort key**

You can specify the order of selected rows by using sort keys that you identify in the ORDER BY clause.

A sort key can be a column name, an integer that represents the number of a column in the result table, or an expression. You can identify more than one column.

You can list the rows in ascending or descending order. Null values are included last in an ascending sort and first in a descending sort.

DB2 sorts strings in the collating sequence that is associated with the encoding scheme of the table. DB2 sorts numbers algebraically and sorts datetime values chronologically.

**Related tasks:**
- Ordering the result table rows (DB2 Application programming and SQL)
Ascending order

You can retrieve results in ascending order by specifying ASC in the ORDER BY clause of your SELECT statement.

Example: The following query retrieves the employee numbers, last names, and hire dates of employees in department A00 in ascending order of hire dates:

```
SELECT EMPNO, LASTNAME, HIREDATE
FROM EMP
WHERE DEPT = 'A00'
ORDER BY HIREDATE ASC;
```

The result table looks like this:

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>LASTNAME</th>
<th>HIREDATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>000010</td>
<td>HAAS</td>
<td>1975-01-01</td>
</tr>
<tr>
<td>200010</td>
<td>HEMMINGER</td>
<td>1985-01-01</td>
</tr>
<tr>
<td>000120</td>
<td>CONNOR</td>
<td>1990-12-05</td>
</tr>
</tbody>
</table>

This SELECT statement shows the seniority of employees. ASC is the default sorting order.

Related reference:

```
order-by-clause (DB2 SQL)
```

Descending order

You can retrieve results in descending order by specifying DESC in the ORDER BY clause.

Example: This query retrieves department numbers, last names, and employee numbers in descending order of department number:

```
SELECT DEPT, LASTNAME, EMPNO
FROM EMP
WHERE JOB = 'SLS'
ORDER BY DEPT DESC;
```

The result table looks like this:

<table>
<thead>
<tr>
<th>DEPT</th>
<th>LASTNAME</th>
<th>EMPNO</th>
</tr>
</thead>
<tbody>
<tr>
<td>C01</td>
<td>NICHOLLS</td>
<td>000140</td>
</tr>
<tr>
<td>A00</td>
<td>HEMMINGER</td>
<td>200010</td>
</tr>
<tr>
<td>A00</td>
<td>CONNOR</td>
<td>000120</td>
</tr>
</tbody>
</table>

Related reference:

```
order-by-clause (DB2 SQL)
```
Sort keys with multiple columns
You can specify more than one column name in the ORDER BY clause to order rows by the values in more than one column.

When several rows have the same first ordering column value, those rows are in order of the second column that you identify in the ORDER BY clause, and then on the third ordering column, and so on. [GUPIL]

Example: Consider this query:
SELECT JOB, EDL, LASTNAME
FROM EMP
WHERE DEPT = 'A00'
ORDER BY JOB, EDL;

The result table looks like the following example:

<table>
<thead>
<tr>
<th>JOB</th>
<th>EDL</th>
<th>LASTNAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>===</td>
<td>===</td>
<td>=========</td>
</tr>
<tr>
<td>PRES</td>
<td>18</td>
<td>HAAS</td>
</tr>
<tr>
<td>SLS</td>
<td>14</td>
<td>CONNOR</td>
</tr>
<tr>
<td>SLS</td>
<td>18</td>
<td>HEMMINGER</td>
</tr>
</tbody>
</table>

Related reference:
order-by-clause (DB2 SQL)

Sort keys with expressions
You can specify an expression with operators as the sort key for the result table of a SELECT statement.

When you specify an expression with operators as the sort key, the query to which ordering is applied must be a subselect. [GUPIL]

Example: The following query is a part of a subselect. The query retrieves the employee numbers, salaries, commissions, and total compensation (salary plus commission) for employees with a total compensation greater than 40000. Order the results by total compensation:
SELECT EMPNO, SALARY, COMM, SALARY+COMM AS "TOTAL COMP"
FROM EMP
WHERE SALARY+COMM > 40000
ORDER BY SALARY+COMM;

The result table looks like the following example:

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>SALARY</th>
<th>COMM</th>
<th>TOTAL COMP</th>
</tr>
</thead>
<tbody>
<tr>
<td>=======</td>
<td>=======</td>
<td>======</td>
<td>==============</td>
</tr>
<tr>
<td>000030</td>
<td>38250.00</td>
<td>3060.00</td>
<td>41310.00</td>
</tr>
<tr>
<td>000020</td>
<td>41250.00</td>
<td>3300.00</td>
<td>44550.00</td>
</tr>
<tr>
<td>200010</td>
<td>46500.00</td>
<td>4220.00</td>
<td>50720.00</td>
</tr>
<tr>
<td>000010</td>
<td>52750.00</td>
<td>4220.00</td>
<td>56970.00</td>
</tr>
</tbody>
</table>

Related reference:
order-by-clause (DB2 SQL)
Ways to summarize group values

You can use the GROUP BY clause to summarize group values.

Use GROUP BY to group rows by the values of one or more columns. You can then apply aggregate functions to each group. You can use an expression in the GROUP BY clause to specify how to group the rows.

Except for the columns that are named in the GROUP BY clause, the SELECT statement must specify any other selected columns as an operand of one of the aggregate functions.

Example: This query lists, for each department, the lowest and highest education level within that department: The result table looks like this:

```
SELECT DEPT, MIN(EDL), MAX(EDL)
FROM EMP
GROUP BY DEPT;
```

```
DEPT =
A00 14 18
B01 18 18
C01 18 20
D11 16 18
E21 14 16
```

If a column that you specify in the GROUP BY clause contains null values, DB2 considers those null values to be equal, and all nulls form a single group.

Within the SELECT statement, the GROUP BY clause follows the FROM clause and any WHERE clause, and it precedes the HAVING and ORDER BY clauses.

You can also group the rows by the values of more than one column.

Example: This query finds the average salary for employees with the same job in departments D11 and E21:

```
SELECT DEPT, JOB, AVG(SALARY) AS AVG_SALARY
FROM EMP
WHERE DEPT IN ('D11', 'E21')
GROUP BY DEPT, JOB;
```

The result table looks like this:

```
DEPT JOB AVG_SALARY
D11 DES 28790.00000000
D11 MGR 32250.00000000
E21 FLD 23053.33333333
```

In this example, DB2 groups the rows first by department number and next (within each department) by job before deriving the average salary value for each group.

Example: This query finds the average salary for all employees that were hired in the same year. You can use the following subselect to group the rows by the year of hire:

```
SELECT AVG(SALARY), YEAR(HIREDATE)
GROUP BY YEAR(HIREDATE);
```

Related tasks:
Ways to merge lists of values

There are several ways to use the UNION keyword for merging lists of values.

A union is an SQL operation that combines the results of two SELECT statements to form a single result table. When DB2 encounters the UNION keyword, it processes each SELECT statement to form an interim result table. DB2 then combines the interim result table of each statement. If you use UNION to combine two columns with the same name, the corresponding column of the result table inherits that name.

You can use the UNION keyword to obtain distinct rows in the result table of a union, or you can use UNION with the optional keyword ALL to obtain all rows, including duplicates.

How to eliminate duplicates

Use UNION to eliminate duplicates when merging lists of values that are obtained from several tables. The following example combines values from the EMP table and the EMPPROJACT table.

Example 1: List the employee numbers of all employees for which either of the following statements is true:
• The department number of the employee begins with 'D'.
• The employee is assigned to projects whose project numbers begin with 'MA'.

```
SELECT EMPNO FROM EMP
  WHERE DEPT LIKE 'D%'
UNION
SELECT EMPNO FROM EMPPROJACT
  WHERE PROJNO LIKE 'MA'
```

The result table looks like the following example:

```
EMPNO
======
000010
000020
000060
000200
000220
```

The result is the union of two result tables, one formed from the EMP table, the other formed from the EMPPROJACT table. The result, a one-column table, is a list of employee numbers. The entries in the list are distinct.

How to retain duplicates

If you want to keep duplicates in the result of a union, specify the optional keyword ALL after the UNION keyword.
Example 1: Replace the UNION keyword in the previous example with UNION ALL:

```sql
SELECT EMPNO FROM EMP
WHERE DEPT LIKE 'D%'
UNION ALL
SELECT EMPNO FROM EMPPROJECT
WHERE PROJNO LIKE 'MA'
```

The result table looks like the following example:

<table>
<thead>
<tr>
<th>EMPNO</th>
</tr>
</thead>
<tbody>
<tr>
<td>000220</td>
</tr>
<tr>
<td>000200</td>
</tr>
<tr>
<td>000060</td>
</tr>
<tr>
<td>000010</td>
</tr>
<tr>
<td>000020</td>
</tr>
<tr>
<td>000010</td>
</tr>
</tbody>
</table>

Now, 000010 is included in the list more than once because this employee works in a department that begins with 'D' and also works on a project that begins with 'MA'.

Related reference:

[fullselect (DB2 SQL)](fullselect_DB2_SQL)

### Ways to specify search conditions

You can use the HAVING clause in a variety of ways to specify search conditions.

Use HAVING to specify a search condition that each retrieved group must satisfy. The HAVING clause acts like a WHERE clause for groups, and it can contain the same kind of search conditions that you can specify in a WHERE clause. The search condition in the HAVING clause tests properties of each group rather than properties of individual rows in the group.

**Example:** Consider this query:

```sql
SELECT DEPT, AVG(SALARY) AS AVG_SALARY
FROM EMP
GROUP BY DEPT
HAVING COUNT(*) > 1
ORDER BY DEPT;
```

The result table looks like this:

<table>
<thead>
<tr>
<th>DEPT</th>
<th>AVG_SALARY</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>42833.33333333</td>
</tr>
<tr>
<td>C01</td>
<td>31696.66666666</td>
</tr>
<tr>
<td>D11</td>
<td>29943.33333333</td>
</tr>
<tr>
<td>E21</td>
<td>23053.33333333</td>
</tr>
</tbody>
</table>

The HAVING COUNT(*) > 1 clause ensures that only departments with more than one member are displayed. (In this case, department B01 is not displayed because it consists of only one employee.)

**Example:** You can use the HAVING clause to retrieve the average salary and minimum education level of employees that were hired after 1990 and who report to departments in which the education level of all employees is greater than or
equal to 14. Assuming that you want results only from departments A00 and D11, the following SQL statement tests the group property, MIN(EDL):

```sql
SELECT DEPT, AVG(SALARY) AS AVG_SALARY,
       MIN(EDL) AS MIN_EDL
FROM EMP
WHERE HIREDATE >= '1990-01-01' AND DEPT IN ('A00', 'D11')
GROUP BY DEPT
HAVING MIN(EDL) >= 14;
```

The result table looks like this:

<table>
<thead>
<tr>
<th>DEPT</th>
<th>AVG_SALARY</th>
<th>MIN_EDL</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>29250.00</td>
<td>14</td>
</tr>
<tr>
<td>D11</td>
<td>29943.33</td>
<td>16</td>
</tr>
</tbody>
</table>

When you specify both GROUP BY and HAVING, the HAVING clause must follow the GROUP BY clause in the syntax. A function in a HAVING clause can include multiple occurrences of the DISTINCT clause. You can also connect multiple predicates in a HAVING clause with AND and OR, and you can use NOT for any predicate of a search condition.

**Related concepts:**
- “Ways to summarize group values” on page 121
- Predicates (DB2 SQL)

**Related reference:**
- having-clause (DB2 SQL)
- select-statement (DB2 SQL)
- where-clause (DB2 SQL)

### Ways to join data from more than one table

When you want to see information from multiple tables, you can use a SELECT statement. SELECT statements can retrieve and join column values from two or more tables into a single row. The retrieval is based on a specified condition, typically of matching column values.

The main ingredient of a join is, typically, matching column values in rows of each table that participates in the join. The result of a join associates rows from one table with rows from another table. Depending on the type of join operation, some rows might be formed that contain column values in one table that do not match column values in another table.

A **joined-table** specifies an intermediate result table that is the result of either an inner join or an outer join. The table is derived by applying one of the join operators—INNER, FULL OUTER, LEFT OUTER, or RIGHT OUTER—to its operands.

DB2 supports inner joins and outer joins (left, right, and full).
**Inner join**

Combines each row of the left table with each row of the right table, keeping only the rows in which the join condition is true.

**Outer join**

Includes the rows that are produced by the inner join, plus the missing rows, depending on the type of outer join:

- **Left outer join**
  
  Includes the rows from the left table that were missing from the inner join.

- **Right outer join**
  
  Includes the rows from the right table that were missing from the inner join.

- **Full outer join**
  
  Includes the rows from both tables that were missing from the inner join.

The majority of examples in this topic use two example tables: the parts table (PARTS) and the products table (PRODUCTS), which consist of hardware supplies.

The following figure shows that each row in the PARTS table contains data for a single part: the part name, the part number, and the supplier of the part.

<table>
<thead>
<tr>
<th>PART</th>
<th>PROD#</th>
<th>SUPPLIER</th>
</tr>
</thead>
<tbody>
<tr>
<td>WIRE</td>
<td>10</td>
<td>ACWF</td>
</tr>
<tr>
<td>OIL</td>
<td>160</td>
<td>WESTERN_CHEM</td>
</tr>
<tr>
<td>MAGNETS</td>
<td>10</td>
<td>BATEMAN</td>
</tr>
<tr>
<td>PLASTIC</td>
<td>30</td>
<td>PLASTIK_CORP</td>
</tr>
<tr>
<td>BLADES</td>
<td>205</td>
<td>ACE_ STEEL</td>
</tr>
</tbody>
</table>

*Figure 25. Example PARTS table*

The following figure shows that each row in the PRODUCTS table contains data for a single product: the product number, name, and price.

<table>
<thead>
<tr>
<th>PROD#</th>
<th>PRODUCT</th>
<th>PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>505</td>
<td>SCREWDRIVER</td>
<td>3.70</td>
</tr>
<tr>
<td>30</td>
<td>RELAY</td>
<td>7.55</td>
</tr>
<tr>
<td>205</td>
<td>SAW</td>
<td>18.90</td>
</tr>
<tr>
<td>10</td>
<td>GENERATOR</td>
<td>45.75</td>
</tr>
</tbody>
</table>

*Figure 26. Example PRODUCTS table*

The following figure shows the ways to combine the PARTS and PRODUCTS tables by using outer join functions. The illustration is based on a subset of columns in each table.
An inner join consists of rows that are formed from the PARTS and PRODUCTS tables, based on matching the equality of column values between the PROD# column in the PARTS table and the PROD# column in the PRODUCTS table. The inner join does not contain any rows that are formed from unmatched columns when the PROD# columns are not equal.

You can specify joins in the FROM clause of a query. Data from the rows that satisfy the search conditions are joined from all the tables to form the result table.

The result columns of a join have names if the outermost SELECT list refers to base columns. However, if you use a function (such as COALESCE) to build a column of the result, that column does not have a name unless you use the AS clause in the SELECT list.

Related concepts:
- Investigating join operations (DB2 Performance)

Related tasks:
- Joining data from more than one table (DB2 Application programming and SQL)

Related reference:
- select-statement (DB2 SQL)
- from-clause (DB2 SQL)
- joined-table (DB2 SQL)

**Inner join**

You can use an inner join in a SELECT statement to retrieve only the rows that satisfy the join conditions on every specified table.

You can request an inner join, by running a SELECT statement in which you specify the tables that you want to join the FROM clause and specify a WHERE
clause or an ON clause to indicate the join condition. The join condition can be any simple or compound search condition that does not contain a subquery reference.

In the simplest type of inner join, the join condition is column1=column2. **Example:** You can join the PARTS and PRODUCTS tables on the PROD# column to form a table of parts with their suppliers and the products that use the parts. Consider the two following SELECT statements:

```
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT
FROM PARTS, PRODUCTS
WHERE PARTS.PROD# = PRODUCTS.PROD#;
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT
FROM PARTS INNER JOIN PRODUCTS
ON PARTS.PROD# = PRODUCTS.PROD#;
```

Either of these statements gives this result:

```
PART  SUPPLIER  PROD#  PRODUCT
-------  ============  =====  =========
WIRE    ACWF       10     GENERATOR
MAGNETS BATEMAN 10     GENERATOR
BLADES  ACE_STEEL 205   SAW
PLASTIC PLASTIK_CORP 30   RELAY
```

Notice three things about this example:

- One part in the PARTS table (OIL) has a product number (160) that is not in the PRODUCTS table. One product (505, SCREWDRIVER) has no parts listed in the PARTS table. OIL and SCREWDRIVER do not appear in the result of the join.
- Explicit syntax expresses that this join is an inner join. You can use INNER JOIN in the FROM clause instead of the comma. ON (rather than WHERE) specifies the join condition when you explicitly join tables in the FROM clause.
- If you do not specify a WHERE clause in the first form of the query, the result table contains all possible combinations of rows for the tables that are identified in the FROM clause. You can obtain the same result by specifying a join condition that is always true in the second form of the query. **Example:** Consider this query:

```
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT
FROM PARTS INNER JOIN PRODUCTS
ON 1=1;
```

The number of rows in the result table is the product of the number of rows in each table:

```
PART  SUPPLIER  PROD#  PRODUCT
-------  ============  =====  =========
WIRE    ACWF       10     SCREWDRIVER
WIRE    ACWF       10     RELAY
WIRE    ACWF       10     SAW
WIRE    ACWF       10     GENERATOR
OIL     WESTERN_CHEM 160  SCREWDRIVER
OIL     WESTERN_CHEM 160  RELAY
OIL     WESTERN_CHEM 160  SAW
```
You can specify more complicated join conditions to obtain different sets of results.

**Example:** To eliminate the suppliers that begin with the letter A from the table of parts, suppliers, product numbers, and products, write a query like the following example:

```sql
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT
FROM PARTS INNER JOIN PRODUCTS
  ON PARTS.PROD# = PRODUCTS.PROD#
  AND SUPPLIER NOT LIKE 'A%';
```

The result of the query is all rows that do not have a supplier that begins with A:

<table>
<thead>
<tr>
<th>PART</th>
<th>SUPPLIER</th>
<th>PROD#</th>
<th>PRODUCT</th>
</tr>
</thead>
<tbody>
<tr>
<td>MAGNETS</td>
<td>BATEMAN</td>
<td>10</td>
<td>GENERATOR</td>
</tr>
<tr>
<td>PLASTIC</td>
<td>PLASTIK_CORP</td>
<td>30</td>
<td>RELAY</td>
</tr>
</tbody>
</table>

**Example:** This example joins the PROJ table to itself by using an inner join. The query returns the number and name of each major project, followed by the number and name of the project that is part of it:

```sql
SELECT A.PROJNO AS "MAJOR PROJ",
      A.PROJNAME AS "MAJOR PROJ NAME",
      B.PROJNO AS "PROJ #",
      B.PROJNAME AS "PROJ NAME"
FROM PROJ A, PROJ B
WHERE A.PROJNO=B.MAJPROJ;
```

In this example, A indicates the first instance of table PROJ, and B indicates a second instance of this table. The join condition is such that the value in column PROJNO in table PROJ A must be equal to a value in column MAJPROJ in table PROJ B.

The result table looks like the following example:

<table>
<thead>
<tr>
<th>MAJOR PROJ</th>
<th>MAJOR PROJ NAME</th>
<th>PROJ #</th>
<th>PROJ NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>AD3100</td>
<td>ADMIN SERVICES</td>
<td></td>
<td>GENERAL AD SYSTEMS</td>
</tr>
<tr>
<td>AD3110</td>
<td>GENERAL AD SYSTEMS</td>
<td>AD3111</td>
<td>PAYROLL PROGRAMMING</td>
</tr>
<tr>
<td>AD3110</td>
<td>GENERAL AD SYSTEMS</td>
<td>AD3112</td>
<td>PERSONNEL PROGRAMMING</td>
</tr>
<tr>
<td>AD3110</td>
<td>GENERAL AD SYSTEMS</td>
<td>AD3113</td>
<td>ACCOUNT.PROGRAMMING</td>
</tr>
<tr>
<td>MA2100</td>
<td>WELD LINE AUTOMATION</td>
<td>MA2110</td>
<td>W L PROGRAMMING</td>
</tr>
<tr>
<td>MA2110</td>
<td>W L PROGRAMMING</td>
<td>MA2111</td>
<td>W L PROGRAM DESIGN</td>
</tr>
<tr>
<td>MA2110</td>
<td>W L PROGRAMMING</td>
<td>MA2112</td>
<td>W L ROBOT DESIGN</td>
</tr>
<tr>
<td>MA2110</td>
<td>W L PROGRAMMING</td>
<td>MA2113</td>
<td>W L PROD CONT PROGS</td>
</tr>
<tr>
<td>OP1000</td>
<td>OPERATION SUPPORT</td>
<td>OP1010</td>
<td>OPERATION</td>
</tr>
<tr>
<td>OP2000</td>
<td>GEN SYSTEMS SERVICES</td>
<td>OP2010</td>
<td>SYSTEMS SUPPORT</td>
</tr>
<tr>
<td>OP2010</td>
<td>SYSTEMS SUPPORT</td>
<td>OP2011</td>
<td>SCP SYSTEMS SUPPORT</td>
</tr>
<tr>
<td>OP2010</td>
<td>SYSTEMS SUPPORT</td>
<td>OP2012</td>
<td>APPLICATIONS SUPPORT</td>
</tr>
<tr>
<td>OP2010</td>
<td>SYSTEMS SUPPORT</td>
<td>OP2013</td>
<td>DB/DC SUPPORT</td>
</tr>
<tr>
<td>MA2100</td>
<td>WELD LINE AUTOMATION</td>
<td>PL2100</td>
<td>WELD LINE PLANNING</td>
</tr>
</tbody>
</table>

In this example, the comma in the FROM clause implicitly specifies an inner join, and it acts the same as if the INNER JOIN keywords had been used. When you use the comma for an inner join, you must specify the join condition in the
WHERE clause. When you use the INNER JOIN keywords, you must specify the join condition in the ON clause.

Related concepts:
- “Ways to access data” on page 99
- “Subqueries” on page 131
- Inner joins (DB2 Application programming and SQL)

Related reference:
- select-statement (DB2 SQL)
- join-condition (DB2 SQL)

Left outer join
The LEFT OUTER JOIN clause lists rows from the left table even if there are no matching rows on right table.

As in an inner join, the join condition of a left outer join can be any simple or compound search condition that does not contain a subquery reference.

Example: To include rows from the PARTS table that have no matching values in the PRODUCTS table and to include prices that exceed $10.00, run this query:

```sql
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT, PRICE
FROM PARTS LEFT OUTER JOIN PRODUCTS
ON PARTS.PROD#=PRODUCTS.PROD#
AND PRODUCTS.PRICE>10.00;
```

The result table looks like the following example:

<table>
<thead>
<tr>
<th>PART</th>
<th>SUPPLIER</th>
<th>PROD#</th>
<th>PRODUCT</th>
<th>PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>WIRE</td>
<td>ACWF</td>
<td>10</td>
<td>GENERATOR</td>
<td>45.75</td>
</tr>
<tr>
<td>MAGNETS</td>
<td>BATEMAN</td>
<td>10</td>
<td>GENERATOR</td>
<td>45.75</td>
</tr>
<tr>
<td>OIL</td>
<td>WESTERN_CHEM</td>
<td>160</td>
<td>----------</td>
<td>-----</td>
</tr>
<tr>
<td>BLADES</td>
<td>ACE_STEEL</td>
<td>205</td>
<td>SAW</td>
<td>18.90</td>
</tr>
<tr>
<td>PLASTIC</td>
<td>PLASTIK_CORP</td>
<td>30</td>
<td>----------</td>
<td>-----</td>
</tr>
</tbody>
</table>

Because the PARTS table can have rows that are not matched by values in the joined columns and because the PRICE column is not in the PARTS table, rows in which the PRICE value does not exceed $10.00 are included in the result of the join, but the PRICE value is set to null.

In this result table, the row for PROD# 160 has null values on the right two columns because PROD# 160 does not match another product number. PROD# 30 has null values on the right two columns because the price of PROD# 30 is less than $10.00.

Related concepts:
- “Subqueries” on page 131
- Left outer join (DB2 Application programming and SQL)
- Outer joins (DB2 Application programming and SQL)

Related reference:
- select-statement (DB2 SQL)
Right outer join
The RIGHT OUTER JOIN clause lists rows from the right table even if there are no matching rows on left table.

As in an inner join, the join condition of a right outer join can be any simple or compound search condition that does not contain a subquery reference.

Example: To include rows from the PRODUCTS table that have no matching values in the PARTS table and to include only prices that exceed $10.00, run this query:

```sql
SELECT PART, SUPPLIER, PRODUCTS.PROD#, PRODUCT, PRICE
FROM PARTS RIGHT OUTER JOIN PRODUCTS
ON PARTS.PROD# = PRODUCTS.PROD#
WHERE PRODUCTS.PRICE>10.00;
```

The result table looks like the following example:

<table>
<thead>
<tr>
<th>PART</th>
<th>SUPPLIER</th>
<th>PROD#</th>
<th>PRODUCT</th>
<th>PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>MAGNETS</td>
<td>BATEMAN</td>
<td>10</td>
<td>GENERATOR</td>
<td>45.75</td>
</tr>
<tr>
<td>WIRE</td>
<td>ACWF</td>
<td>10</td>
<td>GENERATOR</td>
<td>45.75</td>
</tr>
<tr>
<td>BLADES</td>
<td>ACE_STEEL</td>
<td>205</td>
<td>SAW</td>
<td>18.90</td>
</tr>
</tbody>
</table>

Because the PRODUCTS table cannot have rows that are not matched by values in the joined columns and because the PRICE column is in the PRODUCTS table, rows in which the PRICE value does not exceed $10.00 are not included in the result of the join.

Related concepts:
- Right outer join (DB2 Application programming and SQL)
- Outer joins (DB2 Application programming and SQL)

Related reference:
- select-statement (DB2 SQL)
- join-condition (DB2 SQL)

Full outer join
The FULL OUTER JOIN clause results in the inclusion of rows from two tables. If a value is missing when rows are joined, that value is null in the result table.

The join condition for a full outer join must be a search condition that compares two columns. The predicates of the search condition can be combined only with AND. Each predicate must have the form 'expression = expression'.

Example 1: This query performs a full outer join of the PARTS and PRODUCTS tables:

```sql
SELECT PART, SUPPLIER, PARTS.PROD#, PRODUCT
FROM PARTS FULL OUTER JOIN PRODUCTS
ON PARTS.PROD# = PRODUCTS.PROD#;
```

The result table looks like this:
Using COALESCE

This function can be particularly useful in full outer join operations because it returns the first nonnull value. For example, notice that the result in the example above is null for SCREWDRIVER, even though the PRODUCTS table contains a product number for SCREWDRIVER. If you select PRODUCTS.PROD# instead, PROD# is null for OIL. If you select both PRODUCTS.PROD# and PARTS.PROD#, the result contains two columns, and both columns contain some null values.

Example 2: You can merge data from both columns into a single column, eliminating the null values, by using the COALESCE function. Consider this query with the same PARTS and PRODUCTS tables:

```
SELECT PART, SUPPLIER,
COALESCE(PARTS.PROD#, PRODUCTS.PROD#) AS PRODNUM, PRODUCT
FROM PARTS FULL OUTER JOIN PRODUCTS
ON PARTS.PROD# = PRODUCTS.PROD#;
```

This statement gives this result:

<table>
<thead>
<tr>
<th>PART</th>
<th>SUPPLIER</th>
<th>PRODNUM</th>
<th>PRODUCT</th>
</tr>
</thead>
<tbody>
<tr>
<td>WIRE</td>
<td>ACWF</td>
<td>10</td>
<td>GENERATOR</td>
</tr>
<tr>
<td>MAGNETS</td>
<td>BATEMAN</td>
<td>10</td>
<td>GENERATOR</td>
</tr>
<tr>
<td>OIL</td>
<td>WESTERN_CHEM</td>
<td>160</td>
<td>---------</td>
</tr>
<tr>
<td>BLADES</td>
<td>ACE_STEEL</td>
<td>205</td>
<td>SAW</td>
</tr>
<tr>
<td>PLASTIC</td>
<td>PLASTIK_CORP</td>
<td>30</td>
<td>RELAY</td>
</tr>
<tr>
<td>----------</td>
<td>----------------</td>
<td>---------</td>
<td>----------</td>
</tr>
<tr>
<td>----------</td>
<td>----------------</td>
<td>---------</td>
<td>----------</td>
</tr>
<tr>
<td>----------</td>
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<td>---------</td>
<td>----------</td>
</tr>
<tr>
<td>----------</td>
<td>----------------</td>
<td>---------</td>
<td>----------</td>
</tr>
<tr>
<td>----------</td>
<td>----------------</td>
<td>---------</td>
<td>----------</td>
</tr>
</tbody>
</table>

The AS clause AS PRODNUM provides a name for the result of the COALESCE function.

Related concepts:
- Full outer join (DB2 Application programming and SQL)

Related reference:
- select-statement (DB2 SQL)
- join-condition (DB2 SQL)

Subqueries

You can use a subquery to narrow a search condition that is based on information in an interim table.
A subquery is a nested SQL statement, or subselect, that contains a SELECT statement within the WHERE or HAVING clause of another SQL statement. You can also code more complex subqueries, such as correlated subqueries and subqueries with quantified predicates.

You can use a subquery when you need to narrow your search condition that is based on information in an interim table. For example, you might want to find all employee numbers in one table that also exist for a given project in a second table.

Example: Suppose that you want a list of the employee numbers, names, and commissions of all employees that work on a particular project, such as project number IF2000. The first part of the SELECT statement is easy to write:

```sql
SELECT EMPNO, LASTNAME, COMM
FROM EMP
WHERE EMPNO...
```

However, you cannot go further because the EMP table does not include project number data. You do not know which employees are working on project IF2000 without issuing another SELECT statement against the EMPPROJACT table.

You can use a subselect to solve this problem. The SELECT statement that surrounds the subquery is the outer SELECT.

Example: This query expands the SELECT statement that started in the previous example to include a subquery:

```sql
SELECT EMPNO, LASTNAME, COMM
FROM EMP
WHERE EMPNO IN
(SELECT EMPNO
FROM EMPPROJACT
WHERE PROJNO = 'IF2000');
```

To better understand what happens as a result from this SQL statement, imagine that DB2 goes through the following process:

1. DB2 evaluates the subquery to obtain a list of EMPNO values:

   ```sql
   (SELECT EMPNO
    FROM EMPPROJACT
    WHERE PROJNO = 'IF2000');
   ```

   The result is the following interim result table:

<table>
<thead>
<tr>
<th>EMPNO</th>
</tr>
</thead>
<tbody>
<tr>
<td>000140</td>
</tr>
<tr>
<td>000030</td>
</tr>
<tr>
<td>000140</td>
</tr>
<tr>
<td>000140</td>
</tr>
<tr>
<td>000030</td>
</tr>
</tbody>
</table>

2. The interim result table then serves as a list in the search condition of the outer SELECT. Effectively, DB2 runs this SELECT statement:

   ```sql
   SELECT EMPNO, LASTNAME, COMM
   FROM EMP
   WHERE EMPNO IN
   ('000140', '000030');
   ```

   The result table looks like this:
Ways to access DB2 data that is not in a table

You can access DB2 data even if it is not in a table.

This method of data access can be accomplished in two ways.

- Set the contents of a host variable to the value of an expression by using the SET host-variable assignment statement.

  **Example:**
  
  ```sql
  EXEC SQL SET :HVRANDVAL = RAND(:HVRAND);
  ```

- In addition, you can use the VALUES INTO statement to return the value of an expression in a host variable.

  **Example:**
  
  ```sql
  EXEC SQL VALUES RAND(:HVRAND) INTO :HVRANDVAL;
  ```

Ways to modify data

You can use SQL statements to add, modify, merge, and remove data in existing tables. You can use the INSERT, UPDATE, MERGE, TRUNCATE, and DELETE statements to manipulate DB2 data.

If you insert, update, merge, or delete data, you can retrieve the data immediately. If you open a cursor and then modify data, you see the modified data only in some circumstances.

Any modifications must maintain the integrity of table relationships. DB2 ensures that an insert, update, or delete operation does not violate any referential constraint or check constraint that is defined on a table.

Before modifying data in your tables, create duplicate tables for testing purposes so that the original table data remains intact. Assume that you created two new tables, NEWDEPT and NEWEMP, that duplicate the DEPT and EMP tables.
Insert statements

You can use an INSERT statement to add new rows to a table or view.

You can use an INSERT statement to take the following actions:

- Specify the values to insert in a single row. You can specify constants, host variables, expressions, DEFAULT, or NULL.
- Use host variable arrays in the VALUES clause of the INSERT FOR n ROWS statement to insert multiple rows into a table.
- Include a SELECT statement in the INSERT statement to tell DB2 that another table or view contains the data for the new row or rows.

You can add new data to an existing table in other ways, too. You might need to add large amounts of data to an existing table. Some efficient options include copying a table into another table, writing an application program that enters data into a table, and using the DB2 LOAD utility to enter data.

Suppose that you want to add a new row to the NEWDEPT table. Use this INSERT statement:

```
INSERT INTO NEWDEPT (DEPTNO, DEPTNAME, MGRNO, ADMRDEPT)
VALUES ('E31', 'PUBLISHING', '000020', 'D11');
```

After inserting the new department row into the NEWDEPT table, you can use a SELECT statement to see what the modified table looks like. Use this query:

```
SELECT *
FROM NEWDEPT
WHERE DEPTNO LIKE 'E%'
ORDER BY DEPTNO;
```

The result table gives you the new department row that you inserted for department E31 and the existing departments with a department number beginning in E.

<table>
<thead>
<tr>
<th>DEPTNO</th>
<th>DEPTNAME</th>
<th>MGRNO</th>
<th>ADMRDEPT</th>
</tr>
</thead>
<tbody>
<tr>
<td>E21</td>
<td>SOFTWARE SUPPORT</td>
<td>------</td>
<td>D11</td>
</tr>
<tr>
<td>E31</td>
<td>PUBLISHING</td>
<td>000020</td>
<td>D11</td>
</tr>
</tbody>
</table>
**Update statements**

You can change the data in a table by using the UPDATE statement or the MERGE statement.

The UPDATE statement modifies zero or more rows of a table, depending on how many rows satisfy the search condition that you specify in the WHERE clause.

You can use an UPDATE or MERGE statement to specify the values that are to be updated in a single row. You can specify constants, host variables, expressions, DEFAULT, or NULL. Specify NULL to remove a value from a row’s column (without removing the row).

Suppose that an employee gets a promotion. To update several items of the employee's data in the NEWEMP table that reflects the move, use this UPDATE statement:

```
UPDATE NEWEMP
SET JOB = 'MGR',
    DEPT = 'E21'
WHERE EMPNO = '100125';
```

**Merge statements**

The MERGE statement updates a target with specified input data.

The target of a MERGE statement can be a table or a view. Rows in the target that match the input data are updated as specified, and rows that do not exist in the target are inserted. You also can use a MERGE statement with host variable arrays to insert and update data. The MERGE statement can also update underlying tables or views of a fullselect.
Delete statements

You can use the DELETE statement to remove entire rows from a table.

The DELETE statement removes zero or more rows of a table, depending on how many rows satisfy the search condition that you specify in the WHERE clause. If you omit a WHERE clause from a DELETE statement, DB2 removes all rows from the table or view you name. Therefore, use the DELETE statement carefully. The DELETE statement does not remove specific columns from the row.

Consider this DELETE statement:
```
DELETE FROM NEWEMP
WHERE EMPNO = '000060';
```

This DELETE statement deletes each row in the NEWEMP table that has employee number 000060.

Truncate statements

You can use the TRUNCATE statement to delete all rows for base tables or declared global temporary tables.

You can embed a TRUNCATE statement in an application program or issue it interactively. TRUNCATE statements are executable statements that you can prepare dynamically. To truncate a table, you must have the proper authorization or be the owner of the table. The TRUNCATE statement must not be confused with the TRUNCATE function.

This example empties an unused inventory table regardless of any existing triggers and returns its allocated space.
```
TRUNCATE TABLE INVENTORY
DROP STORAGE
IGNORE DELETE TRIGGERS;
```

Related tasks:
- Deleting data from tables (DB2 Application programming and SQL)

Related reference:
- TRUNCATE (DB2 SQL)
Ways to execute SQL

You can execute, or run, SQL statements in applications or interactively. The method of preparing an SQL statement for execution and the persistence of its operational form distinguish static SQL from dynamic SQL.

**Static SQL**

You can use static SQL when you know before run time what SQL statements your application needs to run.

The source form of a static SQL statement is embedded within an application program that is written in a host programming language, such as C. The statement is prepared before the program is executed, and the operational form of the statement persists beyond the execution of the program.

**Dynamic SQL**

You can use dynamic SQL when you do not know the content of an SQL statement when you write a program or before you run it.

You can issue dynamic SQL statements in the following contexts:

**Interactive SQL**

A user enters SQL statements through SPUFI, the command line processor, or an interactive tool, such as DB2 QMF for Windows. DB2 prepares and executes those statements as dynamic SQL statements.

**Embedded dynamic SQL**

Your application puts the SQL source in host variables and includes PREPARE and EXECUTE statements that tell DB2 to prepare and run the contents of those host variables at run time. You must precompile and bind programs that include embedded dynamic SQL.

**Deferred embedded SQL**

Deferred embedded SQL statements are neither fully static nor fully dynamic. Like static statements, deferred embedded SQL statements are embedded within applications; however, like dynamic statements, they are prepared at run time. DB2 processes the deferred embedded SQL statements with bind-time rules. For example, DB2 uses the authorization ID and qualifier (that are determined at bind time) as the plan or package owner.

**Dynamic SQL executed through ODBC or JDBC functions**

Your application contains ODBC function calls that pass dynamic SQL statements as arguments. You do not need to precompile and bind programs that use ODBC function calls.

JDBC application support lets you write dynamic SQL applications in Java.

You can use IBM pureQuery to add static functionality to dynamic SQL. IBM pureQuery features an intuitive API and enables SQL access to databases or
in-memory Java objects. You can also use Data Studio pureQuery Runtime to enable flexible static SQL deployment for DB2.

Related concepts:
- “Dynamic SQL applications” on page 183
- Differences between static and dynamic SQL (DB2 Application programming and SQL)
- “DB2 access for Java: SQLJ, JDBC, pureQuery”

Related tasks:
- Improving dynamic SQL performance (DB2 Performance)
- Including dynamic SQL in your program (DB2 Application programming and SQL)

DB2 ODBC

DB2 ODBC (Open Database Connectivity) is an application programming interface (API) that enables C and C++ application programs to access relational databases.

This interface offers an alternative to using embedded static SQL and a different way of performing dynamic SQL. Through the interface, an application invokes a C function at execution time to connect to a data source, to dynamically issue SQL statements, and to retrieve data and status information.

DB2 access for Java: SQLJ, JDBC, pureQuery

SQLJ, JDBC, and pureQuery are methods for accessing DB2 data from applications that are written in the Java programming language.

In general, Java applications use SQLJ for static SQL, and they use JDBC for dynamic SQL. IBM pureQuery provides benefits to both static and dynamic SQL.

Related concepts:
- “Use of Java to execute static and dynamic SQL” on page 186

Interactive SQL

Interactive SQL refers to SQL statements that you submit to DB2 by using a query tool, such as DB2 QMF for Workstation.

The easiest and most efficient way to run SQL is to use a query tool. DB2 Query Management Facility (QMF) for Workstation is a popular query tool that lets you enter and run your SQL statements easily. This topic acquaints you with using DB2 QMF for Workstation to create and run SQL statements. DB2 QMF for Workstation simplifies access to DB2 from a workstation. In fact, QMF for Workstation was built for DB2.

Although this topic focuses on DB2 QMF for Workstation, other options are available. You can use DB2 QMF for WebSphere to enter and run SQL statements from your web browser or use DB2 QMF for TSO/CICS to enter and run SQL statements from TSO or CICS. In addition, you can enter and run SQL statements at a TSO terminal by using the SPUFI (SQL processor using file input) facility. SPUFI prepares and executes these statements dynamically. All of these tools prepare and dynamically execute the SQL statements.
The DB2 QMF family of technologies establish pervasive production and sharing of business intelligence for information-oriented tasks in the organization. DB2 QMF offers many strengths, including the following:

- Support for functionality in the DB2 database, including long names, Unicode, and SQL enhancements
- Drag-and-drop capability for building OLAP analytics, SQL queries, pivot tables, and other business analysis and reports
- Executive dashboards and data visual solutions that offer visually rich, interactive functionality and interfaces for data analysis
- Support for DB2 QMF for WebSphere, a tool that turns any web browser into a zero-maintenance, thin client for visual on demand access to enterprise DB2 data
- Re-engineered cross-platform development environment
- New security model for access control and personalization

The visual solutions previously provided by DB2 QMF Visionary are now included in the core DB2 QMF technology.

In addition to DB2 QMF for Workstation, which this topic describes, the DB2 QMF family includes the following editions:

- **DB2 QMF Enterprise Edition** provides the entire DB2 QMF family of technologies, enabling enterprise-wide business information across user and database operating systems. This edition consists of:
  - DB2 QMF for TSO/CICS
  - DB2 QMF High Performance Option (HPO)
  - DB2 QMF for Workstation
  - DB2 QMF for WebSphere
  - DataQuant for Workstation
  - DataQuant for Workstation

- **DB2 QMF Classic Edition** supports users who work with traditional mainframe terminals and emulators (including WebSphere Host On Demand) to access DB2 databases. This edition consists of DB2 QMF for TSO/CICS.

**Use of DB2 Query Management Facility for Workstation**

DB2 Query Management Facility (QMF) for Workstation is a tool that helps you build and manage powerful queries without requiring previous experience with SQL.

With the query-related features of DB2 QMF for Workstation, you can perform the following tasks:

- Build powerful queries without knowing SQL
- Analyze query results online, including OLAP analysis
- Edit query results to update DB2 data
- Format traditional text-based reports and reports with rich formatting
- Display charts and other complex visuals
- Send query results to an application of your choice
- Develop applications using robust API commands

**How SQL statements are entered and processed**

You can create your SQL statements using DB2 QMF for Workstation in several ways:

- Use the Database Explorer window to easily find and run saved queries that everyone at the same database server can share.
- If you know SQL, type the SQL statement directly in the window.
If you don't know SQL, use the prompted or diagram interface to build the SQL statement.

The Database Explorer presents the objects that are saved on a server in a tree structure. By expanding and collapsing branches, you can easily locate and use saved queries. You can open the selected query and see the SQL statements or run the query.

If you need to build a new query, you can enter the SQL statements directly in the query window, or you can create the SQL statements using diagrams or prompts. As you build a query by using diagrams or prompts, you can open a view to see the SQL that is being created.

**How you can work with query results**

When you finish building the query, you can click the Run Query button to execute the SQL statements. After you run the query, DB2 QMF for Workstation returns the query results in an interactive window.

The query results are formatted by the comprehensive formatting options of DB2 QMF for Workstation. A robust expression language lets you conditionally format query results by retrieved column values. You can add calculated columns to the query results and group data columns on both axes with or without summaries. You can also use extensive drag-and-drop capabilities to easily restructure the appearance of the query results.

In addition to formatting the query results, you can perform the following actions:

- Create traditional text-based reports or state-of-the-art reports with rich formatting.
- Display query results by using charts and other complex visuals.
- Share reports by storing them on the database server.
- Send query results to various applications such as Microsoft Excel or Lotus® 1-2-3®.

**Related reference:**

- [DB2 QMF Version 10 information](#)

**DB2 sample tables**

Much of the DB2 information refers to or relies on the DB2 sample tables. As a group, the tables include information that describes employees, departments, projects, and activities, and they make up a sample application that exemplifies many of the features of DB2.

The sample storage group, databases, table spaces, tables, and views are created when you run the installation sample jobs DSNTEJ1 and DSNTEJ7. DB2 sample objects that include LOBs are created in job DSNTEJ7. All other sample objects are created in job DSNTEJ1. The CREATE INDEX statements for the sample tables are not shown here; they, too, are created by the DSNTEJ1 and DSNTEJ7 sample jobs.

Authorization on all sample objects is given to PUBLIC in order to make the sample programs easier to run. You can review the contents of any table by
executing an SQL statement, for example SELECT * FROM DSN8B10.PROJ. For convenience in interpreting the examples, the department and employee tables are listed in full.

**Activity table (DSN8B10.ACT)**

The activity table describes the activities that can be performed during a project.

The activity table resides in database DSN8D11A and is created with the following statement:

```
CREATE TABLE DSN8B10.ACT
    (ACTNO SMALLINT NOT NULL,
    ACTKWD CHAR(6) NOT NULL,
    ACTDESC VARCHAR(20) NOT NULL,
    PRIMARY KEY (ACTNO) )
```

**Content of the activity table**

The following table shows the content of the columns in the activity table.

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ACTNO</td>
<td>Activity ID (the primary key)</td>
</tr>
<tr>
<td>2</td>
<td>ACTKWD</td>
<td>Activity keyword (up to six characters)</td>
</tr>
<tr>
<td>3</td>
<td>ACTDESC</td>
<td>Activity description</td>
</tr>
</tbody>
</table>

The activity table has the following indexes.

<table>
<thead>
<tr>
<th>Name</th>
<th>On column</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XACT1</td>
<td>ACTNO</td>
<td>Primary, ascending</td>
</tr>
<tr>
<td>DSN8B10.XACT2</td>
<td>ACTKWD</td>
<td>Unique, ascending</td>
</tr>
</tbody>
</table>

**Relationship to other tables**

The activity table is a parent table of the project activity table, through a foreign key on column ACTNO.

**Department table (DSN8B10.DEPT)**

The department table describes each department in the enterprise and identifies its manager and the department to which it reports.
The department table resides in table space DSN8D11A.DSN8S11D and is created with the following statement:

```sql
CREATE TABLE DSN8B10.DEPT
(DEPTNO CHAR(3) NOT NULL,
 DEPTNAME VARCHAR(36) NOT NULL,
 MGRNO CHAR(6),
 ADMRDEPT CHAR(3) NOT NULL,
 LOCATION CHAR(16),
 PRIMARY KEY (DEPTNO)
) IN DSN8D11A.DSN8S11D
CCSID EBCDIC;
```

Because the department table is self-referencing, and also is part of a cycle of dependencies, its foreign keys must be added later with the following statements:

```sql
ALTER TABLE DSN8B10.DEPT
FOREIGN KEY RDD (ADMRDEPT) REFERENCES DSN8B10.DEPT
ON DELETE CASCADE;

ALTER TABLE DSN8B10.DEPT
FOREIGN KEY RDE (MGRNO) REFERENCES DSN8B10.EMP
ON DELETE SET NULL;
```

**Content of the department table**

The following table shows the content of the columns in the department table.

*Table 8. Columns of the department table*

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>DEPTNO</td>
<td>Department ID, the primary key.</td>
</tr>
<tr>
<td>2</td>
<td>DEPTNAME</td>
<td>A name that describes the general activities of the department.</td>
</tr>
<tr>
<td>3</td>
<td>MGRNO</td>
<td>Employee number (EMPNO) of the department manager.</td>
</tr>
<tr>
<td>4</td>
<td>ADMRDEPT</td>
<td>ID of the department to which this department reports; the department at the highest level reports to itself.</td>
</tr>
<tr>
<td>5</td>
<td>LOCATION</td>
<td>The remote location name.</td>
</tr>
</tbody>
</table>

The following table shows the indexes of the department table.

*Table 9. Indexes of the department table*

<table>
<thead>
<tr>
<th>Name</th>
<th>On column</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XDEPT1</td>
<td>DEPTNO</td>
<td>Primary, ascending</td>
</tr>
<tr>
<td>DSN8B10.XDEPT2</td>
<td>MGRNO</td>
<td>Ascending</td>
</tr>
<tr>
<td>DSN8B10.XDEPT3</td>
<td>ADMRDEPT</td>
<td>Ascending</td>
</tr>
</tbody>
</table>

The following table shows the content of the department table.
Table 10. DSN8B10.DEPT: department table

<table>
<thead>
<tr>
<th>DEPTNO</th>
<th>DEPTNAME</th>
<th>MGRNO</th>
<th>ADMRDEPT</th>
<th>LOCATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>SPIFFY COMPUTER SERVICE DIV.</td>
<td>000010</td>
<td>A00</td>
<td>---------</td>
</tr>
<tr>
<td>B01</td>
<td>PLANNING</td>
<td>000020</td>
<td>A00</td>
<td>---------</td>
</tr>
<tr>
<td>C01</td>
<td>INFORMATION CENTER</td>
<td>000030</td>
<td>A00</td>
<td>---------</td>
</tr>
<tr>
<td>D01</td>
<td>DEVELOPMENT CENTER</td>
<td></td>
<td>A00</td>
<td>---------</td>
</tr>
<tr>
<td>E01</td>
<td>SUPPORT SERVICES</td>
<td>000050</td>
<td>A00</td>
<td>---------</td>
</tr>
<tr>
<td>D11</td>
<td>MANUFACTURING SYSTEMS</td>
<td>000060</td>
<td>D01</td>
<td>---------</td>
</tr>
<tr>
<td>D21</td>
<td>ADMINISTRATION SYSTEMS</td>
<td>000070</td>
<td>D01</td>
<td>---------</td>
</tr>
<tr>
<td>E11</td>
<td>OPERATIONS</td>
<td>000090</td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>E21</td>
<td>SOFTWARE SUPPORT</td>
<td>00100</td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>F22</td>
<td>BRANCH OFFICE F2</td>
<td></td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>G22</td>
<td>BRANCH OFFICE G2</td>
<td></td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>H22</td>
<td>BRANCH OFFICE H2</td>
<td></td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>I22</td>
<td>BRANCH OFFICE I2</td>
<td></td>
<td>E01</td>
<td>---------</td>
</tr>
<tr>
<td>J22</td>
<td>BRANCH OFFICE J2</td>
<td></td>
<td>E01</td>
<td>---------</td>
</tr>
</tbody>
</table>

The LOCATION column contains null values until sample job DSNTEJ6 updates this column with the location name.

**Relationship to other tables**

The department table is self-referencing: the value of the administering department must be a valid department ID.

The department table is a parent table of the following:
- The employee table, through a foreign key on column WORKDEPT
- The project table, through a foreign key on column DEPTNO

The department table is a dependent of the employee table, through its foreign key on column MGRNO.

**Employee table (DSN8B10.EMP)**

The sample employee table identifies all employees by an employee number and lists basic personnel information.

The employee table resides in the partitioned table space DSN8D11A.DSN8S11E. Because this table has a foreign key that references DEPT, that table and the index on its primary key must be created first. Then EMP is created with the following statement:

```sql
CREATE TABLE DSN8B10.EMP
(EMPNO CHAR(6) NOT NULL,
FIRSTNAME VARCHAR(12) NOT NULL,
MIDINIT CHAR(1) NOT NULL,
LASTNAME VARCHAR(15) NOT NULL,
WORKDEPT CHAR(3) NOT NULL,
PHONENO CHAR(4) CONSTRAINT NUMBER CHECK
   (PHONENO >= '0000' AND
   PHONENO <= '9999'),
HIREDATE DATE,
JOB CHAR(8),
EDLEVEL SMALLINT,
SEX CHAR(1),
BIRTHDATE DATE)
```
**Content of the employee table**

The following table shows the type of content of each of the columns in the employee table. The table has a check constraint, NUMBER, which checks that the four-digit phone number is in the numeric range 0000 to 9999.

**Table 11. Columns of the employee table**

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>EMPNO</td>
<td>Employee number (the primary key)</td>
</tr>
<tr>
<td>2</td>
<td>FIRSTNME</td>
<td>First name of employee</td>
</tr>
<tr>
<td>3</td>
<td>MIDINIT</td>
<td>Middle initial of employee</td>
</tr>
<tr>
<td>4</td>
<td>LASTNAME</td>
<td>Last name of employee</td>
</tr>
<tr>
<td>5</td>
<td>WORKDEPT</td>
<td>ID of department in which the employee works</td>
</tr>
<tr>
<td>6</td>
<td>PHONENO</td>
<td>Employee telephone number</td>
</tr>
<tr>
<td>7</td>
<td>HIREDATE</td>
<td>Date of hire</td>
</tr>
<tr>
<td>8</td>
<td>JOB</td>
<td>Job held by the employee</td>
</tr>
<tr>
<td>9</td>
<td>EDLEVEL</td>
<td>Number of years of formal education</td>
</tr>
<tr>
<td>10</td>
<td>SEX</td>
<td>Sex of the employee (M or F)</td>
</tr>
<tr>
<td>11</td>
<td>BIRTHDATE</td>
<td>Date of birth</td>
</tr>
<tr>
<td>12</td>
<td>SALARY</td>
<td>Yearly salary in dollars</td>
</tr>
<tr>
<td>13</td>
<td>BONUS</td>
<td>Yearly bonus in dollars</td>
</tr>
<tr>
<td>14</td>
<td>COMM</td>
<td>Yearly commission in dollars</td>
</tr>
</tbody>
</table>

The following table shows the indexes of the employee table.

**Table 12. Indexes of the employee table**

<table>
<thead>
<tr>
<th>Name</th>
<th>On column</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XEMP1</td>
<td>EMPNO</td>
<td>Primary, partitioned, ascending</td>
</tr>
<tr>
<td>DSN8B10.XEMP2</td>
<td>WORKDEPT</td>
<td>Ascending</td>
</tr>
</tbody>
</table>

The following table shows the first half (left side) of the content of the employee table. The remaining content (right side) of the employee table is shown on page 145.
Table 13. Left half of DSN8B10.EMP: employee table. Note that a blank in the MIDINIT column is an actual value of "*" rather than null.

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>FIRSTNAME</th>
<th>MIDINIT</th>
<th>LASTNAME</th>
<th>WORKDEPT</th>
<th>PHONENO</th>
<th>HIREDATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>000010</td>
<td>CHRISTINE</td>
<td>I</td>
<td>HAAS</td>
<td>A00</td>
<td>3978</td>
<td>1965-01-01</td>
</tr>
<tr>
<td>000020</td>
<td>MICHAEL</td>
<td>L</td>
<td>THOMPSON</td>
<td>B01</td>
<td>3476</td>
<td>1973-10-10</td>
</tr>
<tr>
<td>000030</td>
<td>SALLY</td>
<td>A</td>
<td>Kwan</td>
<td>C01</td>
<td>4738</td>
<td>1975-04-05</td>
</tr>
<tr>
<td>000050</td>
<td>JOHN</td>
<td>B</td>
<td>GEYER</td>
<td>E01</td>
<td>6789</td>
<td>1949-08-17</td>
</tr>
<tr>
<td>000060</td>
<td>IRVING</td>
<td>F</td>
<td>STERN</td>
<td>D11</td>
<td>6423</td>
<td>1973-09-14</td>
</tr>
<tr>
<td>000070</td>
<td>EVA</td>
<td>D</td>
<td>PULASKI</td>
<td>D21</td>
<td>7831</td>
<td>1980-09-30</td>
</tr>
<tr>
<td>000090</td>
<td>EILEEN</td>
<td>W</td>
<td>HENDERSON</td>
<td>E11</td>
<td>5498</td>
<td>1970-08-15</td>
</tr>
<tr>
<td>000100</td>
<td>THEODORE</td>
<td>Q</td>
<td>SPENSER</td>
<td>E21</td>
<td>0972</td>
<td>1980-06-19</td>
</tr>
<tr>
<td>000110</td>
<td>VINCENZO</td>
<td>G</td>
<td>LUCHESSI</td>
<td>A00</td>
<td>3490</td>
<td>1958-05-16</td>
</tr>
<tr>
<td>000120</td>
<td>SEAN</td>
<td>O</td>
<td>O'CONNELL</td>
<td>A00</td>
<td>2167</td>
<td>1963-12-05</td>
</tr>
<tr>
<td>000130</td>
<td>DOLORES</td>
<td>M</td>
<td>QUINTANA</td>
<td>C01</td>
<td>4578</td>
<td>1971-07-28</td>
</tr>
<tr>
<td>000140</td>
<td>HEATHER</td>
<td>A</td>
<td>NICHLLOLS</td>
<td>C01</td>
<td>1793</td>
<td>1976-12-15</td>
</tr>
<tr>
<td>000150</td>
<td>BRUCE</td>
<td></td>
<td>ADAMSON</td>
<td>D11</td>
<td>4510</td>
<td>1972-02-12</td>
</tr>
<tr>
<td>000160</td>
<td>ELIZABETH</td>
<td>R</td>
<td>PIANKA</td>
<td>D11</td>
<td>3782</td>
<td>1977-10-11</td>
</tr>
<tr>
<td>000170</td>
<td>MASATOSHI</td>
<td>J</td>
<td>YOSHIMURA</td>
<td>D11</td>
<td>2890</td>
<td>1978-09-15</td>
</tr>
<tr>
<td>000180</td>
<td>MARILYN</td>
<td>S</td>
<td>SCOUTTEN</td>
<td>D11</td>
<td>1682</td>
<td>1973-07-07</td>
</tr>
<tr>
<td>000190</td>
<td>JAMES</td>
<td>H</td>
<td>WALKER</td>
<td>D11</td>
<td>2986</td>
<td>1974-07-26</td>
</tr>
<tr>
<td>000200</td>
<td>DAVID</td>
<td></td>
<td>BROWN</td>
<td>D11</td>
<td>4501</td>
<td>1966-03-03</td>
</tr>
<tr>
<td>000210</td>
<td>WILLIAM</td>
<td>T</td>
<td>JONES</td>
<td>D11</td>
<td>0942</td>
<td>1979-04-11</td>
</tr>
<tr>
<td>000220</td>
<td>JENNIFER</td>
<td>K</td>
<td>LUTZ</td>
<td>D11</td>
<td>0672</td>
<td>1968-08-29</td>
</tr>
<tr>
<td>000230</td>
<td>JAMES</td>
<td>J</td>
<td>JEFFERSON</td>
<td>D21</td>
<td>2094</td>
<td>1966-11-21</td>
</tr>
<tr>
<td>000240</td>
<td>SALVATORE</td>
<td>M</td>
<td>MARINO</td>
<td>D21</td>
<td>3780</td>
<td>1979-12-05</td>
</tr>
<tr>
<td>000250</td>
<td>DANIEL</td>
<td>S</td>
<td>SMITH</td>
<td>D21</td>
<td>0961</td>
<td>1969-10-30</td>
</tr>
<tr>
<td>000260</td>
<td>SYBIL</td>
<td>P</td>
<td>JOHNSON</td>
<td>D11</td>
<td>8958</td>
<td>1975-09-11</td>
</tr>
<tr>
<td>000270</td>
<td>MARIA</td>
<td>L</td>
<td>PEREZ</td>
<td>D21</td>
<td>9001</td>
<td>1980-09-30</td>
</tr>
<tr>
<td>000280</td>
<td>ETHEL</td>
<td>R</td>
<td>SCHNEIDER</td>
<td>E11</td>
<td>8997</td>
<td>1967-03-24</td>
</tr>
<tr>
<td>000290</td>
<td>JOHN</td>
<td>R</td>
<td>PARKER</td>
<td>E11</td>
<td>4502</td>
<td>1980-05-30</td>
</tr>
<tr>
<td>000300</td>
<td>PHILIP</td>
<td>X</td>
<td>SMITH</td>
<td>E11</td>
<td>2095</td>
<td>1972-06-19</td>
</tr>
<tr>
<td>000310</td>
<td>MAUDE</td>
<td>F</td>
<td>SETRIGHT</td>
<td>E11</td>
<td>3332</td>
<td>1964-09-12</td>
</tr>
<tr>
<td>000320</td>
<td>RAMAL</td>
<td>V</td>
<td>MEHTA</td>
<td>E21</td>
<td>9990</td>
<td>1965-07-07</td>
</tr>
<tr>
<td>000330</td>
<td>WING</td>
<td></td>
<td>LEE</td>
<td>E21</td>
<td>2103</td>
<td>1976-02-23</td>
</tr>
<tr>
<td>000340</td>
<td>JASON</td>
<td>R</td>
<td>GOUNOT</td>
<td>E21</td>
<td>5698</td>
<td>1947-05-05</td>
</tr>
<tr>
<td>200010</td>
<td>DIAN</td>
<td>J</td>
<td>HEMMINGER</td>
<td>A00</td>
<td>3978</td>
<td>1965-01-01</td>
</tr>
<tr>
<td>200120</td>
<td>GREG</td>
<td></td>
<td>ORLANDO</td>
<td>A00</td>
<td>2167</td>
<td>1972-05-05</td>
</tr>
<tr>
<td>200140</td>
<td>KIM</td>
<td>N</td>
<td>NATZ</td>
<td>C01</td>
<td>1793</td>
<td>1976-12-15</td>
</tr>
<tr>
<td>200170</td>
<td>KIYOSHI</td>
<td></td>
<td>YAMAMOTO</td>
<td>D11</td>
<td>2890</td>
<td>1978-09-15</td>
</tr>
<tr>
<td>200220</td>
<td>REBA</td>
<td>K</td>
<td>JOHN</td>
<td>D11</td>
<td>0672</td>
<td>1968-08-29</td>
</tr>
<tr>
<td>200240</td>
<td>ROBERT</td>
<td>M</td>
<td>MONTVERDE</td>
<td>D21</td>
<td>3780</td>
<td>1979-12-05</td>
</tr>
<tr>
<td>200280</td>
<td>EILEEN</td>
<td>R</td>
<td>SCHWARTZ</td>
<td>E11</td>
<td>8997</td>
<td>1967-03-24</td>
</tr>
<tr>
<td>200310</td>
<td>MICHELLE</td>
<td>F</td>
<td>SPRINGER</td>
<td>E11</td>
<td>3332</td>
<td>1964-09-12</td>
</tr>
<tr>
<td>200330</td>
<td>HELENA</td>
<td></td>
<td>WONG</td>
<td>E21</td>
<td>2103</td>
<td>1976-02-23</td>
</tr>
<tr>
<td>200340</td>
<td>ROY</td>
<td>R</td>
<td>ALONZO</td>
<td>E21</td>
<td>5698</td>
<td>1947-05-05</td>
</tr>
</tbody>
</table>

Table 13 shows the first half (right side) of the content of employee table.

Table 14. Right half of DSN8B10.EMP: employee table

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>JOB</th>
<th>EDLEVEL</th>
<th>SEX</th>
<th>BIRTHDATE</th>
<th>SALARY</th>
<th>BONUS</th>
<th>COMM</th>
</tr>
</thead>
<tbody>
<tr>
<td>000010</td>
<td>PRES</td>
<td>18</td>
<td>F</td>
<td>1933-08-14</td>
<td>52750.00</td>
<td>1000.00</td>
<td>4220.00</td>
</tr>
<tr>
<td>000020</td>
<td>MANAGER</td>
<td>18</td>
<td>M</td>
<td>1948-02-02</td>
<td>41250.00</td>
<td>800.00</td>
<td>3300.00</td>
</tr>
<tr>
<td>000030</td>
<td>MANAGER</td>
<td>20</td>
<td>F</td>
<td>1941-05-11</td>
<td>38250.00</td>
<td>800.00</td>
<td>3060.00</td>
</tr>
</tbody>
</table>

Chapter 6. SQL: The language of DB2
### Relationship to other tables

The employee table is a parent table of:
- The department table, through a foreign key on column MGRNO
- The project table, through a foreign key on column RESPEMP

The employee table is a dependent of the department table, through its foreign key on column WORKDEPT.
The sample employee photo and resume table complements the employee table.

Each row of the photo and resume table contains a photo of the employee, in two formats, and the employee’s resume. The photo and resume table resides in table space DSN8D11A.DSN8S11E. The following statement creates the table:

```sql
CREATE TABLE DSN8B10.EMP_PHOTO_RESUME
(EMPNO CHAR(06) NOT NULL,
EMP_ROWID ROWID NOT NULL GENERATED ALWAYS,
PSEG_PHOTO BLOB(500K),
BMP_PHOTO BLOB(100K),
RESUME CLOB(5K))
PRIMARY KEY (EMPNO)
IN DSN8D11L.DSN8S11B
CCSID EBCDIC;
```

DB2 requires an auxiliary table for each LOB column in a table. The following statements define the auxiliary tables for the three LOB columns in DSN8B10.EMP_PHOTO_RESUME:

```sql
CREATE AUX TABLE DSN8B10.AUX_BMP_PHOTO
IN DSN8D11L.DSN8S11M
STORES DSN8B10.EMP_PHOTO_RESUME
COLUMN BMP_PHOTO;

CREATE AUX TABLE DSN8B10.AUX_PSEG_PHOTO
IN DSN8D11L.DSN8S11L
STORES DSN8B10.EMP_PHOTO_RESUME
COLUMN PSEG_PHOTO;

CREATE AUX TABLE DSN8B10.AUX_EMP_RESUME
IN DSN8D11L.DSN8S11N
STORES DSN8B10.EMP_PHOTO_RESUME
COLUMN RESUME;
```

### Content of the employee photo and resume table

The following table shows the content of the columns in the employee photo and resume table.

**Table 15. Columns of the employee photo and resume table**

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>EMPNO</td>
<td>Employee ID (the primary key).</td>
</tr>
<tr>
<td>2</td>
<td>EMP_ROWID</td>
<td>Row ID to uniquely identify each row of the table. DB2 supplies the values of this column.</td>
</tr>
<tr>
<td>3</td>
<td>PSEG_PHOTO</td>
<td>Employee photo, in PSEG format.</td>
</tr>
<tr>
<td>4</td>
<td>BMP_PHOTO</td>
<td>Employee photo, in BMP format.</td>
</tr>
<tr>
<td>5</td>
<td>RESUME</td>
<td>Employee resume.</td>
</tr>
</tbody>
</table>

The following table shows the indexes for the employee photo and resume table.
Table 16. Indexes of the employee photo and resume table

<table>
<thead>
<tr>
<th>Name</th>
<th>On column</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XEMP_PHOTO_RESUME</td>
<td>EMPNO</td>
<td>Primary, ascending</td>
</tr>
</tbody>
</table>

The following table shows the indexes for the auxiliary tables that support the employee photo and resume table.

Table 17. Indexes of the auxiliary tables for the employee photo and resume table

<table>
<thead>
<tr>
<th>Name</th>
<th>On table</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XAUX_BMP_PHOTO</td>
<td>DSN8B10.AUX_BMP_PHOTO</td>
<td>Unique</td>
</tr>
<tr>
<td>DSN8B10.XAUX_PSEG_PHOTO</td>
<td>DSN8B10.AUX_PSEG_PHOTO</td>
<td>Unique</td>
</tr>
<tr>
<td>DSN8B10.XAUX_EMP_RESUME</td>
<td>DSN8B10.AUX_EMP_RESUME</td>
<td>Unique</td>
</tr>
</tbody>
</table>

Relationship to other tables

The employee photo and resume table is a parent table of the project table, through a foreign key on column RESPEMP.

Project table (DSN8B10.PROJ)

The sample project table describes each project that the business is currently undertaking. Data that is contained in each row of the table includes the project number, name, person responsible, and schedule dates.

The project table resides in database DSN8D11A. Because this table has foreign keys that reference DEPT and EMP, those tables and the indexes on their primary keys must be created first. Then PROJ is created with the following statement:

```sql
CREATE TABLE DSN8B10.PROJ
(PROJNO CHAR(6) PRIMARY KEY NOT NULL,
 PROJNAME VARCHAR(24) NOT NULL WITH DEFAULT 'PROJECT NAME UNDEFINED',
 DEPTNO CHAR(3) NOT NULL REFERENCES DSN8B10.DEPT ON DELETE RESTRICT,
 RESPEMP CHAR(6) NOT NULL REFERENCES DSN8B10.EMP ON DELETE RESTRICT,
 PRSTAFF DECIMAL(5, 2),
 PRSTDATE DATE,
 PRENDATE DATE,
 MAJPROJ CHAR(6))
IN DSN8D11A.DSN8S11P
CCSID EBCDIC;
```

Because the project table is self-referencing, the foreign key for that constraint must be added later with the following statement:

```sql
ALTER TABLE DSN8B10.PROJ
FOREIGN KEY RPP (MAJPROJ) REFERENCES DSN8B10.PROJ
ON DELETE CASCADE;
```
Content of the project table

The following table shows the content of the columns of the project table.

Table 18. Columns of the project table

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PROJNO</td>
<td>Project ID (the primary key)</td>
</tr>
<tr>
<td>2</td>
<td>PROJNAME</td>
<td>Project name</td>
</tr>
<tr>
<td>3</td>
<td>DEPTNO</td>
<td>ID of department responsible for the project</td>
</tr>
<tr>
<td>4</td>
<td>RESPEMP</td>
<td>ID of employee responsible for the project</td>
</tr>
<tr>
<td>5</td>
<td>PRSTAFF</td>
<td>Estimated mean number of persons that are needed between PRSTDATE and PRENDATE to complete the whole project, including any subprojects</td>
</tr>
<tr>
<td>6</td>
<td>PRSTDATE</td>
<td>Estimated project start date</td>
</tr>
<tr>
<td>7</td>
<td>PRENDATE</td>
<td>Estimated project end date</td>
</tr>
<tr>
<td>8</td>
<td>MAJPROJ</td>
<td>ID of any project of which this project is a part</td>
</tr>
</tbody>
</table>

The following table shows the indexes for the project table:

Table 19. Indexes of the project table

<table>
<thead>
<tr>
<th>Name</th>
<th>On column</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XPROJ1</td>
<td>PROJNO</td>
<td>Primary, ascending</td>
</tr>
<tr>
<td>DSN8B10.XPROJ2</td>
<td>RESPEMP</td>
<td>Ascending</td>
</tr>
</tbody>
</table>

Relationship to other tables

The table is self-referencing: a non-null value of MAJPROJ must be a valid project number. The table is a parent table of the project activity table, through a foreign key on column PROJNO. It is a dependent of the following tables:

- The department table, through its foreign key on DEPTNO
- The employee table, through its foreign key on RESPEMP

Project activity table (DSN8B10.PROJACT)

The sample project activity table lists the activities that are performed for each project.

The project activity table resides in database DSN8D11A. Because this table has foreign keys that reference PROJ and ACT, those tables and the indexes on their primary keys must be created first. Then PROJACT is created with the following statement:

```sql
CREATE TABLE DSN8B10.PROJACT
(PROJNO CHAR(6) NOT NULL,
 ACTNO SMALLINT NOT NULL,
 ACSTAFF DECIMAL(5,2) ,
 ACSTDATE DATE NOT NULL,
 ACENDATE DATE ,
 PRIMARY KEY (PROJNO, ACTNO, ACSTDATE),
 FOREIGN KEY RPAP (PROJNO) REFERENCES DSN8B10.PROJ)
```
Content of the project activity table

The following table shows the content of the columns of the project activity table.

Table 20. Columns of the project activity table

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PROJNO</td>
<td>Project ID</td>
</tr>
<tr>
<td>2</td>
<td>ACTNO</td>
<td>Activity ID</td>
</tr>
<tr>
<td>3</td>
<td>ACSTAFF</td>
<td>Estimated mean number of employees that are needed to staff the activity</td>
</tr>
<tr>
<td>4</td>
<td>ACSTDATE</td>
<td>Estimated activity start date</td>
</tr>
<tr>
<td>5</td>
<td>ACENDATE</td>
<td>Estimated activity completion date</td>
</tr>
</tbody>
</table>

The following table shows the index of the project activity table:

Table 21. Index of the project activity table

<table>
<thead>
<tr>
<th>Name</th>
<th>On columns</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XPROJAC1</td>
<td>PROJNO, ACTNO,</td>
<td>primary, ascending</td>
</tr>
<tr>
<td></td>
<td>ACSTDATE</td>
<td></td>
</tr>
</tbody>
</table>

Relationship to other tables

The project activity table is a parent table of the employee to project activity table, through a foreign key on columns PROJNO, ACTNO, and EMSTDATE. It is a dependent of the following tables:

- The activity table, through its foreign key on column ACTNO
- The project table, through its foreign key on column PROJNO

Related reference:

- “Activity table (DSN8B10.ACT)” on page 141
- “Project table (DSN8B10.PROJ)” on page 148

Employee-to-project activity table (DSN8B10.EMPPROJACT)

The sample employee-to-project activity table identifies the employee who performs an activity for a project, tells the proportion of the employee’s time that is required, and gives a schedule for the activity.

The employee-to-project activity table resides in database DSN8D11A. Because this table has foreign keys that reference EMP and PROJACT, those tables and the indexes on their primary keys must be created first. Then EMPPROJACT is created with the following statement:
CREATE TABLE DSN8B10.EMPPROJACT
(EMPNO CHAR(6) NOT NULL,
PROJNO CHAR(6) NOT NULL,
ACTNO SMALLINT NOT NULL,
EMPTIME DECIMAL(5,2) NOT NULL,
EMSTDATE DATE,
EMENDATE DATE)
FOREIGN KEY REPAPA (PROJNO, ACTNO, EMSTDATE)
REFERENCES DSN8B10.PROJACT
ON DELETE RESTRICT,
FOREIGN KEY REPAE (EMPNO) REFERENCES DSN8B10.EMP
ON DELETE RESTRICT)
IN DSN8D11A.DSN8S11P
CCSID EBCDIC;

Content of the employee-to-project activity table

The following table shows the content of the columns in the employee-to-project activity table.

Table 22. Columns of the employee-to-project activity table

<table>
<thead>
<tr>
<th>Column</th>
<th>Column name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>EMPNO</td>
<td>Employee ID number</td>
</tr>
<tr>
<td>2</td>
<td>PROJNO</td>
<td>Project ID of the project</td>
</tr>
<tr>
<td>3</td>
<td>ACTNO</td>
<td>ID of the activity within the project</td>
</tr>
<tr>
<td>4</td>
<td>EMPTIME</td>
<td>A proportion of the employee’s full time (between 0.00 and 1.00) that is to be spent on the activity</td>
</tr>
<tr>
<td>5</td>
<td>EMSTDATE</td>
<td>Date the activity starts</td>
</tr>
<tr>
<td>6</td>
<td>EMENDATE</td>
<td>Date the activity ends</td>
</tr>
</tbody>
</table>

The following table shows the indexes for the employee-to-project activity table:

Table 23. Indexes of the employee-to-project activity table

<table>
<thead>
<tr>
<th>Name</th>
<th>On columns</th>
<th>Type of index</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8B10.XEMPPROJACT1</td>
<td>PROJNO, ACTNO, EMSTDATE, EMPNO</td>
<td>Unique, ascending</td>
</tr>
<tr>
<td>DSN8B10.XEMPPROJACT2</td>
<td>EMPNO</td>
<td>Ascending</td>
</tr>
</tbody>
</table>

Relationship to other tables

The employee-to-project activity table is a dependent of the following tables:

- The employee table, through its foreign key on column EMPNO
- The project activity table, through its foreign key on columns PROJNO, ACTNO, and EMSTDATE.

Related reference:

“Employee table (DSN8B10.EMP)” on page 143
“Project activity table (DSN8B10.PROJACT)” on page 149
Unicode sample table (DSN8B10.DEMO_UNICODE)

The Unicode sample table is used to verify that data conversions to and from EBCDIC and Unicode are working as expected.

The table resides in database DSN8D11A, and is defined with the following statement:

```
CREATE TABLE DSN8B10.DEMO_UNICODE
(LOWER_A_TO_Z CHAR(26) ,
UPPER_A_TO_Z CHAR(26) ,
ZERO_TO_NINE CHAR(10) ,
X00_TO_XFF VARCHAR(256) FOR BIT DATA)
IN DSN8D81E.DSN8S81U
CCSID UNICODE;
```

Content of the Unicode sample table

The following table shows the content of the columns in the Unicode sample table:

<table>
<thead>
<tr>
<th>Column</th>
<th>Column Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LOWER_A_TO_Z</td>
<td>Array of characters, 'a' to 'z'</td>
</tr>
<tr>
<td>2</td>
<td>UPPER_A_TO_Z</td>
<td>Array of characters, 'A' to 'Z'</td>
</tr>
<tr>
<td>3</td>
<td>ZERO_TO_NINE</td>
<td>Array of characters, '0' to '9'</td>
</tr>
<tr>
<td>4</td>
<td>X00_TO_XFF</td>
<td>Array of characters, x'00' to x'FF'</td>
</tr>
</tbody>
</table>

This table has no indexes.

Relationship to other tables

This table has no relationship to other tables.

Relationships among the sample tables

Relationships among the sample tables are established by foreign keys in dependent tables that reference primary keys in parent tables.

The following figure shows relationships among the sample tables. You can find descriptions of the columns with the descriptions of the tables.
Views on the sample tables

DB2 creates a number of views on the sample tables for use in the sample applications.

The following table indicates the tables on which each view is defined and the sample applications that use the view. All view names have the qualifier DSN8B10.

Table 25. Views on sample tables

<table>
<thead>
<tr>
<th>View name</th>
<th>On tables or views</th>
<th>Used in application</th>
</tr>
</thead>
<tbody>
<tr>
<td>VDEPT</td>
<td>DEPT</td>
<td>Organization</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Project</td>
</tr>
<tr>
<td>VHDEPT</td>
<td>DEPT</td>
<td>Distributed organization</td>
</tr>
</tbody>
</table>
Table 25. Views on sample tables (continued)

<table>
<thead>
<tr>
<th>View name</th>
<th>On tables or views</th>
<th>Used in application</th>
</tr>
</thead>
<tbody>
<tr>
<td>VEMP</td>
<td>EMP</td>
<td>Distributed organization Organization Project</td>
</tr>
<tr>
<td>VPROJ</td>
<td>PROJ</td>
<td>Project</td>
</tr>
<tr>
<td>VACT</td>
<td>ACT</td>
<td>Project</td>
</tr>
<tr>
<td>VPROJACT</td>
<td>PROJACT</td>
<td>Project</td>
</tr>
<tr>
<td>VEMPPROJACT</td>
<td>EMPPROJACT</td>
<td>Project</td>
</tr>
<tr>
<td>VDEPMG1</td>
<td>DEPT EMP</td>
<td>Organization</td>
</tr>
<tr>
<td>VEMPDPT1</td>
<td>DEPT EMP</td>
<td>Organization</td>
</tr>
<tr>
<td>VASTRDE1</td>
<td>DEPT</td>
<td></td>
</tr>
<tr>
<td>VASTRDE2</td>
<td>VDEPMG1 EMP</td>
<td>Organization</td>
</tr>
<tr>
<td>VPROJRE1</td>
<td>PROJ EMP</td>
<td>Project</td>
</tr>
<tr>
<td>VPSTRDE1</td>
<td>VPROJRE1 VPROJRE2</td>
<td>Project</td>
</tr>
<tr>
<td>VPSTRDE2</td>
<td>VPROJRE1</td>
<td>Project</td>
</tr>
<tr>
<td>VFORPLA</td>
<td>VPROJRE1 EMPPROJACT</td>
<td>Project</td>
</tr>
<tr>
<td>VSTAFAC1</td>
<td>PROJACT ACT</td>
<td>Project</td>
</tr>
<tr>
<td>VSTAFAC2</td>
<td>EMPPROJACT ACT EMP</td>
<td>Project</td>
</tr>
<tr>
<td>VPHONE</td>
<td>EMP DEPT</td>
<td>Phone</td>
</tr>
<tr>
<td>VEMPLP</td>
<td>EMP</td>
<td>Phone</td>
</tr>
</tbody>
</table>

The following SQL statement creates the view named VDEPT.
CREATE VIEW DSN8B10.VDEPT
   AS SELECT ALL   DEPTNO ,
      DEPTNAME, MGRNO ,
      ADMRDEPT
   FROM DSN8B10.DEPT;

The following SQL statement creates the view named VHDEPT.
CREATE VIEW DSN8B10.VHDEPT
   AS SELECT ALL   DEPTNO ,
      DEPTNAME, MGRNO ,
      ADMRDEPT, LOCATION
   FROM DSN8B10.DEPT;

The following SQL statement creates the view named VEMP.
CREATE VIEW DSN8B10.VEMP
   AS SELECT ALL EMPNO ,
      FIRSTNME, MIDINIT ,
      LASTNAME, WORKDEPT
   FROM DSN8B10.EMP;

The following SQL statement creates the view named VPROJ.
CREATE VIEW DSN8B10.VPROJ
   AS SELECT ALL PROJNO, PROJNAME, DEPTNO, RESPEMP, PRSTAFF,
      PRSTDATE, PRENDATE, MAJPROJ
   FROM DSN8B10.PROJ ;

The following SQL statement creates the view named VACT.
CREATE VIEW DSN8B10.VACT
   AS SELECT ALL ACTNO ,
      ACTKWD , ACTDESC
   FROM DSN8B10.ACT ;

The following SQL statement creates the view named VPROJACT.
CREATE VIEW DSN8B10.VPROJACT
   AS SELECT ALL PROJNO, ACTNO, ACSTAFF, ACSTDATE, ACENDATE
   FROM DSN8B10.PROJACT ;

The following SQL statement creates the view named VEMPPROJACT.
CREATE VIEW DSN8B10.VEMPPROJACT
   AS SELECT ALL EMPNO, PROJNO, ACTNO, EMPTIME, EMSTDATE, EMENDATE
   FROM DSN8B10.EMPPROJACT ;

The following SQL statement creates the view named VDEPMG1.
CREATE VIEW DSN8B10.VDEPMG1
   (DEPTNO, DEPTNAME, MGRNO, FIRSTNME, MIDINIT,
   LASTNAME, ADMRDEPT)
   AS SELECT ALL DEPTNO, DEPTNAME, EMPNO, FIRSTNME, MIDINIT,
   LASTNAME, ADMRDEPT
   FROM DSN8B10.DEPT LEFT OUTER JOIN DSN8B10.EMP
   ON MGRNO = EMPNO ;
The following SQL statement creates the view named VEMPDPT1.
CREATE VIEW DSN8B10.VEMPDPT1
  (DEPTNO, DEPTNAME, EMPNO, FRSTINIT, MIDINIT,
   LASTNAME, WORKDEPT)
AS SELECT ALL
  DEPTNO, DEPTNAME, EMPNO, SUBSTR(FIRSTNME, 1, 1), MIDINIT,
  LASTNAME, WORKDEPT
FROM DSN8B10.DEPT RIGHT OUTER JOIN DSN8B10.EMP
ON WORKDEPT = DEPTNO ;

The following SQL statement creates the view named VASTRDE1.
CREATE VIEW DSN8B10.VASTRDE1
  (DEPT1NO, DEPT1NAM, EMP1NO, EMP1FN, EMP1MI, EMP1LN, TYPE2,
   DEPT2NO, DEPT2NAM, EMP2NO, EMP2FN, EMP2MI, EMP2LN)
AS SELECT ALL
  D1.DEPTNO, D1.DEPTNAME, D1.MGRNO, D1.FIRSTNME, D1.MIDINIT,
  D1.LASTNAME, '1',
  D2.DEPTNO, D2.DEPTNAME, D2.MGRNO, D2.FIRSTNME, D2.MIDINIT,
  D2.LASTNAME
FROM DSN8B10.VDEPMG1 D1, DSN8B10.VDEPMG1 D2
WHERE D1.DEPTNO = D2.ADMRDEPT ;

The following SQL statement creates the view named VASTRDE2.
CREATE VIEW DSN8B10.VASTRDE2
  (DEPT1NO, DEPT1NAM, EMP1NO, EMP1FN, EMP1MI, EMP1LN, TYPE2,
   DEPT2NO, DEPT2NAM, EMP2NO, EMP2FN, EMP2MI, EMP2LN)
AS SELECT ALL
  D1.DEPTNO, D1.DEPTNAME, D1.MGRNO, D1.FIRSTNME, D1.MIDINIT,
  D1.LASTNAME, '2',
  D1.DEPTNO, D1.DEPTNAME, E2.EMPNO, E2.FIRSTNME, E2.MIDINIT,
  E2.LASTNAME
FROM DSN8B10.VDEPMG1 D1, DSN8B10.EMP E2
WHERE D1.DEPTNO = E2.WORKDEPT ;

The following SQL statement creates the view named VPSTRDE1.
CREATE VIEW DSN8B10.VPSTRDE1
  (PROJ1NO, PROJ1NAME, RESP1NO, RESP1FN, RESP1MI, RESP1LN,
   PROJ2NO, PROJ2NAME, RESP2NO, RESP2FN, RESP2MI, RESP2LN)
AS SELECT ALL
  P1.PROJNO, P1.PROJNAME, P1.RESPEMP, P1.FIRSTNME, P1.MIDINIT,
  P1.LASTNAME,
  P2.PROJNO, P2.PROJNAME, P2.RESPEMP, P2.FIRSTNME, P2.MIDINIT,
  P2.LASTNAME
FROM DSN8B10.VPROJRE1 P1,
     DSN8B10.VPROJRE1 P2
WHERE P1.PROJNO = P2.MAJPROJ ;

The following SQL statement creates the view named VPSTRDE2.
CREATE VIEW DSN8B10.VPSTRDE1
  (PROJNO, PROJNAME, PROJDEP, RESPEMP, FIRSTNME, MIDINIT,
   LASTNAME, MAJPROJ)
AS SELECT ALL
  PROJNO, PROJNAME, DEPTNO, EMPNO, FIRSTNME, MIDINIT,
  LASTNAME, MAJPROJ
FROM DSN8B10.PROJ, DSN8B10.EMP
WHERE RESPEMP = EMPNO ;

Figure 29. VPROJRE1

The following SQL statement creates the view named VPSTRDE2.
CREATE VIEW DSN8B10.VPSTRDE1
  (PROJNO, PROJNAME, RESPNO, RESPFN, RESPIMI, RESPILN,
   PROJ2NO, PROJ2NAME, RESP2NO, RESP2FN, RESP2MI, RESP2LN)
AS SELECT ALL
  P1.PROJNO, P1.PROJNAME, P1.RESPEMP, P1.FIRSTNME, P1.MIDINIT,
  P1.LASTNAME,
  P2.PROJNO, P2.PROJNAME, P2.RESPEMP, P2.FIRSTNME, P2.MIDINIT,
  P2.LASTNAME
FROM DSN8B10.VPROJRE1 P1,
     DSN8B10.VPROJRE1 P2
WHERE P1.PROJNO = P2.MAJPROJ ;

The following SQL statement creates the view named VPSTRDE2.
CREATE VIEW DSN8B10.VPSTRDE2
(PROJNO, PROJNAME, RESP0NO, RESP0FN, RESP0MI, RESP0LN,
 PROJ2NO, PROJ2NAME, RESP2NO, RESP2FN, RESP2MI, RESP2LN)
AS SELECT ALL
    P1.PROJNO, P1.PROJNAME, P1.RESPEMP, P1.FIRSTNAME, P1.MIDINIT,
    P1.LASTNAME,
    P1.PROJNO, P1.PROJNAME, P1.RESPEMP, P1.FIRSTNAME, P1.MIDINIT,
    P1.LASTNAME
FROM DSN8B10.VPROJRE1 P1
WHERE NOT EXISTS
    (SELECT * FROM DSN8B10.VPROJRE1 P2
     WHERE P1.PROJNO = P2.MAJPROJ) ;

The following SQL statement creates the view named VFORPLA.
CREATE VIEW DSN8B10.VFORPLA
(PROJNO, PROJNAME, RESP0EMP, PROJDEP, FRSTINIT, MIDINIT, LASTNAME)
AS SELECT ALL
    F1.PROJNO, PROJNAME, RESP0EMP, PROJDEP,
    SUBSTR(FIRSTNAME, 1, 1), MIDINIT, LASTNAME
FROM DSN8B10.VPROJRE1 F1 LEFT OUTER JOIN DSN8B10.EMPPROJACT F2
ON F1.PROJNO = F2.PROJNO;

The following SQL statement creates the view named VSTAFAC1.
CREATE VIEW DSN8B10.VSTAFAC1
(PROJNO, ACTNO, ACTDESC, EMPNO, FIRSTNAME, MIDINIT, LASTNAME,
 EMPTIME, STDATE, ENDATE, TYPE)
AS SELECT ALL
    PA.PROJNO, PA.ACTNO, AC.ACTDESC, ' ', ' ', ' ', ' ',
    PA.ACSTAFF, PA.ACSTDATE,
    PA.ACENDATE,'1'
FROM DSN8B10.PROJACT PA, DSN8B10.ACT AC
WHERE PA.ACTNO = AC.ACTNO ;

The following SQL statement creates the view named VSTAFAC2.
CREATE VIEW DSN8B10.VSTAFAC2
(PROJNO, ACTNO, ACTDESC, EMPNO, FIRSTNAME, MIDINIT, LASTNAME,
 EMPTIME, STDATE, ENDATE, TYPE)
AS SELECT ALL
    EP.PROJNO, EM.ACTNO, AC.ACTDESC, EM.EMPNO, EM.FIRSTNAME,
    EM.MIDINIT, EM.LASTNAME, EM.EMPTIME, EM.EMSTDATE,
    EM.EMENDATE,'2'
FROM DSN8B10.EMPPROJACT EP, DSN8B10.ACT AC, DSN8B10.EMP EM
WHERE EP.ACTNO = AC.ACTNO AND EP.EMPNO = EM.EMPNO ;

The following SQL statement creates the view named VPHONE.
CREATE VIEW DSN8B10.VPHONE
(LASTNAME,
 FIRSTNAME,
 MIDDLEINITIAL,
 PHONENUMBER,
 EMPLOYEENUMBER,
 DEPTNUMBER,
 DEPTNAME)
AS SELECT ALL
    LASTNAME,
    FIRSTNAME,
    MIDINIT,
    VALUE(PHONENO,' '),
    EMPNO,
    DEPTNO,
    DEPTNAME
FROM DSN8B10.EMP, DSN8B10.DEPT
WHERE WORKDEPT = DEPTNO;

The following SQL statement creates the view named VEMPLP.
CREATE VIEW DSN8B10.VEMPLP
  (EMPLOYEENUMBER,
   PHONENUMBER)
AS SELECT ALL EMPNO ,
           PHONENO
  FROM DSN8B10.EMP ;

Storage of sample application tables

Normally, related data is stored in the same database.

The following figure shows how the sample tables are related to databases and storage groups. Two databases are used to illustrate the possibility.

In addition to the storage group and databases that are shown in the preceding figure, the storage group DSN8G11U and database DSN8D11U are created when you run DSNTEJ2A.

**Storage group for sample application data**

Sample application data is stored in storage group DSN8G110. The default storage group, SYSDEFLT, which is created when DB2 is installed, is not used to store sample application data.

The storage group that is used to store sample application data is defined by the following statement:

CREATE STOGROUP DSN8G110
  VOLUMES (DSNV01)
  VCAT DSNC110;
Databases for sample application data
Sample application data is stored in several different databases. The default database that is created when DB2 is installed is not used to store the sample application data.

DSN8D11P is the database that is used for tables that are related to programs. The other databases are used for tables that are related to applications. The databases are defined by the following statements:

CREATE DATABASE DSN8D11A
STOGROUP DSN8G110
BUFFERPOOL BP0
CCSID EBCDIC;

CREATE DATABASE DSN8D11P
STOGROUP DSN8G110
BUFFERPOOL BP0
CCSID EBCDIC;

CREATE DATABASE DSN8D11L
STOGROUP DSN8G110
BUFFERPOOL BP0
CCSID EBCDIC;

CREATE DATABASE DSN8D11E
STOGROUP DSN8G110
BUFFERPOOL BP0
CCSID UNICODE;

CREATE DATABASE DSN8D11U
STOGROUP DSN8G11U
CCSID EBCDIC;

Table spaces for sample application data
The table spaces that are not explicitly defined are created implicitly in the DSN8D11A database, using the default space attributes.

The following SQL statements explicitly define a series of table spaces.

CREATE TABLESPACE DSN8S11D
IN DSN8D11A
USING STOGROUP DSN8G110
PRIQTY 20
SEMQTY 20
ERASE NO
LOCKSIZE PAGE LOCKMAX SYSTEM
BUFFERPOOL BP0
CLOSE NO
CCSID EBCDIC;

CREATE TABLESPACE DSN8S11E
IN DSN8D11A
USING STOGROUP DSN8G110
PRIQTY 20
SEMQTY 20
ERASE NO

NUPARTS 4
(PART 1 USING STOGROUP DSN8G110
PRIQTY 12
CREATE TABLESPACE DSN8S11B
   IN DSN8D11L
   USING STOGROUP DSN8G110
   PRIQTY 20
   SECQTY 20
   ERASE NO
   LOCKSIZE PAGE
   LOCKMAX SYSTEM
   BUFFERPOOL BP0
   CLOSE NO
   CCSID EBCDIC;

CREATE TABLESPACE DSN8S11C
   IN DSN8D11P
   USING STOGROUP DSN8G110
   PRIQTY 160
   SECQTY 80
   SEGSIZE 4
   LOCKSIZE TABLE
   BUFFERPOOL BP0
   CLOSE NO
   CCSID EBCDIC;

CREATE TABLESPACE DSN8S11D
   IN DSN8D11A
   USING STOGROUP DSN8G110
   PRIQTY 160
   SECQTY 80
   SEGSIZE 4
   LOCKSIZE ROW
   BUFFERPOOL BP0
   CLOSE NO
   CCSID EBCDIC;

CREATE TABLESPACE DSN8S11E
   IN DSN8D11A
   USING STOGROUP DSN8G110
   PRIQTY 20
   SECQTY 20
   ERASE NO
   LOCKSIZE PAGE
   LOCKMAX SYSTEM
   BUFFERPOOL BP0
   CLOSE NO
   CCSID EBCDIC;

CREATE TABLESPACE DSN8S11F
   IN DSN8D11A
   USING STOGROUP DSN8G110
   PRIQTY 20
   SECQTY 20
   ERASE NO
   LOCKSIZE PAGE
   LOCKMAX SYSTEM
   BUFFERPOOL BP0
   CLOSE NO
   CCSID EBCDIC;
PRIQTY 20
SEQQTY 20
ERASE NO
LOCKSIZE PAGE LOCKMAX SYSTEM
BUFFERPOOL BP0
CLOSE NO
CCSID EBCDIC;

CREATE TABLESPACE DSN8S81Q
  IN DSN8D81P
  USING STOGROUP DSN8G810
  PRIQTY 160
  SEQQTY 80
SEGSIZE 4
LOCKSIZE PAGE
BUFFERPOOL BP0
CLOSE NO
CCSID EBCDIC;

CREATE TABLESPACE DSN8S81U
  IN DSN8D81E
  USING STOGROUP DSN8G810
  PRIQTY 5
  SEQQTY 5
  ERASE NO
LOCKSIZE PAGE LOCKMAX SYSTEM
BUFFERPOOL BP0
CLOSE NO
CCSID UNICODE;
Chapter 7. Application programming for DB2

You use application programs that contain SQL statements to perform data definition or data manipulation operations on DB2 objects.

Objectives:

This topic guides you through the basic concepts that you need to know before you start writing SQL applications. Before you read this topic, you need to have a basic understanding of the SQL language and SQL data types.

When you finish reading this topic and the information at the suggested links, you should have an understanding of the following information:
- The basic tasks that must be accomplished in an application
- The most common programming languages for writing an SQL application
- How to pass data to and from an application
- The difference between static SQL applications and dynamic SQL applications
- The steps for preparing an application for execution

What do I need to do in an SQL application?

In an application, you need to complete these tasks:
1. Declare variables for passing data to and from the DB2 database system.
2. Execute SQL statements.
3. Check for and handle SQL errors

What are the most common programming languages in which SQL applications are written?

The following languages are the most common programming languages in which you can write an application that runs on DB2 for z/OS:
- C or C++ (embedded C or C++, or ODBC)
- COBOL
- Java (JDBC, SQLJ, or IBM Optim pureQuery Runtime for z/OS)
- PL/I
- REXX

How do I pass data between SQL applications and a DB2 table?

You use host variables and indicator variables to pass data between SQL applications and DB2 tables. Host variables are application variables that contain the data that is exchanged with the DB2 table. Indicator variables are application variables whose values denote whether there is data in the host variables.

What are static and dynamic SQL applications?

In a static SQL application, the SQL statements are written directly in the application. When the application runs, the user can change only the variable values. In a dynamic SQL application, the user provides the SQL statements when the application runs. In general, dynamic SQL applications are more flexible than
static SQL applications. However, dynamic applications are harder to write, and often run more slowly because the application has more processing to do at run time.

The following table lists some sample programs that are shipped with DB2, which demonstrate how to write static and dynamic SQL applications. You can find the source code in data set DSNB10.SDSNSAMP.

<table>
<thead>
<tr>
<th>Program name</th>
<th>Language</th>
<th>Type of SQL</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSN8BC3</td>
<td>COBOL</td>
<td>Static</td>
</tr>
<tr>
<td>DSN8BD3</td>
<td>C</td>
<td>Static</td>
</tr>
<tr>
<td>DSN8BP3</td>
<td>PL/I</td>
<td>Static</td>
</tr>
<tr>
<td>DSNTEP2</td>
<td>PL/I</td>
<td>Dynamic</td>
</tr>
</tbody>
</table>

**How do I prepare an SQL application for execution?**

Preparing most SQL applications involves the following tasks:

1. For each source file in the application:
   - Modify a copy of the source file to convert the SQL statements into host language calls to an attachment facility.
   - Create a database request module (DBRM).
   
   The preferred way to accomplish this step is to direct the compiler to call the DB2 coprocessor. However, you can include a separate step that runs the DB2 precompiler before the step that runs the compiler.

2. Compile the modified source files to produce z/OS object code.

3. Link edit the object code for all the object modules to produce a z/OS load module.

4. Bind the DBRM for each source file into a DB2 package, and binding the DB2 packages into a DB2 plan.

**Note:**

For C or C++ programs that use ODBC, Java programs that use JDBC or SQLJ, and REXX programs, the steps for preparing an SQL application for execution are different. The following table lists those steps.

<table>
<thead>
<tr>
<th>Type of application</th>
<th>Program preparation steps</th>
</tr>
</thead>
<tbody>
<tr>
<td>ODBC</td>
<td>Compile, prelink, link edit</td>
</tr>
<tr>
<td>JDBC</td>
<td>Compile</td>
</tr>
<tr>
<td>SQLJ</td>
<td>Translate, compile, customize, bind</td>
</tr>
<tr>
<td>pureQuery</td>
<td>Use IBM Data Studio</td>
</tr>
<tr>
<td>REXX</td>
<td>No program preparation</td>
</tr>
</tbody>
</table>

**Where do I find more information?**

The following tables provide more information about application programming for each of the commonly used languages.
Table 26. Resources for writing DB2 applications in C

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Coding SQL statements in C application programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Declaring host variables and indicator variables in C (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>SQL statements in C programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Defining the SQL communications area, SQLSTATE, and SQLCODE in C (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>Preparing an application to run on DB2 for z/OS (DB2 Application programming and SQL)</td>
</tr>
</tbody>
</table>

Table 27. Resources for writing ODBC applications

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Programming for ODBC</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Data types and data conversion (DB2 Programming for ODBC)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>Transaction processing in DB2 ODBC (DB2 Programming for ODBC)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Diagnostics (DB2 Programming for ODBC)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>Overview of preparing and executing a DB2 ODBC application (DB2 Programming for ODBC)</td>
</tr>
</tbody>
</table>

Table 28. Resources for writing DB2 applications in COBOL

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Coding SQL statements in COBOL application programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Declaring host variables and indicator variables in COBOL (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>SQL statements in COBOL programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Defining the SQL communications area, SQLSTATE, and SQLCODE in COBOL (DB2 Application programming and SQL)</td>
</tr>
</tbody>
</table>
### Table 28. Resources for writing DB2 applications in COBOL (continued)

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preparing an application to run</td>
<td>Preparing an application to run on DB2 for z/OS (DB2 Application programming and SQL)</td>
</tr>
</tbody>
</table>

### Table 29. Resources for writing JDBC applications

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>JDBC application programming (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Variables in JDBC applications (DB2 Application Programming for Java) Data types that map to database data types in Java applications (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>JDBC interfaces for executing SQL (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Exceptions and warnings under the IBM Data Server Driver for JDBC and SQLJ (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>Program preparation for JDBC programs (DB2 Application Programming for Java)</td>
</tr>
</tbody>
</table>

### Table 30. Resources for writing SQLJ applications

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>SQLJ application programming (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Variables in SQLJ applications (DB2 Application Programming for Java) Data types that map to database data types in Java applications (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>SQL statement execution in SQLJ applications (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Handling SQL errors and warnings in SQLJ applications (DB2 Application Programming for Java)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>Program preparation for SQLJ programs (DB2 Application Programming for Java)</td>
</tr>
</tbody>
</table>

### Table 31. Resources for writing pureQuery applications

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Using IBM Data Studio to develop Java applications that access data</td>
</tr>
</tbody>
</table>

### Table 32. Resources for writing DB2 applications in PL/I

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Coding SQL statements in PL/I application programs (DB2 Application programming and SQL)</td>
</tr>
</tbody>
</table>
Table 32. Resources for writing DB2 applications in PL/I (continued)

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Declaring variables</td>
<td>Declaring host variables and indicator variables in PL/I (DB2 Application programming and SQL), Equivalent SQL and PL/I data types (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>SQL statements in PL/I programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Defining the SQL communications area, SQLSTATE, and SQLCODE in PL/I (DB2 Application programming and SQL), Checking the execution of SQL statements (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>Preparing an application to run on DB2 for z/OS (DB2 Application programming and SQL)</td>
</tr>
</tbody>
</table>

Table 33. Resources for writing DB2 applications in REXX

<table>
<thead>
<tr>
<th>Subject</th>
<th>See the following topics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting point</td>
<td>Coding SQL statements in REXX application programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Declaring variables</td>
<td>Passing the data type of an input data type to DB2 for REXX programs (DB2 Application programming and SQL), Equivalent SQL and REXX data types (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Executing SQL statements</td>
<td>SQL statements in REXX programs (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Checking for and handling SQL errors</td>
<td>Defining the SQL communications area, SQLSTATE, and SQLCODE in REXX (DB2 Application programming and SQL), Checking the execution of SQL statements (DB2 Application programming and SQL)</td>
</tr>
<tr>
<td>Preparing an application to run</td>
<td>No program preparation is necessary.</td>
</tr>
</tbody>
</table>

Other learning resources

For information on training in DB2 application programming, see DB2 for z/OS training paths.

Development of DB2 applications in integrated development environments

In an integrated development environment (IDE), can use various tools and languages to develop applications that access DB2 for z/OS data.

Whether developing desktop or web-based applications, DB2 offers options for working with multiple programming languages, application development styles, and operating systems. DB2 provides tools for developing applications in both the Java and the Microsoft development environments. The three primary areas of DB2
development support in integrated development environments are with WebSphere Studio, Microsoft Visual Studio, and IBM Data Studio.

**WebSphere Studio**
DB2 integration with WebSphere Studio provides server-side development for stored procedures and user-defined functions, and integration with the J2EE development environment. This IDE helps you to develop server-side functions, J2EE applications, and web service applications within the same development environment.

**Microsoft Visual Studio**
Integration with Microsoft Visual Studio provides integration of DB2 application and server-side development. In this IDE, application programmers can build applications that use Microsoft support.

**IBM Data Studio**
IBM Data Studio is an integrated database development environment that is designed for application developers and database administrators. You can use IBM Data Studio to develop and test routines, generate and deploy data-centric web services, create and run SQL and XQuery queries, and develop and optimize Java applications. IBM Data Studio is designed to work with IBM Optim pureQuery Runtime.

**Rational Developer for System z**
Rational Developer for System z can improve efficiency, and helps with integrated mixed workload or composite development. By using Rational Developer for System z, you can accelerate the development of your web applications, traditional COBOL and PL/I applications, web services, and XML-based interfaces.

Access from these tools is through commonly used APIs including JDBC and ODBC, OLE DB, ADO.NET, and ADO. With these access options, application programmers can use a number of other current development tools, including basic editor and command-line support, for developing DB2 applications.

### Related concepts:

[“Use of development tools to create a stored procedure” on page 192](#)

**WebSphere Studio Application Developer**
IBM WebSphere Studio Application Developer provides end-to-end support for developing applications that access DB2.

The WebSphere Studio family provides a robust suite of tools for application and web development. A key tool for application development is WebSphere Studio Application Developer, which replaces its predecessor, IBM VisualAge® for Java.

With WebSphere Studio Application Developer, you can build J2EE applications with JSP (JavaServer Page) files and EJB (Enterprise JavaBeans) components, create web service applications, and generate XML documents.

### Related concepts:

[“Web-based applications and WebSphere Studio Application Developer” on page 336](#)

**DB2 Development add-in for Visual Studio .NET**
You can use the DB2 Development add-in for Microsoft Visual Studio .NET to enhance integration with the Microsoft Visual Studio .NET development environment.
The add-in features make it easy for application programmers to work with DB2 servers and to develop DB2 routines and objects.

The key add-in features enable developers to perform the following tasks:

- **Build DB2 server-side objects**
  DB2 Connect provides a DB2 .NET Data Provider, which enables .NET applications to access DB2 for z/OS and workstation (Windows, UNIX, and Linux) operating systems.
  Using the Solution Explorer, developers can use script files for building objects that include routines, triggers, tables, and views.

- **Access and manage DB2 data connections**
  The IBM Explorer provides access to IBM database connections and enables developers to perform the following tasks:
  - Work with multiple DB2 connections
  - View object properties
  - Retrieve and update data from tables and views
  - View source code for DB2 procedures and functions
  - Generate ADO .NET code using a drag-and-drop technique
  For information about using ADO .NET for applications that connect to DB2 for z/OS, see the IBM DB2 Database for Linux, UNIX, and Windows Information Center.

- **Launch DB2 development and administration tools**
  These tools include Data Studio Administrator, Replication Center, Command Center, Task Center, Journal, and DB2 Information Center.

Related reference:
- [ADO.NET application development](#)
- [ADO.NET development for IBM Data Servers](#)

**Workstation application development tools**

A wide variety of tools are available for performing tasks such as querying a database. These tools include ODBC-based tools such as Lotus Approach, Microsoft Access, Microsoft Visual Basic, Microsoft Excel, and many others.

The ODBC-based tools provide a simpler alternative to developing applications than using a high-level programming language. QMF for Windows provides access to DB2 data for these tools. With all of these tools, you can specify DB2 for z/OS as the database to access.

Related concepts:
- “Use of DB2 Query Management Facility for Workstation” on page 139

**Programming languages and methods for developing application programs**

You can use a wide variety of programming languages and techniques to develop application programs for DB2 for z/OS. In addition, several methods are available for communicating with DB2.

You can choose among the following programming languages:
- APL2
- C
- C++
You can use any of the following programming methods:

**Static SQL**

The source form of a static SQL statement is embedded within an application program that is written in a traditional programming language. (Traditional programming languages include C, C++, COBOL, Fortran, PL/I, and Assembler.) Static SQL is a good choice when you know what statements an application needs to execute before the application runs.

**Dynamic SQL**

Unlike static SQL, dynamic statements are constructed and prepared at run time. Dynamic SQL is a good choice when you do not know the format of an SQL statement when you write a program. It is also a good choice when the program needs to generate part or all of an SQL statement based on input from its users.

**ODBC**

ODBC is an application programming interface (API) that C and C++ application programs can use to access relational databases. ODBC is well suited to the client/server environment.

**pureQuery**

pureQuery is a high-performance data access platform for Java applications that makes it easier to develop, optimize, secure, and manage data access.

**SQLJ and JDBC**

Like ODBC and C++, the SQLJ and JDBC Java interfaces let you write portable application programs that are independent of any one database product.

- SQLJ application support lets you write static SQL applications in the Java programming language. With SQLJ, you can embed SQL statements in your Java applications.
- JDBC application support lets you write dynamic SQL applications in the Java programming language. JDBC is similar to ODBC, but it is designed specifically for use with Java.

Related concepts:

- "Use of an application program as a stored procedure" on page 188
- "Dynamic SQL applications" on page 183
- "Use of Java to execute static and dynamic SQL" on page 186
- "Use of ODBC to execute dynamic SQL" on page 184
Performance information for SQL application programming

Efficient applications are an important first step to good system and application performance. As you code applications that access data in DB2, consider performance objectives in your application design.

The following topics can help you understand how application programmers can consider performance as they write applications that access data in DB2 for z/OS.

Concurrency and programming

The goal is to program and prepare applications in a way that:

- Protects the integrity of the data that is being read or updated from being changed by other applications.
- Minimizes the length of time that other access to the data is prevented.

For more information about DB2 concurrency and recommendations for improving concurrency in your application programs, see the following topics:

- “Concurrency recommendations for application designers” on page 282
- Concurrency and locks (DB2 Performance)
- Improving concurrency (DB2 Performance)
- Improving concurrency in data sharing environments (DB2 Data Sharing Planning and Administration)

Writing efficient queries

The predicates, subqueries, and other structures in SQL statements affect the access paths that DB2 uses to access the data.

For information about how to write SQL statements that access data efficiently, see the following topics:

- “Ways to improve query performance” on page 283
- Writing efficient SQL queries (DB2 Performance)

Analyzing access paths

By analyzing the access path that DB2 uses to access the data for an SQL statement, you can discover potential problems. You can use this information to modify your statement to perform better.

For information about how you can use EXPLAIN tables, and SQL optimization tools such as IBM Data Studio, to analyze the access paths for your SQL statements, see the following topics:

- Investigating access path problems (DB2 Performance)
- “Using EXPLAIN to understand the access path” on page 289
- Investigating SQL performance by using EXPLAIN (DB2 Performance)
- Interpreting data access by using EXPLAIN (DB2 Performance)
Distributed data access performance

The goal is to reduce the amount of network traffic that is required to access the distributed data, and to manage the use of system resources such as distributed database access threads and connections.

For information about improving the performance of applications that access distributed data, see the following topics:

- “Ways to reduce network traffic” on page 349
- Managing DB2 threads (DB2 Performance)
- Improving performance for applications that access distributed data (DB2 Performance)
- Improving performance for SQL statements in distributed applications (DB2 Performance)

Stored procedures performance

For information about stored procedures and DB2 performance, see the following topics:

- Implementing DB2 stored procedures (DB2 Administration Guide)
- Improving the performance of stored procedures and user-defined functions (DB2 Performance)

Related concepts:

“Structured query language” on page 25
Chapter 7, “Application programming for DB2,” on page 163

Related tasks:

- Programming applications for performance (DB2 Performance)
- Planning for and designing DB2 applications (DB2 Application programming and SQL)

Preparation process for an application program

How you prepare an application program to run depends on the type of application. The program preparation steps for applications vary based on the type of programming language that is used.

DB2 applications require different methods of program preparation, depending on the type of the application.

Applications that contain embedded static or dynamic SQL statements

DB2 applications embed SQL statements in traditional language programs. To use these programs, you must follow the typical preparation steps (compile, link-edit, and run) as well as the DB2 precompile and bind steps. Some languages can be precompiled and compiled in a single step by a coprocessor.
Applications in interpreted languages, such as REXX and APL2
REXX procedures use dynamic SQL. You do not precompile, compile, link-edit, or bind DB2 REXX procedures before you run them.

Applications that contain ODBC calls
These applications pass dynamic SQL statements as arguments. You do not precompile or bind ODBC applications. ODBC applications use a standard set of functions to execute SQL statements and related services at run time.

Java applications, which can contain JDBC calls or embedded SQL statements
Preparing a Java program that contains only JDBC methods is the same as preparing any other Java program. You can prepare the program using the javac command. JDBC applications do not require the precompile or bind steps.

You can use IBM pureQuery to create and prepare Java applications. IBM pureQuery enables SQL access to databases or Java objects that are in memory and facilitates SQL best practices.

Preparing a SQLJ program requires a precompile step and a bind step.

The following program preparation steps are required by traditional programming languages.

Precompile
Before you compile or assemble a traditional language program, you must prepare the SQL statements that are embedded in the program. The DB2 precompiler prepares SQL statements for C, COBOL, Fortran, PL/I, and Assembler applications. Because most compilers do not recognize SQL statements, you must use the DB2 precompiler before you compile the program to prevent compiler errors. The precompiler scans the program and returns modified source code, which you can then compile and link-edit.

As an alternative, you can use a host language DB2 coprocessor for C, C++, COBOL, and PL/I as you compile your program. The DB2 coprocessor performs DB2 precompiler functions at compile time.

The main output from the precompiler is a database request module (DBRM). A DBRM is a data set that contains SQL statements and host variable information that is extracted from the source program during program preparation. The purpose of a DBRM is to communicate your SQL requests to DB2 during the bind process.

Bind
Before your DB2 application can run, you must use the BIND command to bind the DBRM to a package. For example, you might decide to put certain SQL statements together in the same program in order to precompile them into the DBRM and then bind them into a single package. When the program runs, DB2 uses a timestamp to verify that the program matches the correct plan or package.

A collection is a group of associated packages. Binding packages into package collections allows you to add packages to an existing application plan without needing to bind the entire plan again. If you include a collection name in the package list when you bind a plan, any package that is in the collection becomes available to the plan. The collection can even be empty when you first bind the plan. Later, you can add packages to the collection and drop or replace existing packages without binding the plan again.
The CURRENT PACKAGE PATH special register specifies a value that
identifies a list of collections that DB2 uses when resolving references to
packages that you use to run SQL statements.

Compile, link-edit
To enable your application to interface with the DB2 subsystem, you must
use a link-edit procedure to build an executable load module that satisfies
the requirements of your environment (such as CICS, IMS, TSO, or batch).
The load module is a program unit that is loaded into main storage for
execution.

Run
After you complete the preceding steps, you can run your DB2 application.
A number of methods are available for preparing an application to run.
You can:
  • Use DB2 Interactive (DB2I) panels, which lead you step by step from
    preparing the program to running the program.
  • Submit an application in the TSO foreground or in batch in the TSO
    background.
  • Start the program preparation command list (CLIST) in TSO foreground
    or batch.
  • Use the DSN command processor.
  • Use JCL procedures that you include in your data sets (such as
    SYS1.PROCLIB) at DB2 installation time.

You can also precompile and prepare an application program by using a
DB2-supplied procedure. DB2 has a unique procedure for each supported
language.
The DB2 Bind Manager tool helps application programmers:
- Predict whether a bind of a DBRM results in a changed access path
- Run access path checks on a batch of DBRMs
- Eliminate unnecessary bind steps between application programs and the database
- Compare DBRMs to subsystems and load modules

DB2 Path Checker tool

The DB2 Path Checker helps you increase the stability of your DB2 environments and avoid painful and costly disruptions. The DB2 Path Checker can help you discover and correct unwanted and unexpected access path changes before you are notified about them.

Related tasks:
- [Preparing an application to run on DB2 for z/OS (DB2 Application programming and SQL)](#)

Static SQL applications

For most DB2 users, static SQL provides a straightforward, efficient path to DB2 data.
The source form of a static SQL statement is embedded within an application program that is written in a traditional programming language such as C. The statement is prepared before the program is executed, and the operational form of the statement persists beyond the execution of the program. You can use static SQL when you know before run time what SQL statements your application needs to run.

When you use static SQL, you cannot change the form of SQL statements unless you make changes to the program. However, you can increase the flexibility of those statements by using host variables. Using static SQL and host variables is more secure than using dynamic SQL.

**Example:** Assume that you are coding static SQL in a COBOL program. The following UPDATE statement can update the salary of any employee. When you write your program, you know that salaries must be updated, but you do not know until run time whose salaries should be updated, and by how much.

```
01 IOAREA.
   02 EMPID PIC X(06).
   02 NEW-SALARY PIC S9(7)V9(2) COMP-3.
   .
   (Other declarations)
READ CARD IN RECORD INTO IOAREA
   AT END MOVE 'N' TO INPUT-SWITCH.
   .
   (Other COBOL statements)
EXEC SQL
   UPDATE EMP
      SET SALARY = :NEW-SALARY
      WHERE EMPNO = :EMPID
END-EXEC.
```

The UPDATE statement does not change, nor does its basic structure, but the input can change the results of the UPDATE statement.

Basic SQL coding concepts apply to traditional programming languages: C, C++, COBOL, Fortran, PL/1, and Assembler.

Suppose that you are writing an application program to access data in a DB2 database. When your program executes an SQL statement, the program needs to communicate with DB2. When DB2 finishes processing an SQL statement, DB2 sends back a return code, called the SQL return code. Your program should test the return code to examine the results of the operation.

Unique instructions and details apply to each language.

**Related concepts:**

“Static SQL” on page 137

**Declaration of table and view definitions**

Declaring table or view definitions is optional, but they offer several advantages. You can declare a table or view by including an SQL DECLARE statement in your program.

Before your program issues SQL statements that retrieve, update, delete, or insert data, you must declare the tables and views that your program accesses. Declaring...
tables or views is not required; however, declaring them offers advantages such as
documenting application programs and providing the precompiler with
information that is used to check your embedded SQL statements.

Example: The DECLARE TABLE statement (written in COBOL) for the DEPT table
looks like the following example:

```
EXEC SQL
  DECLARE DEPT TABLE
    (DEPTNO CHAR(3) NOT NULL,
     DEPTNAME VARCHAR(36) NOT NULL,
     MGRNO CHAR(6)
    ,
     ADMRDEPT CHAR(3) NOT NULL)
END-EXEC.
```

For each traditional language, you delimit an SQL statement in your program
between EXEC SQL and a statement terminator. In the preceding example, the
EXEC SQL and END-EXEC delimit the SQL statement in a COBOL program.

As an alternative to coding the DECLARE statement yourself, you can use the DB2
subcomponent DCLGEN, the declarations generator.

Related reference:
[DECLARE STATEMENT (DB2 SQL)]

**Data access with host variables**

You can use host variables, host variable arrays, and host structures in your
application program to exchange data between the application and the DBMS.

A *host variable* is a data item that you declare in a program for use within an SQL
statement. You can:

- Retrieve data into the host variable for your application program's use.
- Place data into the host variable to insert into a table or to change the contents
  of a row.
- Use the data in the host variable when evaluating a WHERE or HAVING clause.
- Assign the value in the host variable to a special register. A *special register* is a
  storage area that DB2 defines for a process to hold information that SQL
  statements can reference.

**Example 1:** The CURRENT SQLID special register contains the SQL
authorization ID of a process, which is set in an SQL statement. DB2 replaces the
register name with the value of the authorization ID when the SQL statement
runs.

- Use the host variable to indicate a null value

How you code a host variable varies according to the programming language that
you use. Some languages require a separate declaration section for SQL variables.
In this case, you can code the BEGIN and END DECLARE SECTION statements in
an application program wherever variable declarations can appear according to the
rules of the host language. A host variable declaration section starts with the
BEGIN DECLARE SECTION statement and ends with the END DECLARE
SECTION statement. The host variable must be preceded with a `hostvar`
The INTO clause of the SELECT statement names one or more host variables to contain the returned column values. For host variables and host variable arrays, the named variables correspond one-to-one with the list of column names in the SELECT list.

The example that follows uses a host variable to retrieve a single row of data.

**Example 2:** Suppose that you want to retrieve the EMPNO, LASTNAME, and DEPT column values from a single row in the EMP table. You can define a host variable in your program to hold each column. The host variable consists of the local variable name, preceded by a colon. You then can name the data areas with an INTO clause, as shown:

```sql
EXEC SQL
SELECT EMPNO, LASTNAME, DEPT
  INTO :CBLEMPNO, :CBLNAME, :CBLDEPT
FROM EMP
WHERE EMPNO = :EMPID
END-EXEC.
```

You must declare the host variables CBLEMPNO, CBLNAME, and CBLDEPT in the data declaration portion of the program. The data types of the host variables must be compatible with the SQL data types of the columns EMPNO, LASTNAME, and DEPT of the EMP table.

Suppose that you don't know how many rows DB2 will return, or you expect more than one row to return. In either case, you must use an alternative to the SELECT ... INTO statement. Using a DB2 cursor, an application can process a set of rows and retrieve rows from the result table.

**Related concepts:**
- “Row retrieval with a cursor” on page 179
- Host variables (DB2 Application programming and SQL)
- References to host variables (DB2 SQL)

**Data access with host variable arrays**

A host variable array is a data array that is declared in a host language for use within an SQL statement. You can retrieve data into host variable arrays for use by your application program. You can also place data into host variable arrays to insert rows into a table.

You can specify host variable arrays in C, C++, COBOL, or PL/I. Each host variable array contains values for a column, and each element of the array corresponds to a value for a column. You must declare the array in the host program before you use it.

**Example:** The following statement uses the main host variable array, COL1, and the corresponding indicator array, COL1IND. Assume that COL1 has 10 elements. The first element in the array corresponds to the first value, and so on. COL1IND must have at least 10 entries.
EXEC SQL
  SQL FETCH FIRST ROWSET FROM C1 FOR 5 ROWS
  INTO :COL1 :COL1IND
END-EXEC.

Related concepts:

Data access with host structures

A host structure is a group of host variables that an SQL statement can refer to by using a single name. When the host language environment allows it, you can use host language statements to define the host structures.

Example 1: Assume that your COBOL program includes the following SQL statement:

EXEC SQL
  SELECT EMPNO, FIRSTNME, LASTNAME, DEPT
  INTO :EMPNO, :FIRSTNME, :LASTNAME, :WORKDEPT
  FROM VEMP
  WHERE EMPNO = :EMPID
END-EXEC.

Now assume that you want to avoid listing the host variables in the preceding example.

Example 2: You can substitute the name of a structure, such as :PEMP, that contains :EMPNO, :FIRSTNME, :LASTNAME, and :DEPT:

EXEC SQL
  SELECT EMPNO, FIRSTNME, LASTNAME, WORKDEPT
  INTO :PEMP
  FROM VEMP
  WHERE EMPNO = :EMPID
END-EXEC.

You can declare a host structure in your program. You can also use DCLGEN to generate a COBOL record description, PL/I structure declaration, or C structure declaration that corresponds to the columns of a table.

Row retrieval with a cursor

DB2 has a mechanism called a cursor. Using a cursor is like keeping your finger on a particular line of text on a printed page.

In DB2, an application program uses a cursor to point to one or more rows in a set of rows that are retrieved from a table. You can also use a cursor to retrieve rows from a result set that is returned by a stored procedure. Your application program can use a cursor to retrieve rows from a table.
You can retrieve and process a set of rows that satisfy the search condition of an SQL statement. When you use a program to select the rows, the program processes one or more rows at a time.

The SELECT statement must be within a DECLARE CURSOR statement and cannot include an INTO clause. The DECLARE CURSOR statement defines and names the cursor, identifying the set of rows to retrieve with the SELECT statement of the cursor. This set of rows is referred to as the result table.

After the DECLARE CURSOR statement executes, you process the result table of a cursor as follows:

1. Open the cursor before you retrieve any rows.
   To tell DB2 that you are ready to process the first row of the result table, have your program issue the OPEN statement. DB2 then uses the SELECT statement within the DECLARE CURSOR statement to identify a set of rows. If you use host variables in that SELECT statement, DB2 uses the current value of the variables to select the rows.

2. Use a FETCH statement to retrieve one or more rows.
   The simplest form of the FETCH statement retrieves a single row of the result table by using a row-positioned cursor. At any point in time, a row-positioned cursor retrieves at most a single row from the result table into host variables. You can use a FETCH statement to retrieve more than one row of the result table by using a cursor that is enabled to process rowsets. A rowset is a set of rows that is retrieved through a multiple-row fetch.
   When your program issues a row-positioned FETCH statement, DB2 uses the cursor to point to a row in the result table, making it the current row. DB2 then moves the current row contents into the program host variables that you specified in the INTO clause of the FETCH statement. The FETCH statement moves the cursor. You can use host variable arrays and return multiple rows of data with a single FETCH statement.

3. Close the cursor when the end-of-data condition occurs.
   If you finish processing the rows of the result table and you want to use the cursor again, issue a CLOSE statement to close the cursor.

Recommendation: Explicitly close the cursor when you finish using it.

Your program can have several cursors. Each cursor has the following requirements:
- DECLARE CURSOR statement to define the cursor
- OPEN and CLOSE statements to open and close the cursor
- FETCH statement to retrieve rows from the result table of the cursor

You must declare host variables before you refer to them in a DECLARE CURSOR statement. To define and identify a set of rows that are to be accessed with a cursor, issue a DECLARE CURSOR statement. The DECLARE CURSOR statement names a cursor and specifies a SELECT statement. The SELECT statement defines the criteria for the rows that belong in the result table.

You can use cursors to fetch, update, or delete one or more rows of a table, but you cannot use them to insert a row into a table.

Suppose that your program examines data about people in department D11 and keeps the data in the EMP table. The following examples show the SQL statements
that you must include in a COBOL program to define and use a cursor. In these examples, the program uses the cursor to process a set of rows from the EMP table.

**Example: Define the cursor:** The following statement defines a cursor named THISEMP:

```
EXEC SQL
DECLARE THISEMP CURSOR FOR
  SELECT EMPNO, LASTNAME, DEPT, JOB
  FROM EMP
  WHERE DEPT = 'D11'
  FOR UPDATE OF JOB
END-EXEC.
```

**Example: Open the cursor:** The following statement opens the cursor:

```
EXEC SQL
OPEN THISEMP
END-EXEC.
```

**Example: Use the cursor to retrieve a row:** The following statement uses the cursor, THISEMP, to retrieve a row:

```
EXEC SQL
FETCH THISEMP
  INTO :EMP-NUM, :NAME2, :DEPT, :JOB-NAME
END-EXEC.
```

**Example: Update the current row using the cursor:** The following statement uses the cursor, THISEMP, to update the JOB value for specific employees in department D11:

```
EXEC SQL
UPDATE EMP
  SET JOB = :NEW-JOB
  WHERE CURRENT OF THISEMP
END-EXEC.
```

**Example: Close the cursor:** The following statement closes the cursor:

```
EXEC SQL
CLOSE THISEMP
END-EXEC.
```

If the cursor is not scrollable, each fetch positions the cursor at the next sequential row, or set of rows. A **scrollable cursor** can scroll forward and backward, and can be repositioned at the beginning, at the end, or at a relative offset point. Applications can use a powerful set of SQL statements to fetch data by using a cursor in random order. Scrollable cursors are especially useful for screen-based applications. You can specify that the data in the result table is to remain static. For example, an accounting application can require that data is to remain constant, whereas an airline reservation system application must display the latest flight availability information.

You can also define options on the DECLARE CURSOR statement that specify how sensitive a scrollable cursor is to changes in the underlying data when inserts, updates, or deletes occur.
A sensitive cursor is sensitive to changes that are made to the database after the result table is generated. For example, when an application executes positioned UPDATE and DELETE statements with the cursor, those changes are visible in the result table.

An insensitive cursor is not sensitive to inserts, updates, or deletes that are made to the underlying rows of a result table after the result table is generated. For example, the order of the rows and the values for each row of the result table do not change after the application opens the cursor.

To indicate that a cursor is scrollable, you declare it with the SCROLL keyword.

Example: The following example shows a declaration for an insensitive scrollable cursor:

```
EXEC SQL DECLARE C1 INSENSITIVE SCROLL CURSOR FOR
   SELECT DEPTNO, DEPTNAME, MGRNO
   FROM DEPT
   ORDER BY DEPTNO
END-EXEC.
```

To use this cursor to fetch the fifth row of the result table, you can use a FETCH statement like the following example:

```
EXEC SQL FETCH ABSOLUTE +5 C1 INTO :HVDEPTNO, :DEPTNAME, :MGRNO;
```

DB2 for z/OS provides another type of cursor called a dynamic scrollable cursor. With a dynamic scrollable cursor, applications can scroll directly on a base table while accessing the most current data.

Related reference:

- DECLARE CURSOR (DB2 SQL)
- FETCH (DB2 SQL)

Ways to check the execution of SQL statements

DB2 offers several ways to check the execution of SQL statements in an program.

A program that includes SQL statements can have an area that is set apart for communication with DB2—an SQL communication area (SQLCA). When DB2 processes an SQL statement in your program, it places return codes in the SQLSTATE and SQLCODE host variables or in corresponding fields of the SQLCA. The return codes indicate whether the statement executed successfully or failed.

Recommendation: Because the SQLCA is a valuable problem-diagnosis tool, include the necessary instructions to display some of the information that is in the SQLCA in your application programs.

You can use a GET DIAGNOSTICS statement or a WHENEVER statement in your program to supplement checking SQLCA fields after each SQL statement runs.

- The GET DIAGNOSTICS statement returns diagnostic information about the last SQL statement that was executed. You can request specific types of diagnostic information or all available diagnostic information about a statement. For example, the GET DIAGNOSTICS statement returns the number of rows that are affected by a data insert, update, or delete.
The WHENEVER statement allows you to specify what to do if a general condition is true. DB2 checks the SQLCA and continues processing your program. If an error, exception, or warning results when an SQL statement is executed, DB2 branches to another area in your program. The program can then examine the SQLSTATE or SQLCODE to react specifically to the error or exception.

Related reference:

- GET DIAGNOSTICS (DB2 SQL)
- WHENEVER (DB2 SQL)

Dynamic SQL applications

With dynamic SQL, DB2 prepares and executes the SQL statements within a program while the program is running. Dynamic SQL is a good choice when you do not know the format of an SQL statement before you write or run a program.

An application that uses dynamic SQL generates an SQL statement in the form of a character string or accepts an SQL statement as input. Depending on the needs of the application, you might be able to simplify the programming. Try to plan the application so that it does not use SELECT statements, or so that it uses only those statements that return a known number of values of known data types. In general, more complex dynamic programs are those in which you do not know in advance about the SQL statements that the application issues.

A typical dynamic SQL application takes the following steps:
1. Translates the input data into an SQL statement.
2. Prepares the SQL statement to execute and acquires a description of the result table (if any).
3. Obtains, for SELECT statements, enough main storage to contain retrieved data.
4. Executes the statement or fetches the rows of data.
5. Processes the returned information.
6. Handles SQL return codes.

This example shows a portion of a C program that dynamically issues SQL statements to DB2. Assume that you are writing a program to keep an inventory of books. The table that you need to update depends on input to your program. This example shows how you can build an SQL statement and then call DB2 to execute it.

```c
/*********************************************************/
/* Determine which table to update, then build SQL */
/* statement dynamically into 'stmt' variable. */
/*********************************************************/
strcpy(stmt,"UPDATE ");
EXEC SQL SELECT TYPE INTO :book_type FROM BOOK_TYPES WHERE TITLE=:bktitle;
IF (book_type=='FICTION') strcpy(table_name,"FICTION_BOOKS");
ELSE strcpy(table_name,"NON_FICTION_BOOKS");
strcat(stmt,table_name);
strcat(stmt,
" SET INVENTORY = INVENTORY-1 WHERE TITLE = :bktitle");
/*********************************************************/
```
Use of ODBC to execute dynamic SQL

Open Database Connectivity (ODBC) lets you access data through ODBC function calls in your application. The ODBC interface eliminates the need for precompiling and binding your application and increases the portability of your application.

The ODBC interface is specifically designed for C and C++ applications to access relational databases. Applications that use the ODBC interface might be executed on a variety of data sources without being compiled against each of the databases. ODBC ideally suits the client/server environment in which the target data source might be unknown when the application is built.

You execute SQL statements by passing them to DB2 through an ODBC function call. The function calls allow an application to connect to the data source, issue SQL statements, and receive returned data and status information.

You can prepare an SQL statement by calling the ODBC SQLPrepare() function. You then execute the statement by calling the ODBC SQLExecute() function. In both cases, the application does not contain an embedded PREPARE or EXECUTE statement. You can execute the statement, without preparation, by passing the statement to the ODBC SQLExecDirect() function.

Another advantage of ODBC access is that it can help hide the differences between system catalogs of different database servers. Unlike embedded SQL, DB2 ODBC provides a consistent interface for applications to query and retrieve system catalog information across the DB2 Database family of database management systems. This capability reduces the need to write catalog queries that are specific to each database server. DB2 ODBC can return result tables to those programs.

Example:

This example shows a portion of an ODBC program for keeping an inventory of books.
/* Determine which table to update */
/rc = SQLBindParameter( hStmt,
    1,
    SQL_PARAM_INPUT,
    SQL_C_CHAR,
    SQL_CHAR,
    50,
    0,
    bktitle,
    sizeof(bktitle),
    &bktitle_len);
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLExecDirect( hStmt,
    "SELECT TYPE FROM BOOK_TYPES WHERE TITLE=?"
    SQL_NTS );
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLBindCol( hStmt,
    1,
    SQL_C_CHAR,
    book_type,
    sizeof(book_type),
    &book_type_len);
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLFetch( hStmt );
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLCloseCursor( hStmt );
    if( rc != SQL_SUCCESS ) goto dberror;
/* Update table */
strncpy( (char *) update_sqlstmt, (char *) "UPDATE ",
    If( strcmp( (char *) book_type, (char *) "FICTION") == 0)
    {
        strcat( (char *) update_sqlstmt, (char *) "FICTION_BOOKS " );
    }
    else
    {
        strcpy( (char *) update_sqlstmt, (char *) "NON_FICTION_BOOKS " );
    }
    strcat( (char *) update_sqlstmt,
        " SET INVENTORY = INVENTORY - 1 WHERE TITLE = ? ");
/rc = SQLPrepare( hStmt, update_sqlstmt, SQL_NTS );
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLExecute( hStmt );
    if( rc != SQL_SUCCESS ) goto dberror;
/rc = SQLEndTran( SQL_HANDLE_DBC, hDbc, SQL_COMMIT );
    if( rc != SQL_SUCCESS ) goto dberror;

Related concepts:
"Dynamic SQL applications" on page 183
Use dynamic SQL statement caching (DB2 Programming for ODBC)
Use of Java to execute static and dynamic SQL

DB2 for z/OS supports SQLJ and JDBC. In general, Java applications use SQLJ for static SQL, JDBC for dynamic SQL, and PureQuery for both static and dynamic SQL.

By using the Java programming language you gain the following key advantages:
- You can write an application on any Java-enabled platform and run it on any platform to which the Java Development Kit (JDK) is ported.
- You can develop an application once and run it anywhere, which offers the following potential benefits:
  - Reduced development costs
  - Reduced maintenance costs
  - Reduced systems management costs
  - Flexibility in supporting diverse hardware and software configurations

The following table shows some of the major differences between SQLJ and JDBC.

<table>
<thead>
<tr>
<th>SQLJ characteristics</th>
<th>JDBC characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>SQLJ follows the static SQL model and offers performance advantages over JDBC.</td>
<td>JDBC follows the dynamic SQL model.</td>
</tr>
<tr>
<td>SQLJ source programs are smaller than equivalent JDBC programs because SQLJ automatically generates certain code that developers must include in JDBC programs.</td>
<td>JDBC source programs are larger than equivalent SQLJ programs because certain code that the developer must include in JDBC programs is generated automatically by SQLJ.</td>
</tr>
<tr>
<td>SQLJ checks data-types during the program preparation process and enforces strong typing between table columns and Java host expressions.</td>
<td>JDBC passes values to and from SQL tables without checking data types at compile time.</td>
</tr>
<tr>
<td>In SQLJ programs, you can embed Java host expressions in SQL statements.</td>
<td>JDBC requires a separate statement for each bind variable and specifies the binding by position number.</td>
</tr>
<tr>
<td>SQLJ provides the advantages of static SQL authorization checking. With SQLJ, the authorization ID under which SQL statements run is the plan or package owner. DB2 checks the table privileges at bind time.</td>
<td>Because JDBC uses dynamic SQL, the authorization ID under which SQL statements run is not known until run time, so no authorization checking of table privileges can occur until run time.</td>
</tr>
</tbody>
</table>

SQLJ support

DB2 for z/OS includes SQLJ, which provides support for embedding static SQL statements in Java applications and servlets. Servlets are application programs that are written in Java and that run on a web server.

Because SQLJ coexists with JDBC, an application program can create a JDBC connection and then use that connection to run dynamic SQL statements through JDBC and embedded static SQL statements through SQLJ.

A group of companies that includes Oracle, Hewlett Packard, and IBM, initially developed SQLJ to complement the dynamic SQL JDBC model with a static SQL model.
The SQLJ coding to update the salary of any employee is as follows:

```sql
#sql [myConnCtxt] { UPDATE EMP
    SET SALARY = :newSalary
    WHERE EMPNO = :empID );
```

By using SQLJ you gain the following advantages:

- Portable applications across platforms and database management systems.
- Strong typing, with compile and bind-time checking to ensure that applications are well designed for the database.
- Superior performance, manageability, and authorization checking of static SQL.
- Improved programmer productivity and easier maintenance. In comparison to a JDBC application, the resulting program is typically shorter and easier to understand.
- Familiarity for programmers who use embedded SQL in other traditional programming languages.

Related concepts:

Chapter 8, “Implementation of your database design,” on page 197

**JDBC support**

DB2 for z/OS supports applications that use Sun Microsystems JDBC interfaces to access DB2 data by using dynamic SQL. Support for JDBC enables organizations to write Java applications that access local DB2 data, and access remote relational data on a server that supports DRDA.

Sun Microsystems developed the JDBC specifications. The JDBC specifications define a set of APIs (based on ODBC) that allow Java applications to access relational data. The APIs provide a generic interface for writing applications that run on multiple platforms and can access any SQL database. The APIs are defined within 16 classes, and they support basic SQL functions for connecting to a database, running SQL statements, and processing results. Together, these interfaces and classes represent the JDBC capabilities by which a Java application can access relational data.

This example shows a portion of a JDBC program for that keeps an inventory of books.

```java
/*********************************************************/
/* Determine which table to update, then build SQL */
/* statement dynamically. */
/*********************************************************/
String tableName = null;
Statement stmt = con.createStatement();

ResultSet rs = stmt.executeQuery("SELECT TYPE FROM " +
" BOOK_TYPES WHERE " +
" TITLE = " + bkTitle + "\n");
if (rs.next())
{
    if (rs.getString(1).equalsIgnoreCase("FICTION"))
        tableName = "FICTION_BOOKS";
    else
        tableName = "NON_FICTION_BOOKS";
/*********************************************************/
/* PREPARE and EXECUTE the statement */
```
DB2 for z/OS support for JDBC offers a number of advantages for accessing DB2 data:

- JDBC combines the benefit of running your applications in a z/OS environment with the portability and ease of writing Java applications.
- The JDBC interface offers the ability to change between drivers and access various databases without recoding your Java program.
- JDBC applications do not require precompiles or binds.
- JDBC provides a consistent interface for applications to query and retrieve system catalog information across the DB2 Database family of database management systems. This capability reduces the need to write catalog queries that are specific to each database server.

**Related concepts:**
- “Dynamic SQL” on page 137
- “Dynamic SQL applications” on page 183
- “Use of ODBC to execute dynamic SQL” on page 184

**Related tasks:**
- Including dynamic SQL in your program (DB2 Application programming and SQL)

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**Use of an application program as a stored procedure**

A stored procedure is a compiled program that can execute SQL statements.

Stored procedures are stored at the DB2 local or remote server where they run. A typical stored procedure contains two or more SQL statements and some manipulative or logical processing in a program. A client application program uses the SQL CALL statement to invoke the stored procedure.

Consider using stored procedures for a client/server application that does at least one of the following things:

- Executes multiple remote SQL statements.
  Remote SQL statements can result in several send and receive operations across the network, which increases processor costs and elapsed times.
  Stored procedures can encapsulate many SQL statements into a single message to the DB2 server. The network traffic of stored procedures is a single send and receive operation for a series of SQL statements.
  Locks on DB2 tables are not held across network transmissions, which reduces contention for resources at the server.
- Accesses tables from a dynamic SQL environment in which table privileges for the application that is running are undesirable.
  Stored procedures allow static SQL authorization from a dynamic environment.
- Accesses host variables for which you want to check security and integrity.
Stored procedures remove SQL applications from the workstation, preventing workstation users from manipulating the contents of sensitive SQL statements and host variables.

- Creates a result set of rows to return to the client application.

Related concepts:
- Stored procedures (DB2 Application programming and SQL)

Related tasks:
- Implementing DB2 stored procedures (DB2 Administration Guide)

Languages used to create stored procedures

Stored procedures can be written in a variety of programming languages from object-oriented programming languages to traditional programming languages.

You can write stored procedures in the following programming languages:

- **Java**  If you have more experience writing applications in an object-oriented programming environment, you might want to create stored procedures by using Java

- **SQL procedural language**  If your application consists entirely of SQL statements, some simple control flow logic, and no complex application logic, you might choose to create your stored procedures by using the SQL procedural language.

- **REXX**  You can create stored procedures by using REXX programs that can contain dynamic SQL. DBAs and programmers generally use REXX for administrative tasks.

- **Traditional programming languages: C, C++, COBOL, PL/I, and Assembler**  All traditional language programs must be designed to run using Language Environment. COBOL and C++ stored procedures can contain object-oriented extensions.

The program that calls the stored procedure can be in any language that supports the SQL CALL statement. ODBC and JDBC applications can use an escape clause to pass a stored procedure call to DB2.

Related concepts:
- “Use of Java to execute static and dynamic SQL” on page 186
- “Use of the SQL procedural language to create a stored procedure” on page 192

Stored procedure processing

There are several steps to stored procedure processing.

The following figure illustrates processing without stored procedures.
The following figure illustrates processing with stored procedures.

Figure 32. Processing without stored procedures. An application embeds SQL statements and communicates with the server separately for each statement.

The following figure illustrates processing with stored procedures.
Figure notes:

- The workstation application uses the SQL CONNECT statement to create a conversation with DB2.
- DB2 creates a DB2 thread to process SQL requests. A thread is the DB2 structure that describes the connection of an application and traces application progress.
- The SQL statement CALL tells the DB2 server that the application is going to run a stored procedure. The calling application provides the necessary arguments.
- DB2 processes information about the request and loads the stored procedure program.
- The stored procedure executes SQL statements. One of the SQL statements opens a cursor that has been declared WITH RETURN. This action causes a result set to be returned to the workstation application.
- The stored procedure assigns values to the output parameters and exits. Control returns to the DB2 stored procedures region and goes from there to the DB2 subsystem.
- Control returns to the calling application, which receives the output parameters and the result set.

The application can call other stored procedures, or it can execute additional SQL statements. DB2 receives and processes the COMMIT or ROLLBACK request. The commit or rollback operation covers all SQL operations that the application or the stored procedure executes during the unit of work, unless the procedure is defined with the AUTONOMOUS option. Autonomous procedures execute in a separate unit of work from the calling application.

If the application involves IMS or CICS, similar processing occurs. This processing is based on the IMS or CICS synchronization model, rather than on an SQL COMMIT or ROLLBACK statement.

Figure 33. Processing with stored procedures. The same series of SQL statements uses a single send or receive operation.
Use of the SQL procedural language to create a stored procedure

With SQL procedural language, you can write stored procedures that consist entirely of SQL statements.

An SQL procedure can include declarations of variables, conditions, cursors, and handlers. The SQL procedure can also include flow control, assignment statements, and traditional SQL for defining and manipulating relational data. These extensions provide a procedural language for writing stored procedures, and they are consistent with the Persistent Stored Modules portion of the SQL standard.

Example: This example shows a simple SQL procedure (the syntax for the CREATE PROCEDURE statement shows only a portion of the statement clauses):

```sql
CREATE PROCEDURE ITERATOR() LANGUAGE SQL
BEGIN
  ..
  DECLARE not_found CONDITION FOR SQLSTATE '02000';
  DECLARE c1 CURSOR FOR ....;
  DECLARE CONTINUE HANDLER FOR not_found
    SET at_end = 1;
  OPEN c1;
  ftch_loop1: LOOP
    FETCH c1 INTO v_dept, v_deptname, v_admdept; (1)
    IF at_end = 1 THEN
      LEAVE ftch_loop1; (3)
    ELSEIF v_dept = 'D01' THEN
      INSERT INTO department (deptno, deptname, admrdept)
        VALUES ('NEW', v_deptname, v_admdept);
    END IF;
  END LOOP;
  CLOSE c1;
END
```

In this example:
- Processing goes through ftch_loop1, assuming that a row is found.
- The first time that the FETCH does not find a row, processing goes to the HANDLER (1).
- The HANDLER sets the at_end flag. Because the procedure uses a CONTINUE HANDLER, processing continues at the next step after the FETCH (2).
- Processing continues with the CLOSE SQL statement (3).

Related concepts:
- Stored procedures (DB2 Application programming and SQL)

Related tasks:
- Implementing DB2 stored procedures (DB2 Administration Guide)

Use of development tools to create a stored procedure

Workstation-based development tools can help you create, install, and test stored procedures for DB2 for z/OS.
Stored procedures are portable across the entire family of DB2 servers including DB2 for z/OS, DB2 for i, and DB2 for Linux, UNIX, and Windows. If a DB2 subsystem is configured for the creation of SQL and Java stored procedures, you can create these stored procedures with the tools that are available in DB2 Data Studio. These tools also provide steps for building and installing DB2 Java stored procedures on distributed systems. These tools also support read-only access to user-defined functions, triggers, tables, and views.

For more information about how to create stored procedures with development tools, see IBM Data Studio and Integrated Data Management information center.

Related reference:
- Working with stored procedures in Data Studio (IBM Data Studio)
- Create, test, and deploy a DB2 SQL procedure with Integrated Data Management Tools (IBM Data Studio)
- Developing DB2 for z/OS stored procedures with Integrated Data Management Tools (IBM Data Studio)

Setup of the stored procedure environment

Setting up the stored procedure environment includes establishing the stored procedure environment and defining your stored procedure to DB2. Typically, a system administrator customizes the environment, and an application programmer defines the stored procedure.

Before a stored procedure can run, you must define it to DB2. Use the SQL CREATE PROCEDURE statement to define a stored procedure to DB2. To alter the definition, use the ALTER PROCEDURE statement.

Preparation of a stored procedure

You must consider several factors before you use a stored procedure.

A stored procedure can consist of more than one program, each with its own package. Your stored procedure can call other programs, stored procedures, or user-defined functions. Use the facilities of your programming language to call other programs.

If the stored procedure calls other programs that contain SQL statements, each of those called programs must have a DB2 package. The owner of the package or plan that contains the CALL statement must have EXECUTE authority for all packages that the other programs use.

When a stored procedure calls another program, DB2 determines which collection the program package belongs to.

Related tasks:
- Creating a stored procedure (DB2 Application programming and SQL)

How applications can call stored procedures

You can use the SQL CALL statement to call a stored procedure and to pass a list of arguments to that procedure.

An application program can call a stored procedure in the following ways:
To execute a stored procedure, you need two types of authorization:
- Authorization to execute the stored procedure
- Authorization to execute the stored procedure package and any packages that are in the stored procedure package

If the owner of the stored procedure has authority to execute the packages, the person who executes the packages does not need the authority.

The authorizations that you need depend on whether the name of the stored procedure is explicitly specified on the CALL statement or is contained in a host variable.

If the stored procedure invokes user-defined functions or triggers, you need additional authorizations to execute the user-defined function, the trigger, and the user-defined function packages.

Related concepts:
- Example of a simple stored procedure (DB2 Application programming and SQL)

Related tasks:
- Calling a stored procedure from your application (DB2 Application programming and SQL)

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### Processing XML data with DB2 pureXML

You can use pureXML with your client applications to manage XML data in DB2 tables. You can store well-formed XML documents in their hierarchical form and retrieve all or portions of those documents.

Because the stored XML data is fully integrated into the DB2 database system, you can access and manage the XML data by using DB2 functions and capabilities. XML data is stored in a separate table space from SQL data types. The underlying storage of XML data is transparent to the application.

**How is XML data stored?**

The XML column data type is provided for storage of XML data in DB2 tables. With SQL statements you can:
- Create tables with XML columns.
- Add XML columns to existing tables.
- Create indexes over XML columns.
- Create triggers on tables with XML columns.
- Insert, update, or delete XML documents.
What is the pureXML data model?

The pureXML data model provides an abstract representation of one or more XML documents or fragments. The purpose of the data model is to define all permissible values of expressions. The pureXML data model is described in terms of sequences and items, atomic values, and nodes. For details about the pureXML data model, see pureXML data model (DB2 Programming for XML).

How is XML data represented in DB2?

The XML data type is used to define columns of a table that store XML values. This built-in data type enables you to store well-formed XML documents in a database. For details about the XML data type, see XML data type (DB2 Programming for XML).

How do you retrieve information from an XML document?

XQuery is a generalized language for interpreting, retrieving, and modifying XML data. XQuery, SQL, or a combination of both can be used to query and update XML data. SQL functions that return XML data or take XML arguments (referred to as SQL/XML functions) also enable XML data to be constructed or published from values that are retrieved from the database. The XMLTABLE SQL/XML function returns a table from the evaluation of XQuery expressions. XPath is a subset of XQuery and can be used to retrieve XML data with a simpler query syntax. For details about retrieving XML data, see Retrieving XML data (DB2 Programming for XML).

How can you update an XML document?

You can use SQL to update entire documents from XML columns, just as you retrieve data from any other type of column. You can also update entire or portions of documents with XQuery functions. For details about updating XML data, see Updates of XML columns (DB2 Programming for XML).

How can you improve query performance to XML data?

Indexing support is available for data that is stored in XML columns. The use of indexes over XML data can improve the efficiency of queries that you issue against XML documents. An XML index differs from a relational index in that a relational index applies to an entire column, whereas an XML index applies to part of the data in a column. You indicate which parts of an XML column are indexed by specifying an XML pattern, which is a limited XPath expression. For details about indexing XML data, see XML data indexing (DB2 Programming for XML).

What is XML schema validation?

An XML schema is used to validate and process XML documents. The XML schema repository (XSR) is a repository of XML schemas to process documents stored in XML columns with pureXML. For details about XML schema validation:

• See XML schema validation (DB2 Programming for XML)
• See XML schema management with the XML schema repository (XSR) (DB2 Programming for XML)
Which application development environments can you use?

Application development support of XML enables applications to combine XML and relational data access and storage. The following programming languages support the XML data type:

- Assembler
- C or C++ (embedded SQL or DB2 ODBC)
- COBOL
- Java (pureQuery, JDBC, or SQLJ)
- PL/I
- SQL PL

Can you use DB2 utilities with XML data?

DB2 for z/OS utilities support the XML data type. The storage structure for XML data and indexes is like the storage structure for LOB data and indexes. As with LOB data, XML data is not stored in the base table space, but it is stored in separate table spaces that contain only XML data. The XML table spaces also have their own index spaces. Therefore, the implications of using utilities for manipulating, backing up, and restoring XML data and LOB data are similar. For details about how particular DB2 utilities interact with XML data, see [XML support in DB2 utilities (DB2 Programming for XML)](https://www.ibm.com/docs/en/db2)
Chapter 8. Implementation of your database design

After building a logical design and physical design of your relational database and collecting the processing requirements, you can move to the implementation stage. In general, implementing your physical design involves defining the various objects and enforcing the constraints on the data relationships.

The objects in a relational database are organized into sets called schemas. A schema provides a logical classification of objects in the database. The schema name is used as the qualifier of SQL objects such as tables, views, indexes, and triggers.

This information explains the task of implementing your database design in a way that most new users will understand. When you actually perform the task, you might perform the steps in a different order.

You define, or create, objects by executing SQL statements. This information summarizes some of the naming conventions for the various objects that you can create. Also in this information, you will see examples of the basic SQL statements and keywords that you can use to create objects in a DB2 database. (This information does not document the complete SQL syntax.)

Tip: When you create DB2 objects (such as tables, table spaces, views, and indexes), you can precede the object name with a qualifier to distinguish it from objects that other people create. (For example, MYDB.TSPACE1 is a different table space than YOURDB.TSPACE1.) When you use a qualifier, avoid using SYS as the first three characters. If you do not specify a qualifier, DB2 assigns a qualifier for the object.

Related concepts:
Chapter 5, “DB2 objects and their relationships,” on page 79

Creation of tables

Designing tables that many applications use is a critical task. Table design can be difficult because you can represent the same information in many different ways. This information briefly describes how tables are created and altered, and how authorization is controlled.

You create tables by using the SQL CREATE TABLE statement. At some point after you create and start using your tables, you might need to make changes to them. The ALTER TABLE statement lets you add and change columns, add or drop a primary key or foreign key, add or drop table check constraints, or add and change partitions. Carefully consider design changes to avoid or reduce the disruption to your applications.

If you have DBADM (database administration) authority, you probably want to control the creation of DB2 databases and table spaces. These objects can have a big impact on the performance, storage, and security of the entire relational database. In some cases, you also want to retain the responsibility for creating tables. After designing the relational database, you can create the necessary tables for application programs. You can then pass the authorization for their use to the application developers, either directly or indirectly, by using views.
However, if you want to, you can grant the authority for creating tables to those who are responsible for implementing the application. For example, you probably want to authorize certain application programmers to create tables if they need temporary tables for testing purposes.

Some users in your organization might want to use DB2 with minimum assistance or control. You can define a separate storage group and database for these users and authorize them to create whatever data objects they need, such as tables.

**Related concepts:**
- “Authorization and security mechanisms for data access” on page 304
- Chapter 5, “DB2 objects and their relationships,” on page 79

**Related tasks:**
- Creating tables (DB2 Application programming and SQL)

**Related reference:**
- CREATE TABLE (DB2 SQL)
- ALTER TABLE (DB2 SQL)

**Related information:**
- Implementing DB2 tables (DB2 Administration Guide)

### Types of tables

In DB2, you store user data in tables. DB2 supports several types of tables, each of which has its own purpose and characteristics.

DB2 supports the following types of tables:

- **archive table**
  A table that stores rows that are deleted from another table.

- **archive-enabled table**
  A table that has an associated archive table. When rows are deleted from an archive-enabled table, DB2 can automatically insert those rows into an archive table.

- **auxiliary table**
  A table created with the SQL statement CREATE AUXILIARY TABLE and used to hold the data for a column that is defined in a base table.

- **base table**
  The most common type of table in DB2. You create a base table with the SQL CREATE TABLE statement. The DB2 catalog table, SYSIBM.SYSTABLES, stores the description of the base table. The table description and table data are persistent. All programs and users that refer to this type of table refer to the same description of the table and to the same instance of the table.

- **clone table**
  A table that is structurally identical to a base table. You create a clone table by using an ALTER TABLE statement for the base table that includes an ADD CLONE clause. The clone table is created in a different instance of the same table space as the base table, is structurally identical to the base table in every way, and has the same indexes, before triggers, and LOB objects. In the DB2 catalog, the SYSTABLESPACE table indicates that the table space has only one table in it, but SYSTABLESPACE.CLONE indicates that a clone table exists. Clone tables can be created only in a
range-partitioned or partition-by-growth table space that is managed by
DB2. The base and clone table each have separate underlying VSAM data
sets (identified by their data set instance numbers) that contain
independent rows of data.

empty table
A table with zero rows.

history table
A history table is used by DB2 to store historical versions of rows from the
associated system-period temporal table.

materialized query table
A table, which you define with the SQL CREATE TABLE statement, that
contains materialized data that is derived from one or more source tables.
Materialized query tables are useful for complex queries that run on large
amounts of data. DB2 can precompute all or part of such queries and use
the precomputed, or materialized, results to answer the queries more
efficiently. Materialized query tables are commonly used in data
warehousing and business intelligence applications.

Several DB2 catalog tables, including SYSIBM.SYSTABLES and
SYSIBM.SYSVIEWS, store the description of the materialized query table
and information about its dependency on a table, view, or function. The
attributes that define a materialized query table tell DB2 whether the table
is:
• System-maintained or user-maintained.
• Refreshable: All materialized tables can be updated with the REFRESH
  TABLE statement. Only user-maintained materialized query tables can
  also be updated with the LOAD utility and the UPDATE, INSERT, and
  DELETE SQL statements.
• Enabled for query optimization: You can enable or disable the use of a
  materialized query table in automatic query rewrite.

Materialized query tables can be used to improve the performance of
dynamic SQL queries. If DB2 determines that a portion of a query could be
resolved using a materialized query table, the query might be rewritten by
DB2 to use the materialized query table. This decision is based in part on
the settings of the CURRENT REFRESH AGE and the CURRENT
MAINTAINED TABLE TYPES FOR OPTIMIZATION special registers.

result table
A table that contains a set of rows that DB2 selects or generates, directly or
indirectly, from one or more base tables in response to an SQL statement.
Unlike a base table or a temporary table, a result table is not an object that
you define using a CREATE statement.

sample table
One of several tables shipped with the DB2 licensed program that contains
sample data. Many examples in this information are based on sample
tables.

temporal tables
A temporal table is a table that records the period of time when a row is
valid.

DB2 supports two types of periods, which are the system period
(SYSTEM_TIME) and the application period (BUSINESS_TIME). The
system period consists of a pair of columns with system-maintained values
that indicates the period of time when a row is valid. The application
period consists of a pair of columns with application-maintained values that indicates the period of time when a row is valid.

**system-period temporal table**
A system-period temporal table is a base table that is defined with system-period data versioning. You can modify an existing table to become a system-period temporal table by specifying the ADD PERIOD SYSTEM_TIME clause on the ALTER TABLE statement. After creating a history table that corresponds to the system-period temporal table, you can define system-period data versioning on the table by issuing the ALTER TABLE ADD VERSIONING statement with the USE HISTORY table clause.

**application-period temporal table**
An application-period temporal table is a base table that includes an application period (BUSINESS_TIME). You can modify an existing table to become an application-period temporal table by specifying the ADD PERIOD BUSINESS_TIME clause on the ALTER TABLE statement.

**bitemporal table**
A bitemporal table is a table that is both a system-period temporal table and an application-period temporal table. You can use a bitemporal table to keep application period information and system-based historical information. Therefore, you have a lot of flexibility in how you query data based on periods of time.

**temporary table**
A table that is defined by the SQL statement CREATE GLOBAL TEMPORARY TABLE or DECLARE GLOBAL TEMPORARY TABLE to hold data temporarily. Temporary tables are especially useful when you need to sort or query intermediate result tables that contain many rows, but you want to store only a small subset of those rows permanently.

**created global temporary table**
A table that you define with the SQL CREATE GLOBAL TEMPORARY TABLE statement. The DB2 catalog table, SYSIBM.SYSTABLES, stores the description of the created temporary table. The description of the table is persistent and shareable. However, each individual application process that refers to a created temporary table has its own distinct instance of the table. That is, if application process A and application process B both use a created temporary table named TEMPTAB:

- Each application process uses the same table description.
- Neither application process has access to or knowledge of the rows in the other application instance of TEMPTAB.

**declared global temporary table**
A table that you define with the SQL DECLARE GLOBAL TEMPORARY TABLE statement. The DB2 catalog does not store a description of the declared temporary table. Therefore, the description and the instance of the table are not persistent. Multiple application processes can refer to the same declared temporary table by name, but they do not actually share the same description or instance of the table. For example, assume that application process A defines a declared temporary table named TEMP1 with 15 columns. Application process B defines a declared temporary table named TEMP1 with five columns. Each
application process uses its own description of TEMP1; neither application process has access to or knowledge of rows in the other application instance of TEMP1.

**XML table**
A special table that holds only XML data. When you create a table with an XML column, DB2 implicitly creates an XML table space and an XML table to store the XML data.

These different types of tables differ in other ways that this topic does not describe.

**Related concepts:**
- “Creation of large objects” on page 256
- “Creation of materialized query tables” on page 204
- “Archive-enabled tables and archive tables”

**Related reference:**
- “DB2 sample tables” on page 140

### Archive-enabled tables and archive tables

If you have a table that contains a significant amount of historical data that is not often referenced, consider creating archive tables. An **archive table** is a table that stores older rows from another table.

The original table is called an **archive-enabled table**. DB2 can automatically store rows that are deleted from an archive-enabled table in an associated archive table. When you query an archive-enabled table, you can specify whether you want those queries to include data from the archive table.

Archive tables have the following advantages:
- DB2 can manage historical data for you. You do not have to manually move data to a separate table.
- Because rows that are infrequently accessed are stored in a separate table, you can potentially improve the performance of queries against the archive-enabled table.
- You can modify queries to include or exclude archive table data without having to change the SQL statement and prepare the application again. Instead, you can control the scope of the query with a global variable.
- You can store archive tables on a lower-cost device to reduce operating costs.

To create an archive table, follow the instructions in **Creating an archive table (DB2 Administration Guide)**. When you create an archive table, use the SYSIBMADM.MOVE_TO_ARCHIVE global variable to specify whether DB2 is to automatically archive any rows that are deleted from the archive-enabled table.

When you query an archive-enabled table, you can specify whether you want the query to consider rows in the archive table. You do not have to modify the SQL. Instead, you can control the scope of the query by using the SYSIBMADM.GET_ARCHIVE global variable and the ARCHIVESENSITIVE bind option. To retrieve data from an archive able, set SYSIBMADM.GET_ARCHIVE to Y and bind the plan or package with ARCHIVESENSITIVE(YES).

The ARCHIVESENSITIVE bind option has no affect on the SYSIBMADM.MOVE_TO_ARCHIVE value.
You can later remove the relationship between the archive-enabled table and the
archive table. To remove this relationship, issue the ALTER TABLE statement for
the archive-enabled table and specify the DISABLE ARCHIVE clause. Both tables
still exist, but the relationship is removed.

Related reference:

- References to built-in global variables (DB2 SQL)
- ARCHIVESENSITIVE bind option (DB2 Commands)

Creation of base tables

You use the CREATE TABLE statement to create a base table that you have
designed.

When you create a table, DB2 records a definition of the table in the DB2 catalog.
Creating a table does not store the application data. You can put data into the table
by using several methods, such as the LOAD utility or the INSERT statement.

Example: The following CREATE TABLE statement creates the EMP table, which is
in a database named MYDB and in a table space named MYTS:

```sql
CREATE TABLE EMP
  (EMPNO CHAR(6) NOT NULL,
   FIRSTNAME VARCHAR(12) NOT NULL,
   LASTNAME VARCHAR(15) NOT NULL,
   DEPT CHAR(3) ,
   HIREDATE DATE ,
   JOB CHAR(8) ,
   EDL SMALLINT ,
   SALARY DECIMAL(9,2) ,
   COMM DECIMAL(9,2) ,
   PRIMARY KEY (EMPNO))
IN MYDB.MYTS;
```

The preceding CREATE TABLE statement shows the definition of multiple
columns.

Related concepts:

- "Definition of columns in a table" on page 206

Related reference:

- CREATE TABLE (DB2 SQL)

Creation of temporary tables

Temporary tables can help you identify a small subset of rows from an
intermediate result table that you want to store permanently. The two types of
temporary tables are created temporary tables and declared temporary tables.

You can use temporary tables to sort large volumes of data and to query that data.
Then, when you have identified the smaller number of rows that you want to store
permanently, you can store them in a base table. The two types of temporary tables
in DB2 are the created temporary table and the declared temporary table. The
following topics describe how to define each type.
**Created temporary table**

Sometimes you need a permanent, shareable description of a table but need to store data only for the life of an application process. In this case, you can define and use a created temporary table. DB2 does not log operations that it performs on created temporary tables; therefore, SQL statements that use them can execute more efficiently. Each application process has its own instance of the created temporary table.

**Example:** The following statement defines a created temporary table, TEMPPROD:

```sql
CREATE GLOBAL TEMPORARY TABLE TEMPPROD
  (SERIALNO CHAR(8) NOT NULL,
   DESCRIPTION VARCHAR(60) NOT NULL,
   MFGCOSTAMT DECIMAL(8,2) ,
   MFGDEPTNO CHAR(3) ,
   MARKUPPCT SMALLINT ,
   SALESDEPTNO CHAR(3) ,
   CURDATE DATE NOT NULL);
```

**Declared temporary table**

Sometimes you need to store data for the life of an application process, but you do not need a permanent, shareable description of the table. In this case, you can define and use a declared temporary table.

Unlike other DB2 DECLARE statements, DECLARE GLOBAL TEMPORARY TABLE is an executable statement that you can embed in an application program or issue interactively. You can also dynamically prepare the statement.

When a program in an application process issues a DECLARE GLOBAL TEMPORARY TABLE statement, DB2 creates an empty instance of the table. You can populate the declared temporary table by using INSERT statements, modify the table by using searched or positioned UPDATE or DELETE statements, and query the table by using SELECT statements. You can also create indexs on the declared temporary table. The definition of the declared temporary table exists as long as the application process runs.

**Example:** The following statement defines a declared temporary table, TEMP_EMP. (This example assumes that you have already created the WORKFILE database and corresponding table space for the temporary table.)

```sql
DECLARE GLOBAL TEMPORARY TABLE SESSION.TEMP_EMP
  (EMPNO CHAR(6) NOT NULL,
   SALARY DECIMAL(9, 2) ,
   COMM DECIMAL(9, 2));
```

If specified explicitly, the qualifier for the name of a declared temporary table, must be SESSION. If the qualifier is not specified, it is implicitly defined to be SESSION.

At the end of an application process that uses a declared temporary table, DB2 deletes the rows of the table and implicitly drops the description of the table.

**Related concepts:**
Creation of materialized query tables

Materialized query tables improve the performance of complex queries that operate on large amounts of data.

Using a materialized query table, DB2 pre-computes the results of data that is derived from one or more tables. When you submit a query, DB2 can use the results that are stored in a materialized query table rather than compute the results from the underlying source tables on which the materialized query table is defined. If the rewritten query is less costly, DB2 chooses to optimize the query by using the rewritten query, a process called automatic query rewrite.

To take advantage of automatic query rewrite, you must define, populate, and periodically refresh the materialized query table. You use the CREATE TABLE statement to create a table as a materialized query table.

Example: The following CREATE TABLE statement defines a materialized query table named TRANSCNT. TRANSCNT summarizes the number of transactions in table TRANS by account, location, and year:

```
CREATE TABLE TRANSCNT (ACCTID, LOCID, YEAR, CNT) AS
(SELECT ACCOUNTID, LOCATIONID, YEAR, COUNT(*)
FROM TRANS
GROUP BY ACCOUNTID, LOCATIONID, YEAR )
DATA INITIALLY DEFERRED
REFRESH DEFERRED
MAINTAINED BY SYSTEM
ENABLE QUERY OPTIMIZATION;
```

The full select, together with the DATA INITIALLY DEFERRED clause and the REFRESH DEFERRED clause, defines the table as a materialized query table.

Related tasks:
- Dropping, re-creating, or converting a table space (DB2 Administration Guide)

Creation of a table with table-controlled partitioning

Table-controlled partitioning does not require an index for partitioning and is defined by PARTITION clauses on the CREATE TABLE statement.

When you define a partitioning index on a table in a partitioned table space, you specify the partitioning key and the limit key values in the PARTITION clause of the CREATE INDEX statement. This type of partitioning is known as index-controlled partitioning. Because the index is created separately from the associated table, you cannot insert data into the table until the partitioning index is created.

DB2 also supports a method called table-controlled partitioning for defining table partitions. You can use table-controlled partitioning instead of index-controlled partitioning.
With table-controlled partitioning, you identify column values that delimit partition boundaries with the PARTITION BY clause and the PARTITION ENDING AT clause of the CREATE TABLE statement. When you use this type of partitioning, an index is not required for partitioning.

**Example:** Assume that you need to create a large transaction table that includes the date of the transaction in a column named POSTED. You want to keep the transactions for each month in a separate partition. To create the table, use the following statement:

```sql
CREATE TABLE TRANS
  (ACCTID ..., STATE ..., POSTED ..., ... , ...)  
PARTITION BY (POSTED)
  (PARTITION 1 ENDING AT ('01/31/2003'),
   PARTITION 2 ENDING AT ('02/28/2003'),
   ...
   PARTITION 13 ENDING AT ('01/31/2004'));
```

**Related concepts:**
- “Partitioning indexes” on page 248

### Creation of temporal tables

A temporal table is a table that records the period of time when a row is valid.

You can create application-period temporal tables and system-period temporal tables. An application-period temporal table includes an application period, which is a period in which you maintain the beginning and ending values for a row. A system-period temporal table has a system period, and you can define system-period data versioning on the table to manage historical and current table data. A bitemporal table is a table that is both a system-period temporal table and an application-period temporal table.

System-period data versioning specifies that old rows are archived into another table. The table that contains the current active rows of a table is called the system-period temporal table. The table that contains the archived rows is called the history table. When you define a base table to use system-period data versioning or when you define system-period data versioning on an existing table, you must create a history table. You must specify a name for the history table and create a table space to hold that table.

When you update or delete data in a system-period temporal table, DB2 inserts the previous row values and column values into the history table. You can query a system-period temporal table with timestamp criteria to retrieve previous data values. You can specify the timestamp criteria in the query or by using special registers. You also can specify the length of time that the historical data is stored.

You can use system-period data versioning instead of developing your own programs for maintaining multiple versions of data within a database. With DB2, system-period data versioning is a more efficient method for maintaining versioned data.

**Related concepts:**
Definition of columns in a table

A column definition has two basic components, the column name and the data type. There are several factors that you need to consider when you define columns in a table.

The two basic components of the column definition are the name and the data type. A column contains values that have the same data type. If you are familiar with the concepts of records and fields, you can think of a value as a field in a record. A value is the smallest unit of data that you can manipulate with SQL. For example, in the EMP table, the EMPNO column identifies all employees by a unique employee number. The HIREDATE column contains the hire dates for all employees. You cannot overlap columns.

Online schema enhancements provide flexibility that lets you change a column definition. Carefully consider the decisions that you make about column definitions. After you implement the design of your tables, you can change a column definition with minimal disruption of applications.

Throughout the implementation phase of database design, refer to the complete descriptions of SQL statement syntax and usage for each SQL statement that you work with.

Column names

Following column naming guidelines that are developed for your organization ensures that you make good choices that are consistent.

Generally, the database administrator (DBA) is involved in determining the names of attributes (or columns) during the physical database design phase. To make the right choices for column names, DBAs follow the guidelines that the data administrators developed.

Sometimes columns need to be added to the database after the design is complete. In this case, DB2 rules for unique column names must be followed. Column names must be unique within a table, but you can use the same column name in different tables. Try to choose a meaningful name to describe the data in a column to make your naming scheme intuitive. The maximum length of a column name is 30 bytes.

Data types

Every column in every DB2 table has a data type. The data type influences the range of values that the column can have and the set of operators and functions that apply to it.

You specify the data type of each column at the time that you create the table. You can also change the data type of a table column. The new data type definition is applied to all data in the associated table when the table is reorganized.
Some data types have parameters that further define the operators and functions that apply to the column. DB2 supports both IBM-supplied data types and user-defined data types. The data types that IBM supplies are sometimes called *built-in data types*. In DB2 for z/OS, user-defined data types are called *distinct types*. 

**Related concepts:**
- “Data types for attributes” on page 84
- “Distinct types” on page 213

**String data types**

DB2 supports several types of string data: character strings, graphic strings, and binary strings.

*Character strings* contain text and can be either a fixed-length or a varying-length. *Graphic strings* contain graphic data, which can also be either a fixed-length or a varying-length. *Binary strings* contain strings of binary bytes and can be either a fixed-length or a varying-length. All of these types of string data can be represented as large objects.

The following table describes the different string data types and indicates the range for the length of each string data type.

**Table 35. String data types**

<table>
<thead>
<tr>
<th>Data type</th>
<th>Denotes a column of...</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHARACTER(<em>n</em>)</td>
<td>Fixed-length character strings with a length of <em>n</em> bytes. <em>n</em> must be greater than 0 and not greater than 255. The default length is 1.</td>
</tr>
<tr>
<td>VARCHAR(<em>n</em>)</td>
<td>Varying-length character strings with a maximum length of <em>n</em> bytes. <em>n</em> must be greater than 0 and less than a number that depends on the page size of the table space. The maximum length is 32704.</td>
</tr>
<tr>
<td>CLOB(<em>n</em>)</td>
<td>Varying-length character strings with a maximum of <em>n</em> characters. <em>n</em> cannot exceed 2 147 483 647. The default length is 1M.</td>
</tr>
<tr>
<td>GRAPHIC(<em>n</em>)</td>
<td>Fixed-length graphic strings that contain <em>n</em> double-byte characters. <em>n</em> must be greater than 0 and less than 128. The default length is 1.</td>
</tr>
<tr>
<td>VARGRAPHIC(<em>n</em>)</td>
<td>Varying-length graphic strings. The maximum length, <em>n</em>, must be greater than 0 and less than a number that depends on the page size of the table space. The maximum length is 16352.</td>
</tr>
<tr>
<td>DBCLOB(<em>n</em>)</td>
<td>Varying-length strings of double-byte characters with a maximum of <em>n</em> double-byte characters. <em>n</em> cannot exceed 1 073 741 824. The default length is 1M.</td>
</tr>
<tr>
<td>BINARY(<em>n</em>)</td>
<td>Fixed-length or varying-length binary strings with a length of <em>n</em> bytes. <em>n</em> must be greater than 0 and not greater than 255. The default length is 1.</td>
</tr>
<tr>
<td>VARBINARY(<em>n</em>)</td>
<td>Varying-length binary strings with a length of <em>n</em> bytes. The length of <em>n</em> must be greater than 0 and less than a number that depends on the page size of the table space. The maximum length is 32704.</td>
</tr>
<tr>
<td>BLOB(<em>n</em>)</td>
<td>Varying-length binary strings with a length of <em>n</em> bytes. <em>n</em> cannot exceed 2 147 483 647. The default length is 1M.</td>
</tr>
</tbody>
</table>

In most cases, the content of the data that a column is to store dictates the data type that you choose.
Example: The DEPT table has a column, DEPTNAME. The data type of the DEPTNAME column is VARCHAR(36). Because department names normally vary considerably in length, the choice of a varying-length data type seems appropriate. If you choose a data type of CHAR(36), for example, the result is a lot of wasted, unused space. In this case, DB2 assigns all department names, regardless of length, the same amount of space (36 bytes). A data type of CHAR(6) for the employee number (EMPNO) is a reasonable choice because all values are fixed-length values (6 bytes).

Fixed-length and variable-length character strings

Using VARCHAR saves disk space, but it incurs a 2-byte overhead cost for each value. Using VARCHAR also requires additional processing for varying-length rows. Therefore, using CHAR is preferable to VARCHAR, unless the space that you save by using VARCHAR is significant. The savings are not significant if the maximum column length is small or if the lengths of the values do not have a significant variation.

Recommendation: Generally, do not define a column as VARCHAR(n) or CLOB(n) unless n is at least 18 characters.

String subtypes

If an application that accesses your table uses a different encoding scheme than your DBMS uses, the following string subtypes can be important:

BIT  Does not represent characters.
SBCS  Represents single-byte characters.
MIXED  Represents single-byte characters and multibyte characters.

String subtypes apply only to CHAR, VARCHAR, and CLOB data types. However, the BIT string subtype is not allowed for the CLOB data type.

Graphic and mixed data

When columns contain double-byte character set (DBCS) characters, you can define them as either graphic data or mixed data.

Graphic data can be either GRAPHIC, VARGRAPHIC, or DBCLOB. Using VARGRAPHIC saves disk space, but it incurs a 2-byte overhead cost for each value. Using VARGRAPHIC also requires additional processing for varying-length rows. Therefore, using GRAPHIC data is preferable to using VARGRAPHIC unless the space that you save by using VARGRAPHIC is significant. The savings are not significant if the maximum column length is small or if the lengths of the values do not vary significantly.

Recommendation: Generally, do not define a column as VARGRAPHIC(n) unless n is at least 18 double-byte characters (which is a length of 36 bytes).

Mixed-data character string columns can contain both single-byte character set (SBCS) and DBCS characters. You can specify the mixed-data character string columns as CHAR, VARCHAR, or CLOB with MIXED DATA.

Recommendation: If all of the characters are DBCS characters, use the graphic data types. (Kanji is an example of a language that requires DBCS characters.) For SBCS characters, use mixed data to save 1 byte for every single-byte character in the column.

Related concepts:
Numeric data types
DB2 supports several types of numeric data types, each of which has its own characteristics.

For numeric data, use numeric columns rather than string columns. Numeric columns require less space than string columns, and DB2 verifies that the data has the assigned type.

Example: Assume that DB2 calculates a range between two numbers. If the values have a string data type, DB2 assumes that the values can include all combinations of alphanumeric characters. In contrast, if the values have a numeric data type, DB2 can calculate a range between the two values more efficiently.

The following table describes the numeric data types.

<table>
<thead>
<tr>
<th>Data type</th>
<th>Denotes a column of...</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMALLINT</td>
<td>Small integers. A small integer is binary integer with a precision of 15 bits. The range is -32768 to +32767.</td>
</tr>
<tr>
<td>INTEGER or INT</td>
<td>Large integers. A large integer is binary integer with a precision of 31 bits. The range is -2147483648 to +2147483647.</td>
</tr>
<tr>
<td>BIGINT</td>
<td>Big integers. A big integer is a binary integer with a precision of 63 bits. The range of big integers is -9223372036854775808 to +9223372036854775807.</td>
</tr>
<tr>
<td>DECIMAL or NUMERIC</td>
<td>A decimal number is a packed decimal number with an implicit decimal point. The position of the decimal point is determined by the precision and the scale of the number. The scale, which is the number of digits in the fractional part of the number, cannot be negative or greater than the precision. The maximum precision is 31 digits. All values of a decimal column have the same precision and scale. The range of a decimal variable or the numbers in a decimal column is -n to +n, where n is the largest positive number that can be represented with the applicable precision and scale. The maximum range is 1 - 10⁻¹¹ to 10¹¹ - 1.</td>
</tr>
<tr>
<td>DECFLOAT</td>
<td>A decimal floating-point value is an IEEE 754r number with a decimal point. The position of the decimal point is stored in each decimal floating-point value. The maximum precision is 34 digits. The range of a decimal floating-point number is either 16 or 34 digits of precision; the exponent range is respectively 10-383 to 10+384 or 10-6143 to 10+6144.</td>
</tr>
<tr>
<td>REAL</td>
<td>A single-precision floating-point number is a short floating-point number of 32 bits. The range of single-precision floating-point numbers is approximately -7.2E+75 to 7.2E+75. In this range, the largest negative value is about -5.4E-79, and the smallest positive value is about 5.4E-079.</td>
</tr>
<tr>
<td>DOUBLE</td>
<td>A double-precision floating-point number is a long floating-point number of 64-bits. The range of double-precision floating-point numbers is approximately -7.2E+75 to 7.2E+75. In this range, the largest negative value is about -5.4E-79, and the smallest positive value is about 5.4E-079.</td>
</tr>
</tbody>
</table>
Table 36. Numeric data types (continued)

<table>
<thead>
<tr>
<th>Data type</th>
<th>Denotes a column of...</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMALLINT</td>
<td>INTEGER, or BIGINT</td>
</tr>
</tbody>
</table>

Note: zSeries and z/Architecture use the System/390® format and support IEEE floating-point format.

For integer values, SMALLINT INTEGER, or BIGINT (depending on the range of the values) is generally preferable to DECIMAL.

You can define an exact numeric column as an identity column. An identity column has an attribute that enables DB2 to automatically generate a unique numeric value for each row that is inserted into the table. Identity columns are ideally suited to the task of generating unique primary-key values. Applications that use identity columns might be able to avoid concurrency and performance problems that sometimes occur when applications implement their own unique counters.

**Date, time, and timestamp data types**

Although storing dates and times as numeric values is possible, using datetime data types is recommended. The datetime data types are DATE, TIME, and TIMESTAMP.

The following table describes the data types for dates, times, and timestamps.

Table 37. Date, time, and timestamp data types

<table>
<thead>
<tr>
<th>Data type</th>
<th>Denotes a column of...</th>
</tr>
</thead>
<tbody>
<tr>
<td>DATE</td>
<td>A date is a three-part value representing a year, month, and day in the range of 0001-01-01 to 9999-12-31.</td>
</tr>
<tr>
<td>TIME</td>
<td>A time is a three-part value representing a time of day in hours, minutes, and seconds, in the range of 00.00.00 to 24.00.00.</td>
</tr>
<tr>
<td>TIMESTAMP</td>
<td>A timestamp is a seven-part value representing a date and time by year, month, day, hour, minute, second, and microsecond, in the range of 0001-01-01-00.00.00.000000000 to 9999-12-31-24.00.00.000000000 with nanosecond precision. Timestamps can also hold timezone information.</td>
</tr>
</tbody>
</table>

DB2 stores values of datetime data types in a special internal format. When you load or retrieve data, DB2 can convert it to or from any of the formats in the following table.

Table 38. Date and time format options

<table>
<thead>
<tr>
<th>Format name</th>
<th>Abbreviation</th>
<th>Typical date</th>
<th>Typical time</th>
</tr>
</thead>
<tbody>
<tr>
<td>International Standards Organization</td>
<td>ISO</td>
<td>2003-12-25</td>
<td>13.30.05</td>
</tr>
<tr>
<td>IBM USA standard</td>
<td>USA</td>
<td>12/25/2003</td>
<td>1:30 PM</td>
</tr>
<tr>
<td>IBM European standard</td>
<td>EUR</td>
<td>25.12.2003</td>
<td>13.30.05</td>
</tr>
</tbody>
</table>

**Example 1:** The following query displays the dates on which all employees were hired, in IBM USA standard form, regardless of the local default:

```sql
SELECT EMPNO, CHAR(HIREDATE, USA) FROM EMP;
```
When you use datetime data types, you can take advantage of DB2 built-in functions that operate specifically on datetime values, and you can specify calculations for datetime values.

**Example 2:** Assume that a manufacturing company has an objective to ship all customer orders within five days. You define the SHIPDATE and ORDERDATE columns as DATE data types. The company can use datetime data types and the DAYS built-in function to compare the shipment date to the order date. Here is how the company might code the function to generate a list of orders that have exceeded the five-day shipment objective:

\[ \text{DAYS(SHIPDATE)} - \text{DAYS(ORDERDATE)} > 5 \]

As a result, programmers do not need to develop, test, and maintain application code to perform complex datetime arithmetic that needs to allow for the number of days in each month.

You can use the following sample user-defined functions (which come with DB2) to modify the way dates and times are displayed.

- **ALTDATE** returns the current date in a user-specified format or converts a user-specified date from one format to another.
- **ALTTIME** returns the current time in a user-specified format or converts a user-specified time from one format to another.

At installation time, you can also supply an exit routine to make conversions to and from any local standard.

When loading date or time values from an outside source, DB2 accepts any of the date and time format options that are listed in this information. DB2 converts valid input values to the internal format. For retrieval, a default format is specified at DB2 installation time. You can subsequently override that default by using a precompiler option for all statements in a program or by using the scalar function CHAR for a particular SQL statement and by specifying the format that you want.

**XML data type**

The XML data type is used to define columns of a table that store XML values. This pureXML data type provides the ability to store well-formed XML documents in a database.

All XML data is stored in the database in an internal representation. Character data in this internal representation is in the UTF-8 encoding scheme.

XML values that are stored in an XML column have an internal representation that is not a string and not directly comparable to string values. An XML value can be transformed into a serialized string value that represents the XML document by using the XMLSERIALIZE function or by retrieving the value into an application variable of an XML, string, or binary type. Similarly, a string value that represents an XML document can be transformed to an XML value by using the XMLPARSE function or by storing a value from a string, binary, or XML application data type in an XML column.

The size of an XML value in a DB2 table has no architectural limit. However, serialized XML data that is stored in or retrieved from an XML column is limited to 2 GB.
Validation of an XML document against an XML schema, typically performed during INSERT or UPDATE into an XML column, is supported by the XML schema repository (XSR). If an XML column has an XML type modifier, documents that are inserted into the column or updated in the column are automatically validated against an XML schema.

**Large object data types**

You can use large object data types to store audio, video, images, and other files that are larger than 32 KB.

The VARCHAR, VARGRAPHIC, and VARBINARY data types have a storage limit of 32 KB. However, applications often need to store large text documents or additional data types such as audio, video, drawings, images, and a combination of text and graphics. For data objects that are larger than 32 KB, you can use the corresponding large object (LOB) data types to store these objects.

DB2 provides three data types to store these data objects as strings of up to 2 GB in size:

**Character large objects (CLOBs)**

Use the CLOB data type to store SBCS or mixed data, such as documents that contain single character set. Use this data type if your data is larger (or might grow larger) than the VARCHAR data type permits.

**Double-byte character large objects (DBCLOBs)**

Use the DBCLOB data type to store large amounts of DBCS data, such as documents that use a DBCS character set.

**Binary large objects (BLOBs)**

Use the BLOB data type to store large amounts of noncharacter data, such as pictures, voice, and mixed media.

If your data does not fit entirely within a data page, you can define one or more columns as LOB columns. An advantage to using LOBs is that you can create user-defined functions that are allowed only on LOB data types.

**Related concepts:**

- "Creation of large objects" on page 256
- [Large objects (LOBs) (DB2 SQL)]

**ROWID data type**

You use the ROWID data type to uniquely and permanently identify rows in a DB2 subsystem.

DB2 can generate a value for the column when a row is added, depending on the option that you choose (GENERATED ALWAYS or GENERATED BY DEFAULT) when you define the column. You can use a ROWID column in a table for several reasons.

- You can define a ROWID column to include LOB data in a table.
- You can use direct-row access so that DB2 accesses a row directly through the ROWID column. If an application selects a row from a table that contains a ROWID column, the row ID value implicitly contains the location of the row. If you use that row ID value in the search condition of subsequent SELECT statements, DB2 might be able to navigate directly to the row.

**Related concepts:**

- [ROWID columns (DB2 Application programming and SQL)]
Distinct types

A distinct type is a user-defined data type that is based on existing built-in DB2 data types.

A distinct type is internally the same as a built-in data type, but DB2 treats them as a separate and incompatible type for semantic purposes.

Defining your own distinct type ensures that only functions that are explicitly defined on a distinct type can be applied to its instances.

Example 1: You might define a US_DOLLAR distinct type that is based on the DB2 DECIMAL data type to identify decimal values that represent United States dollars. The US_DOLLAR distinct type does not automatically acquire the functions and operators of its source type, DECIMAL.

Although you can have different distinct types that are based on the same built-in data types, distinct types have the property of strong typing. With this property, you cannot directly compare instances of a distinct type with anything other than another instance of that same type. Strong typing prevents semantically incorrect operations (such as explicit addition of two different currencies) without first undergoing a conversion process. You define which types of operations can occur for instances of a distinct type.

If your company wants to track sales in many countries, you must convert the currency for each country in which you have sales.

Example 2: You can define a distinct type for each country. For example, to create US_DOLLAR types and CANADIAN_DOLLAR types, you can use the following CREATE DISTINCT TYPE statements:

```sql
CREATE DISTINCT TYPE US_DOLLAR AS DECIMAL (9,2);
CREATE DISTINCT TYPE CANADIAN_DOLLAR AS DECIMAL (9,2);
```

Example 3: After you define distinct types, you can use them in your CREATE TABLE statements:

```sql
CREATE TABLE US_SALES
(PRODUCT_ITEM_NO INTEGER,
 MONTH INTEGER,
 YEAR INTEGER,
 TOTAL_AMOUNT US_DOLLAR);
CREATE TABLE CANADIAN_SALES
(PRODUCT_ITEM_NO INTEGER,
 MONTH INTEGER,
 YEAR INTEGER,
 TOTAL_AMOUNT CANADIAN_DOLLAR);
```
User-defined functions support the manipulation of distinct types.

Related concepts:
"Encoding schemes for string data"

Encoding schemes for string data
For string data, all characters are represented by a common encoding representation (Unicode, ASCII, or EBCDIC). Encoding schemes apply to string data types and to distinct types that are based on string types.

Multinational companies that engage in international trade often store data from more than one country in the same table. Some countries use different coded character set identifiers. DB2 for z/OS supports the Unicode encoding scheme, which represents many different geographies and languages. If you need to perform character conversion on Unicode data, the conversion is more likely to preserve all of your information.

In some cases, you might need to convert characters to a different encoding representation. The process of conversion is known as character conversion. Most users do not need a knowledge of character conversion. When character conversion does occur, it does so automatically and a successful conversion is invisible to the application and users.

Related concepts:
“Distinct types” on page 213
“String data types” on page 207

How DB2 compares data types
DB2 compares values of different types and lengths.

A comparison occurs when both values are numeric, both values are character strings, or both values are graphic strings. Comparisons can also occur between character and graphic data or between character and datetime data if the character data is a valid character representation of a datetime value. Different types of string or numeric comparisons might have an impact on performance.

Null and default values
Null values and default values are useful in situations where the content of some columns cannot be specified when you create table columns.

Null values
Some columns cannot have a meaningful value in every row. DB2 uses a special value indicator, the null value, to stand for an unknown or missing value. A null value is a special value that DB2 interprets to mean that no data is present.

If you do not specify otherwise, DB2 allows any column to contain null values. Users can create rows in the table without providing a value for the column.

Using the NOT NULL clause enables you to disallow null values in the column. Primary keys must be defined as NOT NULL. 

Example: The table definition for the DEPT table specifies when you can use a null value. Notice that you can use nulls for the MGRNO column only:

```
CREATE TABLE DEPT
    (DEPTNO CHAR(3) NOT NULL,
     DEPTNAME VARCHAR(36) NOT NULL,
     MGRNO CHAR(3) NOT NULL);
```
Before you decide whether to allow nulls for unknown values in a particular column, you must be aware of how nulls affect results of a query:

- **Nulls in application programs**
  Nulls do not satisfy any condition in an SQL statement other than the special IS NULL predicate. DB2 sorts null values differently than non-null values. Null values do not behave like other values. For example, if you ask DB2 whether a null value is larger than a given known value, the answer is UNKNOWN. If you then ask DB2 whether a null value is smaller than the same known value, the answer is still UNKNOWN.

  If getting a value of UNKNOWN is unacceptable for a particular column, you could define a default value instead. Programmers are familiar with the way default values behave.

- **Nulls in a join operation**
  Nulls need special handling in join operations. If you perform a join operation on a column that can contain null values, consider using an outer join.

Related concepts:

- “Ways to join data from more than one table” on page 124
- “Values for key attributes” on page 86

**Default values**

DB2 defines some default values, and you define others (by using the DEFAULT clause in the CREATE TABLE or ALTER TABLE statement).

If a column is defined as NOT NULL WITH DEFAULT or if you do not specify NOT NULL, DB2 stores a default value for a column whenever an insert or load does not provide a value for that column. If a column is defined as NOT NULL, DB2 does not supply a default value.

**DB2–defined default values**

DB2 generates a default value for ROWID columns. DB2 also determines default values for columns that users define with NOT NULL WITH DEFAULT, but for which no specific value is specified, as shown in the following table.

<table>
<thead>
<tr>
<th>For columns of...</th>
<th>Data types</th>
<th>Default</th>
</tr>
</thead>
<tbody>
<tr>
<td>Numbers</td>
<td>SMALLINT, INTEGER,</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>BIGINT, DECIMAL, NUMERIC, REAL,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DOUBLE, DECIMAL,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DECFLOAT, or FLOAT</td>
<td></td>
</tr>
<tr>
<td>Fixed-length strings</td>
<td>CHAR or GRAPHIC</td>
<td>Blanks</td>
</tr>
<tr>
<td></td>
<td>BINARY</td>
<td>Hexadecimal zeros</td>
</tr>
<tr>
<td>Varying-length strings</td>
<td>VARCHAR, CLOB,</td>
<td>Empty string</td>
</tr>
<tr>
<td></td>
<td>VARGRAPHIC, DBCLOB,</td>
<td></td>
</tr>
<tr>
<td></td>
<td>VARBINARY, or BLOB</td>
<td></td>
</tr>
</tbody>
</table>
Table 39. DB2-defined default values for data types (continued)

<table>
<thead>
<tr>
<th>For columns of...</th>
<th>Data types</th>
<th>Default</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dates</td>
<td>DATE</td>
<td>CURRENT DATE</td>
</tr>
<tr>
<td>Times</td>
<td>TIME</td>
<td>CURRENT TIME</td>
</tr>
<tr>
<td>Timestamps</td>
<td>TIMESTAMP</td>
<td>CURRENT TIMESTAMP</td>
</tr>
<tr>
<td>ROWIDs</td>
<td>ROWID</td>
<td>DB2-generated</td>
</tr>
</tbody>
</table>

**User-defined default values**

You can specify a particular default value, such as:

```sql
DEFAULT 'N/A'
```

When you choose a default value, you must be able to assign it to the data type of the column. For example, all string constants are VARCHAR. You can use a VARCHAR string constant as the default for a CHAR column even though the type isn't an exact match. However, you could not specify a default value of 'N/A' for a column with a numeric data type.

In the next example, the columns are defined as CHAR (fixed length). The special registers (USER and CURRENT SQLID) that are referenced contain varying length values.

**Example:** If you want a record of each user who inserts any row of a table, define the table with two additional columns:

```sql
PRIMARY_ID CHAR(8) WITH DEFAULT USER,
SQL_ID CHAR(8) WITH DEFAULT CURRENT SQLID,
```

You can then create a view that omits those columns and allows users to update the view instead of the base table. DB2 then adds, by default, the primary authorization ID and the SQLID of the process.

When you add columns to an existing table, you must define them as nullable or as not null with default. Assume that you add a column to an existing table and specify not null with default. If DB2 reads from the table before you add data to the column, the column values that you retrieve are the default values. With few exceptions, the default values for retrieval are the same as the default values for insert.

**Default values for ROWID**

DB2 always generates the default values for ROWID columns.

**Related concepts:**

“Authorization and security mechanisms for data access” on page 304

**Comparison of null values and default values**

Using a null value is easier and better than using a default value in some situations.

Suppose that you want to find out the average salary for all employees in a department. The salary column does not always need to contain a meaningful value, so you can choose between the following options:

- Allowing null values for the SALARY column
- Using a nonnull default value (such as, 0)
By allowing null values, you can formulate the query easily, and DB2 provides the average of all known or recorded salaries. The calculation does not include the rows that contain null values. In the second case, you probably get a misleading answer unless you know the nonnull default value for unknown salaries and formulate your query accordingly.

The following figure shows two scenarios. The table in the figure excludes salary data for employee number 200440, because the company just hired this employee and has not yet determined the salary. The calculation of the average salary for department E21 varies, depending on whether you use null values or nonnull default values.

- The left side of the figure assumes that you use null values. In this case, the calculation of average salary for department E21 includes only the three employees (000320, 000330, and 200340) for whom salary data is available.
- The right side of the figure assumes that you use a nonnull default value of zero (0). In this case, the calculation of average salary for department E21 includes all four employees, although valid salary information is available for only three employees.

As you can see, only the use of a null value results in an accurate average salary for department E21.

```
SELECT DEPT, AVG(SALARY)
FROM EMP
GROUP BY DEPT;
```

![Figure 34. When nulls are preferable to default values](image)

Null values are distinct in most situations so that two null values are not equal to each other.

**Example:** The following example shows how to compare two columns to see if they are equal or if both columns are null:

```
WHERE E1.DEPT IS NOT DISTINCT FROM E2.DEPT
```

---

Chapter 8. Implementation of your database design 217
Use of check constraints to enforce validity of column values

You can use check constraints to ensure that only values from the domain for the column or attribute are allowed.

As a result of using check constraints, programmers do not need to develop, test, and maintain application code that performs these checks.

You can choose to define check constraints by using the SQL CREATE TABLE statement or ALTER TABLE statement. For example, you might want to ensure that each value in the SALARY column of the EMP table contains more than a certain minimum amount.

DB2 enforces a check constraint by applying the relevant search condition to each row that is inserted, updated, or loaded. An error occurs if the result of the search condition is false for any row.

Use of check constraints to insert rows into tables

When you use the INSERT statement or the MERGE statement to add a row to a table, DB2 automatically enforces all check constraints for that table. If the data violates any check constraint that is defined on that table, DB2 does not insert the row.

Example 1: Assume that the NEWEMP table has the following two check constraints:
- Employees cannot receive a commission that is greater than their salary.
- Department numbers must be between '001' to '100,' inclusive.

Consider this INSERT statement, which adds an employee who has a salary of $65 000 and a commission of $6 000:

```
INSERT INTO NEWEMP
  (EMPNO, FIRSTNAME, LASTNAME, DEPT, JOB, SALARY, COMM)
VALUES ('100125', 'MARY', 'SMITH', '055', 'SLS', 65000.00, 6000.00);
```

The INSERT statement in this example succeeds because it satisfies both constraints.

Example 2: Consider this INSERT statement:

```
INSERT INTO NEWEMP
  (EMPNO, FIRSTNAME, LASTNAME, DEPT, JOB, SALARY, COMM)
VALUES ('120026', 'JOHN', 'SMITH', '055', 'DES', 5000.00, 55000.00);
```

The INSERT statement in this example fails because the $55 000 commission is higher than the $5 000 salary. This INSERT statement violates a check constraint on NEWEMP.

Use of check constraints to update tables

DB2 automatically enforces all check constraints for a table when you use the UPDATE statement or the MERGE statement to change a row in the table. If the intended update violates any check constraint that is defined on that table, DB2 does not update the row.
Example: Assume that the NEWEMP table has the following two check constraints:
- Employees cannot receive a commission that is greater than their salary.
- Department numbers must be between '001' to '100,' inclusive.

Consider this UPDATE statement:
```
UPDATE NEWEMP
SET DEPT = '011'
WHERE FIRSTNME = 'MARY' AND LASTNAME= 'SMITH';
```

This update succeeds because it satisfies the constraints that are defined on the NEWEMP table.

Example: Consider this UPDATE statement:
```
UPDATE NEWEMP
SET DEPT = '166'
WHERE FIRSTNME = 'MARY' AND LASTNAME= 'SMITH';
```

This update fails because the value of DEPT is '166,' which violates the check constraint on NEWEMP that DEPT values must be between '001' and '100.'

Row design

Record size is an important consideration in the design of a table. In DB2, a record is the storage representation of a row.

DB2 stores records within pages that are 4 KB, 8 KB, 16 KB, or 32 KB in size. Generally, you cannot create a table with a maximum record size that is greater than the page size. No other absolute limit exists, but you risk wasting storage space if you ignore record size in favor of implementing a good theoretical design.

If the record length is larger than the page size, increase the page size or consider using a large object (LOB) data type or an XML data type.

Related concepts:
- "Large object data types" on page 212
- "pureXML" on page 64

Record lengths and pages

The sum of the lengths of all the columns is the record length. The length of data that is physically stored in the table is the record length plus DB2 overhead for each row and each page. You can choose various page sizes for record lengths that best fit your needs.

If row sizes are very small, use the 4 KB page size. Use the default of 4-KB page sizes when access to your data is random and typically requires only a few rows from each page.

Some situations require larger page sizes. DB2 provides three larger page sizes of 8 KB, 16 KB, and 32 KB to allow for longer records. For example, when the size of
individual rows is greater than 4-KB, you must use a larger page size. In general, you can improve performance by using pages for record lengths that best suit your needs.

**Designs that waste space**

If a table space contains large records that use up most of the page size and cannot fit additional records, that database design wastes space.

In general, space is wasted in a table space that contains only records that are slightly longer than half a page because a page can hold only one record. If you can reduce the record length to just under half a page, you need only half as many pages. Similar considerations apply to records that are just over a third of a page, a quarter of a page, and so on. In these situations, you can use compression or increase the page size.

**Creation of table spaces**

DB2 supports different types of table spaces, including: universal, segmented, partitioned, XML, and large object (LOB) table spaces. Each type of table space has its own advantages and disadvantages, which you should consider when you choose the table space that best suits your needs.

DB2 divides table spaces into equal-sized units, called *pages*, which are written to or read from disk in one operation. You can specify page sizes for the data; the default page size is 4 KB. If DB2 implicitly created the table space, DB2 chooses the page size based on a row-size algorithm.

**Recommendation:** Use partitioned table spaces for all table spaces that are referred to in queries that can take advantage of query parallelism. Otherwise, use segmented table spaces for other queries.

**Related concepts:**

"DB2 table spaces" on page 39

**Related reference:**

*Examples of table space definitions (DB2 Administration Guide)*

**Types of DB2 table spaces**

DB2 supports different types of table spaces. Each type of table space serves different purposes and has different characteristics.

DB2 table spaces can be segmented, partitioned, or both segmented and partitioned (universal).

**Universal table spaces**

You can combine the benefits of segmented space management with partitioned table space organization by using universal table spaces. A *universal table space* is a combination of partitioned and segmented table space schemes.

You can alter existing table spaces to universal table spaces by using the ALTER TABLESPACE statement. If your database contains any simple table spaces, you should alter them to universal table spaces as soon as possible.

Some of the benefits of universal table spaces are:

- Range-partitioned functionality
Partition-by-growth functionality

- Better space management as it relates to varying-length rows because a segmented space-map page has more information about free space than a partitioned space-map page
- Improved mass delete performance because mass delete in a segmented table space organization tends to be faster than in other types of table space organizations
- Table scans that are localized to segments
- Immediate reuse of all or most of the segments of a table after the table is dropped or mass deleted

Restrictions:

- Universal table spaces cannot be created in the work file database.
- Universal table spaces require more space map pages, compared to table spaces that are partitioned (non-universal).

Related concepts:

“Partitioned (non-universal) table spaces” on page 225
“Segmented (non-universal) table spaces” on page 222

Related tasks:

- Creating a table space explicitly (DB2 Administration Guide)
- Choosing data page sizes (DB2 Performance)

Related reference:

- Examples of table space definitions (DB2 Administration Guide)
- CREATE TABLESPACE (DB2 SQL)

Partition-by-growth table spaces:

Partition-by-growth table spaces let you partition according to data growth, which enables segmented tables to be partitioned as they grow, without the need for key ranges.

Partition-by-growth table spaces are universal table spaces that can hold a single table. The space in a partition-by-growth table space is divided into separate partitions. Partition-by-growth table spaces are best used when a table is expected to exceed 64 GB and does not have a suitable partitioning key for the table.

Partition-by-growth table spaces are like single-table DB2-managed segmented table spaces. DB2 manages partition-by-growth table spaces and automatically adds a new partition when more space is needed to satisfy an insert. The table space begins as a single-partition table space and automatically grows, as needed, as more partitions are added to accommodate data growth. Partition-by-growth table spaces can grow up to 128 TB. The maximum size is determined by the MAXPARTITIONS and DSSIZE values that you specified and the page size.

Although a partition-by-growth table space is partitioned, it has segmented organization and segmented space management capabilities within each partition. Unlike a nonsegmented structure, the segmented structure provides better space management and mass delete capabilities. The partitioning structure allows DB2 utilities to continue partition-level operations and parallelism capabilities.

Restrictions: The following restrictions apply to partition-by-growth table spaces:
- The PART option of the LOAD utility is not supported.
- The REBALANCE option of the REORG utility is not supported.
- The default SEGSIZE value is 32.
- Table spaces must be DB2-managed (not user-managed) so that DB2 has the freedom to create data sets as partitions become full.
- Partitions cannot be explicitly added, rotated, or altered. Therefore, ALTER TABLE ROTATE PARTITION and ALTER TABLE ALTER PARTITION statements cannot target a partition of a partition-by-growth table space.
- XML spaces are always implicitly defined by DB2.
- A nonpartitioning index (NPI) always uses a 5 byte record identifier (RID).
- Partitioned indexes are not supported.

Related tasks:
- [Creating a table space explicitly](DB2 Administration Guide)

Related reference:
- [ALTER TABLE (DB2 SQL)]
- [ALTER TABLESPACE (DB2 SQL)]
- [CREATE TABLESPACE (DB2 SQL)]

Range-partitioned universal table spaces:

*Range-partitioned universal table spaces* use a segmented table space organization and are based on partitioning ranges.

A range-partitioned universal table space contains a single table, which makes it similar to a table space that is non-universal partitioned table space. You can create an index of any type on a table in a range-partitioned table space.

You can implement range-partitioned universal table spaces by specifying the NUMPARTS keyword, or both keywords SEGSIZE and NUMPARTS on a CREATE TABLESPACE statement. After the table space is created, activities that are already allowed on partitioned (non-universal) or segmented (non-universal) table spaces are allowed on the range-partitioned universal table space. You can specify partition ranges for a range-partitioned universal table space on a subsequent CREATE TABLE statement.

If you create a table space by specifying NUMPARTS without specifying the SEGSIZE or MAXPARTITIONS options, DB2 creates a range-partitioned universal table space. The default table space SEGSIZE value is 32.

Related tasks:
- [Creating a table space explicitly](DB2 Administration Guide)

Related reference:
- [CREATE TABLESPACE (DB2 SQL)]

Segmented (non-universal) table spaces

A table space that is segmented is useful for storing more than one table, especially relatively small tables. The pages hold segments, and each segment holds records from only one table.
Segmented table spaces hold a maximum of 64 GB of data and can contain one or more VSAM data sets. A table space can be larger if either of the following conditions is true:
- The table space is a partitioned table space that you create with the DSSIZE option.
- The table space is a LOB table space.

Table space pages can be 4 KB, 8 KB, 16 KB, or 32 KB in size. The pages hold segments, and each segment holds records from only one table. Each segment contains the same number of pages, and each table uses only as many segments as it needs.

When you run a statement that searches all the rows for one table, DB2 does not need to scan the entire table space. Instead, DB2 can scan only the segments of the table space that contain that table. The following figure shows a possible organization of segments in a segmented table space.

![Figure 35. A possible organization of segments in a segmented table space](image)

When you use an INSERT statement, a MERGE statement, or the LOAD utility to insert records into a table, records from the same table are stored in different segments. You can reorganize the table space to move segments of the same table together.

**Definition of a segmented (non-universal) table space**

A non-universal segmented table space consists of segments that hold the records of one table. You define a segmented table space by using the CREATE TABLESPACE statement with a SEGSIZE clause. If you use this clause, the value that you specify represents the number of pages in each segment. The value must be a multiple of 4 (from 4 to 64). The choice of the value depends on the size of the tables that you store. The following table summarizes the recommendations for SEGSIZE.

<table>
<thead>
<tr>
<th>Number of pages</th>
<th>SEGSIZE recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 28</td>
<td>4 to 28</td>
</tr>
<tr>
<td>&gt; 28 &lt; 128 pages</td>
<td>32</td>
</tr>
<tr>
<td>≥ 128 pages</td>
<td>64</td>
</tr>
</tbody>
</table>

Another clause of the CREATE TABLESPACE statement is LOCKSIZE TABLE. This clause is valid only for tables that are in segmented table spaces. DB2, therefore, can acquire locks that lock a single table, rather than the entire table space.
If you want to leave pages of free space in a segmented table space, you must have at least one free page in each segment. Specify the FREEPAGE clause with a value that is less than the SEGSIZE value.

**Example:** If you use FREEPAGE 30 with SEGSIZE 20, DB2 interprets the value of FREEPAGE as 19, and you get one free page in each segment.

**Restriction:** If you are creating a segmented table space for use by declared temporary tables, you cannot specify the FREEPAGE or LOCKSIZE clause.

**Characteristics of segmented (non-universal) table spaces**

Segmented table spaces share the following characteristics:
- When DB2 scans all the rows for one table, only the segments that are assigned to that table need to be scanned. DB2 does not need to scan the entire table space. Pages of empty segments do not need to be fetched.
- When DB2 locks a table, the lock does not interfere with access to segments of other tables.
- When DB2 drops a table, its segments become available for reuse immediately after the drop is committed without waiting for an intervening REORG utility job.
- When all rows of a table are deleted, all segments except the first segment become available for reuse immediately after the delete is committed. No intervening REORG utility job is necessary.
- A **mass delete**, which is the deletion of all rows of a table, operates much more quickly and produces much less log information.
- If the table space contains only one table, segmenting it means that the COPY utility does not copy pages that are empty. The pages might be empty as a result of a dropped table or a mass delete.
- Some DB2 utilities, such as LOAD with the REPLACE option, RECOVER, and COPY, operate on only a table space or a partition, not on individual segments. Therefore, for a segmented table space, you must run these utilities on the entire table space. For a large table space, you might notice availability problems.
- Maintaining the space map creates some additional overhead.

Creating fewer table spaces by storing several tables in one table space can help you avoid reaching the maximum number of concurrently open data sets. Each table space requires at least one data set. A maximum number of concurrently open data sets is determined during installation. Using fewer table spaces reduces the time that is spent allocating and deallocating data sets.

**Related concepts:**
- Chapter 9, “DB2 performance management,” on page 267
- “Use of free space in data and index storage” on page 273
- “Guidelines for data reorganization” on page 273
- “Ways to improve performance for multiple users” on page 276

**Related tasks:**
- Creating a table space explicitly (DB2 Administration Guide)

**Related reference:**
- Examples of table space definitions (DB2 Administration Guide)
- CREATE TABLESPACE (DB2 SQL)
Partitioned (non-universal) table spaces

A table space that is partitioned stores a single table. DB2 divides the table space into partitions.

The partitions are based on the boundary values that are defined for specific columns. Utilities and SQL statements can run concurrently on each partition.

In the following figure, each partition contains one part of a table.

![Partitioned Table Space Diagram]

**Figure 36. Pages in a partitioned table space**

**Definition of partitioned (non-universal) table spaces**

If you create a table space by specifying NUMPARTS without specifying the SEGSIZE or MAXPARTITIONS options, DB2 creates a range-partitioned universal table space instead of a partitioned table space that is not segmented. The default table space SEGSIZE value is 32.

**Recommendation:** Convert your existing partitioned and not segmented table spaces to range-partitioned universal table spaces as soon as possible.

**Characteristics of partitioned (non-universal) table spaces**

Partitioned (non-universal) table spaces share the following characteristics:

- You can plan for growth. When you define a partitioned table space, DB2 usually distributes the data evenly across the partitions. Over time, the distribution of the data might become uneven as inserts and deletes occur.
  
  You can rebalance data among the partitions by redefining partition boundaries with no impact to availability. You can also add a partition to the table and to each partitioned index on the table; the new partition becomes available immediately.

- You can spread a large table over several DB2 storage groups or data sets. The partitions of the table do not all need to use the same storage group.

- Partitioned table spaces let a utility job work on part of the data while allowing other applications to concurrently access data on other partitions. In that way, several concurrent utility jobs can, for example, load all partitions of a table space concurrently. Because you can work on part of your data, some of your operations on the data might require less time.

- You can use separate jobs for mass update, delete, or insert operations instead of using one large job; each smaller job can work on a different partition.
  
  Separating the large job into several smaller jobs that run concurrently can reduce the elapsed time for the whole task.

  If your table space uses nonpartitioned indexes, you might need to modify the size of data sets in the indexes to avoid I/O contention among concurrently running jobs. Use the PIECESIZE parameter of the CREATE INDEX or the ALTER INDEX statement to modify the sizes of the index data sets.

- You can put frequently accessed data on faster devices. Evaluate whether table partitioning or index partitioning can separate more frequently accessed data
from the remainder of the table. You can put the frequently accessed data in a partition of its own. You can also use a different device type.

- You can take advantage of parallelism for certain read-only queries. When DB2 determines that processing is likely to be extensive, it can begin parallel processing of more than one partition at a time. Parallel processing (for read-only queries) is most efficient when you spread the partitions over different disk volumes and allow each I/O stream to operate on a separate channel.

Use the Parallel Sysplex data sharing technology to process a single read-only query across many DB2 subsystems in a data sharing group. You can optimize Parallel Sysplex query processing by placing each DB2 subsystem on a separate central processor complex.

- Partitioned table space scans are sometimes less efficient than table space scans of segmented table spaces.
- DB2 opens more data sets when you access data in a partitioned table space than when you access data in other types of table spaces.
- Nonpartitioned indexes and data-partitioned secondary indexes are sometimes a disadvantage for partitioned tables spaces.

Related concepts:
- Chapter 13, “Data sharing with your DB2 data,” on page 353
- “Partition-by-growth table spaces” on page 221
- “Range-partitioned universal table spaces” on page 222
- “Assignment of table spaces to physical storage” on page 233

Related tasks:
- Creating a table space explicitly (DB2 Administration Guide)
- Choosing data page sizes (DB2 Performance)

Related reference:
- Examples of table space definitions (DB2 Administration Guide)
- CREATE INDEX (DB2 SQL)
- CREATE TABLESPACE (DB2 SQL)

**EA-enabled table spaces and index spaces**

You can enable partitioned table spaces for extended addressability (EA), a function of DFSMS. The term for table spaces and index spaces that are enabled for extended addressability is EA-enabled.

You must use EA-enabled table spaces or index spaces if you specify a maximum partition size (DSSIZE) that is larger than 4 GB in the CREATE TABLESPACE statement.

Both EA-enabled and non-EA-enabled partitioned table spaces can have only one table and up to 4096 partitions. The following table summarizes the differences.

**Table 41. Differences between EA-enabled and non-EA-enabled table spaces**

<table>
<thead>
<tr>
<th>EA-enabled table spaces</th>
<th>Non-EA-enabled table spaces</th>
</tr>
</thead>
<tbody>
<tr>
<td>Holds up to 4096 partitions of 64 GB</td>
<td>Holds up to 4096 partitions of 4 GB</td>
</tr>
<tr>
<td>Created with any valid value of DSSIZE</td>
<td>DSSIZE cannot exceed 4 GB</td>
</tr>
<tr>
<td>Data sets are managed by SMS</td>
<td>Data sets are managed by VSAM or SMS</td>
</tr>
<tr>
<td>Requires setup</td>
<td>No additional setup</td>
</tr>
</tbody>
</table>
Large object table spaces

Large object (LOB) table spaces (also known as auxiliary table spaces) hold large object data, such as graphics, video, or large text strings. If your data does not fit entirely within a data page, you can define one or more columns as LOB columns.

LOB objects can do more than store large object data. If you define your LOB columns for infrequently accessed data, a table space scan on the remaining data in the base table is potentially faster because the scan generally includes fewer pages.

A LOB table space always has a direct relationship with the table space that contains the logical LOB column values. The table space that contains the table with the LOB columns is, in this context, the base table space. LOB data is logically associated with the base table, but it is physically stored in an auxiliary table that resides in a LOB table space. Only one auxiliary table can exist in a large object table space. A LOB value can span several pages. However, only one LOB value is stored per page.

You must have a LOB table space for each LOB column that exists in a table. For example, if your table has LOB columns for both resumes and photographs, you need one LOB table space (and one auxiliary table) for each of those columns. If the base table space is a partitioned table space, you need one LOB table space for each LOB in each partition.

If the base table space is not a partitioned table space, each LOB table space is associated with one LOB column in the base table. If the base table space is a partitioned table space, each partition of the base table space is associated with a LOB table space. Therefore, if the base table space is a partitioned table space, you can store more LOB data for each LOB column.

The following table shows the approximate amount of LOB data that you can store for a LOB column in each of the different types of base table spaces.

<table>
<thead>
<tr>
<th>Base table space type</th>
<th>Maximum (approximate) LOB data for each column</th>
</tr>
</thead>
<tbody>
<tr>
<td>Segmented</td>
<td>16 TB</td>
</tr>
<tr>
<td>Partitioned, with NUMPARTS up to 64</td>
<td>1000 TB</td>
</tr>
<tr>
<td>Partitioned with DSSIZE, NUMPARTS up to 254</td>
<td>4000 TB</td>
</tr>
<tr>
<td>Partitioned with DSSIZE, NUMPARTS up to 4096</td>
<td>64000 TB</td>
</tr>
</tbody>
</table>

Recommendations:

- Consider defining long string columns as LOB columns when a row does not fit in a 32 KB page. Use the following guidelines to determine if a LOB column is a good choice:
Defining a long string column as a LOB column might be better if the following conditions are true:
- Table space scans are normally run on the table.
- The long string column is not referenced often.
- Removing the long string column from the base table is likely to improve the performance of table space scans.

LOBs are physically stored in another table space. Therefore, performance for inserting, updating, and retrieving long strings might be better for non-LOB strings than for LOB strings.

Consider specifying a separate buffer pool for large object data.

Related concepts:
“Creation of large objects” on page 256

Related tasks:
Creating a table space explicitly (DB2 Administration Guide)
Choosing data page sizes for LOB data (DB2 Performance)
Choosing data page sizes (DB2 Performance)

Related reference:
Examples of table space definitions (DB2 Administration Guide)
CREATE TABLESPACE (DB2 SQL)

XML table spaces
An XML table space stores an XML table.

An XML table space is implicitly created when an XML column is added to a base table. If the base table is partitioned, one partitioned table space exists for each XML column of data. An XML table space is always associated with the table space that contains the logical XML column value. In this context, the table space that contains the table with the XML column is called the base table space.

Simple table spaces
A simple table space is neither partitioned nor segmented. Although you cannot create simple table spaces, DB2 can still use existing simple table spaces.

If you have any simple table spaces in your database, you should alter them to a preferred type of table space with the ALTER TABLESPACE statement. If a simple table space contains only one table, alter it to a universal table space.

You cannot create simple table spaces, but you can alter data, update data, or retrieve data from simple table spaces. If you implicitly create a table space or explicitly create a table space without specifying the SEGSIZE, NUMPARTS, or
MAXPARTITIONS options, DB2 creates a segmented table space instead of a simple table space. By default, the segmented table space has a SEGSIZE value of 4 and a LOCKSIZE value of ROW.

Related concepts:
“Segmented (non-universal) table spaces” on page 222

Related tasks:
Dropping, re-creating, or converting a table space (DB2 Administration Guide)
Choosing data page sizes (DB2 Performance)

Related reference:
Examples of table space definitions (DB2 Administration Guide)

How DB2 implicitly creates a table space
You do not need to create a table space before you create a table. You only need to create a table space explicitly when you define a declared temporary table or if you manage all of your own data sets.

DB2 generates a table space only if you use the CREATE TABLE statement without specifying an existing table space name. If the table contains a LOB column and SQLRULES are STD, DB2 also creates the LOB table space, the auxiliary table, and an auxiliary index. DB2 also creates all underlying XML objects. In this case, DB2 uses the default storage group, SYSDEFLT.

If you create a table space implicitly, DB2 uses defaults for the space allocation attributes. The default values of PRIQTY and SECQTY specify the space allocation for the table space. If the value of the TSQTY subsystem parameter is nonzero, it determines the default values for PRIQTY and SECQTY. If the value of TSQTY is zero, the default values for PRIQTY and SECQTY are determined as described in the CREATE TABLESPACE statement.

When you do not specify a table space name in a CREATE TABLE statement (and the table space is created implicitly), DB2 derives the table space name from the name of your table according to the following rules:

• The table space name is the same as the table name if the following conditions apply:
  − No other table space or index space in the database already has that name.
  − The table name has no more than eight characters.
  − The characters are all alphanumeric, and the first character is not a digit.

• If another table space in the database already has the same name as the table, DB2 assigns a name of the form xxxxyyy, where xxx is the first four characters of the table name, and yyy is a single digit and three letters that guarantee uniqueness.

DB2 stores this name in the DB2 catalog in the SYSIBM.SYSTABLESPACE table along with all the other table space names.

Related concepts:
Coding guidelines for implicitly defined table spaces (DB2 Administration Guide)

How DB2 implicitly creates an XML table space
When you create an XML column in a table, DB2 implicitly creates an XML table space. DB2 also creates an XML table to store the XML data, and a node ID.
Each XML column has its own table space. The XML table space does not have limit keys. The XML data resides in the partition number that corresponds to the partition number of the base row.

Tables that contain XML columns also have the following implicitly created objects:

- A hidden column to store the document ID.
  The document ID is a DB2 generated value that uniquely identifies a row. The document ID is used to identify documents within the XML table. The document ID is common for all XML columns, and its value is unique within the table.

- A unique index on the document ID (document ID index).
  The document ID index points to the base table RID. If the base table space is partitioned, the document ID index is a non-partitioned secondary index (NPSI).

- The base table has an indicator column for each XML column containing a null bit, invalid bit, and a few reserved bytes.

The XML table space inherits several attributes from the base table space, such as:

- LOG
- CCSID
- LOCKMAX

If an edit procedure is defined on the base table, the XML table inherits the edit procedure.

If the base table space is a partition-by-growth table space, the DSSIZE of the XML table space is 4 GB. Otherwise, the DSSIZE of the XML table space is based on a combination of the DSSIZE and the page size of the base table space.

**Related reference:**

- [ALTER TABLE (DB2 SQL)](DB2 SQL)
- [CREATE TABLE (DB2 SQL)](DB2 SQL)

### Storage structure for XML data

The storage structure for XML data is similar to the storage structure for LOB data.

As with LOB data, the table that contains an XML column (the base table) is in a different table space from the table that contains the XML data.

The storage structure depends on the type of table space that contains the base table.

The following table describes the table space organization for XML data.

<table>
<thead>
<tr>
<th>Base table space organization</th>
<th>XML table space organization</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Simple</td>
<td>Partition-by-growth universal</td>
<td></td>
</tr>
<tr>
<td>Segmented</td>
<td>Partition-by-growth universal</td>
<td></td>
</tr>
<tr>
<td>Partitioned</td>
<td>Range-partitioned universal</td>
<td>If a base table row moves to a new partition, the XML document also moves to a new partition.</td>
</tr>
</tbody>
</table>
Table 43. Organization of base table spaces and corresponding XML table spaces (continued)

<table>
<thead>
<tr>
<th>Base table space organization</th>
<th>XML table space organization</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range-partitioned universal</td>
<td>Range-partitioned universal</td>
<td>If a base table row moves to a new partition, the XML document also moves to a new partition.</td>
</tr>
<tr>
<td>Partition-by-growth universal</td>
<td>Partition-by-growth universal</td>
<td>An XML document can span more than one partition. The base table space and the XML table space grow independently.</td>
</tr>
</tbody>
</table>

Note:
1. This table space organization supports XML versions.

The following figure demonstrates the relationship between segmented table spaces for base tables with XML columns and the corresponding XML table spaces and tables. The relationships are similar for simple base table spaces and partition-by-growth universal base table spaces. This figure represents XML columns that do not support XML versions.

![XML storage structure for a base table in a segmented table space](image)

The following figure demonstrates the relationship between partitioned table spaces for base tables with XML columns and the corresponding XML table spaces and tables. The relationships are similar for range-partitioned universal base table spaces. This figure represents XML columns that do not support XML versions.
When you create a table with XML columns or ALTER a table to add XML columns, the DB2 database server implicitly creates the following objects:

- A table space and table for each XML column. The data for an XML column is stored in the corresponding table.

DB2 creates the XML table space and table in the same database as the table that contains the XML column (the base table). The XML table space is in the Unicode UTF-8 encoding scheme.

If the base table contains XML columns that support XML versions, each XML table contains two more columns than an XML table for an XML column that does not support XML versions. Those columns are named START_TS and END_TS, and they have the BINARY(8) data type if the page format is basic 6-byte format and BINARY(10) data type if the page format is extended 10-byte format. START_TS contains the RBA or LRSN of the logical creation of an XML.

---

**Figure 38. XML storage structure for a base table in a partitioned table space**

When you create a table with XML columns or ALTER a table to add XML columns, the DB2 database server implicitly creates the following objects:

- A table space and table for each XML column. The data for an XML column is stored in the corresponding table.

DB2 creates the XML table space and table in the same database as the table that contains the XML column (the base table). The XML table space is in the Unicode UTF-8 encoding scheme.

If the base table contains XML columns that support XML versions, each XML table contains two more columns than an XML table for an XML column that does not support XML versions. Those columns are named START_TS and END_TS, and they have the BINARY(8) data type if the page format is basic 6-byte format and BINARY(10) data type if the page format is extended 10-byte format. START_TS contains the RBA or LRSN of the logical creation of an XML.
record. END_TS contains the RBA or LRSN of the logical deletion of an XML record. START_TS and END_TS identify the rows in the XML table that make up a version of an XML document.

- An document ID column in the base table, named DB2_GENERATED_DOCID_FOR_XML, with data type BIGINT.
  
  DB2_GENERATED_DOCID_FOR_XML holds a unique document identifier for the XML columns in a row. One DB2_GENERATED_DOCID_FOR_XML column is used for all XML columns.
  
  The DB2_GENERATED_DOCID_FOR_XML column has the GENERATED ALWAYS attribute. Therefore, a value in this column cannot be NULL.
  
  If the base table space supports XML versions, the length of the XML indicator column is eight bytes longer than the XML indicator column in a base table space that does not support XML versions.

- An index on the DB2_GENERATED_DOCID_FOR_XML column.
  This index is known as a document ID index.

- An index on each XML table that DB2 uses to maintain document order, and map logical node IDs to physical record IDs.
  This index is known as a node ID index. The node ID index is an extended, nonpartitioning index.

  If the base table space supports XML versions, the index key for the node ID index contains two more columns than the index key for a node ID index for a base table space that does not support XML versions. Those columns are named START_TS and END_TS, and they have the BINARY(8) data type.

You can perform limited SQL operations, such as the following ones, on the implicitly created objects:

- Alter the following attributes of the XML table space:
  - SEGSIZE
  - BUFFERPOOL
  - STOGROUP
  - PCTFREE
  - GBPCACHE

- Alter any of the attributes of the document ID index or node ID index, except these:
  - CLUSTER
  - PADDED
  - Number of columns (ADD COLUMN is not allowed)

See the ALTER TABLE, ALTER TABLESPACE, and ALTER INDEX topics for a complete list of operations that you can perform on these objects.

### Assignment of table spaces to physical storage

You can store table spaces and index spaces in user-managed storage, SMS-managed storage, or in DB2-managed storage groups. (A storage group is a set of disk volumes.)

If you do not use SMS, you need to name the DB2 storage groups when you create table spaces or index spaces. DB2 allocates space for these objects from the named storage group. You can assign different partitions of the same table space to different storage groups.

**Recommendation:** Use products in the IBM Storage Management Subsystem (SMS) family, such as Data Facility SMS (DFSMS), to manage some or all of your
data sets. Organizations that use SMS to manage DB2 data sets can define storage
groups with the VOLUMES(*) clause. You can also assign management class, data
class, and storage class attributes. As a result, SMS assigns a volume to the table
spaces and index spaces in that storage group.

The following figure shows how storage groups work together with the various
DB2 data structures.

Figure 39. Hierarchy of DB2 structures

To create a DB2 storage group, use the SQL statement CREATE STOGROUP. Use
the VOLUMES(*) clause to specify the SMS management class (MGMTCLAS), SMS
data class (DATACLAS), and SMS storage class (STORCLAS) for the DB2 storage
group.

After you define a storage group, DB2 stores information about it in the DB2
catalog. The catalog table SYSIBM.SYSSTOGROUP has a row for each storage
group, and SYSIBM.SYSVOLUMES has a row for each volume in the group.

The process of installing DB2 includes the definition of a default storage group,
SYSDEFLT. If you have authorization, you can define tables, indexes, table spaces,
and databases. DB2 uses SYSDEFLT to allocate the necessary auxiliary storage. DB2
stores information about SYSDEFLT and all other storage groups in the catalog tables SYSIBM.SYSSTOGROUP and SYSIBM.SYSVOLUMES.

**Recommendation:** Use storage groups whenever you can, either explicitly or implicitly (by using the default storage group). In some cases, organizations need to maintain closer control over the physical storage of tables and indexes. These organizations choose to manage their own user-defined data sets rather than using storage groups. Because this process is complex, this information does not describe the details.

**Example:** Consider the following CREATE STOGROUP statement:

```
CREATE STOGROUP MYSTOGRP
  VOLUMES (*)
  VCAT ALIASICF;
```

This statement creates storage group MYSTOGRP. The asterisk (*) on the VOLUMES clause indicates that SMS is to manage your storage group. The VCAT clause identifies ALIASICF as the name or alias of the catalog of the integrated catalog facility that the storage group is to use. The catalog of the integrated catalog facility stores entries for all data sets that DB2 creates on behalf of a storage group.

**IBM Storage Management Subsystem**

DB2 for z/OS includes the Storage Management Subsystem (SMS) capabilities. A key product in the SMS family is the Data Facility Storage Management Subsystem (DFSMS). DFSMS can automatically manage all the data sets that DB2 uses and requires. If you use DFSMS to manage your data sets, the result is a reduced workload for DB2 database administrators and storage administrators.

You can experience the following benefits by using DFSMS:
- Simplified data set allocation
- Improved allocation control
- Improved performance management
- Automated disk space management
- Improved management of data availability
- Simplified data movement

DB2 database administrators can use DFSMS to achieve all their objectives for data set placement and design. To successfully use DFSMS, DB2 database administrators and storage administrators need to work together to ensure that the needs of both groups are satisfied.

**Related concepts:**
- "DB2 storage groups" on page 36

**Related tasks:**
- Choosing data page sizes (DB2 Performance)

**Related reference:**
- CREATE STOGROUP (DB2 SQL)
Creation of indexes

Indexes provide efficient access to table data, but can require additional processing when you modify data in a table.

When you create a table that contains a primary key or a unique constraint, you must create a unique index for the primary key and for each unique constraint. DB2 marks the table definition as incomplete until the explicit creation of the required enforcing indexes, which can be created implicitly depending on whether the table space was created implicitly, the schema processor, or the CURRENT RULES special register. If the required indexes are created implicitly, the table definition is not marked as incomplete.

You can also choose to use indexes because of access requirements.

Using indexes involves a trade-off. A greater number of indexes can simultaneously improve the performance of a certain transaction and require additional processing for inserting, updating, and deleting index keys.

After you create an index, DB2 maintains the index, but you can perform necessary maintenance, such as reorganizing it or recovering it, as necessary.

Related concepts:
- Indexes on table columns (DB2 Administration Guide)
- Index access (ACCESSTYPE is 'T', 'IN', 'I1', 'N', 'MX', or 'DX') (DB2 Performance)

Related tasks:
- Designing indexes for performance (DB2 Performance)

Related information:
- Implementing DB2 indexes (DB2 Administration Guide)

Types of indexes

You can use indexes to improve the performance of data access. The various types of indexes have different features that you should consider when creating a particular type.

You typically determine which type of index you need to define after you define a table.

An index can have many different characteristics. Index characteristics fall into two broad categories: general characteristics that apply to indexes on all tables and specific characteristics that apply to indexes on partitioned tables only. The following table summarizes these categories.
Table 44. Index types for general, partitioned, and universal table spaces

<table>
<thead>
<tr>
<th>Table or table space type</th>
<th>Index type</th>
</tr>
</thead>
</table>
| General (applies to all indexes) | • Unique indexes  
• Clustering indexes  
• Padded indexes  
• Not padded indexes  
• expression-based index  
• XML indexes  
• Compressed indexes |
| Partitioned | • Partitioning indexes  
• Data-partitioned secondary indexes  
• Non-partitioned secondary indexes  
• Compressed indexes |
| Universal | • Partitioning indexes (range-partitioned universal only)  
• Data-partitioned secondary indexes (range-partitioned universal only)  
• Non-partitioned secondary indexes  
• Compressed indexes |

Related concepts:
“Index keys” on page 238

Related information:
Implementing DB2 indexes (DB2 Administration Guide)

How indexes can help to avoid sorts
DB2 can use indexes to avoid sorts when processing queries with the ORDER BY clause.

When a query contains an ORDER BY clause, DB2 looks for indexes that satisfy the order in the query. For DB2 to be able to use an index to access ordered data, you must define an index on the same columns as specified in the ORDER BY clause.

Forward index scan
For DB2 to use a forward index scan, the ordering must be exactly the same as in the ORDER BY clause.

Backward index scan
For DB2 to use a backward index scan, the ordering must be exactly the opposite of what is requested in the ORDER BY clause.

Example 1: For example, if you define an index by specifying DATE DESC, TIME ASC as the column names and order, DB2 can use this same index for both of the following ORDER BY clauses:
• Forward scan for ORDER BY DATE DESC, TIME ASC  
• Backward scan for ORDER BY DATE ASC, TIME DESC
You do not need to create two indexes for the two ORDER BY clauses. DB2 can use the same index for both forward index scan and backward index scan.

In addition to forward and backward scans, you have the option to create indexes with a pseudo-random order. This ordering option is useful when ascending insertions or hotspots cause contention within the indexes. Indexes created with the RANDOM option do not support range scans. They do support equality lookups.

Example 2: Suppose that the query includes a WHERE clause with a predicate of the form COL=\textit{constant}. For example:

\begin{verbatim}
... WHERE CODE = 'A'
ORDER BY CODE, DATE DESC, TIME ASC
\end{verbatim}

DB2 can use any of the following index keys to satisfy the ordering:

- CODE, DATE DESC, TIME ASC
- CODE, DATE ASC, TIME DESC
- DATE DESC, TIME ASC
- DATE ASC, TIME DESC

DB2 can ignore the CODE column in the ORDER BY clause and the index because the value of the CODE column in the result table of the query has no effect on the order of the data. If the CODE column is included, it can be in any position in the ORDER BY clause and in the index.

Index keys

The usefulness of an index depends on the design of its key, which you can create at the time you create the index.

An index key is the set of columns or expressions derived from a set of columns in a table that is used to determine the order of index entries. A table can have more than one index, and an index key can use one or more columns. An index key is a column or an ordered collection of columns on which you define an index. Good key candidates are columns or expressions that you use frequently in operations that select, join, group, and order data.

All index keys do not need to be unique. For example, an index on the SALARY column of the EMP table allows duplicates because several employees can earn the same salary.

The usefulness of an index depends on its key. Columns and expressions that you use frequently in performing selection, join, grouping, and ordering operations are good key candidates.

A composite key is a key that is built on 2 to 64 columns.

\textbf{Tip}: In general, try to create an index that is selective because the more selective an index is, the more efficient it is. An efficient index contains multiple columns, is ordered in the same sequence as the SQL statement, and is used often in SQL statements.
The following list identifies some things you should remember when you are defining index keys.

- Update an index after data columns are updated, inserted, or deleted.
- Define as few indexes as possible on a column that is updated frequently because every change to the column data must be reflected in each index.
- Consider using a composite key, which might be more useful than a key on a single column when the comparison is for equality. A single multicolumn index is more efficient when the comparison is for equality and the initial columns are available. However, for more general comparisons, such as $A > value$ AND $B > value$, multiple indexes might be more efficient.
- Improve performance by using indexes.

Example 1: This example creates a unique index on the EMPPROJACT table. A composite key is defined on two columns, PROJNO and STDATE.

```sql
CREATE UNIQUE INDEX XPROJAC1 ON EMPPROJACT
  (PROJNO ASC,
   STDATE ASC)
;```

Example 2: This composite key is useful when you need to find project information by start date. Consider a SELECT statement that has the following WHERE clause:

```sql
WHERE PROJNO='MA2100' AND STDATE='2004-01-01'
```

This SELECT statement can execute more efficiently than if separate indexes are defined on PROJNO and on STDATE.

Related concepts:
“Query and application performance analysis” on page 285

**General index attributes**

You typically determine which type of index you need to define after you define a table space. An index can have many different attributes.

Index attributes fall into two broad categories: general attributes that apply to indexes on all tables and specific attributes that apply to indexes on partitioned tables only. The following table summarizes these categories.

<table>
<thead>
<tr>
<th>Table or table space type</th>
<th>Index attribute</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any</td>
<td>• Unique or nonunique</td>
</tr>
<tr>
<td></td>
<td>• Clustering or nonclustering</td>
</tr>
<tr>
<td></td>
<td>• Padded or not padded</td>
</tr>
<tr>
<td></td>
<td>• Exclude nulls</td>
</tr>
<tr>
<td>Partitioned</td>
<td>• Partitioning</td>
</tr>
<tr>
<td></td>
<td>• Secondary</td>
</tr>
</tbody>
</table>
This topic explains the types of indexes that apply to all tables. Indexes that apply to partitioned tables only are covered separately.

**Related concepts:**
“Partitioned table index attributes” on page 247

**Unique indexes**
DB2 uses unique indexes to ensure that no identical key values are stored in a table.

When you create a table that contains a primary key, you must create a unique index for that table on the primary key. DB2 marks the table as unavailable until the explicit creation of the required indexes.

**Restrict access with unique indexes**

You can also use indexes to meet access requirements.

**Example 1:** A good candidate for a unique index is the EMPNO column of the EMP table. The following figure shows a small set of rows from the EMP table and illustrates the unique index on EMPNO.

<table>
<thead>
<tr>
<th>EMPNO</th>
<th>Page</th>
<th>Row</th>
<th>EMPNO</th>
<th>LASTNAME</th>
<th>JOB</th>
<th>DEPT</th>
</tr>
</thead>
<tbody>
<tr>
<td>000030</td>
<td>1</td>
<td>2</td>
<td>000060</td>
<td>LUTZ</td>
<td>DES</td>
<td>D11</td>
</tr>
<tr>
<td>000200</td>
<td>1</td>
<td>2</td>
<td>0000320</td>
<td>LEE</td>
<td>FLD</td>
<td>E21</td>
</tr>
<tr>
<td>000030</td>
<td>3</td>
<td></td>
<td>000030</td>
<td>KWAN</td>
<td>MGR</td>
<td>C01</td>
</tr>
<tr>
<td>000220</td>
<td>2</td>
<td>3</td>
<td>000340</td>
<td>ALONZO</td>
<td>FLD</td>
<td>E21</td>
</tr>
<tr>
<td>000330</td>
<td>3</td>
<td></td>
<td>0000140</td>
<td>NICHOLLS</td>
<td>SLS</td>
<td>C01</td>
</tr>
<tr>
<td>200140</td>
<td>3</td>
<td>2</td>
<td>000060</td>
<td>STERN</td>
<td>MGR</td>
<td>D11</td>
</tr>
</tbody>
</table>

**Figure 40. A unique index on the EMPNO column**

DB2 uses this index to prevent the insertion of a row to the EMP table if its EMPNO value matches that of an existing row. The preceding figure illustrates the relationship between each EMPNO value in the index and the corresponding page number and row. DB2 uses the index to locate the row for employee 000030, for example, in row 3 of page 1.

If you do not want duplicate values in the key column, create a unique index by using the UNIQUE clause of the CREATE INDEX statement.

**Example 2:** The DEPT table does not allow duplicate department IDs. Creating a unique index, as the following example shows, prevents duplicate values.

```
CREATE UNIQUE INDEX MYINDEX
ON DEPT (DEPTNO);
```
The index name is MYINDEX, and the indexed column is DEPTNO.

If a table has a primary key (as the DEPT table has), its entries must be unique. DB2 enforces this uniqueness by defining a unique index on the primary key columns, with the index columns in the same order as the primary key columns.

Before you create a unique index on a table that already contains data, ensure that no pair of rows has the same key value. If DB2 finds a duplicate value in a set of key columns for a unique index, DB2 issues an error message and does not create the index.

If an index key allows nulls for some of its column values, you can use the WHERE NOT NULL clause to ensure that the non-null values of the index key are unique.

Unique indexes are an important part of implementing referential constraints among the tables in your DB2 database. You cannot define a foreign key unless the corresponding primary key already exists and has a unique index defined on it.

**When not to use a unique index**

In some cases you might not want to use a unique index. You can improve the performance of data access when the values of the columns in the index are not necessarily unique by creating a default index.

When you create a default index, DB2 allows you to enter duplicate values in a key column.

For example, assume that more than one employee is named David Brown. Consider an index that is defined on the FIRSTNME and LASTNAME columns of the EMP table.

```
CREATE INDEX EMPNAME ON EMP (FIRSTNME, LASTNAME);
```

This is an example of an index that can contain duplicate entries.

**Tip:** Do not create this type of index on very small tables because scans of the tables are more efficient than using indexes.

**INCLUDE columns**

Unique indexes can include additional columns that are not part of a unique constraint. Those columns are called INCLUDE columns. When you specify INCLUDE columns in a unique index, queries can use the unique index for index-only access. Including these columns can eliminate the need to maintain extra indexes that are used solely to enable index-only access.

**Related reference:**

[CREATE INDEX (DB2 SQL)](CREATE_INDEX_DB2_SQL)
Nonunique indexes
You can use nonunique indexes to improve the performance of data access when the values of the columns in the index are not necessarily unique.

Recommendation: Do not create nonunique indexes on very small tables, because scans of the tables are more efficient than using indexes.

To create nonunique indexes, use the SQL CREATE INDEX statement. For nonunique indexes, DB2 allows users and programs to enter duplicate values in a key column.

Example: Assume that more than one employee is named David Brown. Consider an index that is defined on the FIRSTNME and LASTNAME columns of the EMP table.

```
CREATE INDEX EMPNAME
    ON EMP (FIRSTNME, LASTNAME);
```

This index is an example of a nonunique index that can contain duplicate entries.

Related tasks:
- Designing indexes for performance (DB2 Performance)

Related reference:
- CREATE INDEX (DB2 SQL)

Clustering indexes
A clustering index determines how rows are physically ordered (clustered) in a table space. Clustering indexes provide significant performance advantages in some operations, particularly those that involve many records. Examples of operations that benefit from clustering indexes include grouping operations, ordering operations, and comparisons other than equal.

You can define a clustering index on a partitioned table space or on a segmented table space. On a partitioned table space, a clustering index can be a partitioning index or a secondary index. If a clustering index on a partitioned table is not a partitioning index, the rows are ordered in cluster sequence within each data partition instead of spanning partitions. (Prior to Version 8 of DB2 UDB for z/OS, the partitioning index was required to be the clustering index.)

Restriction: An expression based index or an XML index cannot be a clustering index.

When a table has a clustering index, an INSERT statement causes DB2 to insert the records as nearly as possible in the order of their index values. The first index that you define on the table serves implicitly as the clustering index unless you explicitly specify CLUSTER when you create or alter another index. For example, if you first define a unique index on the EMPNO column of the EMP table, DB2 inserts rows into the EMP table in the order of the employee identification number unless you explicitly define another index to be the clustering index.

Although a table can have several indexes, only one index can be a clustering index. If you do not define a clustering index for a table, DB2 recognizes the first index that is created on the table as the implicit clustering index when it orders data rows.
Tip:
• Always define a clustering index. Otherwise, DB2 might not choose the key that you would prefer for the index.
• Define the sequence of a clustering index to support high-volume processing of data.

You use the CLUSTER clause of the CREATE INDEX or ALTER INDEX statement to define a clustering index.

Example: Assume that you often need to gather employee information by department. In the EMP table, you can create a clustering index on the DEPTNO column.

```
CREATE INDEX DEPT_IX
ON EMP
(DEPTNO ASC)
CLUSTER;
```

As a result, all rows for the same department are probably close together. DB2 can generally access all the rows for that department in a single read. (Using a clustering index does not guarantee that all rows for the same department are stored on the same page. The actual storage of rows depends on the size of the rows, the number of rows, and the amount of available free space. Likewise, some pages may contain rows for more than one department.)

The following figure shows a clustering index on the DEPT column of the EMP table; only a subset of the rows is shown.

![Figure 41. A clustering index on the EMP table]

Suppose that you subsequently create a clustering index on the same table. In this case, DB2 identifies it as the clustering index but does not rearrange the data that is already in the table. The organization of the data remains as it was with the original nonclustering index that you created. However, when the REORG utility reorganizes the table space, DB2 clusters the data according to the sequence of the new clustering index. Therefore, if you know that you want a clustering index, you should define the clustering index before you load the table. If that is not possible,
you must define the index and then reorganize the table. If you create or drop and re-create a clustering index after loading the table, those changes take effect after a subsequent reorganization.

Related reference:
"Employee table (DSN8B10.EMP)" on page 143

Indexes that exclude NULL keys
You can exclude NULL keys from an index to reduce the size of an index and improve the performance of an index.

Some table values are never used in queries and are unnecessary in an index. NULL key columns add to index size and can reduce the performance of index scans. If you exclude NULL key columns from an index, DB2 only creates index entries for key columns that are not null. You can specify that an index excludes null keys when you create an index with the CREATE INDEX statement.

A NULL key in an index is not the same as a null foreign key.

Related reference:

Indexes that are padded or not padded
The NOT PADDED and PADDED options of the CREATE INDEX and ALTER INDEX statements specify how varying-length string columns are stored in an index.

You can choose not to pad varying-length string columns in the index to their maximum length (the default), or you can choose to pad them.

If you specify the NOT PADDED clause on a CREATE INDEX statement, any varying-length columns in the index key are not padded to their maximum length. If an existing index key includes varying-length columns, you can consider altering the index to use the NOT PADDED clause. However, using the NOT PADDED clause on the ALTER INDEX statement to change the padding places the index in the REBUILD-pending (RBDP) state. You should rebuild the index to remove the RBDP state.

Using the NOT PADDED clause has the following advantages:
- DB2 can use index-only access for the varying-length columns within the index key, which enhances performance.
- DB2 stores only actual data, which reduces the storage requirements for the index key.

However, using the NOT PADDED clause might also have the following disadvantages:
- Index key comparisons are slower because DB2 must compare each pair of corresponding varying-length columns individually instead of comparing the entire key when the columns are padded to their maximum length.
- DB2 stores an additional 2-byte length field for each varying-length column. Therefore, if the length of the padding (to the maximum length) is less than or equal to 2 bytes, the storage requirements could actually be greater for varying-length columns that are not padded.
Tip: Use the NOT PADDED clause to implement index-only access if your application typically accesses varying-length columns.

To control whether varying length columns are padded by default, use the PAD INDEXES BY DEFAULT option on installation panel DSNTIPE.

Related reference:
- CREATE INDEX (DB2 SQL)

Expression-based indexes
By using the expression-based index capability of DB2, you can create an index that is based on a general expression. You can enhance query performance if DB2 chooses the expression-based index.

Use expression-based indexes when you want an efficient evaluation of queries that involve a column-expression. In contrast to simple indexes, where index keys consist of a concatenation of one or more table columns that you specify, the index key values are not the same as values in the table columns. The values have been transformed by the expressions that you specify.

You can create the index by using the CREATE INDEX statement. If an index is created with the UNIQUE option, the uniqueness is enforced against the values that are stored in the index, not against the original column values.

DB2 does not use expression-based indexes for queries that use sensitive static scrollable cursors.

Related concepts:
- Expressions (DB2 SQL)
- “Index keys” on page 238

Related reference:
- CREATE INDEX (DB2 SQL)

Compression of indexes
You can reduce the amount of space that an index occupies on disk by compressing the index.

The COMPRESS YES/NO clause of the ALTER INDEX and CREATE INDEX statements allows you to compress the data in an index and reduce the size of the index on disk. However, index compression is heavily data-dependent, and some indexes might contain data that does not yield significant space savings. Compressed indexes might also use more real and virtual storage than non-compressed indexes. The amount of additional real and virtual storage that is required depends on the compression ratio that is used for the compressed keys, the amount of free space, and the amount of space that is used by the key map.

You can choose 8 KB, 16 KB, and 32 KB buffer pool page sizes for the index. Use the DSN1COMP utility on existing indexes to estimate the appropriate page size for new indexes. Choosing a 32 KB buffer pool instead of a 16 KB or an 8 KB buffer pool accommodates a potentially higher compression ratio, but this choice also increases the potential to use more storage. Estimates for index space savings from the DSN1COMP utility, either on the true index data or some similar index data, are not exact.
If I/O is needed to read an index, the CPU degradation for an index scan is probably relatively small, but the CPU degradation for random access is likely to be very significant.

CPU degradation for deletes and updates is significant even if no read I/O is necessary.

Related reference:
- [ALTER INDEX (DB2 SQL)]
- [CREATE INDEX (DB2 SQL)]

**XML index attributes**

You can create an index on an XML column for efficient evaluation of XQuery expressions to improve performance for queries on XML documents.

In simple relational indexes, index keys are composed of one or more table columns that you specified. However, an XML index uses a particular XML pattern expression to index paths and values in XML documents that are stored in a single XML column.

In an XML index, only the attribute nodes, text nodes, or element nodes that match the XML pattern expression are indexed. An XML index only indexes the nodes that match the specific XML pattern and not the document itself. Two more key fields are added to the index to form the composite index key. The extra key fields, which identify the XML document and the node position within the document, are stored in the catalog. These fields are not involved in uniqueness checking for unique indexes.

Use the CREATE INDEX statement with the XMLPATTERN keyword to create an XML index. You must also specify the XML path to be indexed. An index key is formed by concatenating the values that are extracted from the nodes in the XML document that satisfy the specified XML path with the document and node ID.

You specify a data type for every XML index. XML indexes support the following data types:

- VARCHAR
- DECIMAL
- TIMESTAMPTZ(12)
- DATE

You can use the following clauses to control whether DB2 inserts values into a table that are not compatible with the index data type:

- IGNORE INVALID VALUES
- REJECT INVALID VALUES

When you index an XML column with XMLPATTERN, only the parts of the document that satisfy the XML pattern expression are indexed. Multiple parts of the document might satisfy the XML pattern that you specified in the XMLPATTERN. Therefore, more than one index key entry might be generated and inserted into the index for the insertion of a single document.

Only one XML index specification is allowed per CREATE INDEX statement. However, you can create an XML index with multiple keys, or create multiple XML indexes on an XML column.
Restriction: Partitioned XML indexes are not supported

Example 1: Assume that you must search for a specific employee’s surname (name/last) on the employee elements. You can use the following CREATE INDEX statement to create an index on the '/department/emp/name/last' XML pattern expression:

```sql
CREATE INDEX EMPINDEX ON DEPARTMENT (DEPTDOCS)
    GENERATE KEYS USING XMLPATTERN '/department/emp/name/last'
    AS SQL VARCHAR(20)
```

After the EMPINDEX index is created successfully, several entries are populated in the catalog tables.

Example 2: You can create two XML indexes with the same pattern expression by using different data types for each. You can use the different indexes to choose how you want to interpret the result of the expression as multiple data types. For example, the value '12345' has a character representation but it can also be interpreted as the number 12,345. For example, assume that you want to index the path '/department/emp/@id' as both a character string and a number. You must create two indexes, one for the VARCHAR data type and one for the DECFLOAT data type. The values in the document are cast to the specified data type for each index.

Related concepts:
- “Storage structure for XML data” on page 230
- “Processing XML data with DB2 pureXML” on page 194
- XML data indexing (DB2 Programming for XML)
- Pattern expressions (DB2 Programming for XML)
- Best practices for XML performance in DB2 (DB2 Performance)

Related reference:
- XMLEXISTS predicate (DB2 SQL)
- CREATE INDEX (DB2 SQL)

### Partitioned table index attributes

A partitioned index is an index that is physically partitioned. Both partitioning indexes and secondary indexes can be partitioned.

Before Version 8, when you created a table in a partitioned table space, you defined a partitioning index and one or more secondary indexes. The partitioning index was also the clustering index, and the only partitioned index. Nonpartitioning indexes, referred to as secondary indexes, were not partitioned.

For index-controlled partitioning, the physical structure of an index depends on whether a partitioning index is involved. However, when you calculate storage for an index, the more important issue is whether the index is unique. When you consider the order in which rows are stored, you need to consider which index is the clustering index. For index-controlled partitioning, a partitioning index is also the clustering index. For index-controlled partitioning, use a partitioning index to tell DB2 how to divide data in a partitioned table space among the partitions.
In table-controlled partitioning, define the partitioning scheme for the table by using the PARTITION BY clause of the CREATE TABLE statement.

For partitioned tables, the following characteristics apply:
- Indexes that are defined on a partitioned table are classified according to their logical attributes and physical attributes.
  - The logical attribute of an index on a partitioned table pertains to whether the index can be seen as a logically partitioning index.
  - The physical attribute of an index on a partitioned table pertains to whether the index is physically partitioned.
- A partitioning index can be partitioned or nonpartitioned.
- Any index, except for an expression-based index or an XML index, can be a clustering index. You can define only one clustering index on a table.

The following figure illustrates the difference between a partitioned and a nonpartitioned index.

 !!!Figure 42. Comparison of partitioned and nonpartitioned index!!!

Indexes on a partitioned table can be categorized, based on logical index attributes, into partitioning indexes, and into secondary indexes.

**Related concepts:**
- "Creation of a table with table-controlled partitioning" on page 204

**Partitioning indexes**

For index-controlled partitioning, a partitioning index is an index that defines the partitioning scheme of a table space. A partitioning index is based on the PARTITION clause for each partition in the CREATE INDEX statement. For table-controlled partitioning, a partitioning index is optional.
The columns that you specify for the partitioning index are the key columns. The PARTITION clause for each partition defines ranges of values for the key columns. The ranges partition the table space and the corresponding partitioning index space.

Before DB2 Version 8, when you defined a partitioning index on a table in a partitioned table space, you specified the partitioning key and the limit key values in the PART VALUES clause of the CREATE INDEX statement. This type of partitioning is referred to as index-controlled partitioning. Beginning with DB2 Version 8, you can define table-controlled partitioning with the CREATE TABLE statement. Table-controlled partitioning is designed to eventually replace index-controlled partitioning.

**Example:** Assume that a table contains state area codes, and you need to create a partitioning index to sequence the area codes across partitions. You can use the following SQL statements to create the table and the partitioning index:

```
CREATE TABLE AREA_CODES
  (AREACODE_NO INTEGER NOT NULL,
   STATE CHAR (2) NOT NULL,
   ...
   PARTITION BY (AREACODE_NO ASC)
   ...
CREATE INDEX AREACODE_IX1 ON AREA_CODES (AREACODE_NO)
CLUSTER (...,
   PARTITION 2 ENDING AT (400),
   PARTITION 3 ENDING AT (500),
   PARTITION 4 ENDING AT (600)),
   ...);
```

The following figure illustrates the partitioning index on the AREA_CODES table.
Restriction: You cannot create a partitioning index in a partition-by-growth table space.

Secondary indexes
In table-based partitioning, an index that is not a partitioning index is a secondary index. A secondary index can be partitioned or nonpartitioned. You can create an index on a table to enforce a uniqueness constraint, to cluster data, or to provide access paths to data for queries.

The usefulness of an index depends on the columns in its key and on the cardinality of the key. Columns that you use frequently in performing selection, join, grouping, and ordering operations are good candidates for keys. In addition, the number of distinct values in an index key for a large table must be sufficient for DB2 to use the index for data retrieval; otherwise, DB2 could choose to perform a table space scan.

Restriction: An XML index cannot be partitioned.

DB2 supports two types of secondary indexes: data-partitioned secondary indexes (DPSI) and nonpartitioned secondary indexes (NPSI).

Data-partitioned secondary indexes:

A data-partitioned secondary index (DPSI) is a nonpartitioning index that is physically partitioned according to the partitioning scheme of the table.

You can create a data-partitioned secondary index only on a table that resides in a partitioned table space. The data-partitioned secondary index is partitioned according to the partitioning scheme of the underlying data. That is, the index entries that reference data in physical partition 1 of a table reside in physical partition 1 of the index, and so on.
**Restriction:** You cannot create a DPSI for a partition-by-growth table space or an XML index.

Characteristics of DPSIs include:
- A DPSI has as many partitions as the number of partitions in the table space.
- Each DPSI partition contains keys for the rows of the corresponding table space partition only. For example, if the table space has three partitions, the keys in DPSI partition 1 reference only the rows in table space partition 1; the keys in DPSI partition 2 reference only the rows in table space partition 2, and so on.

You define a DPSI with the PARTITIONED keyword. If the leftmost columns of the index that you specify with the PARTITIONED keyword match the partitioning columns, DB2 creates the index as a DPSI only if the collating sequence of the matching columns is different.

The use of data-partitioned secondary indexes promotes partition independence and therefore provides the following performance advantages, among others:
- Eliminates contention between parallel LOAD PART jobs that target different partitions of a table space.
- Facilitates partition-level operations such as adding a new partition or rotating a partition to be the last partition.
- Improves the recovery time of secondary indexes on partitioned table spaces.

However, the use of data-partitioned secondary indexes does not always improve the performance of queries. For example, for queries with predicates that reference only the columns in the key of the DPSI, DB2 must probe each partition of the index for values that satisfy the predicate.

Data-partitioned secondary indexes provide performance advantages for queries that meet the following criteria:
- The query has predicates on DPSI columns.
- The query contains additional predicates on the partitioning columns of the table that limit the query to a subset of the partitions in the table.

**Example:** Consider the following SELECT statement:

```
SELECT STATE FROM AREA_CODES
WHERE AREACODE_NO <= 300 AND STATE = 'CA';
```

This query makes efficient use of the data-partitioned secondary index. The number of key values that need to be searched is limited to the key values of the qualifying partitions. If a nonpartitioned secondary query, there may be a more comprehensive index scan of the key values.

**Related concepts:**
- Page range screening (PAGE_RANGE='Y') (DB2 Performance)
- Efficient queries for tables with data-partitioned secondary indexes (DB2 Performance)
Nonpartitioned secondary indexes:

A nonpartitioned secondary index is any index that is not defined as a partitioning index or a partitioned index. A nonpartitioned secondary index has one index space that contains keys for the rows of all partitions of the table space.

You can create a nonpartitioned secondary index on a table that resides in a partitioned table space. However, this action is not possible on nonpartitioned table spaces.

Nonpartitioned secondary indexes provide performance advantages for queries that meet the following criteria:
- The query does not contain predicates on the partitioning columns of the table that limit the query to a small subset of the partitions in the table.
- The query qualifications match the index columns.
- The SELECT list columns are included in the index (for index-only access).

Example: Consider the following SELECT statement:

```
SELECT STATE FROM AREA_CODES
WHERE AREACODE_NO <= 300 AND STATE > 'CA';
```

This query makes efficient use of the nonpartitioned secondary index on columns AREACODE_NO and STATE, partitioned by STATE. The number of key values that need to be searched is limited to scanning the index key values lower than or equal to 300.

Example of data-partitioned and nonpartitioned secondary indexes:

Referring to an example can help you understand the advantages of using data-partitioned and nonpartitioned secondary indexes.

This example creates a data-partitioned secondary index (DPSIIIX2) and a nonpartitioned secondary index (NPSIIIX3) on the AREA_CODES table.

Important: The AREA_CODES table must be partitioned on something other than the STATE column for these indexes to be secondary indexes.

You can use the following SQL statements to create these secondary indexes:

```
CREATE INDEX DPSIIIX2 ON AREA_CODES (STATE) PARTITIONED;
CREATE INDEX NPSIIIX3 ON AREA_CODES (STATE);
```

The following figure illustrates what the data-partitioned secondary index and nonpartitioned secondary index on the AREA_CODES table look like.
Data-partitioned secondary indexes provide advantages over nonpartitioned secondary indexes for utility processing. For example, utilities such as COPY, REBUILD INDEX, and RECOVER INDEX can operate on physical partitions rather than logical partitions because the keys for a given data partition reside in a single data-partitioned secondary index DPSI partition. This method can provide greater availability.

Creation of views

When you design your database, you might need to give users access to only certain pieces of data. You can give users controlled access by designing and using views.

Use the CREATE VIEW statement to define and name a view. Unless you specifically list different column names after the view name, the column names of the view are the same as the column names of the underlying table. When you create different column names for your view, remember the naming conventions that you established when designing the relational database.

A SELECT statement describes the information in the view. The SELECT statement can name other views and tables, and it can use the WHERE, GROUP BY, and HAVING clauses. It cannot use the ORDER BY clause or name a host variable.

Related concepts:
- “DB2 views” on page 33
- “Customized data views” on page 95

A view on a single table

You can create views on individual tables when you need to limit access to particular columns.
Example: Assume that you want to create a view on the DEPT table. Of the four columns in the table, the view needs only three: DEPTNO, DEPTNAME, and MGRNO. The order of the columns that you specify in the SELECT clause is the order in which they appear in the view:

```
CREATE VIEW MYVIEW AS
  SELECT DEPTNO, DEPTNAME, MGRNO
  FROM DEPT;
```

Example: In the preceding example, no column list follows the view name, MYVIEW. Therefore, the columns of the view have the same names as those of the DEPT table on which it is based. You can execute the following SELECT statement to see the view contents:

```
SELECT * FROM MYVIEW;
```

The result table looks like this:

<table>
<thead>
<tr>
<th>DEPTNO</th>
<th>DEPTNAME</th>
<th>MGRNO</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>CHAIRMAN'S OFFICE</td>
<td>000010</td>
</tr>
<tr>
<td>B01</td>
<td>PLANNING</td>
<td>000020</td>
</tr>
<tr>
<td>C01</td>
<td>INFORMATION CENTER</td>
<td>000030</td>
</tr>
<tr>
<td>D11</td>
<td>MANUFACTURING SYSTEMS</td>
<td>000060</td>
</tr>
<tr>
<td>E21</td>
<td>SOFTWARE SUPPORT</td>
<td>------</td>
</tr>
</tbody>
</table>

---

**A view that combines information from several tables**

You can create a view that contains a union of more than one table. A union of more than one table is called a join.

DB2 provides two types of joins—an outer join and an inner join. An outer join includes rows in which the values in the join columns don't match, and rows in which the values match. An inner join includes only rows in which matching values in the join columns are returned.

Example: The following example is an inner join of columns from the DEPT and EMP tables. The WHERE clause limits the view to just those columns in which the MGRNO in the DEPT table matches the EMPNO in the EMP table:

```
CREATE VIEW MYVIEW AS
  SELECT DEPTNO, MGRNO, LASTNAME, ADMRDEPT
  FROM DEPT, EMP
  WHERE EMP.EMPNO = DEPT.MGRNO;
```

The result of executing this CREATE VIEW statement is an inner join view of two tables, which is shown below:

<table>
<thead>
<tr>
<th>DEPTNO</th>
<th>MGRNO</th>
<th>LASTNAME</th>
<th>ADMRDEPT</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>000010</td>
<td>HAAS</td>
<td>A00</td>
</tr>
<tr>
<td>B01</td>
<td>000020</td>
<td>THOMPSON</td>
<td>A00</td>
</tr>
<tr>
<td>C01</td>
<td>000030</td>
<td>KWAN</td>
<td>A00</td>
</tr>
<tr>
<td>D11</td>
<td>000060</td>
<td>STERN</td>
<td>D11</td>
</tr>
</tbody>
</table>

Example: Suppose that you want to create the view in the preceding example, but you want to include only those departments that report to department A00. Suppose also that you prefer to use a different set of column names. Use the following CREATE VIEW statement:
CREATE VIEW MYVIEWA00
(DEPARTMENT, MANAGER, EMPLOYEE_NAME, REPORT_TO_NAME)
AS
SELECT DEPTNO, MGRNO, LASTNAME, ADMRDEPT
FROM EMP, DEPT
WHERE EMP.EMPNO = DEPT.MGRNO
AND ADMRDEPT = 'A00';

You can execute the following SELECT statement to see the view contents:

SELECT * FROM MYVIEWA00;

When you execute this SELECT statement, the result is a view of a subset of the same data, but with different column names, as follows:

<table>
<thead>
<tr>
<th>DEPARTMENT</th>
<th>MANAGER</th>
<th>EMPLOYEE_NAME</th>
<th>REPORT_TO_NAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>A00</td>
<td>000010</td>
<td>HAAS</td>
<td>A00</td>
</tr>
<tr>
<td>B01</td>
<td>000020</td>
<td>THOMPSON</td>
<td>A00</td>
</tr>
<tr>
<td>C01</td>
<td>000030</td>
<td>KWAN</td>
<td>A00</td>
</tr>
</tbody>
</table>

Related concepts:
“Ways to merge lists of values” on page 122

Inserts and updates of data through views

If you define a view on a single table, you can refer to the name of a view in insert, update, or delete operations. If the view is complex or involves multiple tables, you must define an INSTEAD OF trigger before that view can be referenced in an INSERT, UPDATE, MERGE, or DELETE statement. This information explains how the simple case is dealt with, where DB2 makes an insert or update to the base table.

To ensure that the insert or update conforms to the view definition, specify the WITH CHECK OPTION clause. The following example illustrates some undesirable results of omitting that check.

Example: Suppose that you define a view, V1, as follows:

```
CREATE VIEW V1 AS
SELECT * FROM EMP
WHERE DEPT LIKE 'D%'
```

A user with the SELECT privilege on view V1 can see the information from the EMP table for employees in departments whose IDs begin with D. The EMP table has only one department (D11) with an ID that satisfies the condition.

Assume that a user has the INSERT privilege on view V1. A user with both SELECT and INSERT privileges can insert a row for department E01, perhaps erroneously, but cannot select the row that was just inserted.

The following example shows an alternative way to define view V1.

Example: You can avoid the situation in which a value that does not match the view definition is inserted into the base table. To do this, instead define view V1 to include the WITH CHECK OPTION clause:

```
CREATE VIEW V1 AS SELECT * FROM EMP
WHERE DEPT LIKE 'D%' WITH CHECK OPTION;
```
With the new definition, any insert or update to view V1 must satisfy the predicate that is contained in the WHERE clause: DEPT LIKE 'D%'. The check can be valuable, but it also carries a processing cost; each potential insert or update must be checked against the view definition. Therefore, you must weigh the advantage of protecting data integrity against the disadvantage of the performance degradation.

**Related tasks:**
- Inserting, updating, and deleting data in views by using INSTEAD OF triggers (DB2 Application programming and SQL)
- Changing data by using views that reference temporal tables (DB2 Administration Guide)

**Related reference:**
- CREATE VIEW (DB2 SQL)

---

**Creation of large objects**

Defining large objects to DB2 is different than defining other types of data and objects.

These are the basic steps for defining LOBs and moving the data into DB2:

1. **Define a column of the appropriate LOB type.**
   
   When you create a table with a LOB column, or alter a table to add a LOB column, defining a ROWID column is optional. If you do not define a ROWID column, DB2 defines a hidden ROWID column for you. Define only one ROWID column, even if multiple LOB columns are in the table.
   
   The LOB column holds information about the LOB, not the LOB data itself. The table that contains the LOB information is called the base table, which is different from the common base table. DB2 uses the ROWID column to locate your LOB data. You can define the LOB column and the ROWID column in a CREATE TABLE or ALTER TABLE statement. If you are adding a LOB column and a ROWID column to an existing table, you must use two ALTER TABLE statements. If you add the ROWID after you add the LOB column, the table has two ROWIDs; a hidden one and the one that you created. DB2 ensures that the values of the two ROWIDs are always the same.

2. **Create a table space and table to hold the LOB data.**
   
   For LOB data, the table space is called a LOB table space, and a table is called an auxiliary table. If your base table is nonpartitioned, you must create one LOB table space and one auxiliary table for each LOB column. If your base table is partitioned, you must create one LOB table space and one auxiliary table for each LOB column in each partition. For example, you must create three LOB table spaces and three auxiliary tables for each LOB column if your base table has three partitions. Create these objects by using the CREATE LOB TABLESPACE and CREATE AUXILIARY TABLE statements.

3. **Create an index on the auxiliary table.**
   
   Each auxiliary table must have exactly one index in which each index entry refers to a LOB. Use the CREATE INDEX statement for this task.

4. **Put the LOB data into DB2.**
   
   If the total length of a LOB column and the base table row is less than 32 KB, you can use the LOAD utility to put the data in DB2. You can also use SQL to put LOB data into DB2 that is less than 32KB. Even though the data resides in
the auxiliary table, the LOAD utility statement or SQL statement that changes data specifies the base table. Using INSERT or MERGE statements can be difficult because your application needs enough storage to hold the entire value that goes into the LOB column.

Example: Assume that you must define a LOB table space and an auxiliary table to hold employee resumes. You must also define an index on the auxiliary table. You must define the LOB table space in the same database as the associated base table. Assume that EMP_PHOTO_RESUME is a base table. This base table has a LOB column named EMP_RESUME. You can use statements like this to define the LOB table space, the auxiliary table space, and the index:

```
CREATE LOB TABLESPACE RESUMETS
  IN MYDB
  LOG NO;
COMMIT;
CREATE AUXILIARY TABLE EMP_RESUME_TAB
  IN MYDB.RESUMETS
  STORES EMP_PHOTO_RESUME
  COLUMN EMP_RESUME;
CREATE UNIQUE INDEX XEMP_RESUME
  ON EMP_RESUME_TAB;
COMMIT;
```

You can use the LOG clause to specify whether changes to a LOB column in the table space are to be logged. The LOG NO clause in the preceding CREATE LOB TABLESPACE statement indicates that changes to the RESUMETS table space are not to be logged.

Creation of databases

When you define a DB2 database, you name an eventual collection of tables, associated indexes, and the table spaces in which they are to reside.

When you decide whether to define a new database for a new set of objects or use an existing database, consider the following facts:

- You can start and stop an entire database as a unit. You can display the status of all objects in the database by using a single command that names only the database. Therefore, place a set of related tables into the same database. (The same database holds all indexes on those tables.)
- If you want to improve concurrency and memory use, keep the number of tables in a single database relatively small (maximum of 20 tables). For example, with fewer tables, DB2 performs a reorganization in a shorter length of time.
- Having separate databases allows data definitions to run concurrently and also uses less space for control blocks.

To create a database, use the CREATE DATABASE statement. A name for a database is an unqualified identifier of up to eight characters. A DB2 database name must not be the same as the name of any other DB2 database.
In new-function mode, if you do not specify the IN clause on the CREATE TABLE statement, DB2 implicitly creates a database. The following list shows the names for an implicit database when the maximum value of the sequence SYSIBM.DSNSEQ_IMPLICITDB is 10000:

DSN00001, DSN00002, DSN00003, ..., DSN09999, and DSN10000

Example: The following example shows a valid database name:

<table>
<thead>
<tr>
<th>Object Name</th>
<th>Database</th>
</tr>
</thead>
<tbody>
<tr>
<td>Object Name</td>
<td>Database</td>
</tr>
<tr>
<td>MYDB</td>
<td></td>
</tr>
</tbody>
</table>

This CREATE DATABASE statement creates the database MYDB:

```
CREATE DATABASE MYDB
STOGROUP MYSTOGRP
BUFFERPOOL BP8K4
INDEXBP BP4;
```

The STOGROUP, BUFFERPOOL, and INDEXBP clauses that this example shows establish default values. You can override these values on the definitions of the table space or index space.

Related reference:

<table>
<thead>
<tr>
<th>Related reference:</th>
</tr>
</thead>
<tbody>
<tr>
<td>CREATE DATABASE (DB2 SQL)</td>
</tr>
</tbody>
</table>

### Creation of relationships with referential constraints

Referential integrity is a condition in which all intended references from data in one table column to data in another table column are valid. By using referential constraints, you can define relationships between entities that you define in DB2.

Organizations that choose to enforce referential constraints have at least one thing in common. They need to ensure that values in one column of a table are valid with respect to other data values in the database.

Examples:

- A manufacturing company wants to ensure that each part in a PARTS table identifies a product number that equals a valid product number in the PRODUCTS table.
- A company wants to ensure that each value of DEPT in the EMP table equals a valid DEPTNO value in the DEPT table.

If the DBMS did not support referential integrity, programmers would need to write and maintain application code that validates the relationship between the columns. Some programs might not enforce business rules, even though it is recommended.

This programming task can be complex because of the need to make sure that only valid values are inserted or updated in the columns. When the DBMS supports referential integrity, as DB2 does, programmers avoid some complex programming tasks and can be more productive in their other work.
Related tasks:
[Using referential integrity for data consistency (Managing Security)]

How DB2 enforces referential constraints

This information describes what DB2 does to maintain referential integrity.

You define referential constraints between a foreign key and its parent key. Before you start to define the referential relationships and constraints, you should understand what DB2 does to maintain referential integrity. You should understand the rules that DB2 follows when users attempt to modify information in columns that are involved in referential constraints.

To maintain referential integrity, DB2 enforces referential constraints in response to any of the following events:
- An insert to a dependent table
- An update to a parent table or dependent table
- A delete from a parent table
- Running the CHECK DATA utility or the LOAD utility on a dependent table with the ENFORCE CONSTRAINTS option

When you define the constraints, you have the following choices:

- **CASCADE**: DB2 propagates the action to the dependents of the parent table.
- **NO ACTION**: An error occurs, and DB2 takes no action.
- **RESTRICT**: An error occurs, and DB2 takes no action.
- **SET NULL**: DB2 places a null value in each nullable column of the foreign key that is in each dependent of the parent table.

DB2 does not enforce referential constraints in a predefined order. However, the order in which DB2 enforces constraints can affect the result of the operation. Therefore, you should be aware of the restrictions on the definition of delete rules and on the use of certain statements. The restrictions relate to the following SQL statements: CREATE TABLE, ALTER TABLE, INSERT, UPDATE, MERGE, and DELETE.

You can use the NOT ENFORCED option of the referential constraint definition in a CREATE TABLE or ALTER TABLE statement to define an informational referential constraint. You should use this type of referential constraint only when an application process verifies the data in a referential integrity relationship.

**Insert rules**
The insert rules for referential integrity apply to parent and dependent tables.

The following insert rules for referential integrity apply to parent and dependent tables:

- **For parent tables**: You can insert a row at any time into a parent table without taking any action in the dependent table. For example, you can create a new department in the DEPT table without making any change to the EMP table. If
you are inserting rows into a parent table that is involved in a referential
constraint, the following restrictions apply:
– A unique index must exist on the parent key.
– You cannot enter duplicate values for the parent key.
– You cannot insert a null value for any column of the parent key.

For dependent tables: You cannot insert a row into a dependent table unless a
row in the parent table has a parent key value that equals the foreign key value
that you want to insert. You can insert a foreign key with a null value into a
dependent table (if the referential constraint allows this), but no logical
connection exists if you do so. If you insert rows into a dependent table, the
following restrictions apply:
– Each nonnull value that you insert into a foreign key column must be equal
to some value in the parent key.
– If any field in the foreign key is null, the entire foreign key is null.
– If you drop the index that enforces the parent key of the parent table, you
cannot insert rows into either the parent table or the dependent table.

Example: Your company doesn’t want to have a row in the PARTS table unless the
PROD# column value in that row matches a valid PROD# in the PRODUCTS table.
The PRODUCTS table has a primary key on PROD#. The PARTS table has a
foreign key on PROD#. The constraint definition specifies a RESTRICT constraint.
Every inserted row of the PARTS table must have a PROD# that matches a PROD#
in the PRODUCTS table.

Update rules
The update rules for referential integrity apply to parent and dependent tables.

The following update rules for referential integrity apply to parent and dependent
tables:

For parent tables: You cannot change a parent key column of a row that has a
dependent row. If you do, the dependent row no longer satisfies the referential
constraint, so DB2 prohibits the operation.

For dependent tables: You cannot change the value of a foreign key column in a
dependent table unless the new value exists in the parent key of the parent
table.

Example: When an employee transfers from one department to another, the
department number for that employee must change. The new value must be the
number of an existing department, or it must be null. You should not be able to
assign an employee to a department that does not exist. However, in the event of a
company reorganization, employees might temporarily not report to a valid
department. In this case, a null value is a possibility.

If an update to a table with a referential constraint fails, DB2 rolls back all changes
that were made during the update.

Delete rules
Delete rules, which are applied to parent and dependent tables, are an important
part of DB2 referential integrity.

The following delete rules for referential integrity apply to parent and dependent
tables:
For parent tables
For any particular relationship, DB2 enforces delete rules that are based on
the choices that you specify when you define the referential constraint.

For dependent tables
At any time, you can delete rows from a dependent table without acting
on the parent table.

To delete a row from a table that has a parent key and dependent tables, you must
obey the delete rules for that table. To succeed, the DELETE must satisfy all delete
rules of all affected relationships. The DELETE fails if it violates any referential
constraint.

Example 1: Consider the parent table in the department-employee relationship.
Suppose that you delete the row for department C01 from the DEPT table. That
deletion affects the information in the EMP table about Sally Kwan, Heather
Nicholls, and Kim Natz, who work in department C01.

Example 2: Consider the dependent in the department-employee relationship.
Assume that an employee retires and that a program deletes the row for that
employee from the EMP table. The DEPT table is not affected.

Construction of a referential structure
When you build a referential structure, you need to create a set of tables and
indexes in the correct order.

During logical design, you express one-to-one relationships and one-to-many
relationships as if the relationships are bi-directional. For example:
• An employee has a resume, and a resume belongs to an employee (one-to-one
  relationship).
• A department has many employees, and each employee reports to a department
  (one-to-many relationship).

During physical design, you restate the relationship so that it is unidirectional; one
entity becomes an implied parent of the other. In this case, the employee is the
parent of the resume, and the department is the parent of the assigned employees.

During logical design, you express many-to-many relationships as if the
relationships are both bidirectional and multivalued. During physical design,
database designers resolve many-to-many relationships by using an associative
table. The relationship between employees and projects is a good example of how
referential integrity is built. This is a many-to-many relationship because
employees work on more than one project, and a project can have more than one
employee assigned.

Example: To resolve the many-to-many relationship between employees (in the
EMP table) and projects (in the PROJ table), designers create a new associative
table, EMP_PROJ, during physical design. EMP and PROJ are both parent tables to
the child table, EMP_PROJ.

When you establish referential constraints, you must create parent tables with at
least one unique key and corresponding indexes before you can define any
corresponding foreign keys on dependent tables.

Related concepts:
"Database design with denormalization” on page 93
Related tasks:

[Using referential integrity for data consistency (Managing Security)]

**Tables in a referential structure**

In a referential structure, you can create table spaces in any order. Using a model for the structure can be helpful.

You can create table spaces in any order. However, you need to create the table spaces before you perform the following steps. (This procedure uses the DEPT and EMP tables.)

1. Create the DEPT table and define its primary key on the DEPTNO column. The PRIMARY KEY clause of the CREATE TABLE statement defines the primary key.

   **Example:**
   ```sql
   CREATE TABLE DEPT
   ...
   PRIMARY KEY (DEPTNO);
   ```

2. Create the EMP table and define its primary key as EMPNO and its foreign key as DEPT. The FOREIGN KEY clause of the CREATE TABLE statement defines the foreign key.

   **Example:**
   ```sql
   CREATE TABLE EMP
   ...
   PRIMARY KEY (EMPNO)
   FOREIGN KEY (DEPT)
   REFERENCES DEPT (DEPTNO)
   ON DELETE SET NULL;
   ```

3. Alter the DEPT table to add the definition of its foreign key, MGRNO.

   **Example:**
   ```sql
   ALTER TABLE DEPT
   FOREIGN KEY (MGRNO)
   REFERENCES EMP (EMPNO)
   ON DELETE RESTRICT;
   ```

**Related tasks:**

[Using referential integrity for data consistency (Managing Security)]

**Creation of exception tables**

Before you load tables that are involved in a referential constraint or check constraint, you need to create exception tables. An exception table contains the rows that the CHECK DATA utility identified because they violate referential constraints or check constraints.

**Related reference:**

[Exception tables for the CHECK DATA utility (DB2 Utilities)]
Creation of triggers

You can use triggers to define and enforce business rules that involve different states of the data. Triggers automatically execute a set of SQL statements whenever a specified event occurs. These statements validate and edit database changes, read and modify the database, and invoke functions that perform various operations.

Triggers are optional. You define triggers by using the CREATE TRIGGER statement.

**Example:** Assume that the majority of your organization’s salary increases are less than or equal to 10 percent. Assume also that you need to receive notification of any attempts to increase a value in the salary column by more than that amount. To enforce this requirement, DB2 compares the value of a salary before a salary increase to the value that would exist after a salary increase. You can use a trigger in this case. Whenever a program updates the salary column, DB2 activates the trigger. In the triggered action, you can specify that DB2 is to perform the following actions:

- Update the value in the salary column with a valid value, rather than preventing the update altogether.
- Notify an administrator of the attempt to make an invalid update.

As a result of using a trigger, the notified administrator can decide whether to override the original salary increase and allow a larger-than-normal salary increase.

**Recommendation:** For rules that involve only one condition of the data, consider using referential constraints and check constraints rather than triggers.

Triggers also move the application logic that is required to enforce business rules into the database, which can result in faster application development and easier maintenance. In the previous example, which limits salary increases, the logic is in the database, rather than in an application. DB2 checks the validity of the changes that any application makes to the salary column. In addition, if the logic ever changes (for example, to allow 12 percent increases), you don’t need to change the application programs.

**Related concepts:**

“Triggers” on page 51

---

Creation of user-defined functions

You can create your own functions in DB2 to simplify your queries.

There are three primary types of user-defined functions.

**Sourced functions**

Functions that are based on existing functions.

**External functions**

Functions that are developed by users.

**SQL functions**

Functions that are defined to the database by use of SQL statements only.

External user-defined functions can return a single value or a table of values.
- External functions that return a single value are called *user-defined scalar functions*. 

External functions that return a table are called user-defined table functions.

User-defined functions, like built-in functions or operators, support the manipulation of distinct types.

The following two examples demonstrate how to define and use both a user-defined function and a distinct type.

**Example 1:** Suppose that you define a table called EUROEMP. One column of this table, EUROSAL, has a distinct type of EURO, which is based on DECIMAL(9,2). You cannot use the built-in AVG function to find the average value of EUROSAL because AVG operates on built-in data types only. You can, however, define an AVG function that is sourced on the built-in AVG function and accepts arguments of type EURO:

```sql
CREATE FUNCTION AVG(EURO)
RETURNS EURO
SOURCE SYSIBM.AVG(DECIMAL);
```

**Example 2:** You can then use this function to find the average value of the EUROSAL column:

```sql
SELECT AVG(EUROSAL) FROM EUROEMP;
```

The next two examples demonstrate how to define and use an external user-defined function.

**Example 3:** Suppose that you define and write a function, called REVERSE, to reverse the characters in a string. The definition looks like the following example:

```sql
CREATE FUNCTION REVERSE(VARCHAR(100))
RETURNS VARCHAR(100)
EXTERNAL NAME 'REVERSE'
PARAMETER STYLE SQL
LANGUAGE C;
```

**Example 4:** You can then use the REVERSE function in an SQL statement wherever you would use any built-in function that accepts a character argument, as shown in the following example:

```sql
SELECT REVERSE(:CHARSTR)
FROM SYSDUMMY1;
```

Although you cannot write user-defined aggregate functions, you can define sourced user-defined aggregate functions that are based on built-in aggregate functions. This capability is useful in cases where you want to refer to an existing user-defined function by another name or where you want to pass a distinct type.

The next two examples demonstrate how to define and use a user-defined table function.

**Example 5:** You can define and write a user-defined table function that users can invoke in the FROM clause of a SELECT statement. For example, suppose that you define and write a function called BOOKS. This function returns a table of information about books on a specified subject. The definition looks like the following example:

```sql
CREATE FUNCTION BOOKS (VARCHAR(40))
RETURNS TABLE (TITLE_NAME VARCHAR(25),
AUTHOR_NAME VARCHAR(25),
PUBLISHER_NAME VARCHAR(25),
264
```

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Example 6: You can then include the BOOKS function in the FROM clause of a SELECT statement to retrieve the book information, as shown in the following example:

```
SELECT B.TITLE_NAME, B.AUTHOR_NAME, B.PUBLISHER_NAME, B.ISBNNO
FROM TABLE(BOOKS('Computers')) AS B
WHERE B.TITLE_NAME LIKE '%COBOL%';
```

Related concepts:
- “User-defined functions” on page 109
- Sample user-defined functions (DB2 SQL)

Related tasks:
- Defining a user-defined function (DB2 Application programming and SQL)
- Creating user-defined functions (DB2 Administration Guide)
- Controlling user-defined functions (DB2 Administration Guide)
Chapter 9. DB2 performance management

Managing the performance of a DB2 subsystem involves understanding a wide range of system components. You need to understand the performance of those components, how to monitor the components, and how to identify problem areas.

System resources, database design, and query performance are among the many performance issues to consider, and each of these factors influences the others. For example, a well-designed query does not run efficiently if system resources are not available when it needs to run.

To manage DB2 performance, you need to establish performance objectives and determine whether objects, resources, and processes are meeting your performance expectations. Tips and guidelines help you tune your DB2 subsystem to improve performance. Several tools are available to make performance analysis easier for you.

Related information:

Subsystem and Transaction Monitoring and Tuning with DB2 11 for z/OS (IBM Redbooks)

Initial steps for performance management

The first step in managing DB2 performance is understanding performance issues. You need to know how to recognize different types of performance problems and to know what tools are available to help you solve them.

Performance objectives

Establishing performance objectives can help you make good choices as you work with DB2. Although performance objectives vary for every business, how your site defines good DB2 performance depends on data processing needs and priorities.

In all cases, performance objectives must be realistic, understandable, and measurable. Typical objectives include values for:

- Acceptable response time (a duration within which some percentage of all applications have completed)
- Average throughput (the total number of transactions or queries that complete within a given time)
- System availability, including mean time to failure and the durations of downtimes

Objectives such as these define the workload for the system and determine the requirements for resources, which include processor speed, amount of storage, additional software, and so on.

Example: An objective might be that 90% of all response times on a local network during a prime shift are under 2 seconds. Another objective might be that the average response time does not exceed 6 seconds, even during peak periods. (For remote networks, response times are substantially higher.)

Often, though, available resources limit the maximum acceptable workload, which requires that you revise the objectives.
Setting performance objectives and defining your workloads (DB2 Performance)

Application design for performance

Designing the database and applications to be as efficient as possible is an important first step to good system and application performance. As you code applications, consider performance objectives in your application design.

Some factors that affect the performance of applications include how the program uses host variables and what bind options you choose. In turn, those factors affect how long DB2 takes to determine an access path for the SQL statements in the application.

Later in this information you can read about locking and concurrency, including recommendations for database and application design that improve performance.

After you run an application, you need to decide if it meets your performance objectives. You might need to test and debug the application to improve its performance.

Origin of performance problems

After running an application, if you determine that it does not meet your performance objectives, you need to determine the origin of the problem. This information describes how you identify performance problems and what tools can help you.

To identify a performance problem, you begin by looking at the overall system before you decide that you have a problem in DB2. In general, look closely to see why application processes are progressing slowly or why a given resource is being heavily used.

Within DB2, the performance problem is usually either poor response time or an unexpected and unexplained high use of resources. Check factors such as total processor usage, disk activity, and memory usage.

First, get a picture of task activity, from classes 1, 2, and 3 of the accounting trace. DB2 provides a trace facility that lets you monitor and collect detailed information about the overall performance.
about DB2, including performance and statistical information. Then, focus on specific activities, such as specific application processes or a specific time interval. You might see problems such as these:

- Slow response time. You can collect detailed information about a single slow task, a problem that can occur for several reasons. For example, users might be trying to do too much work with certain applications, and the system simply cannot do all the work that they want done.
- Real storage constraints. Applications progress more slowly than expected because of paging interrupts. The constraints result in delays between successive requests that are recorded in the DB2 trace.

If you identify a performance problem in DB2, you can look at specific reports. Reports give you information about:

- Whether applications are able to read from buffer pools rather than from disk
- Whether and how long applications must wait to write to disk or wait for a lock
- Whether applications are using more than the usual amount of resources

DB2 also provides several tools that help you analyze performance.

**Related concepts:**
- "The role of buffer pools in caching data" on page 270
- "Ways to improve performance for multiple users" on page 276
- "Tools for performance analysis"

**Tools for performance analysis**

DB2 provides several workstation tools to simplify performance analysis.

Workstation tools for performance analysis include:

- IBM Data Studio
- Tivoli OMEGAMON XE for DB2 Performance Expert on z/OS
- DB2 Buffer Pool Analyzer
- DB2 SQL Performance Analyzer
- DB2 Query Monitor

DB2 also provides a monitoring tool, EXPLAIN.

**OMEGAMON DB2 Performance Expert**

IBM Tivoli OMEGAMON XE for DB2 Performance Expert on z/OS integrates performance monitoring, reporting, buffer pool analysis, and a performance warehouse function into one tool. It provides a single-system overview that monitors all subsystems and instances across many different platforms in a consistent way.

OMEGAMON DB2 Performance Expert includes the function of OMEGAMON DB2 Performance Monitor (DB2 PM). Features of the tool include:

- Combined information from EXPLAIN and from the DB2 catalog.
- Displays of access paths, indexes, tables, table spaces, plans, packages, DBRMs, host variable definitions, ordering, table access sequences, join sequences, and lock types.
• An immediate "snapshot" view of DB2 for z/OS activities that the online monitor provides. The monitor allows for exception processing while the system is operational.

DB2 Performance Expert has offerings that support DB2 for z/OS on System z, and DB2 for Linux, Unix, and Windows on Microsoft Windows, HP-UX, Sun's Solaris, IBM AIX and Linux).

Related concepts:
“IBM Data Studio” on page 16
“Tools that help you improve query performance” on page 284
“The role of buffer pools in caching data”

Ways to move data efficiently through the system

As data progresses through a DB2 subsystem, it moves from disk to memory and to the user or to applications. You need to tune the system resources and objects such as buffer pools, table spaces, and indexes, that contain data to keep the flow of data efficient.

The role of buffer pools in caching data

Buffer pools are a key element of DB2 performance, and help you to avoid delays when retrieving data.

DB2 can retrieve a page from a buffer pool faster than it can from disk. When data is already in a buffer, an application program avoids the delay of waiting for DB2 to retrieve the data from disk.

You can specify that DB2 uses up to 50 buffer pools that contain 4 KB pages. You can also specify up to 10 buffer pools each that contain 8 KB, 16 KB, and 32 KB pages.

The following figure shows buffer pools with 4 KB and 8 KB pages. The number of pages that a buffer pool contains depends on the size of the buffer pool.

![Buffer pools with 4 KB and 8 KB pages](image)

Figure 45. Buffer pools with 4 KB and 8 KB pages

At any time, pages in a virtual buffer pool can be in-use, updated, or available:
- **In-use pages** are currently being read or updated. The data that they contain is available for use by other applications.

- **Updated pages** contain changed data that is not yet written to disk.

- **Available pages** are ready for use. An incoming page of new data can overwrite available pages.

To avoid disk I/O, you can use updated and available pages that contain data.

When data in the buffer changes, that data must eventually be written back to disk. Because DB2 does not need to write the data to disk right away, the data can remain in the buffer pool for other uses. The data remains in the buffer until DB2 decides to use the space for another page. Until that time, applications can read or change the data without a disk I/O operation.

The key factor that affects the performance of buffer pools is their size. The method that DB2 uses to access buffer pools also affects performance.

**Buffer pool size**

The size of buffer pools is critical to the performance characteristics of an application or a group of applications that access data in those buffer pools.

By tuning your buffer pools, you can improve the response time and throughput for your applications, and provide optimum use of resources. For example, applications that process online transactions are more likely to need large buffer pools because they often must reaccess the same data. In those cases, storing large amounts of data in a buffer pool enables applications to access data more efficiently.

By making buffer pools as large as possible, you can achieve the following benefits:
- Fewer I/O operations result, which means faster access to your data.
- I/O contention is reduced for the most frequently used tables and indexes.
- Sort speed is increased because of the reduction in I/O contention for work files.

You can use the `ALTER BUFFERPOOL` command to change the size and other characteristics of a buffer pool at any time while DB2 is running. Use the `DISPLAY BUFFERPOOL` and `ALTER BUFFERPOOL` commands to gather buffer pool information and change buffer pool sizes.

DB2 Buffer Pool Analyzer for z/OS helps database administrators manage buffer pools more efficiently by providing information about current buffer pool behavior and by using simulation to anticipate future behavior. Using this tool, you can take advantage of these features:
- Collection of data about virtual buffer pool activity
- Comprehensive reporting of the buffer pool activity
- Simulated buffer pool usage
- Reports and simulation results
- Expert analysis that is available through an easy-to-use wizard

DB2 Buffer Pool Analyzer capabilities are included in OMEGAMON DB2 Performance Expert.
Efficient page access

DB2 determines when to use a method called sequential prefetch to read data pages faster. With sequential prefetch, DB2 determines in advance that a set of data pages is about to be used. DB2 then reads the set of pages into a buffer with a single I/O operation. The prefetch method is always used for table space scans and is sometimes used for index scans. Prefetching is processed concurrently with other application I/O operations.

In addition to a predetermined sequential prefetch, DB2 also supports dynamic prefetch. A dynamic prefetch is a more robust and flexible method that is based on sequential detection.

Related concepts:
- How z/OS uses physical and virtual storage (z/OS basic skills)
- What is paging?
- “Buffer pools” on page 44
- Prefetch I/O (DB2 Performance)
- “Tools for performance analysis” on page 269

Related tasks:
- Tuning database buffer pools (DB2 Performance)

Related reference:
- ALTER BUFFERPOOL (DB2) (DB2 Commands)
- DISPLAY BUFFERPOOL (DB2) (DB2 Commands)

The effect of data compression on performance

In many cases, compressing the data in a table space significantly reduces the amount of disk space that is needed to store data. Compressing data can also help improve buffer pool performance. For example, you can store more data in a buffer pool, and DB2 can scan large amounts of data more easily.

With compressed data, performance improvements depend on the SQL workload and the amount of compression. You might see some of the following benefits:

- Higher buffer pool hit ratios. The hit ratio measures how often a page is accessed without requiring an I/O operation.
- Fewer operations in which DB2 accesses a data page.

The compression ratio that you achieve depends on the characteristics of your data. Compression can work well for large table spaces. With small table spaces, the process of compressing data can negate the space savings that compression provides.

Consider these factors when deciding whether to compress data:

- DB2 compresses data one row at a time. If DB2 determines that compressing the row yields no savings, the row is not compressed. The closer that the average row length is to the actual page size, the less efficient compression can be.
- Compressing data costs processing time. Although decompressing data costs less than compressing data, the overall cost depends on the patterns in your data.
If the compression ratio is less than 10%, compression is not beneficial and, therefore, is not recommended. You can use the DSN1COMP utility to determine the probable effectiveness of compressing your data.

You use the COMPRESS clause of the CREATE TABLESPACE and ALTER TABLESPACE statements to compress data in a table space, data in a partition of a partitioned table space, or data in indexes. You cannot compress data in LOB table spaces.

Related concepts:
- Deciding whether to compress data (DB2 Performance)

Related tasks:
- Compressing your data (DB2 Performance)
- Compressing indexes (DB2 Performance)

Related reference:
- DSN1COMP (DB2 Utilities)

How data organization can affect performance

To achieve optimal performance for table spaces and indexes, you need to keep data organized efficiently. The use of space and the organization of data in a table space and the associated indexes sometimes affects performance.

Related tasks:
- Maintaining data organization (DB2 Performance)

Use of free space in data and index storage

An important factor that affects how well your table spaces and indexes perform is the amount of available free space. Free space refers to the amount of space that DB2 leaves free in a table space or index when data is loaded or reorganized.

Freening pages or portions of pages can improve performance, especially for applications that perform high-volume inserts or that update varying-length columns. When you specify a sufficient amount of free space, you trade the amount of used disk space for the performance of certain SQL statements. For example, inserting new rows into free space is faster than splitting index pages.

You use the FREEPAGE and PCTFREE clauses of the CREATE and ALTER TABLESPACE and INDEX statements to set free space values.

Related tasks:
- Reserving free spaces for indexes (DB2 Performance)
- Reserving free space for table spaces (DB2 Performance)

Related reference:
- CREATE TABLESPACE (DB2 SQL)
- ALTER TABLESPACE (DB2 SQL)
- CREATE INDEX (DB2 SQL)
- ALTER INDEX (DB2 SQL)

Guidelines for data reorganization

You must consider several factors before you reorganize your data.
You must run the REORG utility only when you determine that data needs to be reorganized. If application performance is not degraded, you might not need to reorganize data. Even when some statistics indicate that data is becoming unorganized, a REORG utility job is not always required, unless the lack of organization exceeds a specified threshold.

In the following situations, data reorganization is advisable:

**Data is in REORG-pending status**

When table spaces or partitions are in REORG-pending (REORP) status, you cannot select, insert, update, or delete data. You must reorganize table spaces or partitions when REORG-pending status imposes this restriction.

You can use the `DISPLAY DATABASE RESTRICT` command to identify the table spaces and partitions that need to be reorganized.

**Data is in advisory REORG-pending status**

After you change table or index definitions, consider reorganizing data to improve performance. After you change data types or column lengths by using ALTER TABLE statements, DB2 places the table space that contains the modified data in advisory REORG-pending (AREO*) status. The table space is in AREO* status because the existing data is not immediately converted to its new definition. Reorganizing the table space prevents possible performance degradation.

**Recommendation:** When data is in REORG-pending or AREO* status, use the REORG utility with the SCOPE PENDING option to automatically reorganize partitions. With this option, you do not need to first identify which partitions need to be reorganized or to customize the REORG control statement.

**Data is skewed**

When you use partitioned table spaces, you might sometimes find that data is out of balance, or skewed. When data is skewed, performance can be negatively affected because of contention for I/O and other resources. You might also have a situation in which some partitions are approaching their maximum size, and other partitions have excess space.

You can correct the skewed data by redistributing the data across partitions.

**Related information:**

[Redistributing data in partitioned table spaces (DB2 Administration Guide)]

**Data is unorganized or fragmented**

When data becomes unorganized or fragmented, you need to consider reorganizing your table spaces and index spaces.

You need to consider the following situations to evaluate when data reorganization is necessary:

**Unused space**

In simple table spaces, dropped tables use space that is not reclaimed until you reorganize the table space. Consider running REORG if the percentage
of space that is occupied by rows of dropped tables is greater than 10%. The PERCDROP value in the SYSIBM.SYSTABLEPART catalog table identifies this percentage.

**Page gaps**
Indexes can have multiple levels of pages. An index page that contains pairs of keys and identifiers and that points directly to data is called a *leaf page*.

Deleting index keys can result in page gaps within leaf pages. Gaps can also occur when DB2 inserts an index key that does not fit onto a full page. Sometimes DB2 detects sequential inserts and splits the index pages asymmetrically to improve space usage and reduce split processing. You can improve performance even more by choosing the appropriate page size for index pages. If page gaps occur, consider running the REORG utility.

The LEAFNEAR and LEAFFAR columns of SYSIBM.SYSINDEXPART store information about the organization of physical leaf pages by indicating the number of pages that are not in an optimal position.

**I/O activity**
You can determine when I/O activity on a table space might be increasing. A large number (relative to previous values that you received) for the NEARINDREF or the FARINDREF option indicates an increase in I/O activity. Consider a reorganization when the sum of NEARINDREF and FARINDREF values exceeds 10%.

The NEARINDREF and FARINDREF values in the SYSIBM.SYSTABLEPART and SYSIBM.SYSTABLEPART_HIST catalog tables identify the number of reallocated rows.

**Recommendation:** When increased I/O activity occurs, use a non-zero value for the PCTFREE clause of the table space definition. The PCTFREE clause specifies what percentage of each page in a table space or index is left free when data is loaded or reorganized. PCTFREE is a better choice than FREEPAGE.

**Clustering**
You can determine if clustering is becoming degraded. Clustering becomes degraded when the rows of a table are not stored in the same order as the entries of its clustering index. A large value for the FAROFFPOSF option might indicate poor clustering. Reorganizing the table space can improve performance. Although less critical, a large value for the NEAROFFPOSF option can also indicate that reorganization might improve performance.

The FAROFFPOSF and NEAROFFPOSF values in the SYSIBM.SYSINDEXPART and SYSIBM.SYSINDEXPART_HIST catalog tables identify the number of rows that are far from and near to optimal position.

**REORG thresholds**
You can use the RUNSTATS, REORG, REBUILD INDEX, and LOAD utilities to collect statistics that describe the fragmentation of table spaces and indexes. These statistics can help you decide when to run the REORG utility to improve performance or reclaim space.

You can set up your REORG job in accordance with threshold limits that you set for relevant statistics from the catalog. The OFFPOSLIMIT and INDREFLIMIT options specify when to run REORG on table spaces. When a REORG job runs with these options, it queries the catalog for relevant statistics. The REORG job does not occur unless one of the thresholds that
you specify is exceeded. You can also specify the REPORTONLY option to produce a report that tells you whether a REORG job is recommended.

Related tasks:
- Maintaining data organization (DB2 Performance)

Related reference:
- REORG-pending status (DB2 Utilities)
- REORG TABLESPACE (DB2 Utilities)
- REORG INDEX (DB2 Utilities)
- RUNSTATS (DB2 Utilities)
- LOAD (DB2 Utilities)
- SYSIBM.SYSTABLEPART table (DB2 SQL)
- SYSIBM.SYSINDEXPART table (DB2 SQL)

Ways to improve performance for multiple users

Locking ensures the integrity and accuracy of data. However, locking is sometimes too restrictive and can cause slow performance and poor concurrency. Understanding how locking works can help you make good decisions for performance. Locking is critical to performance, and also provides recommendations for promoting concurrency.

DB2 uses locks on user data. The main reason for using locks is to ensure the integrity, or accuracy, of the data. Without locks, one user might be retrieving a specific data item while another user might be changing that data. The result is that the first user retrieves inaccurate data. In the DB2 for z/OS environment, which includes vast amounts of data and large numbers of users and transactions, the prospect of inaccurate data is unacceptable. Therefore, DB2 for z/OS provides comprehensive locking to ensure data integrity.

Despite the importance of data integrity, locking can sometimes be too restrictive. If an application process locks too much data, other users, utilities, and application processes must wait for the locked data. This situation results in poor concurrency. Concurrency is the ability of more than one application process to access the same data at essentially the same time. DB2 for z/OS handles the trade-off between concurrency and data integrity to maximize concurrency without sacrificing the integrity of the data.

Related concepts:
- Lock contention (DB2 Performance)

Related tasks:
- Improving concurrency (DB2 Performance)

Improved performance through the use of locks

DB2 uses locks on various data objects.

Locks can be placed on rows, pages, tables, table space segments, table space partitions, entire table spaces, and databases. When an application acquires a lock, the application “holds” or “owns” the lock.
DB2 uses of the lock modes to determine whether one lock is *compatible* with another. Some lock modes do not exclude all other users. For example, assume that application process A holds a lock on a table space that process B also wants to access. DB2 requests, on behalf of process B, a lock of some particular mode. If the mode of the lock for process A permits the lock requested by process B, the modes of the two locks are said to be compatible. However, if the two locks are not compatible, process B cannot proceed. It must wait until process A releases its lock, and until all other existing incompatible locks are released.

The following lock modes provide different degrees of protection:

**S lock (share)**

The lock owner and any concurrent processes can read, but not change, the locked page or row. Concurrent processes can acquire S or U locks on the page or row or might read data without acquiring a page or row lock.

**U lock (update)**

The lock owner can read, but not change, the locked page or row. Concurrent processes can acquire S-locks or might read data without acquiring a page or row lock, but no concurrent process can acquire a U-lock.

U locks reduce the chance of deadlocks when the lock owner is reading a page or row to determine whether to change it. The owner can start with the U lock and then promote the lock to an X lock to change the page or row.

**X lock (exclusive)**

The lock owner can read or change the locked page or row. A concurrent process cannot acquire S, U, or X locks on the page or row. However, concurrent processes, such as those processes bound with the CURRENTDATA(NO) or ISOLATION(UR) bind options or running with YES specified for the EVALUNC subsystem parameter, can read the data without acquiring a page or row lock.

The following shows whether page locks of any two modes, or row locks of any two modes are compatible. No question of compatibility arises between page and row locks, because a table space cannot use both page and row locks.

**Table 46. Compatibility matrix of page lock and row lock modes**

<table>
<thead>
<tr>
<th>Lock mode</th>
<th>Share (S-lock)</th>
<th>Update (U-lock)</th>
<th>Exclusive (X-lock)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Share (S-lock)</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Update (U-lock)</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Exclusive (X-lock)</td>
<td>No</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

The share, update, and exclusive locks apply to row or page locks. These facts apply only to application processes that acquire an intent lock on the table space and the table, if the table is in a segmented table space.

When a page or row is locked, the table, partition, or table space that contains it is also locked. This *intent lock* indicates the plan that the application process has for accessing the data. In that case, the table, partition, or table space lock has one of the *intent modes*: either IS for *intent share*, IX for *intent exclusive*, or SIX for *share with intent exclusive*. 

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Compatibility for table space locks is slightly more complex than for page and row locks.

Locks are important for maintaining concurrency in the DB2 environment. However, locks might cause several types of contention situations that degrade DB2 performance, including suspension, timeout, and deadlock.

**Suspension**
An application encounters suspension when it requests a lock that is already held by another application process and cannot be shared. The suspended process temporarily stops running. A suspended process resumes when all processes that hold the conflicting lock release them or the requesting process experiences a timeout or deadlock and the process resumes and handles an error condition.

**Timeout**
An application process encounters a timeout when it terminates because of a suspension that exceeds a preset interval. DB2 terminates the process, issues messages, and returns error codes. Commit and rollback operations do not timeout. The STOP DATABASE command, however, can time out, in which case DB2 sends messages to the console. When this happens DB2 retries the STOP DATABASE command as many as 15 times.

**Deadlock**
A deadlock occurs when two or more application processes each hold locks on resources that the others need and without which they cannot proceed. After a preset time interval, DB2 can roll back the current unit of work for one of the processes or request a process to terminate. In that way, DB2 frees the locks and allows the remaining processes to continue.

Although some locking problems can occur, you can avoid system and application locking problems.

The following scenarios illustrate the importance of locks.

**Scenario: Avoidance of the loss of updated data**

Two users, Kathy and Frank, are both trying to access the same DB2 table. Here is what happens:
1. Kathy reads the data value, 100, into a host variable.
2. Frank reads the same column value into a host variable.
3. Kathy adds 10 to the host variable value and saves the new value, 110, in the DB2 table column.
4. Frank adds 20 to the host variable value and saves the new value, 120, in the DB2 table column.

This scenario does not use locking. It shows that the updated value in the column depends on which user commits the data first. If Kathy commits first, the updated column value is 120, and Kathy's update is lost. If Frank commits first, the updated column value is 110, and Frank's update is lost.

The scenario changes if it includes locking. When you read the process below, assume the use of an updatable cursor. Here is what happens:
1. Kathy reads column value 100 into a host variable with the intention of updating the value. DB2 then grants an update lock to Kathy.
2. Frank wants to read the same column value into a host variable with the intention of updating the value. According to the compatibility matrix in the table above, DB2 does not grant Frank an update lock (U-lock) on the DB2 object that contains column value 100. Therefore, Frank must wait to read the column value until Kathy releases the lock.

3. Kathy adds 10 to the host variable value and wants to save the new value, 110, in the DB2 table column. At this point, DB2 changes the U-lock to an exclusive lock (X-lock) on the DB2 object that contains the column value.

4. Kathy commits the change. DB2 then releases the X-lock on the DB2 object that contains the column value. Next, DB2 grants the U-lock to Frank on the same object (unless Frank timed out while waiting for access). The host variable that Frank specified now contains the updated value of 110.

5. Frank adds 20 to the host variable value and wants to save the new value, 130, in the table column. DB2 changes the U-lock to an X-lock on the DB2 object that contains the column value.

6. Frank commits the change. DB2 then releases the X-lock on the DB2 object that contains the column value.

If this scenario did not include updatable cursors, DB2 would grant a share lock (S-lock) to Kathy instead of a U-lock in step 1. DB2 would also grant an S-lock to Frank in step 2. When both Kathy and Frank try to update the column value, they would encounter a deadlock. When a deadlock occurs, DB2 decides whether to roll back Kathy’s work or Frank’s work. A rollback occurs when DB2 reverses a change that an individual application process tried to make. If DB2 rolls back Kathy’s changes, Kathy releases the locks, and Frank can then complete the process. Conversely, if DB2 rolls back Frank’s changes, Frank releases the locks, and Kathy can complete the process.

Application programs can minimize the risk of deadlock situations by using the FOR UPDATE OF clause in the DECLARE CURSOR statement. The program does not actually acquire the U-lock until any other U-locks or X-locks on the data object are released.

**Scenario: Avoidance of read access to uncommitted data**

Two users, Kathy and Frank, are both trying to access the same DB2 table.

1. Kathy updates the value of 100 to 0 in the DB2 table column.
2. Frank reads the updated value of 0 and makes program decisions based on that value.
3. Kathy cancels the process and changes the value of 0 back to 100 for the DB2 table column.

This scenario does not include locks. It shows that Frank made an incorrect program decision. As a result, the business data in the database might be inaccurate.

When this scenario includes locking, this is what happens:

1. Kathy attempts to update the value of 100 to 0 in the table column. DB2 grants an X-lock to Kathy on the DB2 object that contains the column value that requires an update.
2. Frank tries to read the updated column value so that he can make program decisions based on that value. DB2 does not allow Frank to read the updated value.
Frank tries to acquire an S-lock on the DB2 object that currently has the X-lock. Frank must wait until Kathy commits or rolls back the work.

3. Kathy cancels the process and changes the value of 0 back to the original value of 100 for the DB2 table column. DB2 makes the actual change to the data and releases the X-lock for Kathy. DB2 then grants the S-lock to Frank on the DB2 object that contains the column value. Frank then reads the value of 100.

When the scenario includes locks, Frank reads the correct data and can therefore make the correct program decision. As a result, the business data in the database is accurate.

**Scenario: Avoidance of updates between multiple reads within a unit of work**

In this scenario, Kathy wants to read the same data twice. No other program or user can change the data between the two reads.

Assume that Kathy uses the following SQL statement:

```
SELECT * FROM EMP
WHERE SALARY >
  (SELECT AVG(SALARY) FROM EMP);
```

This SQL statement reads the EMP table twice:

1. It calculates the average of the values in the SALARY column of all rows in the table.
2. It finds all rows in the EMP table that have a value in the SALARY column that exceeds the average value.

If Kathy does not lock the data between the two read processes, another user can update the EMP table between the two read processes. This update can lead to an incorrect result for Kathy.

Kathy could use DB2 locks to ensure that no changes to the table occur in between the two read processes. Kathy can choose from these options:

- Using the package or plan isolation level of repeatable read (RR) or using the WITH RR clause in the SQL SELECT statement.
- Locking the table in share or exclusive mode, using one of these statements:
  - LOCK TABLE EMP IN SHARE MODE
  - LOCK TABLE EMP IN EXCLUSIVE MODE

**Related concepts:**

- Concurrency and locks (DB2 Performance)
- Lock modes (DB2 Performance)
- Lock contention (DB2 Performance)
Improved performance through concurrency control

Concurrency control relies on the isolation level and current data options of applications to determine how much to isolate different applications that access the same data from each other. Too much isolation might result in contention, whereas too little isolation might result in the return of non-current data to applications.

The ISOLATION option of an application specifies the degree to which operations are isolated from the possible effects of other operations that act concurrently. The ISOLATION options specify how soon DB2 can release S and U locks on rows or pages. Regardless of the isolation level that you specify, outstanding claims on DB2 objects can inhibit the execution of DB2 utilities or commands.

The CURRENTDATA option of an application specifies whether data currency is required for read-only and ambiguous cursors when the ISOLATION(CS) option is used. This option enables a trade-off between the improved ability of multiple applications to access the same data concurrently and the risk that non-current data might be returned to the application.

The basic recommendation is to bind most applications with the ISOLATION(CS) and CURRENTDATA(NO) options. ISOLATION(CS) typically enables DB2 to release acquired locks as soon as possible. The CURRENTDATA(NO) typically enables DB2 to acquire the fewest number of locks, for better lock avoidance.

DB2 provides the following isolation levels:

Cursor stability (CS)

The ISOLATION (CS) or cursor stability option allows maximum concurrency with data integrity. Under the ISOLATION (CS) option, a transaction holds locks only on its uncommitted changes and on the current row of each of its cursors.

Uncommitted read (UR)

The ISOLATION (UR) or uncommitted read option allows an application to read while acquiring few locks, at the risk of reading uncommitted data. UR isolation applies only to the following read-only operations: SELECT, SELECT INTO, or FETCH from a read-only result table.

Read stability (RS)

The ISOLATION (RS) or read stability option enables an application to read the same pages or rows more than once and prevents updates or deletes to qualifying rows by other processes. However, other applications can insert or update rows that did not satisfy the search condition of the original application.

Repeatable read (RR)

The ISOLATION (RR) or repeatable read option allows the application to read the same pages or rows more than once without allowing any update, insert, or delete operations by other processes. All accessed rows or pages are locked, even if they do not satisfy the predicate. Under the ISOLATION (RR) option, the data that an application references cannot be updated by any other applications before the application reaches a commit point.
DB2 uses and depends on locks because of the requirement for data integrity. However, locks are sometimes the cause of problems with contention, such as deadlocks, timeouts, and suspensions. To minimize these problems and promote concurrency, database designers and application designers can take various actions.

Related tasks:
- Improving concurrency (DB2 Performance)
- Choosing an ISOLATION option (DB2 Performance)
- Designing databases for concurrency (DB2 Performance)
- Specifying the size of locks for a table space (DB2 Performance)
- Programming for concurrency (DB2 Performance)

Related reference:
- BIND and REBIND options for packages and plans (DB2 Commands)

Concurrency recommendations for database designers
Database designers can take certain general actions to promote concurrency without compromising data integrity.

Procedure
To promote concurrency without compromising data integrity, use any of the following approaches:
- Keep like things together in the database.
- Keep unlike things apart from each other in the database.
- Use LOCKSIZE ANY or PAGE as a design default. Consider LOCKSIZE ROW only when applications encounter significant lock contention, including deadlock and timeout.
- Examine small tables, looking for opportunities to improve concurrency by reorganizing data or changing the locking approach.
- Partition secondary indexes to promote partition independence and reduce lock contention.
- Minimize update activity that moves rows across partitions.
- Store fewer rows of data in each data page.

Related tasks:
- Designing databases for concurrency (DB2 Performance)
- Improving concurrency (DB2 Performance)

Concurrency recommendations for application designers
Application designers can take certain general actions to promote concurrency without compromising data integrity.

Procedure
To promote concurrency without compromising data integrity, use any of the following approaches:
- Program applications to access data in the same order.
- Commit work as soon as doing so is practical, to avoid unnecessary lock contention, even in read-only applications.
• Include logic in your application program to retry after a deadlock or timeout to attempt recovery from the contention situation without assistance.
• Bind most applications with the ISOLATION(CS) and CURRENTDATA(NO) options. These options enable DB2 to release locks early and avoid taking locks in many cases.
• Use global transactions, which enables DB2 and other transaction managers to participate in a single transaction and thereby share the same locks and access the same data.

Related tasks:

- Programming for concurrency (DB2 Performance)
- Improving concurrency (DB2 Performance)

Ways to improve query performance

Access paths have a significant impact on DB2 performance. DB2 chooses access paths, but you can use tools to understand how access paths affect performance in certain situations.

An access path is the path that DB2 uses to locate data that is specified in SQL statements. An access path can be indexed or sequential.

Two important factors in the performance of an SQL statement are the amount of time that DB2 uses to determine the access path at run time and the efficiency of the access path. DB2 determines the access path for a statement either when you bind the plan or package that contains the SQL statement or when the SQL statement executes.

The time at which DB2 determines the access path depends on whether the statement is executed statically or dynamically and whether the statement contains input host variables.

The access path that DB2 chooses determines how long the SQL statement takes to run. For example, to execute an SQL query that joins two tables, DB2 has several options. DB2 might make any of the following choices to process those joins:
  • Scan the PARTS table for every row that matches a row in the PRODUCTS table.
  • Scan the PRODUCTS table for every row that matches a row in the PARTS table.
  • Sort both tables in PROD# order; then merge the ordered tables to process the join.

Choosing the best access path for an SQL statement depends on a number of factors. Those factors include the content of any tables that the SQL statement queries and the indexes on those tables.

DB2 also uses extensive statistical information about the database and resource use to make the best access choices.

In addition, the physical organization of data in storage affects how efficiently DB2 can process a query.

Related tasks:

- Writing efficient SQL queries (DB2 Performance)
- Maintaining data organization and statistics (DB2 Performance)
Tools that help you improve query performance

Several performance analysis tools can help you improve SQL performance.

These tools include:

- IBM Data Studio, a workstation tool that includes tools for analyzing EXPLAIN output
- OMEGAMON DB2 Performance Expert, a tool which can help you with SQL performance
- EXPLAIN, a DB2 monitoring tool
- DB2 SQL Performance Analyzer, a tool that provides you with an extensive analysis of SQL queries without executing them.

IBM Data Studio

IBM Data Studio is a set of tools that help you to perform a wide range of database management activities, including query performance tuning.

DB2 EXPLAIN

DB2 EXPLAIN is a monitoring tool that produces the following information:

- A plan, package, or SQL statement when it is bound. The output appears in a table that you create, called a plan table.
- The estimated cost of executing a SELECT, INSERT, UPDATE, or DELETE statement. The output appears in a table that you create, called a statement table.
- User-defined functions that are referred to in an SQL statement, including the specific function name and schema. The output appears in a table that you create, called a function table.

DB2 SQL Performance Analyzer

DB2 SQL Performance Analyzer provides you with an extensive analysis of SQL queries without executing them. This analysis aids you in tuning your queries to achieve maximum performance. DB2 SQL Performance Analyzer helps you reduce the escalating costs of database queries by estimating their cost before execution.

Using DB2 SQL Performance Analyzer helps you:

- Estimate how long queries are likely to take
- Prevent queries from running too long
- Analyze new access paths
- Code queries efficiently using hints and tips that the tool provides

Related concepts:

- Investigating SQL performance by using EXPLAIN (DB2 Performance)
- Interpreting data access by using EXPLAIN (DB2 Performance)
- "IBM Data Studio" on page 16

Related tasks:

- Investigating access path problems (DB2 Performance)

Related reference:

- Facilities and tools for DB2 performance monitoring (DB2 Performance)
Query and application performance analysis

Performance analysis for queries and applications begins by answering some basic questions.

To improve query performance and application performance, you need to answer some basic questions to determine how well your queries and applications perform.

Are the catalog statistics up-to-date?

Keeping object statistics current is an important activity. DB2 needs those statistics to choose an optimal access path to data.

The RUNSTATS utility collects statistics about DB2 objects. These statistics are stored in the DB2 catalog. DB2 uses this information during the bind process to choose an access path. If you do not use RUNSTATS and then rebind your packages or plans, DB2 does not have the information that it needs to choose the most efficient access path. Lack of statistical information can result in unnecessary I/O operations and excessive processor consumption.

Recommendation: Run RUNSTATS at least once for each table and its associated indexes. How often you rerun the utility depends on how current you need the catalog data to be. If data characteristics of a table vary significantly over time, you must keep the catalog current with those changes. RUNSTATS is most beneficial when you run it on the following objects:

- Table spaces that contain frequently accessed tables
- Tables that are involved in sort operations
- Tables with many rows
- Tables that are queried by SELECT statements that include many search arguments
- Tables with indexes

Related information:

- Investigating access path problems (DB2 Performance)
- Maintaining statistics in the catalog (DB2 Performance)
- RUNSTATS (DB2 Utilities)

Does the estimated filter factor match the filtering at run time?

DB2 uses estimated filter factors to choose efficient access paths. When the estimated and actual filtering differs, DB2 might choose an access path that performs poorly because the cost estimates are inaccurate. When the estimated and actual factors differ, you might collect more statistics or reoptimize statements at run time to improve access path selection.

Related information:

- Predicate filter factors (DB2 Performance)
Is the query coded as simply and efficiently as possible?

Ensure that the SQL query is coded as simply and efficiently as possible. Make sure that:
- Unused columns are not selected.
- No unneeded ORDER BY or GROUP BY clauses are in the query.
- No unneeded predicates are in the query.

Related information:
- Writing efficient SQL queries (DB2 Performance)
- Coding SQL statements to avoid unnecessary processing (DB2 Performance)
- Using predicates efficiently (DB2 Performance)

Are you using materialized query tables?

Define materialized query tables to improve the performance of dynamic queries that operate on large amounts of data and that involve multiple joins. DB2 generates the results of all or parts of the queries in advance and stores the results in materialized query tables. DB2 determines when using precomputed results is likely to optimize the performance of dynamic queries.

Related information:
- Using materialized query tables to improve SQL performance (DB2 Performance)

Is access through an index?

An index provides efficient access to data. DB2 uses different types of index scans, each of which affects performance differently. Sometimes DB2 can avoid a sort by using an index.

If a query is satisfied by using only the index, DB2 uses a method called index-only access.
- For a SELECT operation, if all the columns that are needed for the query can be found in the index, DB2 does not need to access the table.
- For an UPDATE or DELETE operation, an index-only scan can be performed to search for qualifying rows to update or delete. After the qualifying rows are identified, DB2 must retrieve those rows from the table space before they are updated or deleted.

Other types of index scans that DB2 might use are matching or nonmatching index scans.
- In a matching index scan, the query uses predicates that match the index columns. Predicates provide filtering; DB2 needs to access only specific index and data pages.
- In a nonmatching index scan, DB2 reads all index keys and their rows of data. This type of scan is less likely to provide an efficient access path than a matching index scan.
In addition to providing selective access to data, indexes can also order data, and sometimes they eliminate the need for sorting. You can avoid some sorts if index keys are in the order that is needed by ORDER BY, GROUP BY, a join operation, or DISTINCT in an aggregate function. When you want to prevent a sort, consider creating an index on the columns that are necessary to provide that ordering.

Related information:
- Index access (ACCESSTYPE is 'T', 'IN', 'II', 'N', 'MX', or 'DX') (DB2 Performance)
- Indexes on table columns (DB2 Administration Guide)

Is a table space scan used?

When index access is not possible, DB2 uses a table space scan. DB2 typically uses the sequential prefetch method to scan table spaces.

Example: Assume that table T has no index on column C1. DB2 uses a table space scan in the following example:

```
SELECT * FROM T WHERE C1 = VALUE;
```

In this case, every row in table T must be examined to determine if the value of C1 matches the given value.

A table space scan on a partitioned table space can be more efficient than a scan on a nonpartitioned table space. DB2 can take advantage of the partitions by limiting the scan of data in a partitioned table space to one or more partitions.

Related information:
- Table space scan access (ACCESSTYPE='R' and PREFETCH='S') (DB2 Performance)
- Sequential prefetch (PREFETCH='S') (DB2 Performance)

Are sorts performed?

Minimizing the need for DB2 to use sorts to process a query can result in better performance. In general, try to create indexes that match the predicates in your queries before trying to avoid sorts in your queries.

Related information:
- Sorts of data (DB2 Performance)
- Sort access (DB2 Performance)

Is data accessed or processed in parallel?

Parallel processing applies to read-only queries. DB2 can use parallel I/O and CPU operations to improve performance. For example, DB2 can use multiple parallel operations to access data from a table or index. The response time for data-intensive or processor-intensive queries can be reduced.

Related information:
Are host variables used?

When you specify the bind option REOPT(VARS), DB2 determines the access paths at both bind time and run time for statements that contain one or more host variables, parameter markers, or special registers. At run time, DB2 uses the values in those variables to determine access paths.

DB2 spends extra time determining the access path for statements at run time. But if DB2 finds a better access path using the variable values, you might see an overall performance improvement.

For static SQL applications with host variables, if you specify REOPT(VARS), DB2 determines the access path at bind time and again at run time, using the values of input variables.

For static SQL applications with no host variables, DB2 determines the access path when you bind the plan or package. This situation yields the best performance because the access path is already determined when the program runs.

For applications that contain dynamic SQL statements with host variables, using REOPT(VARS) is the recommended approach for binding.

Related information:
Reoptimizing SQL statements at run time (DB2 Performance)

Are dynamic SQL statements used?

For dynamic SQL statements, DB2 determines the access path at run time, when the statement is prepared.

When an application performs a commit operation, it must issue another PREPARE statement if that SQL statement is to be executed again. For a SELECT statement, the ability to declare a cursor WITH HOLD provides some relief but requires that the cursor be open at the commit point. Using the WITH HOLD option also causes some locks to be held for any objects that the prepared statement depends on. Also, the WITH HOLD option offers no relief for SQL statements that are not SELECT statements.

You can use the dynamic statement cache to decrease the number of times that those dynamic statements must be prepared. Using the dynamic statement cache is useful when you execute the same SQL statement often.

DB2 can save prepared dynamic statements in a cache. The cache is a DB2-wide cache that all application processes can use to store and retrieve prepared dynamic statements. After an SQL statement is prepared and is automatically stored in the cache, subsequent prepare requests for that same SQL statement can use the statement in the cache to avoid the costly preparation process. Different threads, plans, or packages can share cached statements.

The SELECT, UPDATE, INSERT, and DELETE statements are eligible for caching.

Related information:
Held and non-held cursors (DB2 Application programming and SQL)
Using EXPLAIN to understand the access path

You can use the EXPLAIN statement to determine the access paths for the SELECT parts of your statements.

This information describes what EXPLAIN provides and how you can obtain information from EXPLAIN. The information in the plan table can help you when you need to perform the following tasks:

- Determine the access path that DB2 chooses for a query
- Design databases, indexes, and application programs
- Determine when to rebind an application

For each access to a single table, EXPLAIN indicates whether DB2 uses index access or a table space scan. For indexes, EXPLAIN indicates how many indexes and index columns are used and what I/O methods are used to read the pages. For joins of tables, EXPLAIN indicates the join method and type, the order in which DB2 joins the tables, and the occasions when and reasons why it sorts any rows.

The following steps summarize how to obtain information from EXPLAIN:

1. Create the plan table.
   Before you can use EXPLAIN, you must create a plan table to hold the results of EXPLAIN.

2. Populate the plan table.
   You can populate the plan table by executing the SQL statement EXPLAIN. You can also populate a plan table when you bind or rebind a plan or package by specifying the option EXPLAIN(YES). EXPLAIN obtains information about the access paths for all explainable SQL statements in a package or in the DBRMs of a plan.

3. Select information from the plan table.
   Several processes can insert rows into the same plan table. To understand access paths, you must retrieve the rows for a particular query in an appropriate order.

EXPLAIN helps you answer questions about query performance; the answers give you the information that you need to make performance improvements. EXPLAIN indicates whether DB2 used an index to access data, whether sorts were performed, whether parallel processing was used, and so on.
As you gain experience working with DB2, you can use the plan table to give optimization hints to DB2 that influence access path selection.

Note: Although EXPLAIN is useful for obtaining access path information, it requires a great amount of background knowledge about DB2. However, analysis tools are available, such as the access plan graph feature of IBM Data Studio, that can assist you with access path analysis.

Related concepts:
- “Tools that help you improve query performance” on page 284
- Investigating SQL performance by using EXPLAIN (DB2 Performance)
- Interpreting data access by using EXPLAIN (DB2 Performance)

Related tasks:
- Investigating access path problems (DB2 Performance)
- Generating visual representations of access plans (IBM Data Studio)

Related reference:
- EXPLAIN tables (DB2 Performance)
- EXPLAIN (DB2 SQL)
- EXPLAIN bind option (DB2 Commands)
- IBM Data Studio product overview (IBM Data Studio)

Related information:
- Tuning SQL with Optim Query Tuner, Part 1: Understanding access paths (IBM developerWorks)

Questions for investigating data access
You can focus your investigation of access paths that DB2 uses to process SQL statements by using the PLAN_TABLE data to answer certain questions.

Tip: You can use query optimization tools, such as IBM Data Studio and InfoSphere Optim Query Workload Tuner, to capture EXPLAIN information and generate automated recommendations for how to improve the performance of your queries. For more information about using these tools, see Using IBM Data Studio to tune single SQL statements (IBM Data Studio). For example, these products include a feature called Visual Explain that enables you to create visual diagrams of the access paths for your SQL statements. To learn more about using this feature, see Generating visual representations of access plans (IBM Data Studio).

However, if you do analyze PLAN_TABLE data directly, you can use the following questions to guide your initial analysis of the access paths:

How are indexes used to access the data?
The ACCESSTYPE and MATCHOLS values contain information about the use of indexes in an access path.

1. Is an index used? For information about interpreting index access, see Index access (ACCESSTYPE is ‘I’, ‘IN’, ‘I1’, ‘N’, ‘MX’, or ‘DX’) (DB2 Performance)

2. How many indexes are used? For information about interpreting access through multiple indexes, see Multiple index access (ACCESSTYPE=’M’, ‘MX’, ‘MI’, ‘MU’, ‘DX’, ‘DI’, or ‘DU’) (DB2 Performance)
3. How many index columns are used in matching? For more information about finding the number of matching index columns, see [Matching index scan (MATCHCOLS>0) (DB2 Performance)] and [The number of index columns used for matching (MATCHCOLS=n) (DB2 Performance)]

4. Is the query satisfied by the index alone? For more information about analyzing index-only access, see [Index-only access (INDEXONLY='Y') (DB2 Performance)]

5. How many index screening columns are used? For more information about analyzing index screening, see [Index screening (DB2 Performance)]

**Is direct row access used?**

Direct row access can only be used only when the table contains a column of the ROWID data type. For information about direct row access, see [Direct row access (PRIMARY_ACCESSTYPE='D') (DB2 Performance)] and "ROWID data type" on page 212.

**What possibly costly operations are used?**

1. Is a view or nested table expression materialized? For more information about analyzing materialization, see [View and nested table expression access (DB2 Performance)]

2. Was a scan limited to certain partitions? For information about analyzing the use of page-range screening, see [PREFETCH='D', 'S', 'L', or 'U'] (DB2 Performance)

3. What prefetch type is expected? For information about analyzing the use of prefetch, see [PREFETCH='D', 'S', 'L', or 'U'] (DB2 Performance)

4. Is data accessed or processed in parallel? For information about analyzing the use of parallelism in the access path, see [PARALLELISM_MODE='I', or 'C'] (DB2 Performance)

5. Is data sorted? For information about analyzing the use of sort operations, see [Sort access (DB2 Performance)]

6. Is a subquery transformed to a join? For information about analyzing subquery access, see [Subquery access (DB2 Performance)]

7. When are aggregate functions evaluated? For information about analyzing when DB2 evaluates aggregate functions, see [Aggregate function access (COLUMN_FN_EVAL) (DB2 Performance)]

8. Is a complex trigger WHEN clause used? For information about identifying the use of triggers in a WHEN clause, see [Complex trigger WHEN clause access (QBLOCKTYPE='TRIGGR') (DB2 Performance)]

**Related concepts:**

- [Interpreting data access by using EXPLAIN (DB2 Performance)]

**Related tasks:**

- [Generating visual representations of access plans (IBM Data Studio)]

**Related reference:**

- [PLAN_TABLE (DB2 Performance)]

- [EXPLAIN (DB2 SQL)]

**Hash access paths**

Hash access paths allow DB2 to directly access a single row in a table and avoid scanning the table or index.
DB2 can generate a hash access path from the equal predicate of an SQL statement that fetches only a single row of a table. This access method is faster and more efficient than scanning the table or the index.

Hash access can reduce the query access time and the CPU load for queries that require access to a single row. However, tables that are enabled for hash access might require as much as twice the amount of disk space as tables that are not organized for hash access.

You can enable hash access on tables when you create new table spaces. You can also alter existing tables to enable hash access. However, hash access is available only on universal table spaces that are partitioned by growth or partitioned by range. You can enable hash access on tables that have indexes, but DB2 does not allow hash access on tables on which clustering is enabled.

Related concepts:
- Hash access (ACCESTYPE='H', 'HN', or 'MH') (DB2 Performance)
- “DB2 hash spaces” on page 41

Related tasks:
- Organizing tables by hash for fast access to individual rows (DB2 Performance)
- Creating tables that use hash organization (DB2 Administration Guide)
- Altering tables to enable hash access (DB2 Administration Guide)
- Monitoring hash access (DB2 Performance)
- Managing space and page size for hash-organized tables (DB2 Performance)

Related information:
- Hash access (DB2 10 for z/OS Performance Topics)
- Hash access (DB2 10 for z/OS Technical Overview)
Chapter 10. Management of DB2 operations

Management of a DB2 subsystem on a daily basis requires performing a wide range of tasks. For example, you need to manage authorizations and be prepared to recover from any potential errors or problems.

When you manage a DB2 environment on a daily basis, you need to issue DB2 commands, run DB2 utilities, manage authorizations, and be prepared to recover from potential errors or problems. In addition, you probably want to take advantage of the high availability capabilities that are related to DB2, including the following capabilities:

- You can bind application plans and packages online. By using packages, you can change and rebind smaller units. Using package versions permits binding while the applications continue to run.
- You can define and change databases and authorizations online.
- You can change buffer pool sizes online.
- You can use utilities to reorganize indexes, table spaces, or partitions of indexes or table spaces.
- You can use the data sharing functions of DB2, which enable several DB2 subsystems to process applications on shared data. Although the different subsystems share data, they appear as a single DB2 subsystem to users. Applications can be rerouted to avoid outages if one of the subsystems must be taken down for maintenance.

Several management tools are available to help you easily perform many of the tasks that are associated with daily operations of a DB2 subsystem.

Tools that help you manage DB2

DB2 provides a variety of tools that simplify the tasks that you need to do to manage DB2.

IBM Data Studio

IBM Data Studio is a set of powerful Information Management tools that help you manage enterprise data, databases, and data-driven applications.

IBM Data Studio includes the following tools:
- IBM InfoSphere Data Architect
- Optim Database Relationship Analyzer
- IBM Data Studio
- IBM Optim pureQuery Runtime
- IBM Data Studio Administrator
- IBM DB2 Performance Expert
- IBM Database Encryption Expert
- DB2 High Performance Unload

IBM Data Studio can help you perform a wide range of daily activities. You can use IBM Data Studio to manage DB2 databases on different operating systems.
Database administration

You can use IBM Data Studio to administer DB2 instances, DB2 for z/OS subsystems, databases, and database objects from workstation computers. You can also run utilities that reorganize or load your data in your existing DB2 for z/OS databases.

Application development and deployment

You can use IBM Data Studio for the following application development tasks:
• Developing pureQuery applications in a Java project
• Creating, testing, debugging, and deploying routines, such as stored procedures and user-defined functions
• Creating, editing, and running SQL queries
• Connecting to data sources and browsing data objects and their properties
• Creating and altering data objects

Query analysis and performance optimization

IBM Data Studio provides query serviceability and tuning capabilities from workstation computers for queries that run in DB2 for z/OS environments. You can use IBM Data Studio to for the following query optimization tasks:
• View query activity and identify problematic queries.
• Get tuning recommendations for statistics that could improve query performance.
• Graph the access path for a query.
• Graphically generate optimization hints.
• Generate reports with information about tables and predicates that are associated with a particular query.
• View the values of subsystem parameters.

Related reference:

IBM Data Studio product documentation (IBM Data Studio, IBM Optim Database Administrator, IBM infoSphere Data Architect)

Related information:

IBM Data Studio (product home page)
Data Studio and InfoSphere Optim pureQuery Runtime (IBM developerWorks)

DB2 Administration Tool

The DB2 Administration Tool simplifies many of the administrative tasks that are required to maintain your DB2 subsystem.

You can use this tool to perform the following tasks:
• Manage your DB2 environments efficiently with a comprehensive set of functions
• Display and interpret objects in the DB2 catalog and perform catalog administration tasks
• Change and update presented data quickly and easily
• Use alter and migrate functions
DB2 Interactive

DB2 for z/OS DB2 provides Interactive System Productivity Facility (ISPF) panels that you can use to perform most DB2 tasks interactively.

The DB2 panels make up a DB2 facility called DB2 Interactive (DB2I). You can also use command-line processing to work with DB2 Interactive.

Related tasks:

- Submitting work by using DB2I (DB2 Administration Guide)

DB2 command line processor

You can use the command line processor to issue SQL statements, bind DBRMs that are stored in HFS files, and call stored procedures.

The command line processor on DB2 for z/OS is a Java application that runs under UNIX System Services. The command line processor automatically directs output to the standard output device, and notifies the user of successful or unsuccessful commands.

Related concepts:

- Command line processor (DB2 Commands)

Related tasks:

- Running stored procedures from the command line processor (DB2 Application programming and SQL)

Common ways to interact with DB2 for z/OS

The z/OS operating system is ideal for processing batch jobs, workloads that run in the background with little or no human interaction. However, z/OS is as much an interactive operating system as it is a batch processing system.

How can I work interactively with DB2 for z/OS?

You can use the following facilities to work with DB2 for z/OS through direct interaction, such as commands and menu style interfaces:

Time Sharing Option/Extensions (TSO)

You can use TSO/E to log on to z/OS and interactively share the resources of the mainframe. TSO also provides a limited set of basic commands. Using this set is sometimes called using TSO in its native mode.

Learning resources:

- What is TSO? (z/OS basic skills)
- TSO/E Primer
- TSO/E (ABCs of z/OS System Programming)
- z/OS TSO/E

SQL Processor Using File Input (SPUFI)

You can use SPUFI to issue SQL statements interactively from TSO/E session.
Learning resources:

Executing SQL by using SPUFI (DB2 Application programming and SQL)

Interactive System Productivity Facility (ISPF)

ISPF is a menu-driven interface for user interaction with a z/OS system. The ISPF environment is executed from TSO in native mode. ISPF provides utilities, an editor, and ISPF applications to the user. When permitted by various security controls, you can use ISPF to access most z/OS system functions. TSO/ISPF serves as both a system management interface and a development interface for traditional z/OS programming.

Learning resources:

What is ISPF? (z/OS basic skills)
ISPF (ABCs of z/OS System Programming)
z/OS ISPF

How can I submit and monitor batch work in DB2 for z/OS?

You can use the following facilities to process batch jobs, to start system tasks, and to check the output of jobs and tasks that you submitted to the system:

Job control language (JCL)
You can use JCL to tell the system what program to run, followed by a description of program inputs and outputs. Basic JCL contains three types of statements: JOB, EXEC, and DD. A job can contain several EXEC statements (steps) and each step might have several DD statements. JCL provides a wide range of parameters and controls, but you might use only a subset most of the time.

Learning resources:

What is JCL? (z/OS basic skills)
JCL (ABCs of z/OS System Programming)
JCL exercises (Introduction to the New Mainframe: z/OS Basics)
Reusable JCL collection (z/OS basic skills)

Spool Display and Search Facility (SDSF)
You can use the SDSF utility to monitor, control, and view the output of jobs in the system. After you submit a job, you can use SDSF to review the output for successful completion or to review and correct JCL errors.

Learning resources:

What is SDSF? (z/OS basic skills)
SDSF (ABCs of z/OS System Programming)
SDSF exercises (Introduction to the New Mainframe: z/OS Basics)

Related concepts:

z/OS basic skills information center

Related reference:

Introduction to the New Mainframe: z/OS Basics (IBM Redbooks)
DB2 commands

You can use commands to perform the tasks that are required to control and maintain your DB2 subsystem.

You can enter commands at a terminal, a z/OS console, or through an APF-authorized program or application that uses the instrumentation facility interface (IFI).

To enter a DB2 command from an authorized z/OS console, use a subsystem command prefix (composed of one to 8 characters) at the beginning of the command. The default subsystem command prefix is -DSN1, which you can change when you install or migrate DB2.

Example: The following command starts the DB2 subsystem that is associated with the command prefix -DSN1:
-DSN1 START DB2

In addition to DB2 commands, you might need to use other types of commands, which fall into the following categories:

CICS commands
Control CICS connections. You can use these commands to start and stop connections to DB2 and display activity on the connections.

IMS commands
Control IMS connections. You can use these commands to start and stop connections to DB2 and display activity on the connections.

TSO commands
You can use these commands to perform TSO tasks

IRLM commands
You can use these commands to start, stop, and change the internal resource lock manager (IRLM).

Related concepts:
- Where DB2 commands are entered (DB2 Administration Guide)
- DB2 command parsing (DB2 Commands)

Related information:
- DB2 and related commands (DB2 Commands)
- Types of commands (DB2 Commands)

DB2 utilities

IBM DB2 utilities are tools that help maintain data in your DB2 for z/OS databases. Generally, you use DB2 utilities to perform large-scale operations across one or more table spaces.

For example, you can use utilities to do the following maintenance tasks:
- Make copies of your data, which can later be used as a basis for recovery.
- Recover data, either to the current time or some point in the past.
Load thousands or even many millions of rows into your database.

Reorganize data so that space is used in the most efficient way possible.

Various independent software vendors (ISVs) also provide utilities and tools that you can use to maintain your DB2 databases. However, this topic describes only those DB2 utilities that are provided by IBM.

Objectives:

This topic guides you through the basic concepts that you need to understand before you start to use IBM DB2 utilities.

Before you read this topic, you should have a basic understanding of DB2 objects, such as table spaces, partitions, indexes, and LOBs. You should also have a basic understanding of relationships between those objects, such as auxiliary and referential relationships.

When you finish reading this topic and the related information that is referred to in this topic, you should know the following information:

- Which utilities you are likely to use in your job
- How to code a utility job by using JCL and submit it for processing
- How to use the LISTDEF, OPTIONS, and TEMPLATE utilities to simplify your utility jobs
- How to monitor, stop, and restart utility jobs

Where do I get DB2 utilities?

Some utilities are provided with DB2 for z/OS. Other utilities are sold as a separate product called the DB2 Utilities Suite. For a list of utilities for each of these categories, see [DB2 utilities packaging (DB2 Utilities)]. Your shop might also use utilities that are provided by an ISV. Ask your system programmer which utilities are installed in your shop.

Types of utilities

There are two types of utilities:

- Online utilities, which require DB2 to be up and running.
- Stand-alone utilities, which run independently of DB2.

See [Types of DB2 utilities (DB2 Utilities)] for more information about online and stand-alone utilities.

Commonly used utilities

The following tables list some of the commonly used utilities and a brief description of what they do. Use these tables to help you determine the utilities that you might use in your job.

The following table lists the utilities that you can use to load and unload data and to check data structures.

<table>
<thead>
<tr>
<th>Utility</th>
<th>What the utility does</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHECK DATA</td>
<td>Checks table spaces for violations of referential and table check constraints. Also, checks for consistency between a base table space and the corresponding LOB or XML table spaces.</td>
</tr>
<tr>
<td>Utility</td>
<td>What the utility does</td>
</tr>
<tr>
<td>---------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>CHECK INDEX</td>
<td>Checks whether indexes are consistent with the data that they index.</td>
</tr>
<tr>
<td>CHECK LOB</td>
<td>Identifies any structural defects in a LOB table space and any invalid LOB values.</td>
</tr>
<tr>
<td>EXEC SQL</td>
<td>Declares cursors and executes dynamic SQL statements. This utility is typically used as part of the DB2 cross-loader function. The <em>cross-loader function</em> transfers data from one location to another location or from one table to another table at the same location with a single LOAD job.</td>
</tr>
<tr>
<td>LOAD</td>
<td>Loads data into one or more tables of a table space.</td>
</tr>
<tr>
<td>UNLOAD</td>
<td>Unloads data from table spaces or image copies.</td>
</tr>
</tbody>
</table>

The following table lists the utilities that are used to maintain the data for optimal query performance and storage.

<table>
<thead>
<tr>
<th>Utility</th>
<th>What the utility does</th>
</tr>
</thead>
<tbody>
<tr>
<td>REORG INDEX</td>
<td>Reorganizes an index space to improve access performance and reclaim fragmented space.</td>
</tr>
<tr>
<td>REORG TABLESPACE</td>
<td>Reorganizes a table space or partitions to improve access performance and to reclaim fragmented space. REORG is also used to materialize pending metadata changes.</td>
</tr>
<tr>
<td>RUNSTATS</td>
<td>Gathers statistics for table spaces, indexes, and partitions and records these statistics in the DB2 catalog. DB2 uses these statistics to select the most efficient access paths for queries.</td>
</tr>
</tbody>
</table>

The following table lists utilities that are used for recovery and planning for recovery.

<table>
<thead>
<tr>
<th>Utility</th>
<th>What the utility does</th>
</tr>
</thead>
<tbody>
<tr>
<td>COPY</td>
<td>Copies table spaces, index spaces, partitions, and data sets to be used for recovery. These copies are called <em>image copies</em>. Certain other utilities can also take copies while they run. For example, LOAD can take a copy while it loads data. These copies are called <em>inline image copies</em>.</td>
</tr>
<tr>
<td>COPYTOCOPY</td>
<td>Copies an image copy or inline image copy.</td>
</tr>
<tr>
<td>MERGECOPY</td>
<td>Merges image copies or inline image copies.</td>
</tr>
<tr>
<td>QUIESCE</td>
<td>Establishes a quiesce point for table spaces, partitions, and table space sets. A <em>quiesce point</em> is a point at which data is consistent across the specified objects and can later be used as a recovery point. <em>(A <em>table space set</em> is a set of related objects that should logically be recovered together, for example a base table space and its LOB table spaces.)</em></td>
</tr>
<tr>
<td>RECOVER</td>
<td>Recovers data to the current state or to a previous point in time by restoring a copy and then applying log records.</td>
</tr>
<tr>
<td>REPORT</td>
<td>Provides information about table spaces, tables, and indexes that is useful for recovery. For example, REPORT provides the names of related table spaces that should logically be recovered to the same point in time.</td>
</tr>
</tbody>
</table>

The preceding tables list only a subset of the utilities that IBM provides. IBM also provides more advanced utilities, such as those that are used to backup or restore...
the entire system or repair damaged data or structures. For a complete list of utilities, see DB2 online utilities (DB2 Utilities) and DB2 stand-alone utilities (DB2 Utilities).

**How do I run a utility?**

The process for running a utility depends on whether you are running an online utility or a stand-alone utility.

**Online utilities**

Several methods are available for running an online utility. Most likely, you will create a job control language (JCL) job to run a utility. You can also use the DSNUTILU stored procedure to run a utility from your application program, or you can use the DB2 Utilities panel (in DB2I) or the DSNU CLIST command to generate the JCL.

Prior to running an online utility, you should be proficient with the following concepts:

- **JCL**
  - If you will use JCL to run utilities and need to learn more about it, use the following resources:
    - For a brief overview of JCL, see What is JCL? (z/OS basic skills).  
    - For a more extensive introduction to JCL that includes exercises for coding JCL, submitting a job, and viewing the result, see Using Job Control Language and System Display and Search Facility (Introduction to the New Mainframe: z/OS Basics).
    - To practice using JCL, complete the exercises in Reusable JCL collection (z/OS basic skills).
    - For in-depth JCL training, take the course "z/OS Job Control Language and Utilities." Go to IBM Training Search and search for this course.

- **Utility control statements**
  - After you master JCL, you should understand how to write a utility control statement. A utility control statement specifies the utility name, the target object or objects, and any other options for the utility. For example, the following utility control statement is for the CHECK DATA utility:
    ```sql
    CHECK DATA TABLESPACE DBIQUQ01.TPIQUQ01 SCOPE ALL AUXERROR INVALIDATE
    ```

The options that are available for each utility are listed in the syntax diagram in the documentation for that utility. For example, the syntax diagram for the CHECK DATA utility is in Syntax and options of the CHECK DATA control statement (DB2 Utilities). If you are not familiar with syntax diagrams, read How to read syntax diagrams.

For detailed rules for writing utility control statements, such as how you continue statements across lines and which encoding schemes are acceptable, read Utility control statements (DB2 Utilities).

Where you create the utility statement depends on the procedure that you use to invoke the utility. For example, if you use JCL, the utility statement is specified in the SYSIN DD statement. If you use the DSNUTILU procedure, the utility statement gets passed as a parameter. If you use the DB2 Utilities panel or the DSNU CLIST
command, you can put this utility statement in a data set or member. See Invoking DB2 online utilities (DB2 Utilities) for detailed instructions.

Data sets
Because many utilities require input data sets or work data sets or allocate output data sets, you should understand how to allocate and use data sets.

Which data sets are required depends on the utility and the options that you specify. For example, suppose you request that the COPY utility take a primary and backup copy at the local site and a primary and backup copy at the recovery site. In this case, COPY allocates four data sets, one for each image copy, and you must tell COPY the parameters to use for these output data sets. For details about which data sets are required for each utility, see the documentation for the utility. For example, the data sets that are required by COPY are described in Data sets that COPY uses (DB2 Utilities) uses.

DD statements, DD names, and DD statement parameters
- For information about DD statements and DD names (also sometimes called ddnames), see JCL statements: What does the DD statement do? (z/OS basic skills) and JCL DD statement: ddnames that are reserved for specific uses (z/OS basic skills).
- For information about basic DD statement parameters, see JCL DD statements: Positional and frequently used parameters (z/OS basic skills).

Templates
DB2 online utilities provide a TEMPLATE control statement, which you can use instead of JCL DD statements to define data sets. After a template is defined, its name is passed to a utility by using the same keywords as a DD name. For example, in the following statement, MYCOPY can refer to either a JCL DD name or a template name:

COPY TABLESPACE A.B COPYDDN MYCOPY

See “LISTDEF, OPTIONS, and TEMPLATE utilities” on page 302 for more information about templates.

For complete instructions for running online utilities, see Invoking DB2 online utilities (DB2 Utilities).

Stand-alone utilities
To run a stand-alone utility, you must use JCL. You specify the utility name on the EXEC statement. For certain utilities, you also specify the utility options on the EXEC statement. For other utilities, you specify the options in a utility control statement in an in-stream data set.

Important: Most stand-alone utilities are intended for advanced DB2 users. Be sure that you understand the effects of running a stand-alone utility before you run one.

For the complete instructions for running a stand-alone utility, see Invoking stand-alone utilities (DB2 Utilities).

Required authorizations
For both online and stand-alone utilities, you must have the correct...
authorization to run them. The documentation for each utility describes the authorization that is required for that utility. They are not all the same. For more information about general authorization for utilities, see Privileges and authorization IDs for DB2 utilities (DB2 Utilities).

LISTDEF, OPTIONS, and TEMPLATE utilities

The LISTDEF, OPTIONS, and TEMPLATE utilities are different from the other utilities in that they do not perform any database administration function. Instead, they provide facilities to the other utilities.

- The LISTDEF utility provides a facility for producing lists of objects on which to operate.
- The OPTIONS utility provides a facility for globally setting options that apply to the entire job step.
- The TEMPLATE utility provides a facility for allocating data sets.

LISTDEF

You can use the LISTDEF utility to create a reusable list of objects. For example, suppose that you have a group of objects that you want to regularly copy, quiesce, or perform any other utility task on. You can use the LISTDEF utility to create a list of those objects. You specify the object names and then give the list a name. For example, the following statement defines a list called MYLIST, which includes three objects:

```
LISTDEF MYLIST INCLUDE TABLESPACE A
       INCLUDE TABLESPACE B
       INCLUDE TABLESPACES TABLE C
```

Your lists will likely include many more objects. If the objects have a similar naming pattern, you can use wildcard characters for portions of the object names.

After you define the list, you can then reference that list in subsequent utility control statements. For example, the following COPY statement requests that all of the objects in your list (MYLIST) be copied:

```
COPY LIST MYLIST ...
```

Using lists is helpful when you need to run utilities on many objects. Instead of looking up all of the object names in the catalog and writing each object name in a control statement, you can define a list. You can then reference that list in any subsequent utility control statements.

You can either include the LISTDEF statement in the SYSIN DD statement directly before the utility control statements that reference it, or you can create libraries of LISTDEF statements. LISTDEF libraries are just data sets that contain LISTDEF statements. The advantage of creating libraries is that these lists are then stored and available for many different utility jobs.

To learn more about the LISTDEF utility, see LISTDEF (DB2 Utilities).

OPTIONS

You can use the OPTIONS utility to specify utility processing options for the job step. Consider the following examples:

- You can use the OPTIONS utility to specify a LISTDEF library or TEMPLATE library that any subsequent utilities are to use.
- You can specify OPTIONS PREVIEW, which causes the utility to run in preview mode. Preview mode is useful to view what the utility job will do before it is actually done. For example, if you specify OPTIONS
PREVIEW and then a LISTDEF statement, you can see the expanded list of all objects that are included in the list. Such an expanded list is useful if your list contains wildcard characters, and you want to see which objects will be included in the list.

- You can also use the OPTIONS utility to specify what the utility will do if an error occurs for an item in the list. You can specify whether the utility is to stop processing or skip the item in error and continue processing the next item.

To learn more about the OPTIONS utility, see OPTIONS (DB Utilities).

**TEMPLATE**

You can use the TEMPLATE utility to allocate data sets without using JCL DD statements. The TEMPLATE utility defines data set templates. For each template, you specify the template name, the data set naming convention, and any allocation parameters that you want. Then, you can tell subsequent utilities to use that template when they allocate certain data sets.

One advantage of using this utility is that you do not have to specify data set allocation parameters. For example, TEMPLATE can determine the appropriate data set size for you.

Templates are also useful for lists of objects. For example, suppose that you have a long list of objects to copy. Instead of using a DD statement to specify a data set for each image copy, you can specify the template name where you otherwise would specify a DD name.

To learn more about the TEMPLATE utility, see TEMPLATE (DB Utilities).

See Simplifying utilities with wildcarding and templates (Using the Utilities Suite) for more information about templates, wildcards, and options.

**How do I monitor a utility job?**

You can monitor the progress of one or more utility jobs by using the DISPLAY UTILITY command. For example, you can see what phase the utility is in and the object that it last processed.

If you need to stop an online utility job, use the TERM UTILITY command.

If a utility job stopped before it completed processing, you can restart it after you fix the error that caused the utility job to stop. You can restart a utility either from the last checkpoint or from the beginning of the phase in which it was currently processing.

For more information about monitoring, stopping, and restarting utilities, see Monitoring and controlling online utilities (DB Utilities).

**Tools**

You can use tools that are provided by IBM or various ISVs to perform the tasks that are required to control and maintain your DB2® subsystem. For example, you can use the following IBM DB2 tools to manage utilities and perform utility tasks:

**DB2 Automation Tool**

A tool that enables database administrators to focus on database optimization. This tool automates maintenance tasks. See DB2 Automation Tool (DB2 Automation Tool for z/OS User's Guide)
**DB2 High Performance Unload**
A high-speed DB2 utility that unloads DB2 tables from either a table space or a backup of the database. See [DB2 High Performance Unload (DB2 High Performance Unload for z/OS User's Guide)](#).

**DB2 Cloning Tool**
A tool that quickly clones a DB2 subsystem or data sets, creating the equivalent of a production environment that you can use to test new features and functions. See [DB2 Cloning Tool (DB2 Cloning Tool for z/OS User's Guide)](#).

**DB2 Sort**
A tool that reduces elapsed time and CPU cost for utility sort processing. See [DB2 Sort](#).

**Other learning resources**
For more detailed training in DB2 utilities, use the following resources:
- [DB2 9 for z/OS: Using the Utilities Suite (IBM Redbooks)](#) Although this book is for Version 9, the basics are the same for later versions of DB2.
- Course: "z/OS and DB2 Basics for DB2 for z/OS DBA Beginners." You can find links to this course information from the [DB2 for z/OS training paths](#) Both classroom and online options are available.
- [Utilities (DB2 for z/OS Best Practices)](#)

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**Management of data sets**
In DB2 for z/OS, one way that you manage data sets is by using Storage Management Subsystem (SMS).

Table spaces or indexes that exceed 4 GB in size require SMS-managed data sets. Other table spaces and indexes can be stored in user-managed data sets or in DB2-managed storage groups.

**Related concepts:**
- "DB2 and DFSMS" on page 71
- "Assignment of table spaces to physical storage" on page 233

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**Authorization and security mechanisms for data access**
Authorization is an important part of controlling DB2. The security and authorization mechanisms that control access to DB2 data are both direct and indirect.

DB2 performs direct security checks of user IDs and passwords before users gain access through DDF. All other attachment facilities require that the user authenticate with DDF before attaching to DB2. DB2 security mechanisms include specific objects, privileges on those objects, and some privileges that provide broader authority. DB2 also controls data access indirectly with authorization checks at bind time and run time for application plans and packages.

**Authorization**
You probably noticed references to authorization in this information. For example, you must be authorized to run SQL statements that create and alter DB2 objects. Even when users run a SELECT statement to query table information, their
authorization might limit what they see. The user might see data only in a subset of columns that are defined in a view. Views provide a good variety of security controls.

Before you issue DB2 commands, run utilities, run application packages and plans, or use most other DB2 functions, you need the appropriate authorization or privilege. For example, to make changes to a table, you need authorization to access that table. A privilege allows an action on an object. For example, to insert data into a table requires the privilege to insert data.

GRANT and REVOKE statements provide access control for DB2 objects. Privileges and authorities can be granted to authorization IDs and roles in many combinations, and they can also be revoked.

You can use the RACF component or an equivalent product to control access to DB2 objects. This is the best option if you want the z/OS security administrator to manage access to data instead for the database administrator.

Security

Due to the need for greater data security and demands for improved corporate accountability, the federal government and certain industries have developed laws and regulations to guide many corporate processes. The expectation to comply with these laws and regulations is likely to increase in the future. DB2 for z/OS support of roles and trusted contexts help in the area of compliance by enforcing data accountability at the data level. Instead of using a single user ID for all database requests, application servers can provide an user ID with no performance penalty associated with the request.

Related concepts:
"DB2 and the z/OS Security Server" on page 71

How authorization IDs control data access

One of the ways that DB2 controls access to data is through the use of identifiers. A set of one or more DB2 identifiers, called authorization IDs, represents every process that connects to or signs on to DB2.

Authorization IDs come in three types:

Primary authorization ID
As a result of assigning authorization IDs, every process has exactly one ID, called the primary authorization ID. Generally, the primary authorization ID identifies a process. For example, statistics and performance trace records use a primary authorization ID to identify a process.

Secondary authorization ID
All other IDs are secondary authorization IDs. A secondary authorization ID, which is optional, can hold additional privileges that are available to the process. For example, you could use a secondary authorization ID for a z/OS Security Server group.

CURRENT SQLID
One ID (either primary or secondary) is designated as the CURRENT SQLID. The CURRENT SQLID holds the privileges that are exercised when certain dynamic SQL statements run. You can set the CURRENT SQLID to the primary ID or to any of the secondary IDs. If an authorization ID of a process has system administration (SYSADM) authority, the process can set
its CURRENT SQLID to any authorization ID. You can change the value of the CURRENT SQLID during your session.

Example: If ALPHA is your primary authorization ID or one of your secondary authorization IDs, you can make it the CURRENT SQLID by issuing this SQL statement:

```sql
SET CURRENT SQLID = 'ALPHA';
```

Related concepts:
- “How authorization IDs hold privileges and authorities”
- “Ways to control access to DB2 objects through explicit privileges and authorities” on page 310
- “Ways to control access to data” on page 309

How authorization IDs hold privileges and authorities
DB2 controls access to its objects by using a set of privileges. Each privilege allows an action on some object.

The following figure shows the primary ways within DB2 to give access to data to an ID.

![Diagram showing the primary ways to give access to data to an ID]

**ID**

- **Privilege:** controlled by explicit granting and revoking
- **Ownership:** controlled by privileges needed to create objects
- **Plan and package execution:** controlled by privilege to execute
- **Security label:** controlled by multilevel security
- **Role:** controlled by trusted context

**Data**

*Figure 46. Granting access to data within DB2*

IDs can hold privileges that allow them to take certain actions or be prohibited from doing so. DB2 privileges provide extremely precise control.

Related privileges
DB2 defines sets of related privileges, called administrative authorities. By
granting an administrative authority to an ID, you grant all the privileges that are associated with it, in one statement.

Object privileges
Ownership of an object carries with it a set of related privileges on the object. An ID can own an object that it creates, or it can create an object that another ID is to own. Creation and ownership of objects are separately controlled.

Application plan and package privileges
The privilege to execute an application plan or a package deserves special attention. Executing a plan or package implicitly exercises all the privileges that the plan or package owner needed when binding it. Therefore, granting the privilege to execute can provide a detailed set of privileges and can eliminate the need to grant other privileges separately.

Example: Assume that an application plan issues the INSERT and SELECT statement on several tables. You need to grant INSERT and SELECT privileges only to the plan owner. Any authorization ID that is subsequently granted the EXECUTE privilege on the plan can perform those same INSERT and SELECT statements through the plan. You don’t need to explicitly grant the privilege to perform those statements to that ID.

Security labels
Multilevel security restricts access to an object or a row based on the security label of the object or row and the security label of the user.

Roles
A role is a database entity that groups together one or more privileges. A role is available only when the process is running in a trusted context. A trusted context is a database entity that is based on a system authorization ID and a set of connection trust attributes. You can create and use a trusted context to establish a trusted connection between DB2 and an external entity, such as a middleware server.

Users are associated with a role in the definition of a trusted context. A trusted context can have a default role, specific roles for individual users, or no roles at all.

Related concepts:
“Ways to control access to DB2 subsystems” on page 309

Ways to control access to DB2 subsystems
DB2 for z/OS performs security checks to authenticate users before they gain access to DB2 data. A variety of authentication mechanisms are supported by DB2 requesters and accepted by DB2 servers.

Authentication occurs when the CONNECT statement is issued to connect the application process to the designated server. The server or the local DB2 subsystem checks the authorization ID and password to verify that the user is authorized to connect to the server.

You can use RACF or the z/OS Security Server to authenticate users that access a DB2 database.

Related concepts:
Local DB2 access
A local DB2 user is subject to several security checks.

For example, when DB2 runs under TSO and use the TSO logon ID as the DB2 primary authorization ID, that ID is verified with a password when the user logs on.

When the server is the local DB2 subsystem, RACF verifies the password and checks whether the authorization ID is allowed to use the DB2 resources that are defined to RACF. If an exit routine is defined, RACF or the z/OS Security Server perform additional security checking.

Remote DB2 access
When the server is not the local DB2 subsystem, multiple security checks occur.

- The local security manager at the server verifies the DB2 primary authorization ID and password. A subsequent verification determines whether the authorization ID is allowed to access DB2.
- Security options for SNA or TCP/IP protocols are checked in the communications database (CDB).

DDF supports TCP/IP and SNA communication protocols in a distributed environment. As a requester or a server, DB2 chooses how to send or accept authentication mechanisms, based on which network protocol is used. DB2 uses SNA security mechanisms for SNA network connections and DRDA security mechanisms for TCP/IP or Kerberos network connections.

DRDA security options provide the following support for encrypting sensitive data:

- DB2 for z/OS servers can provide secure, high-speed data encryption and decryption.
- DB2 for z/OS requesters have the option of encrypting user IDs and passwords when requesters connect to remote servers. Requesters can also encrypt security-sensitive data when communicating with servers so that the data is secure when traveling over the network.

You can use RACF or a similar security subsystem to perform authentication. RACF can:

- Verify a remote authorization ID associated with a connection by checking the ID against its password.
- Verify whether the authorization ID is allowed to access DB2 through a remote connection.
- Verify whether the authorization ID is allowed to access DB2 from a specific remote site.
- Generate PassTickets, an alternative to passwords, on the sending side. A PassTicket lets a user gain access to a host system without sending the RACF password across the network.

Kerberos security
As a server, DB2 supports Kerberos security for authenticating remote users. The authentication mechanisms are encrypted Kerberos tickets rather than user IDs and passwords.
You can establish DB2 for z/OS support for Kerberos authentication through the z/OS Security Server. Kerberos is also a network security option for DB2 Connect clients.

**Communications database**

The DB2 communications database contains a set of DB2 catalog tables that let you control aspects of remote requests. DB2 uses this database to obtain information about connections with remote systems.

**Workstation access**

When a workstation client accesses a DB2 for z/OS server, DB2 Connect passes all authentication information from the client to the server. Workstation clients can encrypt user IDs and passwords when they issue a CONNECT statement. Database connection services (DCS) authentication must be set to DCS_ENCRYPT.

An authentication type for each instance determines user verification. The authentication type is stored in the database manager configuration file at the server. The following authentication types are allowed with DB2 Connect:

- **CLIENT**
  - The user ID and password are validated at the client.

- **SERVER**
  - The user ID and password are validated at the database server.

- **SERVER_ENCRYPT**
  - The user ID and password are validated at the database server, and passwords are encrypted at the client.

- **KERBEROS**
  - The client logs onto the server by using Kerberos authentication.

**Ways to control access to data**

DB2 enables you to control data access. Access to data includes a user who is engaged in an interactive terminal session. For example, access can be from a remote server, from an IMS or a CICS transaction, or from a program that runs in batch mode.

This information discusses different methods of data access control in DB2. In this information, the term *process* is used to represent all forms of access to data.

The following figure suggests several routes from a process to DB2 data, with controls on every route.
The first method, access control within DB2, uses identifiers (IDs) to control access to DB2 objects. The process must first satisfy the security requirements to access the DB2 subsystem. When the process is within the DB2 subsystem, DB2 checks various IDs to determine whether the process can access DB2 objects. These IDs (primary authorization ID, secondary authorization ID, and SQL ID) are described. If the process has the necessary ID or IDs, it can access DB2 objects, including DB2 data.

The second method, data set protection, is not controlled within DB2. The process goes through data set protection outside of DB2. If the process satisfies the protection criteria, it reaches the DB2 data.

Related concepts:
- “How authorization IDs control data access” on page 305
- “How authorization IDs hold privileges and authorities” on page 306
- “Ways to control access to DB2 objects through explicit privileges and authorities”
- Managing access through authorization IDs and roles (Managing Security)

Ways to control access to DB2 objects through explicit privileges and authorities

You can control access to DB2 user data by granting, not granting, or revoking explicit privileges and authorities.

An explicit privilege is a named privilege that is granted with the GRANT statement or that is revoked with the REVOKE statement. An administrative authority is a set of privileges, often encompassing a related set of objects. Authorities often include privileges that are not explicit, have no name, and cannot be individually granted, such as the ability to terminate any utility job.

Explicit privileges

Explicit privileges provide detailed control. For example, assume that a user needs to select, insert, and update data in a table. To complete these actions, the user needs the SELECT, INSERT, and UPDATE privilege on the table.

Explicit privileges are available for these objects:
Administrative authorities

Privileges are grouped into administrative authorities. Those authorities form a hierarchy. Each authority includes a specific group of privileges. The administrative authorities fall into the categories of system, database, and collection authorities. The highest-ranking administrative authority is SYSADM. Each level of authority includes the privileges of all lower-ranking authorities.

The following system authorities are ranked from highest to lowest:

**SYSADM**
System administration authority includes all DB2 privileges (except for a few that are reserved for installation), which are all grantable to others. You can limit the ability of SYSADM to manage access to roles. You can also limit the ability of SYSADM to grant and revoke authorities and privileges.

**SYSCTRL**
System control authority includes most SYSADM privileges, but it excludes the privileges to read or change user data.

**SYSOPR**
System operator authority includes the privileges to issue most DB2 commands and to terminate any utility job.

The following database authorities are ranked from highest to lowest:

**DBADM**
Database administration authority includes the privileges to control a specific database. Users with DBADM authority can access tables and alter or drop table spaces, tables, or indexes in that database.

**DBCTRL**
Database control authority includes the privileges to control a specific database and run utilities that can change data in the database.

**DBMAINT**
Database maintenance authority includes the privileges to work with certain objects and to issue certain utilities and commands in a specific database.
Additional administrative authorities include the following:

**ACCESSCTRL**
Access control authority allows SECADM to delegate the ability to grant and revoke object privileges and most administrative authorities.

**DATAACCESS**
Data access authority controls DBA access to user data in DB2.

**EXPLAIN**
EXPLAIN authority allows a user to issue EXPLAIN, PREPARE, and DESCRIBE statements without requiring the privilege to execute the statement.

**PACKADM**
Package administrator authority gives access to designated collections.

**SECADM**
Security administrator authority allows a user to manage access to a table in DB2, but cannot create, alter or drop a table.

**SQLADM**
SQL administrator authority provides the ability to monitor and tune SQL without any additional privileges.

**Related concepts:**
- “How authorization IDs control data access” on page 305
- “How authorization IDs hold privileges and authorities” on page 306

**Row-level and column-level access control**
You can use row-level and column-level access control to restrict access to certain types of information that require additional security.

Row-level and column-level access controls can help you to protect sensitive information and comply with government regulations for security and privacy. These access controls work with explicit privileges and administrative authorities. If you use row-level or column-level access control, view level access control is unnecessary.

DB2 restricts access to columns and rows based upon individual user permissions. When DB2 is in new function mode, the SECADM authority manages the privacy and security policies that are associated with individual tables. The SECADM authority also grants and revokes access privileges to specific rows and columns. Row-level and column-level access control affects all users and database administrators.

Row-level and column-level access control provides the following advantages:
- Integration within the database system
- Database level security
- SQL enforced security that does not require other products to monitor access
- Access that is managed by the DB2 security administrator
- Multiple access levels based on users, groups, or roles
- Row-level and column-level access control with filtering and data masking
- No requirement to filter sensitive data at the application level
Use of multilevel security to control access

DB2 provides a powerful security scheme called multilevel security. Multilevel security is a security policy that classifies data and users according to a system of hierarchical security levels and nonhierarchical security categories.

Multilevel security prevents unauthorized users from accessing information at a higher classification than their authorization, and it prevents users from declassifying information.

Using multilevel security, you can define security for DB2 objects and perform other checks, including row-level security checks. Row-level security checks control which users have authorization to view, modify, or perform actions on table rows. With multilevel security, you do not need to use special views or database variables to control security at the row level.

You can create a security label for a table row by defining a column in the CREATE TABLE or ALTER TABLE statement as the security label. As each row is accessed, DB2 uses RACF to compare the security label of the row and the user to determine if the user has appropriate authorization to access the row. Row-level security checks occur whenever a user issues a SELECT, INSERT, UPDATE, or DELETE statement to access a table with a security-label column or runs a utility request for data in a row that is protected by a security label.

Related reference:
[Implementing multilevel security with DB2 (Managing Security)]

Use of views to control access

The table privileges DELETE, INSERT, SELECT, and UPDATE can also be granted on a view. By creating a view and granting privileges on it, you can give an ID access to only a specific subset of data. This capability is sometimes called field-level access control or field-level sensitivity.

Example: Suppose that you want a particular ID, say MATH110, to be able to extract certain data from the EMP table for statistical investigation. To be exact, suppose that you want to allow access to data like this:

- From columns HIREDATE, JOB, EDL, SALARY, COMM (but not an employee's name or identification number)
- Only for employees that were hired after December 15, 1996
- Only for employees with an education level of 14 or higher
- Only for employees whose job is not MGR or PRS

You can create and name a view that shows exactly that combination of data:

```
CREATE VIEW SALARIES AS
    SELECT HIREDATE, JOB, EDL, SALARY, COMM
    FROM EMP
    WHERE HIREDATE > '1996-12-15' AND EDLEVEL >= 14
    AND JOB IS DISTINCT FROM 'MGR' AND JOB IS DISTINCT FROM 'PRS';
```

Then you can use the GRANT statement to grant the SELECT privilege on the view SALARIES to MATH110:
GRANT SELECT ON SALARIES TO MATH110;

Now, MATH110 can run SELECT statements that query only the restricted set of data.

Related concepts:
"A view that combines information from several tables” on page 254

**Use of grant and revoke privileges to control access**

The SQL GRANT statement lets you grant explicit privileges to authorization IDs. The REVOKE statement lets you take them away. Only a privilege that has been explicitly granted can be revoked.

Granting privileges is very flexible. For example, consider table privileges. You can grant all the privileges on a table to an ID. Alternatively, you can grant separate, specific privileges that allow that ID to retrieve data from the table, insert rows, delete rows, or update specific columns. By granting or not granting those privileges on views of the table, you can effectively determine exactly what action an ID can or cannot take on the table.

You can use the GRANT statement to assign privileges as follows:

- Grant privileges to a single ID or to several IDs in one statement.
- Grant a specific privilege on one object in a single statement, grant a list of privileges, or grant privileges over a list of objects.
- Grant ALL, for all the privileges of accessing a single table or for all privileges that are associated with a specific package.

**Examples of grant privileges**

The following examples show how to grant some system privileges, use privileges, and table privileges.

**Grant example 1:** To grant the privileges of system operator authority to user NICHOLLS, the system administrator uses the following statement:
GRANT SYSOPR TO NICHOLLS;

Assume that your business decides to associate job tasks with authorization IDs.

**Grant example 2:** In the following examples, PKA01 is the ID of a package administrator, and DBA01 is the ID of a database administrator. Suppose that the system administrator uses the ADMIN authorization ID, which has SYSADM authority, to issue the following GRANT statements:

- GRANT PACKADM ON COLLECTION GOLFS TO PKA01 WITH GRANT OPTION;
  This statement grants PACKADM authority to PKA01. PKA01 acquires package privileges on all packages in the collection named GOLFS and the CREATE IN privilege on that collection. In addition, specifying WITH GRANT OPTION gives PKA01 the ability to grant those privileges to others.

- GRANT CREATEDBA TO DBA01;
  CREATEDBA grants DBA01 the privilege to create databases, and DBA01 acquires DBADM authority over those databases.

- GRANT USE OF STOGROUP SG1 TO DBA01 WITH GRANT OPTION;
GRANT USE OF BUFFERPOOL BP0, BP1 TO DBA01 WITH GRANT OPTION;

This statement allows DBA01 to use buffer pools BP0 and BP1 and to grant that privilege to others.

**Grant example 3:** The following examples show specific table privileges that you can grant to users.

- GRANT SELECT ON DEPT TO PUBLIC;
  
  This statement grants SELECT privileges on the DEPT table. Granting the select privilege to PUBLIC gives the privilege to all users at the current server.

- GRANT UPDATE (EMPNO, DEPT) ON TABLE EMP TO NATZ;
  
  This statement grants UPDATE privileges on columns EMPNO and DEPT in the EMP table to user NATZ.

- GRANT ALL ON TABLE EMP TO KWAN, ALONZO WITH GRANT OPTION;
  
  This statement grants all privileges on the EMP table to users KWAN and ALONZO. The WITH GRANT OPTION clause allows these two users to grant the table privileges to others.

**Examples of revoke privileges**

The same ID that grants a privilege can revoke it by issuing the REVOKE statement. If two or more grantors grant the same privilege to an ID, executing a single REVOKE statement does not remove the privilege for that ID. To remove the privilege, each ID that explicitly granted the privilege must explicitly revoke it.

Here are some examples of revoking privileges that were previously granted.

**Revoke example 1:**

- REVOKE SYSOPR FROM NICHOLLS;
  
  This statement revokes SYSOPR authority from user NICHOLLS.

- REVOKE UPDATE ON EMP FROM NATZ;
  
  This statement revokes the UPDATE privilege on the EMP table from NATZ.

- REVOKE ALL ON TABLE EMP FROM KWAN, ALONZO;
  
  This statement revokes all privileges on the EMP table from users KWAN and ALONZO.

An ID with SYSADM or SYSCTRL authority can revoke privileges that are granted by other IDs.

**Revoke example 2:** A user with SYSADM or SYSCTRL authority can issue the following statements:

- REVOKE CREATETAB ON DATABASE DB1 FROM PGMR01 BY ALL;
  
  In this statement, the CREATETAB privilege that user PGMR01 holds is revoked regardless of who or how many people explicitly granted this privilege to this user.

- REVOKE CREATETAB, CREATETS ON DATABASE DB1 FROM PGMR01 BY DBUTIL1;
This statement revokes privileges that are granted by DBUTIL1 and leaves intact the same privileges if they were granted by any other ID.

Revoking privileges can be more complicated. Privileges can be revoked as the result of a cascade revoke. In this case, revoking a privilege from a user can also cause that privilege to be revoked from other users.

Related reference:
- GRANT (DB2 SQL)
- REVOKE (DB2 SQL)

Backup, recovery, and restart

Although high availability of data is a goal for all DB2 subsystems, unplanned outages are difficult to avoid entirely. A good backup, recovery, and restart strategy, however, can reduce the elapsed time of an unplanned outage.

To reduce the probability and duration of unplanned outages, you should periodically back up and reorganize your data to maximize the availability of data to users and programs.

Many factors affect the availability of the databases. Here are some key points to be aware of:

- You should understand the options of utilities such as COPY and REORG.
  - You can recover online such structures as table spaces, partitions, data sets, a range of pages, a single page, and indexes.
  - You can recover table spaces and indexes at the same time to reduce recovery time.
  - With some options on the COPY utility, you can read and update a table space while copying it.
- I/O errors have the following affects:
  - I/O errors on a range of data do not affect availability to the rest of the data.
  - If an I/O error occurs when DB2 is writing to the log, DB2 continues to operate.
  - If an I/O error is on the active log, DB2 moves to the next data set. If the error is on the archive log, DB2 dynamically allocates another data set.
- Documented disaster recovery methods are crucial in the case of disasters that might cause a complete shutdown of your local DB2 subsystem.
- If DB2 is forced to a single mode of operations for the bootstrap data set or logs, you can usually restore dual operation while DB2 continues to run.

DB2 provides extensive methods for recovering data after errors, failures, or even disasters. You can recover data to its current state or to an earlier state. The units of data that can be recovered are table spaces, indexes, index spaces, partitions, and data sets. You can also use recovery functions to back up an entire DB2 subsystem or data sharing group.

Development of backup and recovery procedures is critical in preventing costly and time-consuming data losses. In general, ensure that the following procedures are in place:
• Create a point of consistency.
• Restore system and data objects to a point of consistency.
• Back up and recover the DB2 catalog and your data.
• Recover from out-of-space conditions.
• Recover from a hardware or power failure.
• Recover from a z/OS component failure.

In addition, your site should have a procedure for recovery at a remote site in case of disaster.

Specific problems that require recovery might be anything from an unexpected user error to the failure of an entire subsystem. A problem can occur with hardware or software; damage can be physical or logical. Here are a few examples:

• If a system failure occurs, a restart of DB2 restores data integrity. For example, a DB2 subsystem or an attached subsystem might fail. In either case, DB2 automatically restarts, backs out uncommitted changes, and completes the processing of committed changes.
• If a media failure (such as physical damage to a data storage device) occurs, you can recover data to the current point.
• If data is logically damaged, the goal is to recover the data to a point in time before the logical damage occurred. For example, if DB2 cannot write a page to disk because of a connectivity problem, the page is logically in error.
• If an application program ends abnormally, you can use utilities, logs, and image copies to recover data to a prior point in time.

Recovery of DB2 objects requires adequate image copies and reliable log data sets. You can use a number of utilities and some system structures for backup and recovery. For example, the REPORT utility can provide some of the information that is needed during recovery. You can also obtain information from the bootstrap data set (BSDS) inventory of log data sets.

Related tasks:
[Recovering from different DB2 for z/OS problems (DB2 Administration Guide)]

Related reference:
[COPY (DB2 Utilities)]
[RECOVER (DB2 Utilities)]
[REORG TABLESPACE (DB2 Utilities)]
[REPORT (DB2 Utilities)]

Related information:
[Operation and recovery (DB2 Administration Guide)]

Backup and recovery resources and tools
DB2 relies on the log and the BSDS to record data changes as they occur. The log and BSDS provide critical information during recovery. Other important tools that you need for backup and recovery of data are several of the DB2 utilities.

Log usage
The DB2 log registers data changes and significant events as they occur. DB2 writes each log record to the active log, which is a disk data set. When the active
The log data set is full, DB2 copies its contents to the archive log, which is a disk or a tape data set. This process is called **offloading**.

The archive log can consist of up to 10,000 data sets. Each archive log is a sequential data set (physical sequential) that resides on a disk or magnetic tape volume.

With DB2, you can choose either single logging or dual logging. A single active log contains up to 93 active log data sets. With dual logging, DB2 keeps two identical copies of the log records. Dual logging is the better choice for increased availability.

**Bootstrap data set usage**

The *bootstrap data set (BSDS)* is a repository of information about the data sets that contain the log. The BSDS contains the following information:

- An inventory of all active and archive log data sets that are known to DB2.
  - DB2 records data about the log data set in the BSDS each time a new archive log data set is defined or an active log data set is reused. The BSDS inventory includes the time and date that the log was created, the data set name, its status, and other information. DB2 uses this information to track the active and archive log data sets. DB2 also uses this information to locate log records for log read requests that occur during normal DB2 subsystem activity and during restart and recovery processing.
- An inventory of all recent checkpoint activity that DB2 uses during restart processing.
- A distributed data facility (DDF) communication record.
- Information about buffer pools.

Because the BSDS is essential to recovery in the event of a subsystem failure, DB2 automatically creates two copies of the BSDS during installation. If possible, DB2 places the copies on separate volumes.

**Utilities that support backup and recovery**

The following utilities are commonly used for backup and recovery:

- **COPY, QUIESCE, MERGECOPY, and BACKUP SYSTEM** for backup
- **RECOVER, REBUILD INDEX, REPORT, and RESTORE SYSTEM** for recovery

In general, you use these utilities to prepare for recovery and to restore data. Each utility plays a role in the backup and recovery process.

**COPY**  The COPY utility creates up to four image copies of table spaces, indexes, and data sets.

The two types of image copies are as follows:

- **Full image copy**: A copy of all pages in a table space, partition, data set, or index space.
- **Incremental image copy**: A copy of only the table space pages that have changed since the last use of the COPY utility.

While COPY is running, you can use a SHRLEVEL option to control whether other programs can access or update the table space or index.
- **SHRLEVEL REFERENCE** gives other programs read-only access.
• SHRLEVEL CHANGE allows other programs to change the table space or index space.

In general, the more often that you make image copies, the less time that recovery takes. However, if you make frequent image copies, you also spend more time making copies.

The RECOVER utility uses these copies when recovering a table space or index space to the most recent point in time or to a previous point in time. The catalog table SYSCOPY records information about image copies.

**QUIESCE**

The QUIESCE utility establishes a single point of consistency, called a quiesce point, for one or more page sets. To establish regular recovery points for subsequent point-in-time recovery, you must run QUIESCE frequently between regular executions of COPY.

**MERGECOPY**

The MERGECOPY utility merges image copies that the COPY utility produced or inline copies that the LOAD or REORG utilities produced. MERGECOPY can merge several incremental copies of a table space to make one incremental copy. It can also merge incremental copies with a full image copy to make a new full image copy.

**BACKUP SYSTEM**

The online BACKUP SYSTEM utility invokes z/OS DFSMShsm (Version 1 Release 5 or above). BACKUP SYSTEM copies the volumes on which the DB2 data and the DB2 log information reside for a non-data sharing DB2 subsystem or a DB2 data sharing group.

**RECOVER**

The RECOVER utility recovers data to the current state or to a previous point in time by restoring a copy, and then by applying log records.

**REBUILD INDEX**

The REBUILD INDEX utility reconstructs indexes from the table that they reference.

**REPORT**

The REPORT utility provides information that is needed to recover a table space, an index, or a table space and all of its indexes. You can also use the REPORT utility to obtain recovery information about the catalog.

**RESTORE SYSTEM**

The online RESTORE SYSTEM utility invokes z/OS DFSMShsm (Version 1 Release 5 or above). RESTORE SYSTEM uses data that is copied by the BACKUP SYSTEM utility.

You can also use the following IBM DB2 and IMS tools in various backup and recovery situations:

**IBM Application Recovery Tool for IMS and DB2 Databases**

A tool that simplifies and coordinates the recovery of both IMS and DB2 data to a common point, reducing the time and cost of data recovery and availability.

**DB2 Archive Log Accelerator**

A tool that reduces the overhead that is associated with database log management to balance the increases in archive log growth.
**DB2 Change Accumulation Tool**
A tool that quickly restores database objects with precision and minimal disruption, setting the scope and specificity of image copy creation through the use of control cards.

**DB2 Log Analysis Tool**
A tool that provides you with a powerful tool to ensure high availability and complete control over data integrity. This tool allows you to monitor data changes by automatically building reports of changes that are made to database tables.

**DB2 Object Restore**
A tool that enables you to recover valuable data assets by quickly restoring dropped objects without down time, even if they no longer exist in the DB2 catalog. Such dropped objects might include databases, table spaces, tables, indexes, data, and table authorizations.

**Related information:**
[Operation and recovery (DB2 Administration Guide)]

**DB2 restart**
A key to the perception of high availability is getting the DB2 subsystem restarted quickly after an unplanned outage.
- Some restart processing can occur concurrently with new work. Also, you can choose to postpone some processing.
- During a restart, DB2 applies data changes from its log that was not written at the time of failure. Some of this process can be run in parallel.
- You can register DB2 to the Automatic Restart Manager of OS/390. This facility automatically restarts DB2 should it go down as a result of a failure.

**Related information:**
[Operation and recovery (DB2 Administration Guide)]

**Regular backups and data checks**
Scheduling backups and data checks on a regular basis is important. Your site must have a schedule in place to periodically check data for damage and consistency. You must also check storage structures for efficient use, and gather information to tune your DB2 subsystem for optimal performance.

Specifically, schedule the following activities:
- Take frequent backups to prepare for potential recovery situations. You must regularly take full or incremental image copies of DB2 data structures and DB2 subsystem structures.
- Use the CHECK utility periodically or after a conditional restart or recovery to ensure data consistency and to ensure that data is not damaged. A conditional restart lets you skip a portion of log processing during DB2 restart.
  - The CHECK DATA utility checks table spaces for violations of referential and check constraints and reports that information. You must run CHECK DATA after a conditional restart or a point-in-time recovery on all table spaces in which parent and dependent tables might not be synchronized. You can also run CHECK DATA to:
    - Check the consistency between a LOB table space or XML table space and its base table space.
    - Check the validity of the contents of an XML table space.
- The CHECK INDEX utility tests whether indexes are consistent with the data that they index. You must run CHECK INDEX after a conditional restart or a point-in-time recovery on all table spaces with indexes that might not be consistent with the data. You must also use CHECK INDEX before running CHECK DATA to ensure that the indexes that CHECK DATA uses are valid.

- Run the REORG utility when data needs to be organized and balanced in index spaces and table spaces.

- Use the RUNSTATS utility to gather statistics about DB2 objects. DB2 uses these statistics to select the most efficient access path to data.

Related concepts:

“Guidelines for data reorganization” on page 273

Control of database changes and data consistency

Before you can fully understand how backup and recovery works, you need to be familiar with how DB2 keeps data consistent as changes to data occur.

The processes that ensure data consistency include commit and rollback operations and locks. This information provides an overview of how commit and rollback operations achieve a point of data consistency, and explains how DB2 maintains consistency when data is exchanged between servers.

Related information:

Operation and recovery (DB2 Administration Guide)

Commit and rollback of transactions

At any time, an application process might consist of a single transaction. However the life of an application process can involve many transactions as a result of commit or rollback operations.

A transaction begins when data is read or written. A transaction ends with a COMMIT or ROLLBACK statement or with the end of an application process.

- The COMMIT statement commits the database changes that were made during the current transaction, making the changes permanent.

  DB2 holds or releases locks that are acquired on behalf of an application process, depending on the isolation level in use and the cause of the lock.

- The ROLLBACK statement backs out, or cancels, the database changes that are made by the current transaction and restores changed data to the state before the transaction began.

The initiation and termination of a transaction define points of consistency within an application process. A point of consistency is a time when all recoverable data that an application program accesses is consistent with other data. The following figure illustrates these concepts.
When a rollback operation is successful, DB2 backs out uncommitted changes to restore the data consistency that existed when the unit of work was initiated. That is, DB2 undoes the work, as shown in the following figure. If the transaction fails, the rollback operations begins.

An alternative to cancelling a transaction is to roll back changes to a savepoint. A savepoint is a named entity that represents the state of data at a particular point in time during a transaction. You can use the ROLLBACK statement to back out changes only to a savepoint within the transaction without ending the transaction.

Savepoint support simplifies the coding of application logic to control the treatment of a collection of SQL statements within a transaction. Your application can set a savepoint within a transaction. Without affecting the overall outcome of the transaction, application logic can undo the data changes that were made since the application set the savepoint. The use of savepoints makes coding applications more efficient because you don't need to include contingency and what-if logic in your applications.

Now that you understand the commit and rollback process, the need for frequent commits in your program becomes apparent.

Related concepts:
“Application processes and transactions” on page 59

Related information:
Operation and recovery (DB2 Administration Guide)
Coordinated updates for consistency between servers
In a distributed system, a transaction might occur at more than one server. To ensure data consistency, each subsystem that participates in a single transaction must coordinate update operations. Transactions must be either committed or backed out.

DB2 uses a two-phase commit process with a wide variety of resources, such as relational databases that are accessed through DRDA. DB2 support for two-phase commit can also be used from a number of different application environments. DB2 can work with other z/OS transaction management environments, such as IMS and CICS, and in UNIX environments, Microsoft Windows applications, and WebSphere Application Server.

With two-phase commit, you can update a DB2 table and data in non-DB2 databases within the same transaction. The process is under the control of one of the subsystems, called the **coordinator**. The other systems that are involved are the **participants**. For example, IMS, CICS, or RRS is always the coordinator in interactions with DB2, and DB2 is always the participant. DB2 is always the coordinator in interactions with TSO and, in that case, completely controls the commit process. In interactions with other DBMSs, including other DB2 subsystems, your local DB2 subsystems can be either the coordinator or a participant.

**Related concepts:**
- “How updates are coordinated across distributed systems” on page 347

**Related information:**
- [Operation and recovery (DB2 Administration Guide)](link)

Events in the recovery process
DB2 can recover a page set by using a backup copy.

The DB2 recovery log contains a record of all changes that were made to the page set. If the data needs to be recovered, DB2 restores the backup copy and applies the log changes to the page set from the point of the backup copy.

To recover a page set, the RECOVER utility typically uses these items:
- A full image copy; which is a complete copy of the page set.
- For table spaces only, any later incremental image copies that summarizes all changes that were made to the table space since the time that the previous image copy was made.
- All log records for the page set that were created since the most recent image copy.

The following figure shows an overview of a recovery process that includes one complete cycle of image copies.
The SYSIBM.SYSCOPY catalog table can record many complete cycles. The RECOVER utility uses information in the SYSIBM.SYSCOPY catalog table for the following purposes:

- To restore the page set with data in the most recent full image copy
- For table spaces only, to apply all the changes that are summarized in any later incremental image copies
- To apply all changes to the page set that are registered in the log, beginning with the most recent image copy

If the log was damaged or discarded, or if data was changed erroneously and then committed, you can recover to a particular point in time. This type of recovery limits the range of log records that the RECOVER utility is to apply.

Related information:

- Operation and recovery (DB2 Administration Guide)

Optimization of availability during backup and recovery

Because backup and recovery affects data availability, you should understand the implications of various activities, including running utilities, logging, archiving, disaster recovery, and DB2 restart.

Running utilities

- To reduce recovery time, you can use the RECOVER utility to recover a list of objects in parallel.
- To reduce copy time, you use the COPY utility to make image copies of a list of objects in parallel.

Logging

- To speed recovery, place active or archive logs on disk. If you have enough space, use more active logs and larger active logs.
- Make the buffer pools and the log buffers large enough to be efficient.
- If DB2 is forced to a single mode of operation for the bootstrap data set or logs, you can usually restore dual operation while DB2 continues to run. Dual active logging improves recovery capability in the event of a disk failure. You can place copies of the active log data sets and the bootstrap data sets on different disk units.
- If an I/O error occurs when DB2 is writing to the log, DB2 continues to operate. If the error is on the active log, DB2 moves to the next data set. If the error is on the archive log, DB2 dynamically allocates another archive log data set.

Restart

Many recovery processes involve restarting DB2. You can minimize DB2 restart time after an outage to get the DB2 subsystem up and running quickly.
• For non-data-sharing systems, you can limit backout activity during DB2 restart. You can postpone the backout of long-running transactions until after the DB2 subsystem is operational.

• Some restart processing can occur concurrently with new work. You can choose to postpone some processing to get DB2 running more quickly.

• During a restart, DB2 applies data changes from the log. This technique ensures that data changes are not lost, even if some data was not written at the time of the failure. Some of the work to apply log changes can run in parallel.

• You can register DB2 with the Automatic Restart Manager of z/OS. This facility automatically restarts DB2 in the event of a failure.

Archiving
If you archive to tape, be sure that you have enough tape drives. DB2 then does not need to wait for an available drive on which to mount an archive tape during recovery.

**Recommendation:** For fast recovery, keep at least 24 hours of logs in the active logs, and keep as many archive logs as possible (48 hours of logs, for example) on disk. Archiving to disk and letting HSM (Hierarchical Storage Management) migrate to tape is a good practice.

Disaster recovery
In the case of a disaster that causes a complete shutdown of your local DB2 subsystem, your site needs to ensure that documented procedures are available for disaster recovery. For example, a procedure for off-site recovery keeps your site prepared.

Optionally, you can use DFSMShsm to automatically manage space and data availability among storage devices in your system. For example, DFSMShsm manages disk space by moving data sets that have not been used recently to less expensive storage. DFSMShsm makes data available for recovery by automatically copying new or changed data sets to tape or disk.

**Related information:**

[Introduction to troubleshooting problems in DB2 for z/OS](#)

If you are new to DB2 for z/OS, you should learn about a few basic tools and techniques to troubleshoot problems.

These tools and techniques include:

- “Messages, reason codes, and SQL codes” on page 326
- “Getting information from the DB2 catalog” on page 326
- “DB2 Diagnosis Guide and Reference” on page 327
- “Monitoring threads” on page 327
- “DB2 traces” on page 327
- “Dumps” on page 329
- “Troubleshooting specific types of problems” on page 329
- “Fixes” on page 329
- “Contacting IBM Software Support” on page 330
Messages, reason codes, and SQL codes

Perhaps the most basic tool that you will use to troubleshoot a problem are the messages that DB2 issues. DB2 for z/OS issues several types of messages:

Error, warning, and informational messages
   DB2 for z/OS message numbers begin with DSN. The message number is followed by some explanatory text. Depending on the message and the situation, messages are either displayed on the console, returned in the job output, or passed back to the application.

   For more information about the various parts of a message number, see How to interpret message numbers (DB2 Messages).

Reason codes
   Reason codes are typically presented as a value in a message that DB2 issues. Reason codes provide specific details about the circumstances of a particular instance of a message.

   For information about the various parts of a reason code number, see How to interpret code numbers (DB2 Codes).

SQL codes
   SQL codes are numbers that indicate the results of SQL statements. Positive and 000 SQL codes indicate that the SQL statement ran successfully. Negative SQL codes indicate an error.

   The SQL code is passed to a structure called the SQL communication area (SQLCA). Your application can retrieve the SQL code value from the SQLCODE field in the SQLCA. The SQLCA also contains other information that is related to the SQL code, such as the SQLSTATE value, which also indicates whether the SQL statement was successful. Any variable values (message tokens) for the SQL code are also stored in the SQLCA.

   For more information about SQL codes, SQLSTATE, and the SQLCA, see SQL codes (DB2 Codes).

   One way to check the SQL code and other diagnostic information for SQL statements is to use the GET DIAGNOSTICS statement. See Checking the execution of SQL statements by using the GET DIAGNOSTICS statement (DB2 Application programming and SQL).

If you receive messages from other IBM products (messages that do not begin with DSN), use the following resource to find information for those messages: How to find additional information about messages (DB2 Messages).

Getting information from the DB2 catalog

The DB2 catalog contains valuable information that you can use to troubleshoot problems. The catalog is a set of tables that contain information about the data that DB2 controls.

For a general description of the catalog, see DB2 catalog on page 41. For a detailed list of the various catalog tables, see DB2 catalog tables (DB2 SQL).

For example, if DB2 returns an unexpected character or a CCSID conversion error, you can look at the CCSID information in the catalog. See Collecting data for CCSID problems (Collecting data).
The information in the catalog can also be useful for diagnosing authorization problems and messages about objects that DB2 cannot find. If you are using native DB2 security, which means that DB2 checks authorization, you can use SELECT statements against the DB2 catalog to confirm that the authorizations in question exist. If you are using external DB2 security, your security administrator can run reports that show which authorizations exist.

**DB2 Diagnosis Guide and Reference**

The *DB2 Diagnosis Guide and Reference* contains detailed diagnostic information that can help diagnose problems in DB2. You should be aware that this publication exists, but you will probably not use it without the help of advanced DB2 for z/OS users and likely also IBM Software Support.

The *DB2 Diagnosis Guide and Reference* is a licensed publication and is therefore not publicly available. For information about how to get this publication, see [DB2 Diagnosis Guide and Reference](#).

**Monitoring threads**

A common technique for diagnosing problems is to monitor threads by using the DISPLAY THREAD command. This command returns various information about threads, such as the connection name, the status, and the user. The information that is returned depends on the options that you specify.

For basic information about threads and the types of threads in a DB2 subsystem, see [Types of threads (DB2 Administration Guide)](#).

The following list gives some examples of when you might want to monitor threads:

- If you suspect that DDF or DB2 might be hung. In this case, use DISPLAY THREAD to check for suspended threads. See the examples in [DISPLAY THREAD (DB2) (DB2 Commands)](#).
- To help identify and resolve indoubt threads. See [Scenarios for resolving problems with indoubt threads (DB2 Administration Guide)](#).
- To identify and recover from any indefinitely long wait conditions. See [Recovering from an indefinite wait condition (DB2 Administration Guide)](#).
- To determine the status of resources that you are trying to access. For examples, see [I0C900AB (DB2 Codes)](#) and [DSNU209I (DB2 Messages)](#).
- To troubleshoot any messages about agents that do not exist at partner locations. See [DSNL025I (DB2 Messages)](#).
- To find a thread token so that you can cancel the thread. See [CANCEL THREAD (DB2) (DB2 Commands)](#).

See [Monitoring threads (DB2 Administration Guide)](#) for detailed instructions. Be aware that the techniques for diagnosing problems with distributed threads are different from diagnosing problems with local threads. Details about the available techniques are in the [DB2 Diagnosis Guide and Reference](#).

**DB2 traces**

Traces are a tool that you can use to diagnose performance issues and other problems. In DB2 for z/OS, the trace facility is called the *instrumentation facility component* (IFC). When you run a trace, the IFC gathers information about certain
DB2 events. Each piece of information that is gathered has a numeric identifier. These identifiers (IDs) are called IFCIDs. For example, IFCID 0305 records information that is related to defining, removing, and enforcing a table check constraint.

DB2 for z/OS offers several trace types. A trace type is the type of information that IFC gathers, such as performance information or statistics information. Within each trace type is a number of trace classes. A trace class is a number that identifies a group of one or more IFCIDs.

For example, if you start performance trace class 1, the following IFCIDs are activated:

0001, 0002, 0031, 0042, 0043, 0076-0079, 0102, 0103, 0105-0107, 0153

Similarly, if you start statistics trace class 1, the following IFCIDs are activated:

0001, 0002, 0105, 0106, 0202, 0225

Notice that an IFCID can belong to more than one trace class.

For a description of each trace type, including a list of its classes and corresponding IFCIDs, see the following information:

- **Statistics trace (DB2 Performance)**
- **Accounting trace (DB2 Performance)**
- **Audit trace (DB2 Performance)**
- **Performance trace (DB2 Performance)**
- **Monitor trace (DB2 Performance)**

For a description of all of the IFCIDs, view the contents of the flat file DSNWMSGS. DSNWMSGS is a member of the partitioned data set prefix.SDSNIVPD(DSNWMSGS). For more information about DSNWMSGS and all of the information that it contains, see Trace field descriptions (DB2 Performance).

To run a trace, use the DB2 START TRACE command. See START TRACE (DB2 [DB2 Commands]) for instructions. Be careful about running too many traces because of the processing cost. See Minimizing the processing cost of DB2 traces (DB2 Performance). Also, consider that you do not want to produce too much trace output. See Minimizing the volume of DB2 trace data (DB2 Performance).

For more information about other commands that you can use to control traces, see Controlling the DB2 trace (DB2 Administration Guide).

Each trace produces a number of trace records. Generally, users use tools such as OMEGAMON to interpret these trace records. However, you can analyze these records manually. For a description of DB2 trace output, see DB2 trace output (DB2 Performance).

You can also use the DSN1SDMP stand-alone utility to force a dump when certain trace events occur. For example, you might want to generate a dump for a particular negative SQL code. For an example of this situation, see example 4 in Sample DSN1SDMP control statements (DB2 Utilities). For more information about DSN1SDMP, see DSN1SDMP (DB2 Utilities).
Dumps

A dump is a copy of the contents of memory at a particular point in time. Dumps are written to a data set. This information is helpful when debugging problems. Often, dumps are requested by IBM Software Support when diagnosing problems.

For more information about the different types of dumps, see Dumps (z/OS basic skills).

For instructions for generating a DB2 SVC dump, see Requesting DB2 SVC dumps (Collecting data).

Additional guidance about the types of DB2 dumps and analysis is in the DB2 Diagnosis Guide and Reference.

If you have the DB2 load library concatenated to the Interactive Program Control System (IPCS) startup procedures, you can do high-level dump analysis yourself. For information about the IPCS VERBX commands to issue, see the DB2 Diagnosis Guide and Reference.

Troubleshooting specific types of problems

Depending on the problem, you can use the following specific troubleshooting information:

- Debugging an application program (DB2 Application programming and SQL)
- Troubleshooting DB2 stored procedures (DB2 Administration Guide)
- Debugging CCSID and Unicode problems (DB2 Internationalization Guide)
- Problem diagnosis (DB2 Programming for ODBC) (ODBC)
- Investigating DB2 performance problems (DB2 Performance)
- Troubleshooting the administrative task scheduler (DB2 Administration Guide)
- Investigating access path problems (DB2 Performance)
- Steps for diagnosing a system hang (z/OS basic skills)

If you recently migrated to a new version of DB2 for z/OS, make sure that you check any release incompatibilities that might be the source of the problem. See Changes to DB2 that might impact your migration to Version 11 (DB2 Installation and Migration).

Fixes

If you encounter a problem that you suspect is with DB2 for z/OS, ask your system programmer to help you search for any available fixes. Potentially, another user has already encountered the problem and a fix is available or will be available soon. In some cases, interim workarounds might be provided.

For System i, System p®, and System z products, including DB2 for z/OS, a fix is called a PTF (program temporary fix).

You can also search for authorized program analysis reports (APARs). An APAR is a formal problem report of a suspected defect in the product. An APAR can contain known workarounds and an indication of whether a PTF is planned.
You can search for both PTFs and APARs in the IBM Support Portal: DB2 for z/OS. For a list of keywords that you can use to optimize your search results when searching APAR text, see DB2 for z/OS Keywords for searching APAR text.

For detailed instructions about how to get fixes, see Getting fixes. For more information about recommended service upgrades (RSUs), see Consolidated Service Test and the RSU.

**Contacting IBM Software Support**

If you cannot solve the problem, contact IBM Software Support by using the following procedure: Contacting IBM Support.

When you contact IBM Software Support, you will likely be asked to send certain data, such as the following information:

- A complete SQLCA for a failing SQL statement
- A complete dump for a DB2 abend
- "Service SQL" (a feature of several tools and part of SYSPROC.ADMIN_INFO_SQL) for incorrect access paths and access path performance problems. For more information about Service SQL and access path problems, see Troubleshooting access-path-related performance problems
- SMF or RMF™ data for DB2 system performance problems
- Precompiler or compiler output for SQL issues that are related to program preparation
- Full DB2 error message text if a message is issued
- LOGREC and SYSLOG for abend or environmental issues

For instructions for gathering this data, see Collecting data (Collecting data).

For instructions for sending diagnostic data to IBM, see II11945: FTP INSTRUCTIONS FOR TRANSMITTING DOCUMENTATION TO or FROM IBM FOR DB2 ON Z/OS.

**Related information:**

- DB2 for z/OS training paths
Chapter 11. DB2 and the web

DB2 provides many benefits to companies that operate on the web.

The web changed the way that companies conduct business. Corporations, both large and small, use websites to describe the services and products they provide. Shipping companies enable customers to track the progress of their shipments online. Bank customers can view their accounts and initiate online transactions from the comfort of their homes. Companies routinely distribute information about company programs, policies, and news, by using company-wide intranets. Individual investors submit online buy and sell orders through their brokerages every day. Online retailing continues to increase in popularity. Buyers use specialized software for the following types of business-to-business transactions:

- Track procurement activity
- Intelligently select preferred suppliers
- Electronically initiate business-to-business transactions with suppliers

These are just a few examples of the many ways that businesses are benefitting from the power of the web by transforming themselves into On-Demand businesses.

The world of On-Demand business might seem a bit like a jigsaw puzzle. Before you work on a puzzle, you want to know what the picture on the puzzle should look like when you are finished. Likewise, before building or working on an On-Demand business application, you must have a high-level understanding of the overall environment. You must also know something about the various products and tools in that environment. Developing and implementing your application probably involves products and tools on more than one operating system (such as z/OS, Linux, and Windows operating systems).

You can use the following products, tools, and languages in an e-business environment:

- Rational product family
- IBM Data Studio
- IMS
- DB2 product family
- CICS
- Web services
- Web browsers
- WebSphere product family, including WebSphere Information integration products
- DB2 Database Add-ins for Visual Studio
- Languages: C, C++, C#, COBOL, Java, .NET, PHP, Perl, PL/I, Python, Ruby on Rails, TOAD, and Visual Basic

Access to data is central to the vast majority of On-Demand business applications. Likewise, the business logic, which transforms data into information or which defines a business transaction, is another key component. Many organizations already store a large amount of mission-critical data in DB2 for z/OS. They also typically have a considerable investment in application programs that access and
 Companies that are thinking about moving parts of their business to the web face the challenge of determining how to build on their existing base of data and business logic and how to expand the usefulness of this base by using the web.

The IBM premier application server, WebSphere Application Server, helps companies to enable their data and business logic for the web. WebSphere Application Server supports server-side programming, which you will learn more about in this information.

By using web-based products and tools, companies can build, deploy, and manage portable On-Demand business applications.

**Web application environment**

Web-based applications run on a web application server and access data on an enterprise information system, such as a DB2 database server. The components of web-based applications are spread across multiple tiers, or layers.

This information describe the various components and architectural characteristics of web applications and the role that DB2 plays in the web application environment.

In general, the user interface is on the first tier, the application programs are on the middle tier, and the data sources that are available to the application programs are on the enterprise information system tier. Developing web-based applications across a multitiered architecture is referred to as server-side programming.

Writing server-side programs is complicated and requires a detailed understanding of web server interfaces. Fortunately, application servers, such as WebSphere Application Server, are available to simplify this task. Each of these application servers defines a development environment for web applications and provides a run time environment in which the web applications can execute. The application server code, which provides the run time environment, supports the appropriate interface for interacting with the web server. With application servers, you can write programs for the application server's run time environment. Developers of these programs can focus on the business logic of the web application, rather than on making the application work with a web server.

**Components of web-based applications**

All web-based database applications have three primary components: A web browser (or client), a web application server, and a database server.

Web-based database applications rely on a database server, which provides the data for the application. The database server sometimes also provides business logic in the form of stored procedures. Stored procedures can offer significant performance advantages, especially in a multi-tiered architecture. In addition to database servers, other enterprise information system components include IMS databases, WebSphere MQ messages, and CICS records.

The clients handle the presentation logic, which controls the way in which users interact with the application. In some cases, the client validates user-provided input. Web applications sometimes integrate Java applets into the client-side logic to improve the presentation layer.
Applet

A Java program that is part of a Hypertext Markup Language (HTML) page. (HTML is the standard method for presenting web data to users.) Applets work with Java-enabled browsers, such as Microsoft Internet Explorer; they are loaded when the HTML page is processed.

Web application servers manage the business logic. The business logic, typically written in Java, supports multitiered applications. The web application server can manage requests from a variety of remote clients. The web application layer might include JavaServer Pages (JSP) files, Java servlets, Enterprise JavaBeans (EJB) components, or web services.

JSP

A technology that provides a consistent way to extend web server functionality and create dynamic web content. The web applications that you develop with JSP technology are server and platform independent.

Servlet

A Java program that responds to client requests and generates responses dynamically.

EJB

A component architecture for building distributed applications with the Java programming model. Server transactional components are reusable and provide portability across application servers.

Web services

Self-contained, modular applications that provide an interface between the provider and the consumer of application resources. You can read more about web services later in this information.

Architectural characteristics of web-based applications

Some web-based applications use a two-tier architecture, and others use an n-tier architecture that consists of three or more tiers.

Two-tier architecture

In a two-tier architecture, the client is on the first tier. The database server and web application server reside on the same server machine, which is the second tier. This second tier serves the data and executes the business logic for the web application. Organizations that favor this architecture typically prefer to consolidate their application capabilities and database server capabilities on a single tier. The second tier is responsible for providing the availability, scalability, and performance characteristics for the organization's web environment.

n-tier architecture

In an n-tier architecture, application objects are distributed across multiple logical tiers, typically three or four.

In a three-tier architecture, the database server does not share a server machine with the web application server. The client is on the first tier, as it is in a two-tier architecture. On the third tier, the database server serves the data. For performance reasons, the database server typically uses stored procedures to handle some of the business logic. The application server resides on the second tier. The application server handles the portion of the business logic that does not require the functionality that the database server provides. In this approach, hardware and software components of the second and third tiers share responsibility for the availability, scalability, and performance characteristics of the web environment.
In a four-tier architecture, more than one logical tier can exist within the
middle tier or within the enterprise information system tier. For example:

- The middle tier might consist of more than one web server.
  Alternatively, an intermediate firewall can separate the web server from
  the application server in the middle tier.
- A database server on tier three can be the data source for a web server
  on the middle tier, and another database server on tier four is the data
  source for a database server on tier three.

If you survey all the web applications that are available today, you would find
many variations. For example, the database servers can run on various platforms,
as can the clients. Designers of web applications use various tools, which affect
how the applications work and how they look. Different companies choose
different tools. The puzzle pieces that comprise one company’s puzzles end up
being different from the puzzles of other companies.

In many cases, the client and server for a web application are on different
operating systems. The client, for example, can be on a workstation-based
operating system, such as Windows XP or UNIX. The server for the application can
also be on a workstation-based server, or it can be on an enterprise server, such as
z/OS. The following figure shows the two-tier connectivity between a
workstation-based client and both types of servers.

![Diagram showing two-tier connectivity between a workstation-based client and different database servers](image)

*Figure 51. Two-tier connectivity between a workstation-based client and different database servers*
The browser uses Hypertext Transfer Protocol (HTTP) to forward user requests to a second-tier server machine. (HTTP is a communication protocol that the web uses.) The web server on the second tier invokes the local database server to satisfy the data requirements of the application.

The following figure illustrates the use of an \( n \)-tier architecture. In this example, two web servers are installed on the middle tier: an HTTP server, such as the IBM HTTP Server, and a web application server, such as WebSphere Application Server. The application server supports the various components that might be running on the middle tier (JSP files, servlets, EJB, and web services). Each of these components performs functions that support client applications.

In the WebSphere Application Server environment, a device on tier one, such as a browser, can use HTTP to access the HTTP server on the middle tier. The HTTP server can then render output that is produced by JSPs, servlets, and other components that run in a WebSphere Application Server environment. The JSPs or servlets can use JDBC, SQLJ, or EJB (indirectly) to access data at a DB2 database server on the third tier.

![n-tier architecture diagram](image)

**Figure 52.** \( n \)-tier connectivity with a workstation-based client, two web servers, and different database servers

**Benefits of DB2 for z/OS as a server**

For each type of architecture, DB2 for z/OS offers a robust solution for web applications.

Specifically, using DB2 for z/OS as a database server for a web application provides the following advantages:

- **Exceptional scalability.** The volume of transactions on any web application varies. Transaction loads can increase, or spike, at different times of the day, on different days of the month, or at different times of the year. Transaction loads also tend to increase over time. In a Parallel Sysplex environment, DB2 for z/OS can handle the full range of transaction loads with little or no impact on performance. Any individual user is generally unaware of how busy the system is at a given point in time.
• **High degree of availability.** When DB2 for z/OS runs in a Parallel Sysplex environment, the availability of data and applications is very high. If one DB2 subsystem is unavailable, for example, because of maintenance, other DB2 subsystems in the Sysplex take over the workload. Users are unaware that part of the system is unavailable because they have access to the data and applications that they need.

• **Ability to manage a mixed workload.** DB2 for z/OS effectively and efficiently manages priorities of a mixed workload as a result of its tight integration with z/OS Workload Manager.

• **Protection of data integrity.** Users of DB2 for z/OS can benefit from the product's well-known strength in the areas of security and reliability.

### Web-based applications and WebSphere Studio Application Developer

The WebSphere Studio Application Developer offers features that developers can use to create web-based applications.

WebSphere Studio Application Developer is designed for developers of Java and J2EE applications who require integrated web, XML, and web services support. This tool includes many built-in facilities and plug-ins that ease the task of accessing data stored in DB2 databases. (A plug-in is the smallest unit of function that can be independently developed and delivered.)

Each WebSphere Studio product offers the same integrated development environments and a common base of tools. Each product builds on the function of another product with additional plug-in tools. For example, WebSphere Studio Application Developer includes all WebSphere Studio Site Developer function plus plug-ins for additional function such as Enterprise JavaBeans support.

**WebSphere Studio Site Developer**

Offers a visual development environment that makes collaboration easy for web development teams.

**WebSphere Studio Application Developer**

Provides a development environment for developers of Java applications and adds tools for developing EJB applications.

**WebSphere Studio Application Developer Integrated Edition**

Includes WebSphere Studio Application Developer function and adds tools for integration with back-end systems.

**WebSphere Studio Enterprise Developer**

Includes WebSphere Studio Application Developer Integrated Edition function and additional function such as z/OS application development tools.

WebSphere Studio Application Developer provides an IDE for building, testing, debugging, and implementing many different components. Those components include databases, web, XML, and Java components. Java components include Java J2EE applications, JSP files, EJ Bs, servlets, and applets.

Because WebSphere Studio Application Developer is portable across operating systems, applications that you develop with WebSphere Studio Application Developer are highly scalable. This means that you can develop the applications on one system (such as AIX) and run them on much larger systems (such as z/OS).
WebSphere Studio Application Developer supports the Java 2 Enterprise Edition (J2EE) server model. J2EE is a set of specifications for working with multi-tiered applications on the J2EE platform. The J2EE platform includes services, APIs, and protocols for developing multi-tiered, web-based applications. The following figure shows a multi-tiered application development environment that supports web applications and J2EE applications.

Each WebSphere Studio product uses perspectives. A perspective is a set of views, editors, and tools that developers use to manipulate resources. You can use some of these perspectives to access DB2 databases.

**Data perspective**
Developers use the data perspective to manage the database definitions and connections that they need for application development. You can connect to DB2 databases and import database definitions, schemas, tables, stored procedures, SQL user-defined functions, and views. WebSphere Studio Application Developer provides an SQL editor that helps you create and modify SQL statements.

Using the data perspective, developers can create the following types of DB2 routines:
- SQL and Java stored procedures
- SQL user-defined functions
- User-defined functions that read or receive messages from WebSphere MQ message queues

When developers write stored procedures that use JDBC or SQL, they can then create a wrapper for the stored procedure as JavaBeans or as a method within a session EJB. Wrapping a stored procedure avoids duplicating its business logic in other components and might result in a performance benefit. (A wrapper encapsulates an object and alters the interface or behavior of the object in some way. Session beans are enterprise beans that exist during one client/server session only.)

**J2EE perspective**
Developers work with the J2EE perspective to create EJB applications for accessing DB2. The J2EE perspective supports EJB 1.1 and EJB 2.0. This perspective provides graphical tools for viewing and editing DB2 schemas that help developers map entity EJBs to DB2 tables. Entity beans are enterprise beans that contain persistent data.
WebSphere Studio Application Developer also provides a feature that automatically generates a session EJB method to invoke a DB2 stored procedure.

**Web perspective**

Developers use the web perspective to generate web pages from SQL statements. WebSphere Studio Application Developer provides a tag library of JSP actions for database access. A tag library defines custom tags that are used throughout a document. Using the JSP tag libraries, developers can run SQL statements and stored procedures. They can easily update or delete the result sets that the SQL statements or stored procedures return.

**Web services perspective**

Developers use a built-in XML editor to create XML files for building DB2 web service applications based on SQL statements.

**Related concepts:**

“Development of DB2 applications in integrated development environments” on page 167

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**XML and DB2**

You can use XML in a DB2 database. XML, which stands for Extensible Markup Language, is a text-based tag language. Its style is similar to HTML, except that XML users can define their own tags.

The explosive growth of the Internet was a catalyst for the development and industry-wide acceptance of XML. Because of the dramatic increase of on demand business applications, organizations need to exchange data in a robust, open format. The options that were available before the development of XML were Standard Generalized Markup Language (SGML) and HTML. SGML is too complex for wide use on the web. HTML is good for the presentation of web pages, but it is not designed for the easy exchange of information. XML has emerged as a useful and flexible simplification of SGML that enables the definition and processing of documents for exchanging data between businesses, between applications, and between systems.

You can think of HTML as a way of communicating information between computers and people. You can think of XML as a way of communicating information between computers. You can convert XML to HTML so that people can view the information.

**Benefits of using XML with DB2 for z/OS**

Organizations can gain a number of benefits by using XML with DB2 for z/OS, including improved customer relationships, optimized internal operations, maximized partnerships, and choices in tools and software.

With XML, organizations can gain these benefits:

**Improved customer relationships**

XML lets you deliver personalized information to customers, enable new distribution channels, and respond faster to customer needs.

**Optimized internal operations**

With XML, you can drive business data from your existing systems to the Web. XML enables you to automate transactions that do not require human interaction.
Maximized partnerships
Because of the widespread use of XML in the industry, you can easily share information with suppliers, buyers, and partners.

Tools and software
You can take advantage of many XML tools and software, such as WebSphere Studio, XML parsers and processors, and the SQL/XML publishing function.

XML vocabularies exist for specific industries to help organizations in those industries standardize their use of XML. An XML vocabulary is an XML description that is designed for a specific purpose. Widespread industry use of XML has resulted in more effective and efficient business-to-business transactions.

Ways to use XML with DB2 for z/OS
Organizations use XML for document processing and for publishing information on the web. There are various publishing functions and tools that help you integrate XML with DB2 data.

To integrate XML with DB2 data, you can use the SQL/XML publishing functions. The native XML, or pureXML, support in DB2 offers efficient and versatile capabilities for managing your XML data. DB2 stores and processes XML in its inherent hierarchical format, avoiding the performance and flexibility limitations that occur when XML is stored as text in CLOBs or mapped to relational tables.

SQL/XML publishing functions allow applications to generate XML data from relational data. XML is a popular choice when you want to send DB2 data to another system or to another application in a common format. You can choose from one of several ways to publish XML documents:

- Use SQL/XML functions that are integrated with DB2.
  DB2 integrates SQL/XML publishing functions into the DB2 product. A set of SQL built-in functions allows applications to generate XML data from DB2 data with high performance. The SQL/XML publishing functions can reduce application development efforts in generating XML for data integration, information exchange, and web services.
- Take advantage of DB2 web services support.
  Web services provide a way for programs to invoke other programs, typically on the Internet, that transmit input parameters and generate results as XML.
- Use a tool to code XML.
  WebSphere Studio provides a development environment for publishing XML documents from relational data.

Related concepts:
“pureXML” on page 64
“SOA, XML, and web services”

SOA, XML, and web services
XML data is a key ingredient for solutions that are based on service-oriented architecture (SOA). You can leverage XML-based SOA applications to build XML-based web services.

Web services are sets of business functions that applications or other web services can invoke over the Internet. A web service performs a useful service on behalf of a requester. That service can span across many businesses and industries.
Example: Assume that an airline reservation system is a web service. By offering this service, the airline makes it easier for its customers to integrate the service into their travel-planning applications. A supplier can also use the service to make its inventory and pricing information accessible to its buyers.

Web services let you access data from a variety of databases and Internet locations. DB2 can act as a web services requester, enabling DB2 applications to invoke web services through SQL. DB2 can also act as a web services provider through DB2 WORF (web services object run time framework), in conjunction with WebSphere Application Server, enabling you to access DB2 data and stored procedures as web services.

The functions that web services perform can be anything from simple requests to complicated business processes. You can define a basic web service by using standard SQL statements.

Using XML for data exchange, web services support the interaction between a service provider and a service requester that is independent of platforms and programming languages. The web services infrastructure includes these basic elements:

Simple Object Access Protocol (SOAP)
SOAP uses XML messages for exchanging information between service providers and service requesters. SOAP defines components of web services, which include XML messages, data types that applications use, and remote procedure calls and responses.

Web Services Description Language (WSDL)
WSDL describes what a web service can do, where the service resides, and how to invoke the service. WSDL specifies an XML vocabulary that contains all information that is needed for integration and that automates communication between web services applications.

Universal Description, Discovery, and Integration (UDDI)
UDDI provides a registry of business information, analogous to a telephone directory, that users and applications use to find required web services.

Representational State Transfer (REST)
You can use REST with the IBM Data Studio Developer tooling for Data Web Services. If you use REST bindings, you can invoke your web services with the following methods:

- HTTP GET
- HTTP POST
- HTTP POST in XML

You can use WebSphere products to build web service applications. WebSphere Studio provides tools for creating web services that include WSDL interfaces and publishing directly to a UDDI registry.
Chapter 12. Distributed data access

Distributed computing environments typically involve requests from users at one DBMS client that are processed by a DBMS server. The server DBMS is typically remote to the client. Certain programming techniques and performance implications apply to distributed computing environments.

The DB2 distributed environment supports both a two-tier and a multitier architecture.

A DBMS, whether local or remote, is known to your DB2 subsystem by its location name. Remote systems use the location name, or an alias location name, to access a DB2 subsystem. You can define a maximum of eight location names for a DB2 subsystem.

The location name of the DB2 subsystem is defined in the BSDS during DB2 installation. The communications database (CDB) records the location name and the network address of a remote DBMS. The CDB is a set of tables in the DB2 catalog.

The primary method that DB2 uses for accessing data at a remote server is based on Distributed Relational Database Architecture (DRDA).

If your application performs updates to two or more DBMSs, a transaction manager coordinates the two-phase commit process and guarantees that units of work are consistently committed or rolled back. If DB2 requests updates to two or more DBMSs, DB2 acts as the transaction manager. The distributed commit protocols that are used on the network connection dictate whether both DBMSs can perform updates or whether updates are restricted to a single DBMS.

The examples that follow show statements that you can use to access distributed data.

Example 1: To access data at a remote server, write statements like the following example:

EXEC SQL
   CONNECT TO CHICAGO;
SELECT * FROM IDEMP01.EMP
   WHERE EMPNO = '000030';

You can also accomplish the same task by writing the query like the following example:

SELECT * FROM CHICAGO.IDEMP01.EMP
   WHERE EMPNO = '000030';

Before you can execute either query at location CHICAGO, you must bind a package at the CHICAGO server.

Example 2: You can call a stored procedure to access data at a remote server. Your program executes these statements:
EXEC SQL
CONNECT TO ATLANTA;
EXEC SQL
CALL procedure_name (parameter_list);

The parameter list is a list of host variables that is passed to the stored procedure and into which it returns the results of its execution. The stored procedure must exist at location ATLANTA.

Related concepts:
- “Distributed data” on page 60
- “Data distribution and Web access” on page 7
- “Effects of distributed data on program preparation” on page 346
- “Web application environment” on page 332

Related tasks:
- Improving performance for applications that access distributed data (DB2 Performance)

Ways to implement distributed data in programs

You can connect to a remote server in different ways. You can code an application that uses DRDA to access data at a remote location by using either CONNECT statements or three-part names and aliases.

Using either method, you must bind the DBRMs for the SQL statements that are to execute at the server to packages that reside at the server.

- At the local DB2 subsystem, use the BIND PLAN command to build an application plan.
- At the remote location, use the BIND PACKAGE command to build an application package that uses the local application plan.

Related concepts:
- The DRDA database protocol (DB2 Installation and Migration)

Explicit CONNECT statements

Using CONNECT statements provides application portability across all DB2 clients and requires the application to manage connections.

With the CONNECT statement, an application program explicitly connects to each server. You must bind the DBRMs for the SQL statements that are to execute at the server to packages that reside at that server.

The application connects to each server based on the location name in the CONNECT statement. You can explicitly specify a location name, or you can specify a location name in a host variable. Issuing the CONNECT statement changes the special register CURRENT SERVER to show the location of the new server.

Example: Assume that an application includes a program loop that reads a location name, connects to the location, and executes an INSERT statement. The application inserts a new location name into a host variable, LOCATION_NAME, and executes the following statements:
EXEC SQL
   CONNECT TO :LOCATION_NAME;
EXEC SQL
   INSERT INTO IDP101.PROJ VALUES (:PROJNO, :PROJNAME, :DEPTNO,
                                 :RESPEMP, :MAJPROJ);

The host variables match the declaration for the PROJ table.

DB2 guarantees the consistency of data across a distributed transaction. To keep
the data consistent at all locations, the application commits the work only after the
program loop executes for all locations. Either every location commits the INSERT,
or, if a failure prevents any location from inserting, all other locations roll back the
INSERT.

Related concepts:

- The DRDA database protocol (DB2 Installation and Migration)

Three-part names

Using three-part object names and aliases provides the application with location
transparency; objects can move to a new location without requiring changes to the
application. Instead, the DBMS manages the underlying connections.

You can use three-part names to access data at a remote location, including tables
and views. Using a three-part name, or an alias, an application program implicitly
connects to each server. With these access methods, the database server controls
where the statement executes.

A three-part name consists of:

- A LOCATION name that uniquely identifies the remote server that you want to
  access
- An AUTHORIZATION ID that identifies the owner of the object (the table or
  view) at the location that you want to access
- An OBJECT name that identifies the object at the location that you want to
  access

Example: This example shows how an application uses a three-part name in
INSERT, PREPARE, and EXECUTE statements. Assume that the application obtains
a location name, 'SAN_JOSE'. Next, it creates the following character string:

INSERT INTO SAN_JOSE.IDP101.PROJ VALUES (?, ?, ?, ?, ?)

The application assigns the character string to the variable INSERTX, and then
executes these statements:

EXEC SQL
   PREPARE STMT1 FROM :INSERTX;
EXEC SQL
   EXECUTE STMT1 USING :PROJNO, :PROJNAME, :DEPTNO, :RESPEMP, :MAJPROJ;

The host variables match the declaration for the PROJ table.

Recommendation: If you plan to port your application from a z/OS server to
another server, you should not use three-part names. For example, a client
application might connect to a z/OS server and then issue a three part-name for an object that resides on a Linux server. DB2 for z/OS is the only server that automatically forwards SQL requests that reference objects that do not reside on the connected server.

A convenient alternative approach is to use aliases when creating character strings that become prepared statements, instead of using full three-part names.

Related concepts:
The DRDA database protocol (DB2 Installation and Migration)

Aliases
An alias is a substitute for the three-part name of a table or view.

An alias can be defined at a local server and can refer to a table or view that is at the current server or a remote server. The alias name can be used wherever the table name or view name can be used to refer to the table or view in an SQL statement.

Suppose that data is occasionally moved from one DB2 subsystem to another. Ideally, users who query that data are not affected when this activity occurs. They always want to log on to the same system and access the same table or view, regardless of where the data resides. You can achieve this result by using an alias for an object name.

An alias can be a maximum of 128 characters, qualified by an owner ID. You use the CREATE ALIAS and DROP ALIAS statements to manage aliases.

Note: Assume that you create an alias as follows:
CREATE ALIAS TESTTAB FOR USIBMSTODB22.IDEMP01.EMP;

If a user with the ID JONES dynamically creates the alias, JONES owns the alias, and you query the table like this:
SELECT SUM(SALARY), SUM(BONUS), SUM(COMM)
FROM JONES.TESTTAB;

The object for which you are defining an alias does not need to exist when you execute the CREATE ALIAS statement. However, the object must exist when a statement that refers to the alias executes.

When you want an application to access a server other than the server that is specified by a location name, you do not need to change the location name. Instead, you can use a location alias to override the location name that an application uses to access a server. As a result, a DB2 for z/OS requester can access multiple DB2 databases that have the same name but different network addresses. Location aliases allow easier migration to a DB2 server and minimize application changes.

After you create an alias, anyone who has authority over the object that the alias is referencing can use that alias. A user does not need a separate privilege to use the alias.

Related reference:
Comparison of three-part names and aliases

Three-part names and aliases have their own unique advantages. Understanding the differences and advantages helps you make good choices for your distributed environment.

You can always use three-part names to reference data at another remote server. The advantage of three-part names is that they allow application code to run at different DB2 locations without the additional overhead of maintaining aliases. However, if the table locations change, you must also change the affected applications.

The advantage of aliases is that they allow you to move data around without needing to modify application code or interactive queries. However, if you move a table or view, you must drop the aliases that refer to those tables or views. Then, you can re-create the aliases with the new location names.

Related concepts:
“Aliases” on page 344

Ways that other tasks are affected by distributed data

When you operate in a distributed environment, you need to consider how the environment affects planning and programming activities.

Effects of distributed data on planning

When you work in a distributed environment, you need to consider how authorization works and the cost of running SQL statements.

The appropriate authorization ID must have authorization at a remote server to connect to and to use resources at that server.

You can use the resource limit facility at the server to set resource limits for distributed SQL statements. Resource limits apply only to certain dynamic SQL statements. Using this facility, a server can govern how much of its resources a given package can consume by using DRDA access.

Related concepts:
“Distributed data” on page 66
Remote servers and distributed data (DB2 Application programming and SQL)
Coding methods for distributed data (DB2 Application programming and SQL)

Related tasks:
Granting privileges for accessing distributed data (Managing Security)
Improving performance for applications that access distributed data (DB2 Performance)
Limiting resources for statements from remote locations (DB2 Performance)

Related information:
Managing distributed access (Managing Security)
**Effects of distributed data on programming**

There are several effects that you must be aware of when you write programs for a distributed environment.

Keep in mind the following considerations when you write programs that are used in a distributed environment:

- **Stored procedures**
  If you use DRDA access, your program can call stored procedures. Stored procedures behave like subroutines that can contain SQL statements and other operations.

- **Three-part names and multiple servers**
  Assume that a statement runs at a remote server (server 1). That statement uses a three-part name or an alias that resolves to a three-part name. The statement includes a location name of a different server (server 2). To ensure that access to the second remote server is by DRDA access, bind the package that contains the three-part name at the second server.

- **SQL differences at servers other than DB2 for z/OS**
  With explicit connections, a program that uses DRDA access can use SQL statements that a remote server supports, even if the local server does not support them. A program that uses three-part object names cannot execute non-z/OS SQL.

**Effects of distributed data on program preparation**

In a distributed data environment, several items affect precompile and bind options that are used for DRDA access and package resolution.

The following table lists the z/OS precompiler options that are relevant to preparing a package that is to be run using DRDA access.

<table>
<thead>
<tr>
<th>z/OS precompiler options</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>CONNECT</td>
<td>Use CONNECT(2) to allow your application program to make updates at more than one DBMS.</td>
</tr>
<tr>
<td>SQL</td>
<td>Use SQL(ALL) for binding a package to a non-z/OS server; otherwise, use SQL(DB2).</td>
</tr>
</tbody>
</table>

Usually, binding a package to run at a remote location is like binding a package to run at your local DB2 subsystem. Binding a plan to run the package is like binding any other plan. The following table gives you guidelines for which z/OS bind options to choose when binding a package and planning to run using DRDA access.

<table>
<thead>
<tr>
<th>z/OS bind options</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>DEFER(PREpare)</td>
<td>For dynamic SQL, use DEFER(PREpare) to send PREPARE and EXECUTE statements together over the network to improve performance.</td>
</tr>
<tr>
<td>SQLERROR</td>
<td>Use SQLERROR(CONTINUE) to create a package even if the bind process finds SQL errors.</td>
</tr>
</tbody>
</table>
Table 51. z/OS bind options for DRDA access (continued)

<table>
<thead>
<tr>
<th>z/OS bind options</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>SQLRULES</td>
<td>Use SQLRULES(DB2) for more flexibility in coding your applications, particularly for LOB data, and to improve performance.</td>
</tr>
</tbody>
</table>

JDBC, SQLJ, and ODBC use different methods for binding packages that involve less preparation for accessing a z/OS server.

The CURRENT PACKAGE PATH special register provides a benefit for applications that use DRDA from a z/OS requester. The package collection ID is resolved at the server. Applications on the server can take advantage of the list of collections, and network traffic is minimal.

Example: Assume that five packages exist and that you want to invoke the first package at the server. The package names are SCHEMA1.PKG1, SCHEMA2.PKG2, SCHEMA3.PKG3, SCHEMA4.PKG4, and SCHEMA5.PKG5. Rather than issuing a SET CURRENT PACKAGE SET statement to invoke each package, you can use a single SET statement if the server supports the CURRENT PACKAGE PATH special register:

```
SET CURRENT PACKAGE PATH = SCHEMA1, SCHEMA2, SCHEMA3, SCHEMA4, SCHEMA5;
```

Related concepts:

- “Preparation process for an application program” on page 172

How updates are coordinated across distributed systems

Various products are available to work with DB2 to coordinate updates across a distributed transaction. DB2 coordinates updates at servers that support different types of connections.

Related concepts:

- The DRDA database protocol (DB2 Installation and Migration)

DB2 transaction manager support

DB2 supports a wide range of transaction manager products to coordinate updates across a distributed transaction. A distributed transaction typically involves multiple recoverable resources, such as DB2 tables, MQSeries messages, and IMS databases.

Application environments that use DB2 Connect to access DB2 remotely can use the following transaction manager products:
- WebSphere Application Server
- CICS
- IBM TXSeries (CICS and Encina)
- WebSphere MQ
- Microsoft Transaction Server (MTS)
- Java applications that support Java Transaction API (JTA) and Enterprise JavaBeans (EJBs)
- BEA (Tuxedo and WebLogic)
• Other transaction manager products that support standard XA protocols

The XA interface is a bidirectional interface between a transaction manager and resource managers that provides coordinated updates across a distributed transaction. The Open Group defined XA protocols based on the specification Distributed TP: The XA Specification.

Application environments that access DB2 locally can use the following transaction manager products:
• WebSphere Application Server
• CICS transaction server
• IMS

For application environments that do not use a transaction manager, DB2 coordinates updates across a distributed transaction by using DRDA-protected connections.

Related concepts:

Servers that support two-phase commit

Updates in a two-phase commit situation are coordinated if they must all commit or all roll back in the same unit of work.

Example: You can update an IMS database and a DB2 table in the same unit of work. Suppose that a system or communication failure occurs between committing the work on IMS and on DB2. In that case, the two programs restore the two systems to a consistent point when activity resumes.

DB2 coordinates commits even when a connection is using one-phase commit in a distributed transaction. In this case, however, only one location can perform an update.

Related concepts:

Servers that do not support two-phase commit

In a distributed transaction, DB2 can coordinate a mixture of two-phase and one-phase connections.

You cannot have coordinated updates with a DBMS that does not implement two-phase commit. You can, however, achieve the effect of coordinated updates when you access a server that does not implement two-phase commit; such a server is called a restricted system.

DB2 prevents you from updating both a restricted system and any other system in the same unit of work. In this context, update includes the statements INSERT, DELETE, UPDATE, CREATE, ALTER, DROP, GRANT, REVOKE, and RENAME.

You can achieve the effect of coordinated updates with a restricted system. You must first update one system and commit that work, and then update the second system and commit its work. However, suppose that a failure occurs after the first update is committed and before the second update is committed. No automatic provision is available to bring the two systems back to a consistent point. Your program must handle that task.
When you access a mixture of systems, some of which might be restricted, you can take the following actions to ensure data integrity:

- Read from any of the systems at any time.
- Update any one system many times in one unit of work.
- Update many systems, including CICS or IMS, in one unit of work if no system is a restricted system. If the first system you update is not restricted, any attempt to update a restricted system within a unit of work results in an error.
- Update one restricted system in a unit of work. You can do this action only if you do not try to update any other system in the same unit of work. If the first system that you update is restricted, any attempt to update another system within that unit of work results in an error.

Related concepts:

- The DRDA database protocol (DB2 Installation and Migration)

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**Ways to reduce network traffic**

The key to improving performance in a network computing environment is to minimize network traffic.

Stored procedures are an excellent method for sending many SQL statements in a single network message and, as a result, running many SQL statements at the DB2 server. This topic introduces you to other ways to improve performance when accessing remote servers.

Related tasks:

- [Improving performance for applications that access distributed data (DB2 Performance)](Improving_performance_for_applications_that_access_distributed_data)
PREPARE, DESCRIBE, and OPEN. A DB2 server that receives this message sequence returns a single reply message sequence that includes the output from the PREPARE, DESCRIBE, and OPEN operations. As a result, the number of network messages that are sent and received for these operations is reduced from two to one.

Related tasks:
- Improving performance for applications that access distributed data (DB2 Performance)
- Choosing an ISOLATION option (DB2 Performance)

Related reference:
- group-by-clause (DB2 SQL)
- having-clause (DB2 SQL)
- read-only-clause (DB2 SQL)
- where-clause (DB2 SQL)
- PREPARE (DB2 SQL)

Reduction in the volume of messages

DB2 capabilities that combine multiple rows of data during fetch and insert operations can significantly reduce the number of messages that are sent across the network. Those capabilities include block fetch and rowset fetches and inserts.

Related tasks:
- Improving performance for applications that access distributed data (DB2 Performance)

Block fetch

You can use block fetch to retrieve a set of rows and transmit them all in one message over the network.

DB2 uses a block fetch to group the rows that an SQL query retrieves into as large a “block” of rows as can fit in a message buffer, and then transmits the block over the network. By sending multiple rows in a block, DB2 avoids sending a message for every row.

A block fetch is used only with cursors that do not update data.

DB2 can use two different types of block fetch:

Limited block fetch

An operation that optimizes data transfer by minimizing the number of messages that are transmitted from the requester whenever a remote fetch operation is performed.

Continuous block fetch

An operation that optimizes data transfer by minimizing the number of messages that are transmitted from the requester to retrieve the entire result set. In addition, overlapped processing is performed at the requester and the server.

To use block fetch, DB2 must determine that the cursor is not used for update or delete. You can indicate in your program by adding the clause FOR READ ONLY or FOR FETCH ONLY to the query. If you do not specify FOR READ ONLY or
FOR FETCH ONLY, the way in which DB2 uses the cursor determines whether it uses block fetch. For scrollable cursors, the sensitivity of the cursor and the bind options affect whether DB2 can use block fetch.

Related concepts:
- Limited block fetch (DB2 Performance)
- Continuous block fetch (DB2 Performance)

Related tasks:
- Improving performance for applications that access distributed data (DB2 Performance)
- Ensuring block fetch (DB2 Performance)

Related reference:
- read-only-clause (DB2 SQL)
- DECLARE CURSOR (DB2 SQL)

Rowset fetches and inserts
For rowset-positioned cursors, when the cursor is opened for rowset processing, the answer set is returned in a single query block. The query block contains exactly the number of rows that are specified for the rowset.

Because a rowset is returned in a single query block, the size of a rowset is limited to 10 MB. This rowset size minimizes the impact to the network when retrieving a large rowset with a single fetch operation.

Rowset-positioned cursors also allow multiple-row inserts. The INSERT statement, in addition to the FOR n ROWS clause, inserts multiple rows into a table or view, by using values that host-variable arrays provide. With multiple-row inserts, rather than INSERT statements being sent for each individual insert, all insert data is sent in a single network message.

Related concepts:
- "Row retrieval with a cursor" on page 179

Related tasks:
- Improving performance for applications that access distributed data (DB2 Performance)

Optimization for large and small result sets
Several options on the SELECT statement let you limit the number of rows that are returned to a client program.

Enabling a DB2 client to request that multiple query blocks on each transmission can reduce network activity and improve performance significantly for applications that use DRDA access to download large amounts of data.

You can specify a large value of n in the OPTIMIZE FOR n ROWS clause of a SELECT statement to increase the number of DRDA query blocks that a DB2 server returns in each network transmission for a nonscrollable cursor.

If n is greater than the number of rows that fit in a single DRDA query block, the OPTIMIZE FOR n ROWS clause lets the DRDA client request multiple blocks of query data on each network transmission instead of requesting another block when the first block is full. This use of the OPTIMIZE FOR n ROWS clause is intended
for applications that open a cursor and download large amounts of data. The
OPTIMIZE FOR \( n \) ROWS clause does not affect scrollable cursors.

When a client does not need all the rows from a potentially large result set,
preventing the DB2 server from returning all the rows for a query can reduce
network activity and improve performance significantly for DRDA applications.
You can use either the OPTIMIZE FOR \( n \) ROWS clause or the FETCH FIRST \( n \)
ROWS ONLY clause of a SELECT statement to limit the number of rows that are
returned to a client program.

Related tasks:
- Improving performance for applications that access distributed data (DB2
  Performance)
- Optimizing retrieval for a small set of rows (DB2 Application programming
  and SQL)
- Fetching a limited number of rows (DB2 Performance)

Related reference:
- optimize-clause (DB2 SQL)
- fetch-first-clause (DB2 SQL)
Chapter 13. Data sharing with your DB2 data

The data sharing function of DB2 for z/OS enables applications that run on more than one DB2 for z/OS subsystem to read from and write to the same set of data concurrently.

DB2 subsystems that share data must belong to a DB2 data sharing group, which runs on a zSeries Parallel Sysplex cluster. A data sharing group is a collection of one or more DB2 subsystems that access shared DB2 data.

A Parallel Sysplex is a cluster of z/OS systems that communicate and cooperate with each other. The Parallel Sysplex is a highly sophisticated cluster architecture. It consists of two key pieces of technology:

**Coupling facility**
Provides specialized hardware, specialized high-speed links and adaptors, and a shared, nonvolatile electronic storage for fast intersystem data sharing protocols.

**Sysplex Timer**
Provides a common time source across all the systems in the cluster, thereby delivering an efficient way to provide log-record sequencing and event ordering across the different systems.

The coupling facility and the Sysplex Timer are exclusive to the System z environment. They provide strong performance and scalability in a multisystem clustered DBMS environment with shared disks.

Each DB2 subsystem that belongs to a particular data sharing group is a member of that group. All members of a data sharing group use the same shared DB2 catalog.

You can use some capabilities that are described in this information regardless of whether you share data. The term data sharing environment refers to a situation in which a data sharing group is defined with at least one member. In a non-data-sharing environment, no group is defined.

**Related concepts:**
“Availability and scalability for large businesses” on page 3

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**Advantages of DB2 data sharing**

You can use data sharing to enhance the capabilities of DB2.

DB2 data sharing improves DB2 availability, enables scalable growth, and provides more flexible ways to configure your environment. You don’t need to change SQL in your applications to use data sharing, although you might need to do some tuning for optimal performance.

**Related concepts:**
Advantages of DB2 data sharing (DB2 Data Sharing Planning and Administration)
Improved availability of data

DB2 data sharing helps you meet your service objective by improving availability during both planned and unplanned outages.

As the following figure illustrates, if one subsystem fails, users can access their DB2 data from another subsystem. Transaction managers are informed that DB2 is down and can switch new user work to another DB2 subsystem in the group. For unplanned outages, the z/OS automatic restart manager can automate restart and recovery.

Although the increased availability of DB2 has some performance cost, the overhead for interprocessor communication and caching changed data is minimized. DB2 provides efficient locking and caching mechanisms and uses coupling facility hardware. A coupling facility is a special logical partition that runs the coupling facility control program. It provides high-speed caching, list processing, and locking functions in a Sysplex. The DB2 structures in the coupling facility benefit from high availability. The coupling facility uses automatic structure rebuild and duplexing of the structures that are used for caching data.

Related concepts:
- Advantages of DB2 data sharing (DB2 Data Sharing Planning and Administration)

Scalable growth

As you move more data processing into a DB2 environment, your processing needs can exceed the capacity of a single system. Data sharing might relieve that constraint.

DB2 for z/OS is optimized to use your existing hardware more efficiently and manage a larger workload. DB2 now has more 64 bit storage, and supports more concurrent threads than previous versions. These improvements greatly increase the capabilities of a single system, which reduces the cost of new hardware, software, and maintenance. However, there are several ways to scale the capabilities of your system to meet your business needs.
Without data sharing

Without DB2 data sharing, you have the following options:

- Copy the data, or split the data into separate DB2 subsystems.
  
  This approach requires that you maintain separate copies of the data. No communication takes place among DB2 subsystems, and the DB2 catalog is not shared.

- Install another DB2 subsystem, and rewrite applications to access the original data as distributed data.
  
  This approach might relieve the workload on the original DB2 subsystem, but it requires changes to your applications and has performance overhead of its own. Nevertheless, for DB2 subsystems that are separated by great distance or for a DB2 subsystem that needs to share data with a system that outside the data sharing group, the distributed data facility is still your only option.

- Install a larger processor and move data and applications to that machine.
  
  This option can be expensive. In addition, this approach requires your system to come down while you move to the new, larger machine.

With data sharing

With DB2 data sharing, you can take advantage of the following benefits:

**Incremental growth**

The Parallel Sysplex cluster can grow incrementally. You can add a new DB2 subsystem onto another central processor complex and access the same data through the new DB2 subsystem. You no longer need to manage copies or distribute data. All DB2 subsystems in the data sharing group have concurrent read-write access, and all DB2 subsystems use a single DB2 catalog.

**Workload balancing**

DB2 data sharing provides flexibility for growth and workload balancing. With the partitioned data approach to parallelism (sometimes called the *shared-nothing* architecture), a one-to-one relationship exists between a particular DBMS and a segment of data. By contrast, data in a DB2 data sharing environment does not need to be redistributed when you add a new subsystem or when the workload becomes unbalanced. The new DB2 member has the same direct access to the data as all other existing members of the data sharing group.

DB2 works closely with the z/OS Workload Manager (WLM) to ensure that incoming work is optimally balanced across the systems in the cluster. WLM manages workloads that share system resources and have different priorities and resource-use characteristics.

**Example:** Assume that large queries with a low priority are running on the same system as online transactions with a higher priority. WLM can ensure that the queries do not monopolize resources and do not prevent the online transactions from achieving acceptable response times. WLM works in both a single-system and a multisystem (data sharing) environment.

**Capacity when you need it**

A data sharing configuration can handle your peak loads. You can start data sharing members to handle peak loads, such as end-of-quarter processing, and then stop them when the peak passes.
You can take advantage of all these benefits, whether your workloads are for online transaction processing (OLTP), or a mixture of OLTP, batch, and queries.

**Higher transaction rates**

Data sharing gives you opportunities to put more work through the system. As the following figure illustrates, you can run the same application on more than one DB2 subsystem to achieve transaction rates that are higher than are possible on a single subsystem.

![Related concepts](Advantages of DB2 data sharing (DB2 Data Sharing Planning and Administration)

**Flexible configurations**

When you use DB2 data sharing, you can configure your system environment much more flexibly.

As the following figure shows, you can have more than one DB2 data sharing group on the same z/OS Sysplex. You might, for example, want one group for testing and another for production data.
You can also run multiple members on the same z/OS image (not shown in this figure).

**Flexible operational systems**

The following figure shows how, with data sharing, you can have query user groups and online transaction user groups on separate z/OS images. This configuration lets you tailor each system specifically for that user set, control storage contention, and provide predictable levels of service for that set of users. Previously, you might have needed to manage separate copies of data to meet the needs of different user groups.
Flexible decision support systems

The following figure shows two different decision support configurations. A typical configuration separates the operational data from the decision support data. Use this configuration when the operational system has environmental requirements that are different from those of the decision support system. The decision support system might be in a different geographical area, or security requirements might be different for the two systems.

DB2 offers another option—a combination configuration. A combination configuration combines your operational and decision support systems into a single data sharing group and offers these advantages:

- You can occasionally join decision support data and operational data by using SQL.
- You can reconfigure the system dynamically to handle fluctuating workloads. For example, you might choose to dedicate CPCs to decision support processing or operational processing at different times of the day or year.
- You can reduce the cost of computing:
  - The infrastructure that is used for data management is already in place.
  - You can create a prototype of a decision support system in your existing system and then add processing capacity as the system grows.

Figure 57. Flexible configurations with DB2 data sharing. Data sharing lets each set of users access the same data, which means that you no longer need to manage multiple copies.
To set up a combination configuration, separate decision support data from operational data as much as possible. Buffer pools, disks, and control units that you use in your decision support system must be separate from those that you use in your operational system. This separation greatly minimizes any negative performance impact on the operational system.

If you are unable to maintain that level of separation or if you have separated your operational data for other reasons such as security, using a separate decision support system is your best option.

**Flexibility to manage shared data**

Data sharing can simplify the management of applications that must share some set of data, such as a common customer table. Maybe these applications were split in the past for capacity or availability reasons. But with the split architecture, the shared data must be kept in synch across the multiple systems (that is, by replicating data).

Data sharing gives you the flexibility to configure these applications into a single DB2 data sharing group and to maintain a single copy of the shared data that can be read and updated by multiple systems with good performance. This option is
especially powerful when businesses undergo mergers or acquisitions or when data centers are consolidated.

Related concepts:

Protected investments in people and skills

Your investment in people and skills is protected when you use DB2 data sharing because existing SQL interfaces and attachments remain intact when sharing data.

You can bind a package or plan on one DB2 subsystem and run that package or plan on any other DB2 subsystem in a data sharing group.

Related concepts:

How DB2 protects data consistency in a data sharing environment

Applications can access data from any DB2 subsystem in a data sharing group. Many subsystems can potentially read and write the same data. DB2 uses special data sharing mechanisms for locking and caching to ensure data consistency.

When multiple members of a data sharing group have opened the same table space, index space, or partition, and at least one of them has opened it for writing, the data is said to be of inter-DB2 read-write interest to the members. (Sometimes this information uses the term inter-DB2 interest.) To control access to data that is of inter-DB2 interest, whenever the data is changed, DB2 caches it in a storage area that is called a group buffer pool (GBP).

DB2 dynamically detects inter-DB2 interest, which means that DB2 can invoke intersystem data sharing protocols only when data is actively read-write shared between members. DB2 can detect when data is not actively intersystem read-write shared. In these cases, data sharing locking or caching protocols are not needed, which can result in better performance.

When inter-DB2 read-write interest exists in a particular table space, index, or partition, this inter-DB2 read-write interest is dependent on the group buffer pool, or group buffer pool dependent.

You define group buffer pools by using coupling facility resource management (CFRM) policies.

The following figure shows the mapping that exists between a group buffer pool and the buffer pools of the group members. For example, each DB2 subsystem has a buffer pool named BP0. For data sharing, you must define a group buffer pool (GBP0) in the coupling facility that maps to buffer pool BP0. GBP0 is used for caching the DB2 catalog table space and its index, and any other table spaces, indexes, or partitions that use buffer pool BP0.
The same group buffer pool cannot reside in more than one coupling facility (unless it is duplexed).

When a particular page of data is changed, DB2 caches that page in the group buffer pool. The coupling facility invalidates any image of the page that might exist in the buffer pools that are associated with each member. Then, when another DB2 subsystem subsequently requests that same data, that DB2 subsystem looks for the data in the group buffer pool.

**Performance benefits**

The coupling facility provides fast, global locking operations for concurrency control. The Parallel Sysplex offers the following performance and scalability benefits:

- Changed pages are written synchronously to the coupling facility, without the process switching that is associated with disk I/O.
- Buffer invalidation signals are sent and processed without causing any processor interrupts, unlike message-passing techniques.
- A fast hardware instruction detects invalidated buffers, and the coupling facility can refresh invalidated buffers synchronously with no process switching overhead, unlike disk I/O.

**Performance options to fit your application’s needs**

Although the default behavior is to cache only the updated data, you also have options of caching all or none of your data. You even have the option to cache large object (LOB) data.

**Related concepts:**

- How DB2 protects data consistency (DB2 Data Sharing Planning and Administration)

**How updates are made in a data sharing environment**

There are several steps to the update process in a data sharing environment.

---

*Figure 59. Relationship of buffer pools to group buffer pools. One group buffer pool exists for all buffer pools of the same name.*
You might be interested to know what happens to a page of data as it goes through the update process. The most recent version of the data page is shaded in the illustrations. This scenario also assumes that the group buffer pool is used for caching only the changed data (the default behavior) and that it is *duplexed* for high availability. Duplexing is the ability to write data to two instances of a group buffer pool structure: a *primary group buffer pool* and a *secondary group buffer pool*.

Suppose, that as the following figure shows, an application issues an UPDATE statement from DB2A and that the data does not reside in the member's buffer pool or in the group buffer pool. In this case, DB2A must retrieve the data from disk and update the data in its own buffer pool. Simultaneously, DB2A gets the appropriate locks to prevent another member from updating the same data at the same time. After the application commits the update, DB2A releases the corresponding locks. The changed data page remains in DB2A's buffer pool. Because no other DB2 subsystem shares the table at this time, DB2 does not use data sharing processing for DB2A's update.

Next, suppose that another application, which runs on DB2B, needs to update that same data page. (See the following figure.) DB2 knows that inter-DB2 interest exists, so when DB2A commits the transaction, DB2 writes the changed data page to the primary group buffer pool. The write to the backup (secondary) group buffer pool is overlapped with the write to the primary group buffer pool. DB2B then retrieves the data page from the primary group buffer pool.

```
UPDATE EMP
SET JOB = 'DES'
WHERE EMPNO = '000140'
```
After the application that runs on DB2B commits the update, DB2B moves a copy of the data page into the group buffer pool (both primary and secondary), and the data page is invalidated in DB2A’s buffer pool. (See the following figure.)

Cross-invalidation occurs from the primary group buffer pool.

Figure 61. How DB2B updates the same data page. When DB2B references the page, it gets the most current version of the data from the primary group buffer pool.

UPDATE EMP
SET DEPTNO = 'E21'
WHERE EMPNO = '000140'

After the application that runs on DB2B commits the update, DB2B moves a copy of the data page into the group buffer pool (both primary and secondary), and the data page is invalidated in DB2A’s buffer pool. (See the following figure.)

Cross-invalidation occurs from the primary group buffer pool.
Now, as the following figure shows, when DB2A needs to read the data, the data page in its own buffer pool is not valid. Therefore, it reads the latest copy from the primary group buffer pool.

```
SELECT JOB
    FROM EMP
WHERE EMPNO = '000140'
```

Figure 62. *The updated page is written to the group buffer pool. The data page is invalidated in DB2A's buffer pool.*

Figure 63. *DB2A reads data from the group buffer pool*
Unlike disk-sharing systems that use traditional disk I/O and message-passing techniques, the coupling facility offers these advantages:

- The group buffer pool interactions are CPU-synchronous. CPU-synchronous interactions provide good performance by avoiding process-switching overhead and by maintaining good response times.
- The cross-invalidation signals do not cause processor interrupts on the receiving systems; the hardware handles them. The signals avoid process-switching overhead and CPU cache disruptions that can occur if processor interrupts are needed to handle the incoming cross-invalidations.

Related concepts:

- How an update happens (DB2 Data Sharing Planning and Administration)

**How DB2 writes changed data to disk in a data sharing environment**

Periodically, DB2 must write changed pages from the group buffer pool to disk. This process is called **castout**. The castout process runs in the background without interfering with transactions.

Suppose that DB2A is responsible for casting out the changed data. That data must first pass through DB2A’s address space because no direct connection exists between the coupling facility and disk. (See the following figure.) This data passes through a private buffer, not through the DB2 buffer pools.

![Coupling facility diagram](image)

*Figure 64. Writing data to disk*

When a group buffer pool is duplexed, data is not cast out from the secondary group buffer pool to disk. When a set of pages is written to disk from the primary group buffer pool, DB2 deletes those pages from the secondary group buffer pool.

Related concepts:

- How DB2 writes changed data to disk (DB2 Data Sharing Planning and Administration)
Ways that other tasks are affected by data sharing

Because data sharing does not change the application interface, application programmers and users have no new tasks. However, some programming, operational, and administrative tasks are unique to the data sharing environment.

The following tasks are unique to the data sharing environment:
- Establishing a naming convention for groups, group members, and resources
- Assigning new members to a data sharing group
- Merging catalog information when data from existing DB2 subsystems moves into a data sharing group

Because the DB2 catalog is shared, data definition, authorization, and control is the same as for non-data-sharing environments. An administrator needs to ensure that every object has a unique name, considering that existing data might be merged into a group. The data needs to reside on shared disks.

Ways that availability is affected by data sharing

Data sharing can provide data availability during an outage, maintain coupling facility availability, and duplex group buffer pools.

Availability during an outage

A significant availability benefit during a planned or unplanned outage of a DB2 group member is that DB2 data remains available through other group members. Some common situations when you might plan for an outage include applying software maintenance, changing a system parameter, or migrating to a new release. For example, during software maintenance, you can apply the maintenance to one member at a time, which leaves other DB2 members available to do work.

Coupling facility availability

When planning your data sharing configuration for the highest availability, you must monitor the physical protection of the coupling facility and the structures within the coupling facility.

For high availability, you must have at least two coupling facilities. One of coupling facility must be physically isolated. The isolated coupling facility must reside in a CPC that does not also contain a DB2 member that is connected to structures in that coupling facility. With at least two coupling facilities, you can avoid a single point of failure.

Duplexing group buffer pools

With more than one coupling facility, you can also consider duplexing the group buffer pools. With duplexing, a secondary group buffer pool is available on standby in another coupling facility, ready to take over if the primary group buffer pool structure fails or if a connectivity failure occurs.

Running some or all of your group buffer pools in duplex mode is one way to achieve high availability for group buffer pools across many types of failures, including lost connections and damaged structures.
Information resources for DB2 for z/OS and related products

Information about DB2 for z/OS and products that you might use in conjunction with DB2 for z/OS is available in online information centers or on library websites.

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This information is intended to help you to learn about and plan to use DB2 11 for z/OS. This information also documents General-use Programming Interface and Associated Guidance Information provided by DB2 11 for z/OS.

General-use Programming Interface and Associated Guidance Information

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Glossary

The glossary is available in the Information Management Software for z/OS Solutions Information Center.

See the Glossary topic for definitions of DB2 for z/OS terms.
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